

EXHIBIT 28

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1A0001	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1A0001	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1A0001	8/31/2002	7/31/2002	-	2,400,000	99.54	U S TREASURY BILL
1A0001	8/31/2002	7/31/2002	2,400,000	-	99.54	U S TREASURY BILL
1A0001	8/31/2002	7/31/2002	2,400,000	-	99.48	U S TREASURY BILL
1A0001	8/31/2002	7/31/2002	-	2,400,000	99.48	U S TREASURY BILL
1A0035	3/31/1998	1/30/1998	7,209	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	1/30/1998	180	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	1/31/1998	180	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	4/30/1998	180	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	6/11/1998	-	1,000	83.00	INTERNATIONAL BUSINESS MACHS
1A0035	3/31/1998	6/17/1998	-	700	98.13	COMPAQ COMPUTER CORP
1A0035	3/31/1998	6/17/1998	-	21,800	108.13	DELL COMPUTER CORP
1A0035	3/31/1998	6/24/1998	-	300	73.75	ALLSTATE
1A0035	3/31/1998	6/27/1998	11,000	-	77.50	EASTMAN KODAK CO
1A0035	3/31/1998	6/30/1998	5,000	-	78.00	EASTMAN KODAK CO
1A0035	3/31/1998	6/30/1998	1,360,000	-	94.64	U S TREASURY BILL
1A0035	3/31/1998	6/30/1998	1,334	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	6/30/1998	827	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	7/31/1998	20,980	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	8/14/1998	200	-	56.38	COMPAQ COMPUTER CORP
1A0035	3/31/1998	8/29/1998	-	25,485	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	8/29/1998	15,010	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	9/30/1998	572	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	10/8/1998	-	500	87.00	GILLETTE CO
1A0035	3/31/1998	10/21/1998	2,400	-	35.00	TOYS R US
1A0035	3/31/1998	10/23/1998	-	800	54.06	HERSHEY FOODS CORP
1A0035	3/31/1998	10/31/1998	9,970	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	12/9/1998	-	2,000	28.00	GATEWAY 2000 INC
1A0035	3/31/1998	12/12/1998	900	-	88.00	SCHLUMBERGER LTD
1A0035	3/31/1998	12/23/1998	-	1,100	25.00	U S SURGICAL CORP
1A0035	3/31/1998	12/31/1998	1,199	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	12/31/1998	1,199	-	1.00	FIDELITY SPARTAN
1A0035	3/31/1998	12/31/1998	3,389	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	1/2/1998	-	18,000	124.25	MICROSOFT CORP
1A0036	3/31/1998	1/30/1998	2,250,000	-	97.94	U S TREASURY BILL
1A0036	3/31/1998	1/30/1998	124,773	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	4/30/1998	720	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	6/11/1998	-	3,000	83.00	INTERNATIONAL BUSINESS MACHS
1A0036	3/31/1998	6/17/1998	-	50,600	108.25	DELL COMPUTER CORP
1A0036	3/31/1998	6/17/1998	-	75,000	108.25	DELL COMPUTER CORP
1A0036	3/31/1998	6/17/1998	-	2,300	98.13	COMPAQ COMPUTER CORP
1A0036	3/31/1998	6/17/1998	-	75,000	108.13	DELL COMPUTER CORP
1A0036	3/31/1998	6/17/1998	-	80,000	108.25	DELL COMPUTER CORP
1A0036	3/31/1998	6/24/1998	-	1,150	73.75	ALLSTATE
1A0036	3/31/1998	6/25/1998	-	27,000	39.00	FORD MOTOR COMPANY
1A0036	3/31/1998	6/26/1998	76,000	-	78.50	EASTMAN KODAK CO
1A0036	3/31/1998	6/27/1998	75,000	-	77.50	EASTMAN KODAK CO
1A0036	3/31/1998	6/30/1998	16,375	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	6/30/1998	55,000	-	78.00	EASTMAN KODAK CO
1A0036	3/31/1998	6/30/1998	16,800,000	-	94.64	U S TREASURY BILL
1A0036	3/31/1998	6/30/1998	10,055	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	7/31/1998	76,120	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	8/14/1998	1,000	-	56.38	COMPAQ COMPUTER CORP
1A0036	3/31/1998	8/29/1998	-	128,102	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	8/29/1998	76,539	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	9/30/1998	4,220	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	10/8/1998	-	1,700	87.00	GILLETTE CO
1A0036	3/31/1998	10/21/1998	9,000	-	35.00	TOYS R US
1A0036	3/31/1998	10/23/1998	-	3,100	54.06	HERSHEY FOODS CORP
1A0036	3/31/1998	10/31/1998	91,854	-	1.00	FIDELITY SPARTAN
1A0036	3/31/1998	12/9/1998	-	6,800	28.00	GATEWAY 2000 INC
1A0036	3/31/1998	12/12/1998	3,000	-	88.00	SCHLUMBERGER LTD
1A0036	3/31/1998	12/23/1998	-	3,500	25.00	U S SURGICAL CORP
1A0036	3/31/1998	12/31/1998	17,073	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1A0036	3/31/2008	2/8/2008	-	64,000	120.57	WYNN RESORTS LTD
1A0036	3/31/2008	2/13/2008	64,000	-	120.57	WYNN RESORTS LTD
1A0037	3/31/1998	1/2/1998	-	46,000	124.25	MICROSOFT CORP
1A0037	3/31/1998	1/5/1998	-	8,000	69.00	AETNA INC
1A0037	3/31/1998	1/30/1998	6,390,000	-	97.94	U S TREASURY BILL
1A0037	3/31/1998	1/30/1998	33,530	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	6/11/1998	-	59,000	83.00	INTERNATIONAL BUSINESS MACHS
1A0037	3/31/1998	6/17/1998	-	9,600	108.13	DELL COMPUTER CORP
1A0037	3/31/1998	6/17/1998	-	4,800	98.13	COMPAQ COMPUTER CORP
1A0037	3/31/1998	6/26/1998	19,000	-	78.50	EASTMAN KODAK CO
1A0037	3/31/1998	6/27/1998	15,000	-	77.50	EASTMAN KODAK CO
1A0037	3/31/1998	6/30/1998	7,000	-	77.00	EASTMAN KODAK CO
1A0037	3/31/1998	6/30/1998	3,390,000	-	94.64	U S TREASURY BILL
1A0037	3/31/1998	6/30/1998	4,704	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	7/31/1998	2,279	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	8/11/1998	-	220	51.50	REEBOK INTL LTD
1A0037	3/31/1998	8/14/1998	200	-	56.38	COMPAQ COMPUTER CORP
1A0037	3/31/1998	8/29/1998	5,290	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	9/30/1998	11,757	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	10/3/1998	-	22,000	29.63	MCI COMMUNICATIONS CORP
1A0037	3/31/1998	10/8/1998	-	3,500	87.00	GILLETTE CO
1A0037	3/31/1998	10/21/1998	25,000	-	35.00	TOYS R US
1A0037	3/31/1998	10/21/1998	11,000	-	34.88	TOYS R US
1A0037	3/31/1998	10/23/1998	-	5,600	54.06	HERSHEY FOODS CORP
1A0037	3/31/1998	10/31/1998	24,535	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	11/3/1998	-	23,500	19.13	WOOLWORTH CORP
1A0037	3/31/1998	11/3/1998	-	16,000	32.00	ELECTRONIC DATA SYSTEMS CORP
1A0037	3/31/1998	11/4/1998	-	75,000	33.50	SUN MICROSYSTEMS INC
1A0037	3/31/1998	11/4/1998	-	58,000	33.75	SUN MICROSYSTEMS INC
1A0037	3/31/1998	11/4/1998	-	55,000	33.38	SUN MICROSYSTEMS INC
1A0037	3/31/1998	11/28/1998	43,653	-	1.00	FIDELITY SPARTAN
1A0037	3/31/1998	11/28/1998	7,450,000	-	96.98	U S TREASURY BILL
1A0037	3/31/1998	12/9/1998	-	14,200	28.00	GATEWAY 2000 INC
1A0037	3/31/1998	12/12/1998	3,900	-	88.13	SCHLUMBERGER LTD
1A0037	3/31/1998	12/12/1998	30,000	-	87.75	SCHLUMBERGER LTD
1A0037	3/31/1998	12/23/1998	-	7,400	25.00	U S SURGICAL CORP
1A0037	3/31/1998	12/23/1998	-	72,000	43.25	TEXAS INSTRUMENTS INC
1A0037	3/31/1998	12/29/1998	-	65,000	44.06	BOSTON SCIENTIFIC
1A0037	3/31/1998	12/30/1998	-	20,000	70.13	INTEL CORP
1A0037	3/31/1998	12/31/1998	5,100,000	-	97.45	U S TREASURY BILL
1A0037	3/31/1998	12/31/1998	24,398	-	1.00	FIDELITY SPARTAN
1A0039	6/30/1999	5/26/1999	3,275,000	-	99.66	U S TREASURY BILL
1A0039	6/30/1999	5/26/1999	-	3,275,000	98.66	U S TREASURY BILL
1A0039	8/31/2002	7/31/2002	-	3,525,000	99.48	U S TREASURY BILL
1A0039	8/31/2002	7/31/2002	3,525,000	-	99.54	U S TREASURY BILL
1A0039	8/31/2002	7/31/2002	-	3,525,000	99.54	U S TREASURY BILL
1A0039	8/31/2002	7/31/2002	3,525,000	-	99.48	U S TREASURY BILL
1A0040	12/31/1998	6/30/1998	-	51,022	1.00	FIDELITY SPARTAN
1A0040	12/31/1998	6/30/1998	51,022	-	1.00	FIDELITY SPARTAN
1A0044	6/30/1999	5/26/1999	3,600,000	-	99.66	U S TREASURY BILL
1A0044	6/30/1999	5/26/1999	-	3,600,000	98.66	U S TREASURY BILL
1A0044	8/31/2002	7/31/2002	-	2,075,000	99.54	U S TREASURY BILL
1A0044	8/31/2002	7/31/2002	2,075,000	-	99.54	U S TREASURY BILL
1A0044	8/31/2002	7/31/2002	-	2,075,000	99.48	U S TREASURY BILL
1A0044	8/31/2002	7/31/2002	2,075,000	-	99.48	U S TREASURY BILL
1A0058	6/30/1999	5/26/1999	-	48,250,000	98.66	U S TREASURY BILL
1A0058	6/30/1999	5/26/1999	48,250,000	-	99.66	U S TREASURY BILL
1A0058	8/31/2002	7/31/2002	-	57,725,000	99.54	U S TREASURY BILL
1A0058	8/31/2002	7/31/2002	57,725,000	-	99.48	U S TREASURY BILL
1A0058	8/31/2002	7/31/2002	-	57,725,000	99.48	U S TREASURY BILL
1A0058	8/31/2002	7/31/2002	57,725,000	-	99.54	U S TREASURY BILL
1A0065	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1A0065	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1A0072	6/30/1999	5/26/1999	-	2,525,000	98.66	U S TREASURY BILL
1A0072	6/30/1999	5/26/1999	2,525,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1A0072	8/31/2002	7/31/2002	-	3,325,000	99.48	U S TREASURY BILL
1A0072	8/31/2002	7/31/2002	-	3,325,000	99.54	U S TREASURY BILL
1A0072	8/31/2002	7/31/2002	3,325,000	-	99.48	U S TREASURY BILL
1A0072	8/31/2002	7/31/2002	3,325,000	-	99.54	U S TREASURY BILL
1A0072	5/31/2005	3/18/2005	2,200,000	-	98.64	U S TREASURY BILL
1A0080	6/30/1999	5/26/1999	225,000	-	99.66	U S TREASURY BILL
1A0080	6/30/1999	5/26/1999	-	225,000	98.66	U S TREASURY BILL
1A0100	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1A0100	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1A0107	6/30/1999	5/26/1999	2,625,000	-	99.66	U S TREASURY BILL
1A0107	6/30/1999	5/26/1999	-	2,625,000	98.66	U S TREASURY BILL
1A0107	8/31/2002	7/31/2002	-	3,225,000	99.48	U S TREASURY BILL
1A0107	8/31/2002	7/31/2002	-	3,225,000	99.54	U S TREASURY BILL
1A0107	8/31/2002	7/31/2002	3,225,000	-	99.48	U S TREASURY BILL
1A0107	8/31/2002	7/31/2002	3,225,000	-	99.54	U S TREASURY BILL
1A0112	12/31/1998	6/2/1998	51,022	-	1.00	FIDELITY SPARTAN
1A0116	8/31/2002	7/31/2002	1,425,000	-	99.48	U S TREASURY BILL
1A0116	8/31/2002	7/31/2002	-	1,425,000	99.48	U S TREASURY BILL
1A0116	8/31/2002	7/31/2002	1,425,000	-	99.54	U S TREASURY BILL
1A0116	8/31/2002	7/31/2002	-	1,425,000	99.54	U S TREASURY BILL
1B0008	2/29/2000	1/7/2000	-	18,000	60.00	UNITED TECHNOLOGIES CORP
1B0008	2/29/2000	1/7/2000	-	40,000	61.00	COLGATE PALMOLIVE CO
1B0008	2/29/2000	1/20/2000	-	30,000	75.50	THE CHASE MANHATTAN CORP NEW
1B0008	3/31/2001	2/13/2001	-	6,000	89.00	QUALCOMM INC
1B0008	6/30/2002	5/2/2002	-	50,000	14.90	ADAPTEC INC
1B0008	11/30/2006	10/4/2006	-	50,000	36.71	HEWLETT PACKARD CO
1B0008	11/30/2006	10/4/2006	-	12,000	77.01	APPLE COMPUTER INC
1B0008	11/30/2006	10/5/2006	-	15,000	71.52	AMGEN INC
1B0008	11/30/2006	10/5/2006	-	30,000	76.60	ALTRIA GROUP INC
1B0008	11/30/2006	10/5/2006	-	25,000	33.24	GENERAL MOTORS CORP
1B0008	11/30/2008	10/3/2008	-	10,000	116.70	SPDR TRUST SER 1 UNITS
1B0008	11/30/2008	10/3/2008	10,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0015	6/30/1999	5/26/1999	1,375,000	-	99.66	U S TREASURY BILL
1B0015	6/30/1999	5/26/1999	-	1,375,000	98.66	U S TREASURY BILL
1B0020	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1B0020	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1B0020	8/31/2002	7/31/2002	-	1,575,000	99.54	U S TREASURY BILL
1B0020	8/31/2002	7/31/2002	-	1,575,000	99.48	U S TREASURY BILL
1B0020	8/31/2002	7/31/2002	1,575,000	-	99.54	U S TREASURY BILL
1B0020	8/31/2002	7/31/2002	1,575,000	-	99.48	U S TREASURY BILL
1B0042	6/30/1999	5/26/1999	-	3,050,000	98.66	U S TREASURY BILL
1B0042	6/30/1999	5/26/1999	3,050,000	-	99.66	U S TREASURY BILL
1B0042	8/31/2002	7/31/2002	2,500,000	-	99.48	U S TREASURY BILL
1B0042	8/31/2002	7/31/2002	2,500,000	-	99.54	U S TREASURY BILL
1B0042	8/31/2002	7/31/2002	-	2,500,000	99.54	U S TREASURY BILL
1B0042	8/31/2002	7/31/2002	-	2,500,000	99.48	U S TREASURY BILL
1B0048	5/31/2002	1/16/2002	-	50,000	13.95	WORLDCOM GROUP
1B0048	5/31/2002	1/16/2002	-	35,000	13.80	WORLDCOM GROUP
1B0048	6/30/2002	4/1/2002	85,000	-	6.20	WORLDCOM GROUP
1B0048	2/28/2002	8/30/2002	100,000	-	14.65	SUN MICROSYSTEMS INC
1B0048	2/28/2002	10/23/2002	-	100,000	8.70	SUN MICROSYSTEMS INC
1B0048	1/31/2003	12/5/2003	85,000	-	8.62	LSI LOGIC CORP
1B0048	1/31/2003	12/5/2003	100,000	-	9.35	ADVANCED MICRO DEVICES
1B0048	1/31/2003	12/26/2003	-	100,000	6.57	ADVANCED MICRO DEVICES
1B0048	1/31/2003	12/26/2003	-	85,000	5.65	LSI LOGIC CORP
1B0048	7/31/2008	5/2/2008	-	60,000	30.07	FANNIE MAE
1B0048	11/30/2008	10/1/2008	95,000	-	120.98	SPDR TRUST SER 1 UNITS
1B0048	11/30/2008	10/1/2008	-	95,000	120.98	SPDR TRUST SER 1 UNITS
1B0048	11/30/2008	10/3/2008	80,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0048	11/30/2008	10/3/2008	-	80,000	116.70	SPDR TRUST SER 1 UNITS
1B0049	2/28/2002	8/30/2002	100,000	-	14.65	SUN MICROSYSTEMS INC
1B0049	2/28/2002	10/23/2002	-	100,000	8.70	SUN MICROSYSTEMS INC
1B0049	1/31/2003	12/5/2003	100,000	-	9.35	ADVANCED MICRO DEVICES
1B0049	1/31/2003	12/5/2003	90,000	-	8.62	LSI LOGIC CORP
1B0049	1/31/2003	12/26/2003	-	100,000	6.57	ADVANCED MICRO DEVICES

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1B0049	1/31/2003	12/26/2003	-	90,000	5.65	LSI LOGIC CORP
1B0049	7/31/2008	5/2/2008	-	65,000	30.07	FANNIE MAE
1B0049	9/30/2008	8/29/2008	105,000	-	29.00	WELLS FARGO & CO NEW
1B0049	9/30/2008	8/29/2008	95,000	-	28.73	WELLS FARGO & CO NEW
1B0049	10/31/2008	9/23/2008	-	100,000	38.28	AETNA INC
1B0049	10/31/2008	9/23/2008	100,000	-	38.28	AETNA INC
1B0049	10/31/2008	9/23/2008	50,000	-	38.07	AETNA INC
1B0049	10/31/2008	9/23/2008	-	50,000	38.07	AETNA INC
1B0049	10/31/2008	9/24/2008	90,000	-	42.27	AETNA INC
1B0049	10/31/2008	9/24/2008	100,000	-	42.75	AETNA INC
1B0049	10/31/2008	9/24/2008	-	100,000	42.75	AETNA INC
1B0049	10/31/2008	9/24/2008	-	60,000	42.59	AETNA INC
1B0049	10/31/2008	9/24/2008	-	90,000	42.27	AETNA INC
1B0049	10/31/2008	9/24/2008	60,000	-	42.59	AETNA INC
1B0049	11/30/2008	10/1/2008	-	90,000	120.98	SPDR TRUST SER 1 UNITS
1B0049	11/30/2008	10/1/2008	90,000	-	120.98	SPDR TRUST SER 1 UNITS
1B0049	11/30/2008	10/3/2008	90,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0049	11/30/2008	10/3/2008	-	90,000	116.70	SPDR TRUST SER 1 UNITS
1B0050	1/31/2003	12/5/2003	75,000	-	50.00	HARTFORD FINL SERVICE GROUP
1B0050	1/31/2003	12/5/2003	60,000	-	49.90	HARTFORD FINL SERVICE GROUP
1B0050	1/31/2003	12/24/2003	-	60,000	45.58	HARTFORD FINL SERVICE GROUP
1B0050	1/31/2003	12/24/2003	-	75,000	45.51	HARTFORD FINL SERVICE GROUP
1B0050	9/30/2005	8/29/2005	-	40,000	11.07	MICRON TECHNOLOGY INC
1B0050	9/30/2005	8/29/2005	20,000	-	11.07	MICRON TECHNOLOGY INC
1B0050	9/30/2008	8/5/2008	5,150,000	-	99.02	U S TREASURY BILL
1B0050	11/30/2008	10/1/2008	75,000	-	120.98	SPDR TRUST SER 1 UNITS
1B0050	11/30/2008	10/1/2008	-	75,000	120.98	SPDR TRUST SER 1 UNITS
1B0050	11/30/2008	10/3/2008	-	75,000	116.70	SPDR TRUST SER 1 UNITS
1B0050	11/30/2008	10/3/2008	75,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0053	11/30/2002	2/7/2002	40,000	-	2.25	BIG LOTS INC OHIO
1B0053	5/31/2002	2/28/2002	-	180,000	99.59	U S TREASURY BILL
1B0053	11/30/2002	10/1/2002	-	62,500	17.43	BIG LOTS INC OHIO
1B0053	7/31/2004	3/14/2004	90,000	-	1.60	LUCENT TECHNOLOGIES INC
1B0053	7/31/2004	3/17/2004	67,000	-	1.53	LUCENT TECHNOLOGIES INC
1B0053	7/31/2004	4/22/2004	-	67,000	4.26	LUCENT TECHNOLOGIES INC
1B0053	7/31/2004	4/23/2004	-	90,000	4.01	LUCENT TECHNOLOGIES INC
1B0053	3/31/2006	1/28/2006	8,000	-	72.22	APPLE COMPUTER INC
1B0061	9/30/1996	7/23/1996	-	62,848	27.75	IOMEGA CORP
1B0061	9/30/1996	7/23/1996	-	80,000	27.75	IOMEGA CORP
1B0061	9/30/1996	7/23/1996	-	85,000	27.75	IOMEGA CORP
1B0061	12/31/1997	9/30/1997	-	39,200,000	99.12	U S TREASURY BILL
1B0061	12/31/1997	9/30/1997	39,200,000	-	99.02	U S TREASURY BILL
1B0061	12/31/1997	10/22/1997	-	64,548	55.63	HOME DEPOT INC
1B0061	12/31/1997	10/22/1997	74,548	-	55.63	HOME DEPOT INC
1B0061	6/30/1998	5/21/1998	-	93,800	63.88	WARNER LAMBERT CO
1B0061	6/30/1998	5/21/1998	6,655	-	1.00	FIDELITY SPARTAN
1B0061	6/30/1998	5/21/1998	6,150,000	-	99.03	U S TREASURY BILL
1B0061	12/31/1999	11/29/1999	-	115,000	78.38	AMERICA ONLINE CORP
1B0061	1/31/2002	12/31/2002	-	171,262,741	1.00	FIDELITY SPARTAN
1B0061	1/31/2002	12/31/2002	17,736	-	1.00	FIDELITY SPARTAN
1B0061	1/31/2002	12/31/2002	171,950,000	-	99.59	U S TREASURY BILL
1B0061	8/31/2008	7/31/2008	-	23,390	1.00	FIDELITY SPARTAN
1B0061	8/31/2008	7/31/2008	-	125,000	99.76	U S TREASURY BILL
1B0073	6/30/1996	5/13/1996	-	16,000	35.25	FORD MOTOR COMPANY
1B0073	6/30/1996	5/13/1996	16,200	-	35.25	FORD MOTOR COMPANY
1B0073	5/31/1997	4/4/1997	-	70,000	90.00	MICROSOFT CORP
1B0073	5/31/1997	4/4/1997	-	51,500	90.00	MICROSOFT CORP
1B0073	5/31/1997	4/8/1997	-	13,500	80.75	AMR CORP
1B0073	5/31/1997	4/8/1997	-	28,000	97.38	GENERAL ELECTRIC CO
1B0073	8/31/1999	7/1/1999	-	50,000	56.25	FDX CORPORATION
1B0073	8/31/1999	7/1/1999	50,000	-	56.25	FDX CORPORATION
1B0073	8/31/1999	7/7/1999	-	50,000	55.00	FDX CORPORATION
1B0073	8/31/1999	7/13/1999	-	62,000	58.63	AT & T CORP
1B0073	8/31/1999	7/15/1999	-	13,400	51.00	PRAXAIR INC
1B0073	2/29/2000	1/6/2000	-	51,000	82.94	AMERICA ONLINE CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1B0073	2/29/2000	1/6/2000	-	46,000	82.81	AMERICA ONLINE CORP
1B0073	2/29/2000	1/6/2000	-	50,000	82.88	AMERICA ONLINE CORP
1B0073	2/29/2000	1/6/2000	-	53,000	83.00	AMERICA ONLINE CORP
1B0073	2/29/2000	1/10/2000	17,472	-	63.00	KIMBERLY CLARK CORP
1B0073	2/29/2000	1/11/2000	21,600	-	73.00	BIOGEN INC
1B0073	2/29/2000	1/12/2000	-	21,200	73.50	DU PONT E I DE NEMOURS & CO
1B0073	2/29/2000	1/14/2000	-	17,472	67.75	KIMBERLY CLARK CORP
1B0073	2/29/2000	1/18/2000	51,000	-	59.13	AMERICA ONLINE CORP
1B0073	2/29/2000	1/18/2000	50,000	-	59.25	AMERICA ONLINE CORP
1B0073	2/29/2000	1/18/2000	53,000	-	59.00	AMERICA ONLINE CORP
1B0073	2/29/2000	1/18/2000	46,000	-	59.75	AMERICA ONLINE CORP
1B0073	2/29/2000	1/28/2000	21,200	-	60.00	DU PONT E I DE NEMOURS & CO
1B0073	2/29/2000	1/28/2000	-	21,600	98.25	BIOGEN INC
1B0073	3/31/2001	2/6/2001	-	85,000	51.30	AOL TIME WARNER INC
1B0073	3/31/2001	2/6/2001	-	115,000	51.25	AOL TIME WARNER INC
1B0073	3/31/2001	2/8/2001	-	30,000	68.00	SIEBEL SYSTEMS INC
1B0073	3/31/2001	2/9/2001	-	36,725	56.15	CITI GROUP INC
1B0073	3/31/2001	2/13/2001	-	100,000	89.00	QUALCOMM INC
1B0073	3/31/2001	2/13/2001	-	25,000	88.75	QUALCOMM INC
1B0073	3/31/2001	2/21/2001	-	4,000	118.50	INTERNATIONAL BUSINESS MACHS
1B0073	6/30/2002	4/4/2002	-	30,000	68.06	KLA TENCOR CORPORATION
1B0073	6/30/2002	4/8/2002	-	75,000	30.00	DELTA AIRLINES INC
1B0073	6/30/2002	4/24/2002	-	70,000	42.75	XILINX INC
1B0073	6/30/2002	5/2/2002	-	50,000	14.90	ADAPTEC INC
1B0073	3/31/2005	2/1/2005	-	36,725	48.52	CITI GROUP INC
1B0073	3/31/2005	2/1/2005	55,000	-	34.88	ANALOG DEVICES
1B0073	11/30/2008	10/3/2008	20,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0073	11/30/2008	10/3/2008	-	20,000	116.70	SPDR TRUST SER 1 UNITS
1B0074	3/31/2001	2/13/2001	-	100,000	89.00	QUALCOMM INC
1B0074	3/31/2001	2/13/2001	-	25,000	88.75	QUALCOMM INC
1B0078	5/31/1997	4/4/1997	-	25,800	47.00	MCDONALDS CORP
1B0078	5/31/1997	4/4/1997	-	90,000	90.13	MICROSOFT CORP
1B0078	5/31/1997	4/8/1997	-	32,800	97.38	GENERAL ELECTRIC CO
1B0078	5/31/1997	4/8/1997	-	21,000	80.75	AMR CORP
1B0078	5/31/1997	4/8/1997	-	52,200	31.50	PEPSICO INC
1B0078	8/31/1999	7/1/1999	-	50,000	56.25	FDX CORPORATION
1B0078	8/31/1999	7/1/1999	50,000	-	56.25	FDX CORPORATION
1B0078	8/31/1999	7/7/1999	-	50,000	55.00	FDX CORPORATION
1B0078	8/31/1999	7/8/1999	-	41,425	52.00	ALZA CORP
1B0078	8/31/1999	7/15/1999	-	11,900	51.00	PRAXAIR INC
1B0078	8/31/1999	7/20/1999	-	18,000	30.50	SEAGATE TECHNOLOGY INC
1B0078	8/31/1999	7/21/1999	-	14,000	27.88	3COM CORP
1B0078	2/29/2000	1/6/2000	-	8,400	51.75	TRW INC
1B0078	2/29/2000	1/6/2000	-	39,200	118.44	HEWLETT PACKARD CO
1B0078	2/29/2000	1/10/2000	15,288	-	63.00	KIMBERLY CLARK CORP
1B0078	2/29/2000	1/11/2000	18,800	-	73.00	BIOGEN INC
1B0078	2/29/2000	1/11/2000	29,820	-	29.13	COMPAQ COMPUTER CORP
1B0078	2/29/2000	1/11/2000	50,000	-	29.00	COMPAQ COMPUTER CORP
1B0078	2/29/2000	1/12/2000	-	8,200	73.50	DU PONT E I DE NEMOURS & CO
1B0078	2/29/2000	1/13/2000	-	40,000	38.31	NOVELL INC
1B0078	2/29/2000	1/14/2000	-	15,288	67.75	KIMBERLY CLARK CORP
1B0078	2/29/2000	1/19/2000	-	51,600	43.25	MCDONALDS CORP
1B0078	2/29/2000	1/19/2000	-	22,104	27.25	BURLINGTON NORTHERN SANTA FE
1B0078	2/29/2000	1/28/2000	-	29,820	33.69	COMPAQ COMPUTER CORP
1B0078	2/29/2000	1/28/2000	8,200	-	60.00	DU PONT E I DE NEMOURS & CO
1B0078	2/29/2000	1/28/2000	8,400	-	46.00	TRW INC
1B0078	2/29/2000	1/28/2000	-	50,000	33.75	COMPAQ COMPUTER CORP
1B0078	2/29/2000	1/28/2000	-	18,800	98.19	BIOGEN INC
1B0078	3/31/2001	2/8/2001	-	30,000	68.00	SIEBEL SYSTEMS INC
1B0078	3/31/2001	2/9/2001	-	26,999	56.15	CITI GROUP INC
1B0078	3/31/2001	2/21/2001	-	79,820	24.25	COMPAQ COMPUTER CORP
1B0078	3/31/2001	2/26/2001	-	51,600	30.50	MCDONALDS CORP
1B0078	6/30/2002	4/8/2002	-	75,000	30.00	DELTA AIRLINES INC
1B0078	6/30/2002	4/24/2002	-	70,000	42.75	XILINX INC
1B0078	6/30/2002	5/2/2002	-	50,000	14.90	ADAPTEC INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1B0078	3/31/2005	2/1/2005	55,000	-	34.85	ANALOG DEVICES
1B0078	3/31/2005	2/1/2005	-	26,999	48.52	CITI GROUP INC
1B0078	11/30/2008	10/1/2008	-	48,000	120.98	SPDR TRUST SER 1 UNITS
1B0078	11/30/2008	10/1/2008	48,000	-	120.98	SPDR TRUST SER 1 UNITS
1B0078	11/30/2008	10/3/2008	22,000	-	116.70	SPDR TRUST SER 1 UNITS
1B0078	11/30/2008	10/3/2008	-	22,000	116.70	SPDR TRUST SER 1 UNITS
1B0081	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1B0081	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1B0081	8/31/2002	7/31/2002	-	3,175,000	99.48	U S TREASURY BILL
1B0081	8/31/2002	7/31/2002	3,175,000	-	99.54	U S TREASURY BILL
1B0081	8/31/2002	7/31/2002	3,175,000	-	99.48	U S TREASURY BILL
1B0081	8/31/2002	7/31/2002	-	3,175,000	99.54	U S TREASURY BILL
1B0082	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1B0082	2/28/1998	1/23/1998	-	10,000	13.25	IOMEGA CORP
1B0082	2/29/2000	1/6/2000	-	10,000	67.25	ADOBE SYS INC
1B0083	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1B0083	2/28/1998	1/23/1998	-	10,000	13.25	IOMEGA CORP
1B0083	2/29/2000	1/6/2000	-	20,000	67.25	ADOBE SYS INC
1B0107	6/30/1999	5/26/1999	2,150,000	-	99.66	U S TREASURY BILL
1B0107	6/30/1999	5/26/1999	-	2,150,000	98.66	U S TREASURY BILL
1B0107	8/31/2002	7/31/2002	-	2,675,000	99.54	U S TREASURY BILL
1B0107	8/31/2002	7/31/2002	2,675,000	-	99.48	U S TREASURY BILL
1B0107	8/31/2002	7/31/2002	-	2,675,000	99.48	U S TREASURY BILL
1B0107	8/31/2002	7/31/2002	2,675,000	-	99.54	U S TREASURY BILL
1B0111	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1B0111	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1B0116	1/31/1996	12/29/1996	-	21,990,000	98.77	U S TREASURY BILL
1B0116	1/31/1996	12/29/1996	6,520	-	-	FIDELITY CASH RESERVES SBI
1B0116	1/31/1996	12/29/1996	14,390,000	-	98.77	U S TREASURY BILL
1B0116	6/30/1999	5/26/1999	3,475,000	-	99.66	U S TREASURY BILL
1B0116	6/30/1999	5/26/1999	-	3,475,000	98.66	U S TREASURY BILL
1B0116	8/31/2002	7/31/2002	-	3,750,000	99.54	U S TREASURY BILL
1B0116	8/31/2002	7/31/2002	-	3,750,000	99.48	U S TREASURY BILL
1B0116	8/31/2002	7/31/2002	3,750,000	-	99.54	U S TREASURY BILL
1B0116	8/31/2002	7/31/2002	3,750,000	-	99.48	U S TREASURY BILL
1B0118	6/30/1999	5/26/1999	7,725,000	-	99.66	U S TREASURY BILL
1B0118	6/30/1999	5/26/1999	-	7,725,000	98.66	U S TREASURY BILL
1B0118	8/31/2002	7/31/2002	18,800,000	-	99.54	U S TREASURY BILL
1B0118	8/31/2002	7/31/2002	-	18,800,000	99.48	U S TREASURY BILL
1B0118	8/31/2002	7/31/2002	-	18,800,000	99.54	U S TREASURY BILL
1B0118	8/31/2002	7/31/2002	18,800,000	-	99.48	U S TREASURY BILL
1B0121	8/31/2002	7/31/2002	1,850,000	-	99.48	U S TREASURY BILL
1B0121	8/31/2002	7/31/2002	1,850,000	-	99.54	U S TREASURY BILL
1B0121	8/31/2002	7/31/2002	-	1,850,000	99.54	U S TREASURY BILL
1B0121	8/31/2002	7/31/2002	-	1,850,000	99.48	U S TREASURY BILL
1B0126	1/31/1996	12/29/1996	7,600,000	-	98.77	U S TREASURY BILL
1B0126	6/30/1999	5/26/1999	-	2,100,000	98.66	U S TREASURY BILL
1B0126	6/30/1999	5/26/1999	2,100,000	-	99.66	U S TREASURY BILL
1B0126	8/31/2002	7/31/2002	2,625,000	-	99.48	U S TREASURY BILL
1B0126	8/31/2002	7/31/2002	-	2,625,000	99.54	U S TREASURY BILL
1B0126	8/31/2002	7/31/2002	-	2,625,000	99.48	U S TREASURY BILL
1B0126	8/31/2002	7/31/2002	2,625,000	-	99.54	U S TREASURY BILL
1B0128	6/30/1999	5/26/1999	2,150,000	-	99.66	U S TREASURY BILL
1B0128	6/30/1999	5/26/1999	-	2,150,000	98.66	U S TREASURY BILL
1B0128	8/31/2002	7/31/2002	-	2,625,000	99.54	U S TREASURY BILL
1B0128	8/31/2002	7/31/2002	-	2,625,000	99.48	U S TREASURY BILL
1B0128	8/31/2002	7/31/2002	2,625,000	-	99.48	U S TREASURY BILL
1B0128	8/31/2002	7/31/2002	2,625,000	-	99.54	U S TREASURY BILL
1B0142	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1B0142	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1B0142	8/31/2002	7/31/2002	2,200,000	-	99.54	U S TREASURY BILL
1B0142	8/31/2002	7/31/2002	-	2,200,000	99.48	U S TREASURY BILL
1B0142	8/31/2002	7/31/2002	-	2,200,000	99.54	U S TREASURY BILL
1B0142	8/31/2002	7/31/2002	2,200,000	-	99.48	U S TREASURY BILL
1B0146	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1B0146	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1B0169	6/30/1999	5/26/1999	-	675,000	98.66	U S TREASURY BILL
1B0169	6/30/1999	5/26/1999	675,000	-	99.66	U S TREASURY BILL
1B0198	6/30/1999	5/26/1999	-	1,875,000	98.66	U S TREASURY BILL
1B0198	6/30/1999	5/26/1999	1,875,000	-	99.66	U S TREASURY BILL
1B0198	8/31/2002	7/31/2002	-	1,600,000	99.54	U S TREASURY BILL
1B0198	8/31/2002	7/31/2002	1,600,000	-	99.48	U S TREASURY BILL
1B0198	8/31/2002	7/31/2002	-	1,600,000	99.48	U S TREASURY BILL
1B0198	8/31/2002	7/31/2002	1,600,000	-	99.54	U S TREASURY BILL
1B0200	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1B0200	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1B0208	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1B0208	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1B0209	8/31/2002	7/31/2002	-	1,375,000	99.48	U S TREASURY BILL
1B0209	8/31/2002	7/31/2002	1,375,000	-	99.54	U S TREASURY BILL
1B0209	8/31/2002	7/31/2002	1,375,000	-	99.48	U S TREASURY BILL
1B0209	8/31/2002	7/31/2002	-	1,375,000	99.54	U S TREASURY BILL
1B0212	12/31/1998	10/5/1998	-	13,400	32.69	PRAXAIR INC
1B0212	12/31/1998	10/5/1998	-	50,000	31.88	COMPAQ COMPUTER CORP
1B0212	12/31/1998	10/5/1998	-	27,675	29.13	ORACLE CORPORATION
1B0212	12/31/1998	10/5/1998	-	2,734	24.25	NEWMONT MNG CORP
1B0212	12/31/1998	10/5/1998	-	4,600	52.25	PHELPS DODGE CORP
1B0212	12/31/1998	10/5/1998	-	18,234	32.00	COMPAQ COMPUTER CORP
1B0212	12/31/1998	10/6/1998	-	17,472	40.50	KIMBERLY CLARK CORP
1B0212	12/31/1998	10/6/1998	-	21,200	56.25	DU PONT E I DE NEMOURS & CO
1B0212	12/31/1998	10/6/1998	-	10,000	44.50	CATERPILLAR INC
1B0212	12/31/1998	10/6/1998	-	13,700	9.75	NATIONAL SEMICONDUCTOR CORP
1B0212	12/31/1998	10/6/1998	-	6,400	69.81	ALUMINUM COMPANY OF AMERICA
1B0212	12/31/1998	10/7/1998	-	10,200	43.13	UNION CARBIDE CORP
1B0212	12/31/1998	10/19/1998	-	16,200	47.00	FORD MOTOR COMPANY
1B0212	12/31/1998	10/19/1998	-	10,800	66.00	BIOGEN INC
1B0212	12/31/1998	10/19/1998	-	11,000	128.50	INTERNATIONAL BUSINESS MACHS
1B0212	12/31/1998	10/19/1998	-	4,245	65.25	ASSOCIATES FIRST CAPITAL CORP
1B0212	12/31/1998	10/20/1998	-	14,160	63.00	CHUBB CORP
1B0212	12/31/1998	10/20/1998	-	56,000	79.63	GENERAL ELECTRIC CO
1B0212	12/31/1998	10/20/1998	-	8,458	48.88	MCI WORLD COM INC
1B0212	12/31/1998	10/27/1998	-	25,000	111.63	AMERICA ONLINE CORP
1B0213	12/31/1998	10/5/1998	-	11,900	32.69	PRAXAIR INC
1B0213	12/31/1998	10/5/1998	-	50,000	31.88	COMPAQ COMPUTER CORP
1B0213	12/31/1998	10/5/1998	-	41,425	43.38	ALZA CORP
1B0213	12/31/1998	10/5/1998	-	8,400	44.50	TRW INC
1B0213	12/31/1998	10/5/1998	-	9,820	32.00	COMPAQ COMPUTER CORP
1B0213	12/31/1998	10/5/1998	-	24,637	29.13	ORACLE CORPORATION
1B0213	12/31/1998	10/5/1998	-	4,000	52.25	PHELPS DODGE CORP
1B0213	12/31/1998	10/6/1998	-	6,000	50.88	SCHLUMBERGER LTD
1B0213	12/31/1998	10/6/1998	-	8,200	56.25	DU PONT E I DE NEMOURS & CO
1B0213	12/31/1998	10/6/1998	-	2,100	25.50	ARGONAUT GROUP INC
1B0213	12/31/1998	10/6/1998	-	5,600	69.81	ALUMINUM COMPANY OF AMERICA
1B0213	12/31/1998	10/6/1998	-	8,800	44.50	CATERPILLAR INC
1B0213	12/31/1998	10/6/1998	-	15,288	40.50	KIMBERLY CLARK CORP
1B0213	12/31/1998	10/7/1998	-	9,100	43.13	UNION CARBIDE CORP
1B0213	12/31/1998	10/19/1998	-	3,500	64.25	UNITRIN INC
1B0213	12/31/1998	10/19/1998	-	9,400	66.00	BIOGEN INC
1B0213	12/31/1998	10/20/1998	-	56,000	79.63	GENERAL ELECTRIC CO
1B0213	12/31/1998	10/20/1998	-	37,317	48.88	MCI WORLD COM INC
1B0213	12/31/1998	10/20/1998	-	9,600	79.75	GENERAL ELECTRIC CO
1B0213	12/31/1998	10/20/1998	-	11,400	63.00	CHUBB CORP
1B0213	12/31/1998	10/27/1998	-	62,000	111.63	AMERICA ONLINE CORP
1B0213	6/30/2002	5/1/2002	-	7,500	35.49	BROADCOM CORP CL A
1B0214	8/31/2002	7/31/2002	-	4,875,000	99.54	U S TREASURY BILL
1B0214	8/31/2002	7/31/2002	4,875,000	-	99.48	U S TREASURY BILL
1B0214	8/31/2002	7/31/2002	-	4,875,000	99.48	U S TREASURY BILL
1B0214	8/31/2002	7/31/2002	4,875,000	-	99.54	U S TREASURY BILL
1B0215	6/30/2002	5/1/2002	7,500	-	35.49	BROADCOM CORP CL A
1B0217	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1B0217	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1B0225	8/31/2002	7/31/2002	-	3,675,000	99.48	U S TREASURY BILL
1B0225	8/31/2002	7/31/2002	3,675,000	-	99.48	U S TREASURY BILL
1B0225	8/31/2002	7/31/2002	3,675,000	-	99.54	U S TREASURY BILL
1B0225	8/31/2002	7/31/2002	-	3,675,000	99.54	U S TREASURY BILL
1B0232	8/31/2002	7/31/2002	2,575,000	-	99.48	U S TREASURY BILL
1B0232	8/31/2002	7/31/2002	-	2,575,000	99.48	U S TREASURY BILL
1B0232	8/31/2002	7/31/2002	2,575,000	-	99.54	U S TREASURY BILL
1B0232	8/31/2002	7/31/2002	-	2,575,000	99.54	U S TREASURY BILL
1C0010	8/31/2002	7/31/2002	-	1,525,000	99.54	U S TREASURY BILL
1C0010	8/31/2002	7/31/2002	1,525,000	-	99.48	U S TREASURY BILL
1C1006	8/31/1996	7/3/1996	900	-	87.75	GENERAL ELECTRIC CO
1C1006	8/31/1996	7/3/1996	5,000	-	45.25	AMERICAN EXPRESS COMPANY
1C1006	8/31/1996	7/3/1996	1,800	-	34.13	FEDERAL NATIONAL MTG ASSN
1C1006	8/31/1996	7/3/1996	1,000	-	25.38	LEHMAN BROS HOLDING INC
1C1006	8/31/1996	7/3/1996	3,100	-	44.75	GTE CORP
1C1006	8/31/1996	7/5/1996	300	-	71.50	THE CHASE MANHATTAN CORP NEW
1C1006	8/31/1996	7/5/1996	5,000	-	75.00	ANHEUSER BUSCH COS INC
1C1006	8/31/1996	7/5/1996	5,000	-	29.00	BROWNING FERRIS IND INC
1C1006	8/31/1996	7/5/1996	600	-	47.38	MCDONALDS CORP
1C1006	8/31/1996	7/8/1996	21,800	-	49.75	JOHNSON & JOHNSON
1C1006	8/31/1996	7/8/1996	300	-	93.88	BOEING CO
1C1006	8/31/1996	7/9/1996	26,000	-	47.38	3COM CORP
1C1006	8/31/1996	7/9/1996	300	-	70.63	CATERPILLAR INC
1C1006	8/31/1996	7/9/1996	200	-	35.75	EARTHGRAINS COMPANY
1C1006	8/31/1996	7/9/1996	21,800	-	49.63	COCA COLA CO
1C1006	8/31/1996	7/9/1996	24,000	-	66.63	MERCK & CO
1C1006	8/31/1996	7/16/1996	1,200	-	73.88	PFIZER INC
1C1006	8/31/1996	7/16/1996	5,000	-	79.75	DOW CHEMICAL CO
1C1006	5/31/1997	4/17/1997	21,000,000	-	97.70	U S TREASURY BILL
1C1006	6/30/1997	4/17/1997	2,100,000	-	97.70	U S TREASURY BILL
1C1006	6/30/1997	4/17/1997	-	21,000,000	97.70	U S TREASURY BILL
1C1006	12/31/2001	11/26/2001	-	92,000	12.22	JDS UNIPHASE CORP
1C1006	12/31/2001	11/26/2001	-	50,000	12.27	JDS UNIPHASE CORP
1C1006	12/31/2001	11/26/2001	-	80,000	12.20	JDS UNIPHASE CORP
1C1006	12/31/2001	11/29/2001	-	65,000	67.00	AMGEN INC
1C1006	12/31/2001	11/29/2001	-	79,000	66.91	AMGEN INC
1C1006	12/31/2002	1/10/2002	14,000	-	59.63	MORGAN STANLEY
1C1006	12/31/2002	1/10/2002	-	14,000	59.63	MORGAN STANLEY
1C1006	12/31/2002	1/22/2002	23,000	-	25.99	TEXAS INSTRUMENTS INC
1C1006	12/31/2002	1/22/2002	-	23,000	25.99	TEXAS INSTRUMENTS INC
1C1016	3/31/1998	1/2/1998	-	75,000	124.25	MICROSOFT CORP
1C1016	3/31/1998	1/2/1998	-	75,000	124.38	MICROSOFT CORP
1C1016	3/31/1998	1/2/1998	-	4,600	124.50	MICROSOFT CORP
1C1016	3/31/1998	1/2/1998	-	80,000	124.50	MICROSOFT CORP
1C1016	3/31/1998	1/30/1998	29,750,000	-	97.94	U S TREASURY BILL
1C1016	3/31/1998	1/30/1998	59,690	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	2/27/1998	900	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	5/30/1998	6,677	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	6/6/1998	-	8,400	95.00	THE CHASE MANHATTAN CORP NEW
1C1016	3/31/1998	6/11/1998	-	10,400	83.25	INTERNATIONAL BUSINESS MACHS
1C1016	3/31/1998	6/11/1998	-	25,000	80.50	THE WALT DISNEY CO
1C1016	3/31/1998	6/17/1998	-	12,400	108.38	DELL COMPUTER CORP
1C1016	3/31/1998	6/17/1998	-	6,000	98.13	COMPAQ COMPUTER CORP
1C1016	3/31/1998	6/27/1998	25,000	-	77.50	EASTMAN KODAK CO
1C1016	3/31/1998	6/30/1998	7,923	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	6/30/1998	2,970,000	-	94.64	U S TREASURY BILL
1C1016	3/31/1998	6/30/1998	2,592	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	6/30/1998	11,000	-	78.00	EASTMAN KODAK CO
1C1016	3/31/1998	8/29/1998	848	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	9/30/1998	6,113	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	10/8/1998	-	8,000	87.00	GILLETTE CO
1C1016	3/31/1998	10/21/1998	5,700	-	35.00	TOYS R US
1C1016	3/31/1998	10/21/1998	45,000	-	34.88	TOYS R US
1C1016	3/31/1998	10/23/1998	-	8,200	54.13	HERSHEY FOODS CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1016	3/31/1998	10/31/1998	2,000,000	-	96.64	U S TREASURY BILL
1C1016	3/31/1998	10/31/1998	-	57,150	45.50	AMGEN INC
1C1016	3/31/1998	10/31/1998	25,515	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	11/28/1998	1,098	-	1.00	FIDELITY SPARTAN
1C1016	3/31/1998	12/9/1998	-	18,200	28.00	GATEWAY 2000 INC
1C1016	3/31/1998	12/12/1998	7,600	-	87.75	SCHLUMBERGER LTD
1C1016	3/31/1998	12/23/1998	-	6,700	25.00	U S SURGICAL CORP
1C1016	3/31/1998	12/31/1998	12,240	-	1.00	FIDELITY SPARTAN
1C1016	9/30/2001	8/9/2001	5,600	-	59.50	BOEING CO
1C1016	9/30/2001	8/9/2001	-	5,600	59.50	BOEING CO
1C1016	9/30/2001	8/9/2001	-	5,600	59.50	BOEING CO
1C1016	9/30/2001	8/10/2001	-	7,500	68.50	QUALCOMM INC
1C1016	9/30/2001	8/31/2001	850,000	-	99.06	U S TREASURY BILL
1C1016	9/30/2001	8/31/2001	4,940	-	1.00	FIDELITY SPARTAN
1C1016	3/31/2008	2/8/2008	-	64,000	120.57	WYNN RESORTS LTD
1C1016	3/31/2008	2/13/2008	64,000	-	120.57	WYNN RESORTS LTD
1C1017	11/30/1997	10/31/1997	-	20,000	33.50	MCI COMMUNICATIONS CORP
1C1017	7/31/1998	6/8/1998	-	6,600	82.06	BANKAMERICA CORP
1C1017	7/31/1998	6/8/1998	-	15,000	26.94	COMPAQ COMPUTER CORP
1C1017	7/31/1998	6/8/1998	-	38,000	67.13	INTEL CORP
1C1017	7/31/1998	6/8/1998	-	2,300	60.94	AMGEN INC
1C1017	7/31/1998	6/30/1998	2,600,000	-	96.91	U S TREASURY BILL
1C1017	7/31/1998	6/30/1998	30,860	-	1.00	FIDELITY SPARTAN
1C1017	9/30/2001	8/9/2001	-	2,300	59.50	BOEING CO
1C1017	9/30/2001	8/10/2001	-	3,800	34.05	AMR CORP
1C1017	9/30/2001	8/10/2001	-	2,746	50.18	SABRE HOLDINGS CORP
1C1017	9/30/2001	8/10/2001	-	3,300	68.50	QUALCOMM INC
1C1017	9/30/2001	8/31/2001	3,165	-	1.00	FIDELITY SPARTAN
1C1017	9/30/2001	8/31/2001	225,000	-	99.06	U S TREASURY BILL
1C1018	11/30/1997	10/31/1997	-	80,000	33.50	MCI COMMUNICATIONS CORP
1C1018	7/31/1998	1/8/1998	-	75,000	40.75	MONSANTO CO
1C1018	7/31/1998	1/8/1998	-	74,000	41.00	MONSANTO CO
1C1018	7/31/1998	1/9/1998	-	75,000	40.00	MONSANTO CO
1C1018	7/31/1998	1/12/1998	-	75,000	64.13	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	-	50,000	64.19	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	75,000	-	64.25	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	50,000	-	64.19	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	-	75,000	64.25	JOHNSON & JOHNSON
1C1018	7/31/1998	1/12/1998	-	50,000	64.19	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	75,000	-	64.13	JOHNSON & JOHNSON
1C1018	7/31/1998	1/12/1998	-	75,000	64.25	JOHNSON & JOHNSON
1C1018	10/31/1998	1/12/1998	-	75,000	64.13	JOHNSON & JOHNSON
1C1018	7/31/1998	1/14/1998	-	100,000	126.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	-	125,000	127.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	125,000	-	127.00	MICROSOFT CORP
1C1018	7/31/1998	1/14/1998	43,000	-	62.81	UNION PACIFIC CORP
1C1018	7/31/1998	1/14/1998	-	50,000	130.00	MICROSOFT CORP
1C1018	7/31/1998	1/14/1998	-	125,000	127.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	50,000	-	130.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	100,000	-	126.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	-	50,000	130.00	MICROSOFT CORP
1C1018	10/31/1998	1/14/1998	-	100,000	126.00	MICROSOFT CORP
1C1018	10/31/1998	1/15/1998	-	50,000	128.00	MICROSOFT CORP
1C1018	7/31/1998	1/15/1998	-	50,000	128.00	MICROSOFT CORP
1C1018	10/31/1998	1/15/1998	50,000	-	128.00	MICROSOFT CORP
1C1018	10/31/1998	1/15/1998	65,000	-	129.50	MICROSOFT CORP
1C1018	7/31/1998	1/15/1998	55,000	-	62.63	UNION PACIFIC CORP
1C1018	10/31/1998	1/15/1998	45,448	-	101.75	THE CHASE MANHATTAN CORP NEW
1C1018	10/31/1998	1/15/1998	-	65,000	129.50	MICROSOFT CORP
1C1018	7/31/1998	1/15/1998	75,000	-	61.31	UNION PACIFIC CORP
1C1018	7/31/1998	1/15/1998	-	45,448	101.75	THE CHASE MANHATTAN CORP NEW
1C1018	7/31/1998	1/15/1998	-	56,400	45.50	MCDONALDS CORP
1C1018	7/31/1998	1/15/1998	-	65,000	129.50	MICROSOFT CORP
1C1018	7/31/1998	1/15/1998	-	77,000	34.00	PHARMACIA & UPJOHN INC
1C1018	7/31/1998	1/16/1998	25,000	-	20.56	ADVANCED MICRO DEVICES

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1018	7/31/1998	1/16/1998	-	80,470	34.56	PHARMACIA & UPJOHN INC
1C1018	7/31/1998	1/16/1998	75,000	-	20.63	ADVANCED MICRO DEVICES
1C1018	7/31/1998	1/16/1998	85,000	-	20.50	ADVANCED MICRO DEVICES
1C1018	7/31/1998	1/20/1998	75,000	-	25.31	NATIONAL SEMICONDUCTOR CORP
1C1018	7/31/1998	1/20/1998	90,000	-	25.19	NATIONAL SEMICONDUCTOR CORP
1C1018	7/31/1998	1/20/1998	75,000	-	25.38	NATIONAL SEMICONDUCTOR CORP
1C1018	7/31/1998	1/21/1998	60,000	-	25.25	NATIONAL SEMICONDUCTOR CORP
1C1018	7/31/1998	1/22/1998	25,000	-	34.44	3COM CORP
1C1018	7/31/1998	1/22/1998	75,000	-	34.50	3COM CORP
1C1018	7/31/1998	1/22/1998	80,000	-	34.38	3COM CORP
1C1018	7/31/1998	1/26/1998	24,000	-	81.19	SCHLUMBERGER LTD
1C1018	7/31/1998	1/26/1998	70,000	-	81.25	SCHLUMBERGER LTD
1C1018	7/31/1998	1/26/1998	25,000	-	81.13	SCHLUMBERGER LTD
1C1018	7/31/1998	1/27/1998	85,000	-	33.50	MICRON TECHNOLOGY INC
1C1018	7/31/1998	1/27/1998	40,000	-	33.38	MICRON TECHNOLOGY INC
1C1018	7/31/1998	1/29/1998	-	50,000	64.50	BANKAMERICA CORP
1C1018	10/31/1998	1/29/1998	50,000	-	64.50	BANKAMERICA CORP
1C1018	7/31/1998	1/29/1998	57,000	-	66.25	AT & T CORP
1C1018	7/31/1998	1/29/1998	-	70,000	64.38	BANKAMERICA CORP
1C1018	10/31/1998	1/29/1998	70,000	-	64.38	BANKAMERICA CORP
1C1018	7/31/1998	1/29/1998	60,000	-	66.06	AT & T CORP
1C1018	7/31/1998	1/30/1998	75,000	-	57.31	MOTOROLA INC
1C1018	7/31/1998	1/30/1998	-	50,000	122.00	AMR CORP
1C1018	10/31/1998	1/30/1998	50,000	-	122.00	AMR CORP
1C1018	7/31/1998	1/30/1998	78,000	-	57.25	MOTOROLA INC
1C1018	10/31/1998	1/30/1998	21,900	-	122.13	AMR CORP
1C1018	7/31/1998	1/30/1998	-	21,900	122.13	AMR CORP
1C1018	7/31/1998	1/30/1998	182,927	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	2/27/1998	46,390	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	3/20/1998	-	70,000	23.88	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	-	75,000	23.94	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	-	125,000	23.69	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	-	100,000	24.00	COMPAQ COMPUTER CORP
1C1018	10/31/1998	3/20/1998	100,000	-	24.00	COMPAQ COMPUTER CORP
1C1018	10/31/1998	3/20/1998	75,000	-	23.94	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	-	72,500	23.81	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	75,000	-	72.63	FDX CORPORATION
1C1018	10/31/1998	3/20/1998	70,000	-	23.88	COMPAQ COMPUTER CORP
1C1018	10/31/1998	3/20/1998	72,500	-	23.81	COMPAQ COMPUTER CORP
1C1018	10/31/1998	3/20/1998	125,000	-	23.69	COMPAQ COMPUTER CORP
1C1018	7/31/1998	3/20/1998	70,000	-	72.75	FDX CORPORATION
1C1018	7/31/1998	3/31/1998	81,166	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	4/30/1998	232,600	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	5/29/1998	111,466	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	6/1/1998	-	654,549	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	6/8/1998	-	30,000	113.00	MERCK & CO
1C1018	7/31/1998	6/8/1998	-	24,000	60.94	AMGEN INC
1C1018	7/31/1998	6/8/1998	-	106,400	67.00	INTEL CORP
1C1018	7/31/1998	6/30/1998	12,400,000	-	96.91	U S TREASURY BILL
1C1018	11/30/1998	6/30/1998	-	700,000	96.91	U S TREASURY BILL
1C1018	7/31/1998	6/30/1998	5,324	-	1.00	FIDELITY SPARTAN
1C1018	7/31/1998	6/30/1998	9,593	-	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	6/30/1998	-	12,400,000	96.91	U S TREASURY BILL
1C1018	7/31/1998	6/30/1998	700,000	-	96.91	U S TREASURY BILL
1C1018	8/31/1998	7/31/1998	854	-	1.00	FIDELITY SPARTAN
1C1018	8/31/1998	7/31/1998	1,638	-	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	7/31/1998	-	854	1.00	FIDELITY SPARTAN
1C1018	9/30/1998	7/31/1998	854	-	1.00	FIDELITY SPARTAN
1C1018	8/31/1998	7/31/1998	-	17,500,000	97.36	U S TREASURY BILL
1C1018	8/31/1998	7/31/1998	17,484,000	-	97.36	U S TREASURY BILL
1C1018	11/30/1998	7/31/1998	-	17,484,000	97.36	U S TREASURY BILL
1C1018	11/30/1998	8/28/1998	-	2,140,000	97.85	U S TREASURY BILL
1C1018	9/30/1998	8/31/1998	-	22,151	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	8/31/1998	-	21,297	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	8/31/1998	-	100,000	97.93	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1018	9/30/1998	8/31/1998	21,297	-	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	9/30/1998	-	605	1.00	FIDELITY SPARTAN
1C1018	11/30/1998	9/30/1998	-	33,380,000	98.45	U S TREASURY BILL
1C1018	9/30/2001	8/9/2001	-	46,000	36.00	ABERCROMBIE & FITCH CO
1C1018	9/30/2001	8/9/2001	-	125,000	15.30	COMPAQ COMPUTER CORP
1C1018	9/30/2001	8/9/2001	-	58,200	59.50	BOEING CO
1C1018	9/30/2001	8/10/2001	-	53,000	27.50	THE WALT DISNEY CO
1C1018	9/30/2001	8/10/2001	-	142,500	15.25	COMPAQ COMPUTER CORP
1C1018	9/30/2001	8/10/2001	-	52,200	68.50	QUALCOMM INC
1C1018	9/30/2001	8/10/2001	-	67,000	27.50	THE WALT DISNEY CO
1C1018	9/30/2001	8/10/2001	-	50,000	37.25	ABERCROMBIE & FITCH CO
1C1018	9/30/2001	8/13/2001	-	175,000	15.00	COMPAQ COMPUTER CORP
1C1018	9/30/2001	8/13/2001	-	54,000	38.25	ABERCROMBIE & FITCH CO
1C1018	1/31/2001	12/20/2001	65,000	-	50.00	COMPUTER ASSOC INTL INC
1C1018	1/31/2001	12/20/2001	50,000	-	50.06	COMPUTER ASSOC INTL INC
1C1018	2/28/2002	1/10/2002	65,000	-	18.75	TIME WARNER TELECOM CL A
1C1018	2/28/2002	1/10/2002	35,000	-	18.69	TIME WARNER TELECOM CL A
1C1019	11/30/1997	10/31/1997	-	20,000	33.50	MCI COMMUNICATIONS CORP
1C1019	7/31/1998	6/8/1998	-	31,500	26.94	COMPAQ COMPUTER CORP
1C1019	7/31/1998	6/8/1998	-	4,700	60.94	AMGEN INC
1C1019	7/31/1998	6/8/1998	-	18,400	67.13	INTEL CORP
1C1019	7/31/1998	6/30/1998	2,400,000	-	96.91	U S TREASURY BILL
1C1019	7/31/1998	6/30/1998	44,198	-	1.00	FIDELITY SPARTAN
1C1019	9/30/2001	8/9/2001	-	2,300	59.50	BOEING CO
1C1019	9/30/2001	8/10/2001	-	4,000	34.05	AMR CORP
1C1019	9/30/2001	8/10/2001	-	3,300	68.50	QUALCOMM INC
1C1019	9/30/2001	8/10/2001	-	2,890	50.18	SABRE HOLDINGS CORP
1C1020	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1020	7/31/1998	6/8/1998	-	3,300	113.00	MERCK & CO
1C1020	7/31/1998	6/8/1998	-	23,200	67.13	INTEL CORP
1C1020	7/31/1998	6/8/1998	-	12,750	26.94	COMPAQ COMPUTER CORP
1C1020	7/31/1998	6/8/1998	-	16,800	82.06	BANKAMERICA CORP
1C1020	7/31/1998	6/30/1998	15,055	-	1.00	FIDELITY SPARTAN
1C1020	7/31/1998	6/30/1998	1,600,000	-	96.91	U S TREASURY BILL
1C1020	9/30/2001	8/9/2001	-	1,100	59.50	BOEING CO
1C1020	9/30/2001	8/9/2001	-	18,500	36.25	ABERCROMBIE & FITCH CO
1C1020	9/30/2001	8/10/2001	-	4,624	50.18	SABRE HOLDINGS CORP
1C1020	9/30/2001	8/10/2001	-	950	68.50	QUALCOMM INC
1C1020	9/30/2001	8/10/2001	-	6,400	34.05	AMR CORP
1C1021	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1021	7/31/1998	6/8/1998	-	1,500	113.00	MERCK & CO
1C1021	7/31/1998	6/8/1998	-	60,000	26.94	COMPAQ COMPUTER CORP
1C1021	7/31/1998	6/30/1998	50,000	-	96.91	U S TREASURY BILL
1C1021	7/31/1998	6/30/1998	41,618	-	1.00	FIDELITY SPARTAN
1C1021	9/30/2001	8/7/2001	-	33,334	37.00	ABERCROMBIE & FITCH CO
1C1021	9/30/2001	8/7/2001	-	33,333	37.00	ABERCROMBIE & FITCH CO
1C1021	9/30/2001	8/7/2001	-	33,333	37.00	ABERCROMBIE & FITCH CO
1C1021	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1021	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1021	9/30/2001	8/10/2001	-	2,938	50.18	SABRE HOLDINGS CORP
1C1021	9/30/2001	8/10/2001	-	3,066	68.38	QUALCOMM INC
1C1021	9/30/2001	8/10/2001	-	2,939	50.18	SABRE HOLDINGS CORP
1C1021	9/30/2001	8/13/2001	-	2,939	50.00	SABRE HOLDINGS CORP
1C1021	9/30/2001	8/13/2001	-	4,067	34.05	AMR CORP
1C1021	9/30/2001	8/13/2001	-	4,067	34.05	AMR CORP
1C1021	9/30/2001	8/13/2001	-	4,066	34.05	AMR CORP
1C1021	9/30/2001	8/14/2001	-	3,334	57.49	BOEING CO
1C1021	9/30/2001	8/14/2001	-	3,333	57.49	BOEING CO
1C1021	9/30/2001	8/14/2001	-	3,333	57.49	BOEING CO
1C1021	10/31/2001	9/28/2001	-	9,536	1.00	FIDELITY SPARTAN
1C1021	5/31/2008	4/30/2008	-	100,000	99.54	U S TREASURY BILL
1C1022	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1022	7/31/1998	6/8/1998	-	46,500	26.94	COMPAQ COMPUTER CORP
1C1022	7/31/1998	6/8/1998	-	2,400	67.13	INTEL CORP
1C1022	7/31/1998	6/30/1998	14,125	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1022	7/31/1998	6/30/1998	750,000	-	96.91	U S TREASURY BILL
1C1022	9/30/2001	8/9/2001	-	6,600	59.50	BOEING CO
1C1022	9/30/2001	8/10/2001	-	9,600	34.05	AMR CORP
1C1022	9/30/2001	8/10/2001	-	6,937	50.18	SABRE HOLDINGS CORP
1C1022	9/30/2001	8/10/2001	-	40,000	36.25	ABERCROMBIE & FITCH CO
1C1022	9/30/2001	8/10/2001	-	6,000	68.50	QUALCOMM INC
1C1022	9/30/2001	8/13/2001	-	34,000	38.25	ABERCROMBIE & FITCH CO
1C1023	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1023	7/31/1998	6/8/1998	-	18,800	67.13	INTEL CORP
1C1023	7/31/1998	6/8/1998	-	43,500	26.94	COMPAQ COMPUTER CORP
1C1023	7/31/1998	6/30/1998	47,281	-	1.00	FIDELITY SPARTAN
1C1023	7/31/1998	6/30/1998	1,050,000	-	96.91	U S TREASURY BILL
1C1023	9/30/2001	8/9/2001	-	9,700	59.50	BOEING CO
1C1023	9/30/2001	8/10/2001	-	7,949	50.18	SABRE HOLDINGS CORP
1C1023	9/30/2001	8/10/2001	-	45,500	37.25	ABERCROMBIE & FITCH CO
1C1023	9/30/2001	8/10/2001	-	8,700	68.50	QUALCOMM INC
1C1023	9/30/2001	8/10/2001	-	11,000	34.05	AMR CORP
1C1023	9/30/2001	8/13/2001	-	40,000	38.25	ABERCROMBIE & FITCH CO
1C1024	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1024	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1024	7/31/1998	6/8/1998	-	11,700	82.06	BANKAMERICA CORP
1C1024	7/31/1998	6/8/1998	-	34,400	67.13	INTEL CORP
1C1024	7/31/1998	6/8/1998	-	300	113.00	MERCK & CO
1C1024	7/31/1998	6/30/1998	2,600,000	-	96.91	U S TREASURY BILL
1C1024	7/31/1998	6/30/1998	10,116	-	1.00	FIDELITY SPARTAN
1C1024	9/30/2001	8/9/2001	-	1,400	59.50	BOEING CO
1C1024	9/30/2001	8/10/2001	-	1,373	50.18	SABRE HOLDINGS CORP
1C1024	9/30/2001	8/10/2001	-	1,200	68.50	QUALCOMM INC
1C1024	9/30/2001	8/10/2001	-	1,900	34.05	AMR CORP
1C1024	9/30/2001	8/31/2001	2,926	-	1.00	FIDELITY SPARTAN
1C1024	9/30/2001	8/31/2001	75,000	-	99.06	U S TREASURY BILL
1C1025	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1025	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1025	7/31/1998	6/8/1998	-	9,700	82.06	BANKAMERICA CORP
1C1025	7/31/1998	6/8/1998	-	32,600	67.13	INTEL CORP
1C1025	7/31/1998	6/30/1998	2,200,000	-	96.91	U S TREASURY BILL
1C1025	7/31/1998	6/30/1998	1,303	-	1.00	FIDELITY SPARTAN
1C1025	9/30/2001	8/9/2001	-	1,500	59.50	BOEING CO
1C1025	9/30/2001	8/10/2001	-	7,200	27.50	THE WALT DISNEY CO
1C1025	9/30/2001	8/10/2001	-	1,200	50.74	NOVELLUS SYSTEMS INC
1C1025	9/30/2001	8/10/2001	-	1,300	68.50	QUALCOMM INC
1C1025	9/30/2001	8/10/2001	-	1,600	34.99	SIEBEL SYSTEMS INC
1C1025	9/30/2001	8/10/2001	-	1,200	64.00	EBAY INC
1C1025	9/30/2001	8/31/2001	280,000	-	99.06	U S TREASURY BILL
1C1025	9/30/2001	8/31/2001	567	-	1.00	FIDELITY SPARTAN
1C1026	11/30/1997	10/31/1997	-	40,000	33.50	MCI COMMUNICATIONS CORP
1C1026	7/31/1998	6/8/1998	-	47,400	82.06	BANKAMERICA CORP
1C1026	10/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1026	7/31/1998	6/8/1998	-	120,000	26.81	COMPAQ COMPUTER CORP
1C1026	7/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1026	10/31/1998	6/8/1998	120,000	-	26.81	COMPAQ COMPUTER CORP
1C1026	10/31/1998	6/8/1998	165,600	-	67.00	INTEL CORP
1C1026	7/31/1998	6/30/1998	710	-	1.00	FIDELITY SPARTAN
1C1026	11/30/1998	6/30/1998	-	7,500,000	96.91	U S TREASURY BILL
1C1026	7/31/1998	6/30/1998	7,500,000	-	96.91	U S TREASURY BILL
1C1026	11/30/1998	7/31/1998	-	2,450,000	97.36	U S TREASURY BILL
1C1026	11/30/1998	8/28/1998	-	2,110,000	97.85	U S TREASURY BILL
1C1026	11/30/1998	8/31/1998	-	2,278	1.00	FIDELITY SPARTAN
1C1026	11/30/1998	9/30/1998	-	10,252	1.00	FIDELITY SPARTAN
1C1026	9/30/2001	8/9/2001	-	5,900	59.50	BOEING CO
1C1026	9/30/2001	8/9/2001	-	53,000	15.30	COMPAQ COMPUTER CORP
1C1026	9/30/2001	8/10/2001	-	5,300	68.50	QUALCOMM INC
1C1026	9/30/2001	8/10/2001	-	67,000	15.25	COMPAQ COMPUTER CORP
1C1027	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1027	7/31/1998	6/8/1998	-	3,300	113.00	MERCK & CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1027	7/31/1998	6/8/1998	-	16,800	82.06	BANKAMERICA CORP
1C1027	7/31/1998	6/8/1998	-	23,200	67.13	INTEL CORP
1C1027	7/31/1998	6/8/1998	-	12,750	26.94	COMPAQ COMPUTER CORP
1C1027	7/31/1998	6/30/1998	20,587	-	1.00	FIDELITY SPARTAN
1C1027	7/31/1998	6/30/1998	200,000	-	96.91	U S TREASURY BILL
1C1027	9/30/2001	8/10/2001	-	4,624	50.18	SABRE HOLDINGS CORP
1C1027	9/30/2001	8/10/2001	-	6,400	34.05	AMR CORP
1C1028	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1028	7/31/1998	6/8/1998	-	60,000	26.94	COMPAQ COMPUTER CORP
1C1028	7/31/1998	6/8/1998	-	1,500	113.00	MERCK & CO
1C1028	9/30/2001	8/8/2001	-	50,000	37.05	ABERCROMBIE & FITCH CO
1C1028	9/30/2001	8/8/2001	-	50,000	37.05	ABERCROMBIE & FITCH CO
1C1028	9/30/2001	8/10/2001	-	4,600	68.38	QUALCOMM INC
1C1028	9/30/2001	8/10/2001	-	4,600	68.38	QUALCOMM INC
1C1028	9/30/2001	8/10/2001	-	4,408	50.18	SABRE HOLDINGS CORP
1C1028	9/30/2001	8/13/2001	-	6,100	34.05	AMR CORP
1C1028	9/30/2001	8/13/2001	-	4,408	50.00	SABRE HOLDINGS CORP
1C1028	9/30/2001	8/13/2001	-	5,000	57.49	BOEING CO
1C1028	9/30/2001	8/13/2001	-	6,100	34.05	AMR CORP
1C1028	9/30/2001	8/13/2001	-	5,000	57.49	BOEING CO
1C1028	10/31/2001	9/28/2001	-	9,536	1.00	FIDELITY SPARTAN
1C1029	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1029	7/31/1998	6/8/1998	-	46,500	26.94	COMPAQ COMPUTER CORP
1C1029	7/31/1998	6/8/1998	-	2,400	67.13	INTEL CORP
1C1029	7/31/1998	6/30/1998	48,225	-	1.00	FIDELITY SPARTAN
1C1029	7/31/1998	6/30/1998	500,000	-	96.91	U S TREASURY BILL
1C1029	9/30/2001	8/9/2001	-	6,600	59.50	BOEING CO
1C1029	9/30/2001	8/10/2001	-	9,600	34.05	AMR CORP
1C1029	9/30/2001	8/10/2001	-	64,000	37.25	ABERCROMBIE & FITCH CO
1C1029	9/30/2001	8/10/2001	-	6,937	50.18	SABRE HOLDINGS CORP
1C1029	9/30/2001	8/10/2001	-	6,000	68.50	QUALCOMM INC
1C1029	5/31/2003	8/2/2004	11,000	-	-	R J REYNOLDS TOBACCO HLDS
1C1030	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1030	7/31/1998	6/8/1998	-	43,500	26.94	COMPAQ COMPUTER CORP
1C1030	7/31/1998	6/8/1998	-	18,800	67.13	INTEL CORP
1C1030	7/31/1998	6/30/1998	29,284	-	1.00	FIDELITY SPARTAN
1C1030	7/31/1998	6/30/1998	1,200,000	-	96.91	U S TREASURY BILL
1C1030	9/30/2001	8/9/2001	-	40,000	36.00	ABERCROMBIE & FITCH CO
1C1030	9/30/2001	8/9/2001	-	9,700	59.50	BOEING CO
1C1030	9/30/2001	8/10/2001	-	8,700	68.50	QUALCOMM INC
1C1030	9/30/2001	8/10/2001	-	7,949	50.18	SABRE HOLDINGS CORP
1C1030	9/30/2001	8/10/2001	-	11,000	34.05	AMR CORP
1C1030	9/30/2001	8/10/2001	-	45,500	37.25	ABERCROMBIE & FITCH CO
1C1031	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1031	7/31/1998	6/8/1998	-	11,700	82.06	BANKAMERICA CORP
1C1031	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1031	7/31/1998	6/8/1998	-	34,400	67.13	INTEL CORP
1C1031	7/31/1998	6/8/1998	-	300	113.00	MERCK & CO
1C1031	7/31/1998	6/30/1998	34,772	-	1.00	FIDELITY SPARTAN
1C1031	7/31/1998	6/30/1998	2,550,000	-	96.91	U S TREASURY BILL
1C1031	9/30/2001	8/9/2001	-	1,400	59.50	BOEING CO
1C1031	9/30/2001	8/10/2001	-	1,000	50.74	NOVELLUS SYSTEMS INC
1C1031	9/30/2001	8/10/2001	-	1,100	64.00	EBAY INC
1C1031	9/30/2001	8/10/2001	-	1,500	34.99	SIEBEL SYSTEMS INC
1C1031	9/30/2001	8/10/2001	-	1,900	34.05	AMR CORP
1C1031	9/30/2001	8/10/2001	-	1,200	68.50	QUALCOMM INC
1C1031	9/30/2001	8/10/2001	-	1,373	50.18	SABRE HOLDINGS CORP
1C1031	9/30/2001	8/31/2001	250,000	-	99.06	U S TREASURY BILL
1C1031	9/30/2001	8/31/2001	3,196	-	1.00	FIDELITY SPARTAN
1C1032	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1032	7/31/1998	6/8/1998	-	32,600	67.13	INTEL CORP
1C1032	7/31/1998	6/8/1998	-	9,700	82.06	BANKAMERICA CORP
1C1032	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1032	7/31/1998	6/30/1998	33,860	-	1.00	FIDELITY SPARTAN
1C1032	7/31/1998	6/30/1998	2,150,000	-	96.91	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1032	9/30/2001	8/9/2001	-	1,500	59.50	BOEING CO
1C1032	9/30/2001	8/10/2001	-	1,300	68.50	QUALCOMM INC
1C1032	9/30/2001	8/10/2001	-	2,700	50.74	NOVELLUS SYSTEMS INC
1C1032	9/30/2001	8/10/2001	-	44,300	34.99	SIEBEL SYSTEMS INC
1C1032	9/30/2001	8/10/2001	-	7,200	27.50	THE WALT DISNEY CO
1C1032	9/30/2001	8/31/2001	750,000	-	99.06	U S TREASURY BILL
1C1032	9/30/2001	8/31/2001	1,877	-	1.00	FIDELITY SPARTAN
1C1032	10/31/2001	9/28/2001	3,408	-	1.00	FIDELITY SPARTAN
1C1033	11/30/1997	10/31/1997	-	40,000	33.50	MCI COMMUNICATIONS CORP
1C1033	7/31/1998	6/8/1998	-	120,000	26.81	COMPAQ COMPUTER CORP
1C1033	10/31/1998	6/8/1998	120,000	-	26.81	COMPAQ COMPUTER CORP
1C1033	7/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1033	10/31/1998	6/8/1998	165,600	-	67.00	INTEL CORP
1C1033	10/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1033	7/31/1998	6/8/1998	-	47,400	82.06	BANKAMERICA CORP
1C1033	7/31/1998	6/30/1998	28,579	-	1.00	FIDELITY SPARTAN
1C1033	7/31/1998	6/30/1998	7,200,000	-	96.91	U S TREASURY BILL
1C1033	11/30/1998	6/30/1998	-	7,200,000	96.91	U S TREASURY BILL
1C1033	11/30/1998	7/31/1998	-	2,500,000	97.36	U S TREASURY BILL
1C1033	11/30/1998	8/28/1998	-	2,090,000	97.85	U S TREASURY BILL
1C1033	11/30/1998	8/31/1998	-	774	1.00	FIDELITY SPARTAN
1C1033	11/30/1998	9/30/1998	-	10,252	1.00	FIDELITY SPARTAN
1C1033	9/30/2001	8/9/2001	-	53,000	15.30	COMPAQ COMPUTER CORP
1C1033	9/30/2001	8/10/2001	-	5,300	68.50	QUALCOMM INC
1C1033	9/30/2001	8/10/2001	-	5,900	57.65	BOEING CO
1C1033	9/30/2001	8/10/2001	-	67,000	15.25	COMPAQ COMPUTER CORP
1C1034	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1034	7/31/1998	6/8/1998	-	12,750	26.94	COMPAQ COMPUTER CORP
1C1034	7/31/1998	6/8/1998	-	16,800	82.06	BANKAMERICA CORP
1C1034	7/31/1998	6/8/1998	-	23,200	67.13	INTEL CORP
1C1034	7/31/1998	6/8/1998	-	3,300	113.00	MERCK & CO
1C1034	7/31/1998	6/30/1998	900,000	-	96.91	U S TREASURY BILL
1C1034	7/31/1998	6/30/1998	45,956	-	1.00	FIDELITY SPARTAN
1C1034	9/30/2001	8/10/2001	-	4,624	50.18	SABRE HOLDINGS CORP
1C1034	9/30/2001	8/10/2001	-	6,400	34.05	AMR CORP
1C1034	2/28/2005	1/28/2005	-	1,775,000	98.91	U S TREASURY BILL
1C1034	2/28/2005	1/31/2005	-	15,407	1.00	FIDELITY SPARTAN
1C1035	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1035	7/31/1998	6/8/1998	-	1,500	113.00	MERCK & CO
1C1035	7/31/1998	6/8/1998	-	60,000	26.94	COMPAQ COMPUTER CORP
1C1035	7/31/1998	6/30/1998	350,000	-	96.91	U S TREASURY BILL
1C1035	7/31/1998	6/30/1998	21,016	-	1.00	FIDELITY SPARTAN
1C1035	9/30/2001	8/7/2001	-	33,333	37.00	ABERCROMBIE & FITCH CO
1C1035	9/30/2001	8/8/2001	-	33,334	37.05	ABERCROMBIE & FITCH CO
1C1035	9/30/2001	8/8/2001	-	33,333	37.05	ABERCROMBIE & FITCH CO
1C1035	9/30/2001	8/10/2001	-	3,066	68.38	QUALCOMM INC
1C1035	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1035	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1035	9/30/2001	8/13/2001	-	2,939	50.00	SABRE HOLDINGS CORP
1C1035	9/30/2001	8/13/2001	-	4,067	34.05	AMR CORP
1C1035	9/30/2001	8/13/2001	-	3,333	57.49	BOEING CO
1C1035	9/30/2001	8/13/2001	-	2,939	50.00	SABRE HOLDINGS CORP
1C1035	9/30/2001	8/13/2001	-	4,066	34.05	AMR CORP
1C1035	9/30/2001	8/13/2001	-	2,938	50.00	SABRE HOLDINGS CORP
1C1035	9/30/2001	8/13/2001	-	4,067	34.05	AMR CORP
1C1035	9/30/2001	8/13/2001	-	3,334	57.49	BOEING CO
1C1035	9/30/2001	8/14/2001	-	3,333	57.49	BOEING CO
1C1035	10/31/2001	9/28/2001	-	9,536	1.00	FIDELITY SPARTAN
1C1036	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1036	7/31/1998	6/8/1998	-	46,500	26.94	COMPAQ COMPUTER CORP
1C1036	7/31/1998	6/8/1998	-	2,400	67.13	INTEL CORP
1C1036	7/31/1998	6/30/1998	600,000	-	96.91	U S TREASURY BILL
1C1036	7/31/1998	6/30/1998	37,539	-	1.00	FIDELITY SPARTAN
1C1036	9/30/2001	8/9/2001	-	64,000	36.25	ABERCROMBIE & FITCH CO
1C1036	9/30/2001	8/10/2001	-	6,600	57.65	BOEING CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1036	9/30/2001	8/10/2001	-	9,600	34.05	AMR CORP
1C1036	9/30/2001	8/10/2001	-	6,000	68.38	QUALCOMM INC
1C1036	9/30/2001	8/10/2001	-	6,937	50.18	SABRE HOLDINGS CORP
1C1037	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1037	7/31/1998	6/8/1998	-	43,500	26.94	COMPAQ COMPUTER CORP
1C1037	7/31/1998	6/8/1998	-	18,800	67.13	INTEL CORP
1C1037	7/31/1998	6/30/1998	700,000	-	96.91	U S TREASURY BILL
1C1037	7/31/1998	6/30/1998	12,306	-	1.00	FIDELITY SPARTAN
1C1037	9/30/2001	8/9/2001	-	40,000	36.00	ABERCROMBIE & FITCH CO
1C1037	9/30/2001	8/10/2001	-	8,700	68.38	QUALCOMM INC
1C1037	9/30/2001	8/10/2001	-	11,000	34.05	AMR CORP
1C1037	9/30/2001	8/10/2001	-	9,700	57.65	BOEING CO
1C1037	9/30/2001	8/13/2001	-	45,500	38.25	ABERCROMBIE & FITCH CO
1C1037	9/30/2001	8/13/2001	-	7,949	50.00	SABRE HOLDINGS CORP
1C1038	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1038	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1038	7/31/1998	6/8/1998	-	11,700	82.06	BANKAMERICA CORP
1C1038	7/31/1998	6/8/1998	-	300	113.00	MERCK & CO
1C1038	7/31/1998	6/8/1998	-	34,400	67.13	INTEL CORP
1C1038	7/31/1998	6/30/1998	2,550,000	-	96.91	U S TREASURY BILL
1C1038	7/31/1998	6/30/1998	16,938	-	1.00	FIDELITY SPARTAN
1C1038	9/30/2001	8/10/2001	-	1,300	34.99	SIEBEL SYSTEMS INC
1C1038	9/30/2001	8/10/2001	-	1,400	57.65	BOEING CO
1C1038	9/30/2001	8/10/2001	-	1,900	34.05	AMR CORP
1C1038	9/30/2001	8/10/2001	-	900	50.74	NOVELLUS SYSTEMS INC
1C1038	9/30/2001	8/10/2001	-	1,000	64.00	EBAY INC
1C1038	9/30/2001	8/10/2001	-	1,200	68.38	QUALCOMM INC
1C1038	9/30/2001	8/13/2001	-	1,373	50.00	SABRE HOLDINGS CORP
1C1038	9/30/2001	8/31/2001	230,000	-	99.06	U S TREASURY BILL
1C1038	9/30/2001	8/31/2001	4,392	-	1.00	FIDELITY SPARTAN
1C1039	11/30/1997	10/31/1997	-	6,000	33.75	MCI COMMUNICATIONS CORP
1C1039	7/31/1998	6/8/1998	-	32,600	67.13	INTEL CORP
1C1039	7/31/1998	6/8/1998	-	9,700	82.06	BANKAMERICA CORP
1C1039	7/31/1998	6/8/1998	-	21,000	26.94	COMPAQ COMPUTER CORP
1C1039	7/31/1998	6/30/1998	19,067	-	1.00	FIDELITY SPARTAN
1C1039	7/31/1998	6/30/1998	2,200,000	-	96.91	U S TREASURY BILL
1C1039	9/30/2001	8/10/2001	-	7,200	27.50	THE WALT DISNEY CO
1C1039	9/30/2001	8/10/2001	-	1,300	68.38	QUALCOMM INC
1C1039	9/30/2001	8/10/2001	-	1,500	57.65	BOEING CO
1C1039	9/30/2001	8/31/2001	4,708	-	1.00	FIDELITY SPARTAN
1C1039	9/30/2001	8/31/2001	80,000	-	99.06	U S TREASURY BILL
1C1040	11/30/1997	10/31/1997	-	40,000	33.50	MCI COMMUNICATIONS CORP
1C1040	10/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1040	10/31/1998	6/8/1998	120,000	-	26.81	COMPAQ COMPUTER CORP
1C1040	7/31/1998	6/8/1998	-	120,000	26.81	COMPAQ COMPUTER CORP
1C1040	7/31/1998	6/8/1998	-	47,400	82.06	BANKAMERICA CORP
1C1040	7/31/1998	6/8/1998	-	165,600	67.00	INTEL CORP
1C1040	10/31/1998	6/8/1998	165,600	-	67.00	INTEL CORP
1C1040	7/31/1998	6/30/1998	47,897	-	1.00	FIDELITY SPARTAN
1C1040	7/31/1998	6/30/1998	7,850,000	-	96.91	U S TREASURY BILL
1C1040	11/30/1998	6/30/1998	-	7,850,000	96.91	U S TREASURY BILL
1C1040	11/30/1998	7/31/1998	-	2,500,000	97.36	U S TREASURY BILL
1C1040	11/30/1998	8/28/1998	-	2,110,000	97.85	U S TREASURY BILL
1C1040	11/30/1998	8/31/1998	-	1,511	1.00	FIDELITY SPARTAN
1C1040	11/30/1998	9/30/1998	-	10,252	1.00	FIDELITY SPARTAN
1C1040	9/30/2001	8/9/2001	-	67,000	15.30	COMPAQ COMPUTER CORP
1C1040	9/30/2001	8/10/2001	-	5,900	57.65	BOEING CO
1C1040	9/30/2001	8/10/2001	-	5,300	68.38	QUALCOMM INC
1C1040	9/30/2001	8/10/2001	-	53,000	15.25	COMPAQ COMPUTER CORP
1C1065	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1C1065	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1C1071	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1C1071	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1C1071	8/31/2002	7/31/2002	-	1,400,000	99.48	U S TREASURY BILL
1C1071	8/31/2002	7/31/2002	-	1,400,000	99.54	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1071	8/31/2002	7/31/2002	1,400,000	-	99.54	U S TREASURY BILL
1C1071	8/31/2002	7/31/2002	1,400,000	-	99.48	U S TREASURY BILL
1C1095	6/30/1999	5/26/1999	3,300,000	-	99.66	U S TREASURY BILL
1C1095	6/30/1999	5/26/1999	-	3,300,000	98.66	U S TREASURY BILL
1C1095	8/31/2002	7/31/2002	-	3,750,000	99.54	U S TREASURY BILL
1C1095	8/31/2002	7/31/2002	3,750,000	-	99.48	U S TREASURY BILL
1C1095	8/31/2002	7/31/2002	3,750,000	-	99.54	U S TREASURY BILL
1C1095	8/31/2002	7/31/2002	-	3,750,000	99.48	U S TREASURY BILL
1C1098	6/30/1999	5/26/1999	-	1,625,000	98.66	U S TREASURY BILL
1C1098	6/30/1999	5/26/1999	1,625,000	-	99.66	U S TREASURY BILL
1C1098	8/31/2002	7/31/2002	1,950,000	-	99.54	U S TREASURY BILL
1C1098	8/31/2002	7/31/2002	-	1,950,000	99.54	U S TREASURY BILL
1C1098	8/31/2002	7/31/2002	1,950,000	-	99.48	U S TREASURY BILL
1C1098	8/31/2002	7/31/2002	-	1,950,000	99.48	U S TREASURY BILL
1C1204	9/30/2001	8/10/2001	-	3,600	34.99	SIEBEL SYSTEMS INC
1C1204	9/30/2001	8/10/2001	-	25,500	15.25	COMPAQ COMPUTER CORP
1C1204	9/30/2001	8/10/2001	-	2,800	64.00	EBAY INC
1C1204	9/30/2001	8/10/2001	-	2,500	50.74	NOVELLUS SYSTEMS INC
1C1204	9/30/2001	8/10/2001	-	2,500	46.04	AOL TIME WARNER INC
1C1204	9/30/2001	8/30/2001	-	14,700	7.00	LUCENT TECHNOLOGIES INC
1C1205	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1C1205	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1C1205	8/31/2002	7/31/2002	2,000,000	-	99.48	U S TREASURY BILL
1C1205	8/31/2002	7/31/2002	2,000,000	-	99.54	U S TREASURY BILL
1C1205	8/31/2002	7/31/2002	-	2,000,000	99.54	U S TREASURY BILL
1C1205	8/31/2002	7/31/2002	-	2,000,000	99.48	U S TREASURY BILL
1C1210	1/31/1996	12/4/1996	100	-	14.25	CENTOCOR INC
1C1210	1/31/1996	12/4/1996	-	500	14.25	CENTOCOR INC
1C1210	1/31/1996	12/20/1996	500	-	20.63	CENTOCOR INC
1C1210	1/31/1996	12/20/1996	-	100	20.63	CENTOCOR INC
1C1210	9/30/2001	8/10/2001	-	3,000	15.25	COMPAQ COMPUTER CORP
1C1210	7/31/2002	6/28/2002	-	285,000	99.59	U S TREASURY BILL
1C1210	12/31/2006	5/30/2006	-	2,700	41.90	UNITEDHEALTH GROUP INC
1C1210	12/31/2006	5/30/2006	4,200	-	31.40	YAHOO INC
1C1210	12/31/2006	6/14/2006	-	1,700	21.95	MICROSOFT CORP
1C1210	12/31/2006	6/14/2006	1,800	-	30.50	YAHOO INC
1C1210	12/31/2006	10/23/2006	-	6,000	23.05	YAHOO INC
1C1210	12/31/2006	10/23/2006	-	5,016	1.00	FIDELITY SPARTAN
1C1210	12/31/2006	10/23/2006	125,000	-	97.74	U S TREASURY BILL
1C1212	9/30/2001	8/10/2001	-	9,560	15.25	COMPAQ COMPUTER CORP
1C1215	11/30/1997	10/31/1997	-	8,000	34.00	MCI COMMUNICATIONS CORP
1C1215	7/31/1998	6/18/1998	-	24,000	24.00	3COM CORP
1C1215	9/30/2001	8/10/2001	-	1,800	57.65	BOEING CO
1C1215	9/30/2001	8/10/2001	-	50,000	15.25	COMPAQ COMPUTER CORP
1C1215	9/30/2001	8/10/2001	-	1,650	68.38	QUALCOMM INC
1C1215	8/31/2006	7/3/2006	-	8,000	21.80	XILINX INC
1C1221	6/30/1999	5/26/1999	2,150,000	-	99.66	U S TREASURY BILL
1C1221	6/30/1999	5/26/1999	-	2,150,000	98.66	U S TREASURY BILL
1C1224	8/31/2002	7/31/2002	1,475,000	-	99.54	U S TREASURY BILL
1C1224	8/31/2002	7/31/2002	1,475,000	-	99.48	U S TREASURY BILL
1C1224	8/31/2002	7/31/2002	-	1,475,000	99.48	U S TREASURY BILL
1C1224	8/31/2002	7/31/2002	-	1,475,000	99.54	U S TREASURY BILL
1C1225	6/30/2004	4/19/2004	-	4,000	1.00	FIDELITY SPARTAN
1C1227	9/30/2001	8/10/2001	-	700	64.00	EBAY INC
1C1227	9/30/2001	8/10/2001	-	600	50.74	NOVELLUS SYSTEMS INC
1C1227	9/30/2001	8/10/2001	-	400	68.38	QUALCOMM INC
1C1227	9/30/2001	8/10/2001	-	900	34.99	SIEBEL SYSTEMS INC
1C1227	9/30/2001	8/10/2001	-	600	46.04	AOL TIME WARNER INC
1C1227	9/30/2001	8/10/2001	-	1,400	15.25	COMPAQ COMPUTER CORP
1C1227	9/30/2001	8/13/2001	-	450	57.49	BOEING CO
1C1227	9/30/2001	8/30/2001	-	3,600	7.00	LUCENT TECHNOLOGIES INC
1C1227	6/30/2002	5/21/2002	3,000	-	26.99	APPLIED MATERIALS INC
1C1227	6/30/2002	5/21/2002	-	2,000	26.99	APPLIED MATERIALS INC
1C1227	8/31/2006	7/3/2006	-	1,600	21.80	XILINX INC
1C1260	6/30/1999	5/26/1999	43,850,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1260	6/30/1999	5/26/1999	-	43,850,000	98.66	U S TREASURY BILL
1C1260	8/31/2002	7/31/2002	-	87,925,000	99.54	U S TREASURY BILL
1C1260	8/31/2002	7/31/2002	87,925,000	-	99.54	U S TREASURY BILL
1C1260	8/31/2002	7/31/2002	-	87,925,000	99.48	U S TREASURY BILL
1C1260	8/31/2002	7/31/2002	87,925,000	-	99.48	U S TREASURY BILL
1C1261	6/30/1999	5/26/1999	-	1,850,000	98.66	U S TREASURY BILL
1C1261	6/30/1999	5/26/1999	1,850,000	-	99.66	U S TREASURY BILL
1C1270	9/30/2001	8/10/2001	-	4,937	36.25	STARWOOD HOTEL RESORTS WLDWIDE
1C1270	9/30/2001	8/10/2001	-	600	68.38	QUALCOMM INC
1C1270	9/30/2001	8/13/2001	-	700	57.49	BOEING CO
1C1271	9/30/2001	8/10/2001	-	900	50.74	NOVELLUS SYSTEMS INC
1C1271	9/30/2001	8/10/2001	-	1,300	34.99	SIEBEL SYSTEMS INC
1C1271	9/30/2001	8/10/2001	-	1,000	64.00	EBAY INC
1C1271	9/30/2001	8/10/2001	-	1,400	15.25	COMPAQ COMPUTER CORP
1C1271	7/31/2002	6/28/2002	-	380,000	99.59	U S TREASURY BILL
1C1275	6/30/1998	5/21/1998	-	400	63.88	WARNER LAMBERT CO
1C1275	6/30/1998	5/21/1998	25,000	-	99.03	U S TREASURY BILL
1C1275	6/30/1998	5/21/1998	1,242	-	1.00	FIDELITY SPARTAN
1C1276	6/30/1999	5/26/1999	-	1,425,000	98.66	U S TREASURY BILL
1C1276	6/30/1999	5/26/1999	1,425,000	-	99.66	U S TREASURY BILL
1C1276	8/31/2002	7/31/2002	-	3,050,000	99.48	U S TREASURY BILL
1C1276	8/31/2002	7/31/2002	3,050,000	-	99.54	U S TREASURY BILL
1C1276	8/31/2002	7/31/2002	-	3,050,000	99.54	U S TREASURY BILL
1C1276	8/31/2002	7/31/2002	3,050,000	-	99.48	U S TREASURY BILL
1C1284	9/30/2001	8/10/2001	-	700	64.00	EBAY INC
1C1284	9/30/2001	8/10/2001	-	650	50.74	NOVELLUS SYSTEMS INC
1C1284	9/30/2001	8/10/2001	-	950	34.99	SIEBEL SYSTEMS INC
1C1285	1/31/2002	12/31/2002	-	14,199,616	1.00	FIDELITY SPARTAN
1C1285	1/31/2002	12/31/2002	8,041	-	1.00	FIDELITY SPARTAN
1C1285	1/31/2002	12/31/2002	14,250,000	-	99.59	U S TREASURY BILL
1C1285	8/31/2008	7/31/2008	-	3,540	1.00	FIDELITY SPARTAN
1C1289	9/30/2001	8/10/2001	-	1,000	64.00	EBAY INC
1C1289	9/30/2001	8/10/2001	-	950	34.99	SIEBEL SYSTEMS INC
1C1289	9/30/2001	8/10/2001	-	950	50.74	NOVELLUS SYSTEMS INC
1C1289	9/30/2001	8/31/2001	85,000	-	99.06	U S TREASURY BILL
1C1289	9/30/2001	8/31/2001	2,012	-	1.00	FIDELITY SPARTAN
1C1290	9/30/2001	8/10/2001	-	1,000	64.00	EBAY INC
1C1290	9/30/2001	8/10/2001	-	950	34.99	SIEBEL SYSTEMS INC
1C1290	9/30/2001	8/10/2001	-	950	50.74	NOVELLUS SYSTEMS INC
1C1290	9/30/2001	8/31/2001	2,012	-	1.00	FIDELITY SPARTAN
1C1290	9/30/2001	8/31/2001	85,000	-	99.06	U S TREASURY BILL
1C1291	9/30/2001	8/10/2001	-	950	50.74	NOVELLUS SYSTEMS INC
1C1291	9/30/2001	8/10/2001	-	950	34.99	SIEBEL SYSTEMS INC
1C1291	9/30/2001	8/10/2001	-	1,000	64.00	EBAY INC
1C1291	9/30/2001	8/31/2001	2,012	-	1.00	FIDELITY SPARTAN
1C1291	9/30/2001	8/31/2001	85,000	-	99.06	U S TREASURY BILL
1C1292	9/30/2001	8/8/2001	-	11,500	37.05	ABERCROMBIE & FITCH CO
1C1292	1/31/2001	12/20/2001	8,200	-	50.00	COMPUTER ASSOC INTL INC
1C1292	1/31/2001	12/29/2001	-	3,273	1.00	FIDELITY SPARTAN
1C1292	1/31/2001	12/29/2001	130,000	-	98.57	U S TREASURY BILL
1C1292	1/31/2001	12/29/2001	-	130,000	98.57	U S TREASURY BILL
1C1292	1/31/2001	12/29/2001	3,273	-	1.00	FIDELITY SPARTAN
1C1293	9/30/2001	8/10/2001	-	750	64.00	EBAY INC
1C1293	9/30/2001	8/10/2001	-	700	50.74	NOVELLUS SYSTEMS INC
1C1293	9/30/2001	8/10/2001	-	1,000	34.99	SIEBEL SYSTEMS INC
1C1293	9/30/2001	8/31/2001	50,000	-	99.06	U S TREASURY BILL
1C1293	9/30/2001	8/31/2001	4,198	-	1.00	FIDELITY SPARTAN
1C1294	9/30/2001	8/13/2001	-	900	57.49	BOEING CO
1C1294	2/28/2005	1/28/2005	1,775,000	-	98.91	U S TREASURY BILL
1C1294	2/28/2005	1/31/2005	15,407	-	1.00	FIDELITY SPARTAN
1C1302	9/30/2001	8/10/2001	-	4,600	68.38	QUALCOMM INC
1C1302	9/30/2001	8/10/2001	4,600	-	68.38	QUALCOMM INC
1C1302	2/28/2002	9/30/2001	267,431	-	-	FANNIE MAE
1C1302	6/30/2002	5/21/2002	37,000	-	26.80	APPLIED MATERIALS INC
1C1302	6/30/2002	5/21/2002	-	8,000	26.80	APPLIED MATERIALS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1C1303	9/30/2001	8/10/2001	-	4,600	68.38	QUALCOMM INC
1C1303	9/30/2001	8/10/2001	4,600	-	68.38	QUALCOMM INC
1C1303	6/30/2002	5/21/2002	37,000	-	26.80	APPLIED MATERIALS INC
1C1303	6/30/2002	5/21/2002	-	8,000	26.80	APPLIED MATERIALS INC
1C1304	9/30/2001	8/10/2001	3,067	-	68.38	QUALCOMM INC
1C1304	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1304	6/30/2002	5/21/2002	-	9,000	26.80	APPLIED MATERIALS INC
1C1304	6/30/2002	5/21/2002	33,500	-	26.80	APPLIED MATERIALS INC
1C1304	11/30/2003	10/1/2003	15,000	-	22.72	TEXAS INSTRUMENTS INC
1C1304	11/30/2003	10/1/2003	17,000	-	20.22	NETWORK APPLIANCE INC
1C1304	11/30/2003	10/1/2003	9,000	-	24.40	MEDCO HEALTH SOLUTIONS INC
1C1304	11/30/2003	10/1/2003	12,000	-	19.90	NETWORK APPLIANCE INC
1C1304	11/30/2003	10/1/2003	14,000	-	24.24	MEDCO HEALTH SOLUTIONS INC
1C1304	11/30/2003	10/1/2003	22,000	-	10.99	ADVANCED MICRO DEVICES
1C1304	11/30/2003	10/1/2003	25,000	-	9.69	SIEBEL SYSTEMS INC
1C1304	11/30/2003	10/1/2003	10,000	-	22.93	TEXAS INSTRUMENTS INC
1C1304	11/30/2003	10/1/2003	36,000	-	9.56	SIEBEL SYSTEMS INC
1C1304	11/30/2003	10/1/2003	30,000	-	11.17	ADVANCED MICRO DEVICES
1C1305	9/30/2001	8/10/2001	3,067	-	68.38	QUALCOMM INC
1C1305	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1305	6/30/2002	5/21/2002	33,500	-	26.80	APPLIED MATERIALS INC
1C1305	6/30/2002	5/21/2002	-	9,000	26.80	APPLIED MATERIALS INC
1C1306	9/30/2001	8/10/2001	3,066	-	68.38	QUALCOMM INC
1C1306	9/30/2001	8/10/2001	-	3,066	68.38	QUALCOMM INC
1C1306	6/30/2002	5/21/2002	33,500	-	26.80	APPLIED MATERIALS INC
1C1306	6/30/2002	5/21/2002	-	9,000	26.80	APPLIED MATERIALS INC
1C1307	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1307	9/30/2001	8/10/2001	3,067	-	68.38	QUALCOMM INC
1C1307	6/30/2002	5/21/2002	-	8,000	26.80	APPLIED MATERIALS INC
1C1307	6/30/2002	5/21/2002	32,000	-	26.80	APPLIED MATERIALS INC
1C1308	9/30/2001	8/10/2001	-	3,067	68.38	QUALCOMM INC
1C1308	9/30/2001	8/10/2001	3,067	-	68.38	QUALCOMM INC
1C1308	6/30/2002	5/21/2002	-	8,000	26.80	APPLIED MATERIALS INC
1C1308	6/30/2002	5/21/2002	32,000	-	26.80	APPLIED MATERIALS INC
1C1309	9/30/2001	8/10/2001	-	3,066	68.38	QUALCOMM INC
1C1309	9/30/2001	8/10/2001	3,066	-	68.38	QUALCOMM INC
1C1309	6/30/2002	5/21/2002	-	8,000	26.80	APPLIED MATERIALS INC
1C1309	6/30/2002	5/21/2002	32,000	-	26.80	APPLIED MATERIALS INC
1C1311	8/31/2002	7/31/2002	2,000,000	-	99.54	U S TREASURY BILL
1C1311	8/31/2002	7/31/2002	-	2,000,000	99.54	U S TREASURY BILL
1C1311	8/31/2002	7/31/2002	2,000,000	-	99.48	U S TREASURY BILL
1C1311	8/31/2002	7/31/2002	-	2,000,000	99.48	U S TREASURY BILL
1CM021	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM021	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM022	8/31/2002	7/31/2002	-	1,375,000	99.48	U S TREASURY BILL
1CM022	8/31/2002	7/31/2002	1,375,000	-	99.48	U S TREASURY BILL
1CM022	8/31/2002	7/31/2002	-	1,375,000	99.54	U S TREASURY BILL
1CM022	8/31/2002	7/31/2002	1,375,000	-	99.54	U S TREASURY BILL
1CM025	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM025	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM025	8/31/2002	7/31/2002	1,925,000	-	99.54	U S TREASURY BILL
1CM025	8/31/2002	7/31/2002	-	1,925,000	99.54	U S TREASURY BILL
1CM025	8/31/2002	7/31/2002	-	1,925,000	99.48	U S TREASURY BILL
1CM025	8/31/2002	7/31/2002	1,925,000	-	99.48	U S TREASURY BILL
1CM084	6/30/1999	5/26/1999	-	550,000	98.66	U S TREASURY BILL
1CM084	6/30/1999	5/26/1999	550,000	-	99.66	U S TREASURY BILL
1CM093	6/30/1999	5/26/1999	-	1,600,000	98.66	U S TREASURY BILL
1CM093	6/30/1999	5/26/1999	1,600,000	-	99.66	U S TREASURY BILL
1CM188	6/30/1999	5/26/1999	275,000	-	99.66	U S TREASURY BILL
1CM188	6/30/1999	5/26/1999	-	275,000	98.66	U S TREASURY BILL
1CM214	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1CM214	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL
1CM214	8/31/2002	7/31/2002	-	2,675,000	99.48	U S TREASURY BILL
1CM214	8/31/2002	7/31/2002	-	2,675,000	99.54	U S TREASURY BILL
1CM214	8/31/2002	7/31/2002	2,675,000	-	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1CM214	8/31/2002	7/31/2002	2,675,000	-	99.54	U S TREASURY BILL
1CM223	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL
1CM223	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1CM223	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1CM223	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1CM241	6/30/1999	5/26/1999	1,050,000	-	99.66	U S TREASURY BILL
1CM241	6/30/1999	5/26/1999	-	1,050,000	98.66	U S TREASURY BILL
1CM241	8/31/2002	7/31/2002	-	1,425,000	99.48	U S TREASURY BILL
1CM241	8/31/2002	7/31/2002	1,425,000	-	99.48	U S TREASURY BILL
1CM241	8/31/2002	7/31/2002	-	1,425,000	99.54	U S TREASURY BILL
1CM241	8/31/2002	7/31/2002	1,425,000	-	99.54	U S TREASURY BILL
1CM242	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM242	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM252	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1CM252	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1CM252	8/31/2002	7/31/2002	1,450,000	-	99.48	U S TREASURY BILL
1CM252	8/31/2002	7/31/2002	-	1,450,000	99.48	U S TREASURY BILL
1CM252	8/31/2002	7/31/2002	-	1,450,000	99.54	U S TREASURY BILL
1CM252	8/31/2002	7/31/2002	1,450,000	-	99.54	U S TREASURY BILL
1CM253	6/30/1999	5/26/1999	-	2,200,000	98.66	U S TREASURY BILL
1CM253	6/30/1999	5/26/1999	2,200,000	-	99.66	U S TREASURY BILL
1CM258	6/30/1999	5/26/1999	11,825,000	-	99.66	U S TREASURY BILL
1CM258	6/30/1999	5/26/1999	-	11,825,000	98.66	U S TREASURY BILL
1CM289	8/31/2002	7/31/2002	-	50,000	99.54	U S TREASURY BILL
1CM289	8/31/2002	7/31/2002	50,000	-	99.48	U S TREASURY BILL
1CM306	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM306	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM309	6/30/1999	5/26/1999	425,000	-	99.66	U S TREASURY BILL
1CM309	6/30/1999	5/26/1999	-	425,000	98.66	U S TREASURY BILL
1CM320	8/31/2002	7/31/2002	-	1,275,000	99.54	U S TREASURY BILL
1CM320	8/31/2002	7/31/2002	-	1,275,000	99.48	U S TREASURY BILL
1CM320	8/31/2002	7/31/2002	1,275,000	-	99.54	U S TREASURY BILL
1CM320	8/31/2002	7/31/2002	1,275,000	-	99.48	U S TREASURY BILL
1CM326	6/30/1999	5/26/1999	2,775,000	-	99.66	U S TREASURY BILL
1CM326	6/30/1999	5/26/1999	-	2,775,000	98.66	U S TREASURY BILL
1CM326	8/31/2002	7/31/2002	3,825,000	-	99.48	U S TREASURY BILL
1CM326	8/31/2002	7/31/2002	3,825,000	-	99.54	U S TREASURY BILL
1CM326	8/31/2002	7/31/2002	-	3,825,000	99.54	U S TREASURY BILL
1CM326	8/31/2002	7/31/2002	-	3,825,000	99.48	U S TREASURY BILL
1CM330	5/31/1998	4/3/1998	100,000	-	98.94	U S TREASURY BILL
1CM330	5/31/1998	4/3/1998	-	100,000	99.94	U S TREASURY BILL
1CM343	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM343	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM344	8/31/2002	7/31/2002	-	1,275,000	99.54	U S TREASURY BILL
1CM344	8/31/2002	7/31/2002	-	1,275,000	99.48	U S TREASURY BILL
1CM344	8/31/2002	7/31/2002	1,275,000	-	99.54	U S TREASURY BILL
1CM344	8/31/2002	7/31/2002	1,275,000	-	99.48	U S TREASURY BILL
1CM348	6/30/1999	5/26/1999	3,725,000	-	99.66	U S TREASURY BILL
1CM348	6/30/1999	5/26/1999	-	3,725,000	98.66	U S TREASURY BILL
1CM349	6/30/1999	5/26/1999	-	3,275,000	98.66	U S TREASURY BILL
1CM349	6/30/1999	5/26/1999	3,275,000	-	99.66	U S TREASURY BILL
1CM354	8/31/2002	7/31/2002	-	1,775,000	99.54	U S TREASURY BILL
1CM354	8/31/2002	7/31/2002	-	1,775,000	99.48	U S TREASURY BILL
1CM354	8/31/2002	7/31/2002	1,775,000	-	99.54	U S TREASURY BILL
1CM354	8/31/2002	7/31/2002	1,775,000	-	99.48	U S TREASURY BILL
1CM355	8/31/2002	7/31/2002	1,700,000	-	99.48	U S TREASURY BILL
1CM355	8/31/2002	7/31/2002	1,700,000	-	99.54	U S TREASURY BILL
1CM355	8/31/2002	7/31/2002	-	1,700,000	99.48	U S TREASURY BILL
1CM355	8/31/2002	7/31/2002	-	1,700,000	99.54	U S TREASURY BILL
1CM363	8/31/2002	7/31/2002	-	1,550,000	99.48	U S TREASURY BILL
1CM363	8/31/2002	7/31/2002	-	1,550,000	99.54	U S TREASURY BILL
1CM363	8/31/2002	7/31/2002	1,550,000	-	99.54	U S TREASURY BILL
1CM363	8/31/2002	7/31/2002	1,550,000	-	99.48	U S TREASURY BILL
1CM377	6/30/1999	5/26/1999	-	6,325,000	98.66	U S TREASURY BILL
1CM377	6/30/1999	5/26/1999	6,325,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1CM377	8/31/2002	7/31/2002	7,825,000	-	99.54	U S TREASURY BILL
1CM377	8/31/2002	7/31/2002	-	7,825,000	99.54	U S TREASURY BILL
1CM377	8/31/2002	7/31/2002	7,825,000	-	99.48	U S TREASURY BILL
1CM377	8/31/2002	7/31/2002	-	7,825,000	99.48	U S TREASURY BILL
1CM380	6/30/1999	5/26/1999	425,000	-	99.66	U S TREASURY BILL
1CM380	6/30/1999	5/26/1999	-	425,000	98.66	U S TREASURY BILL
1CM382	6/30/1999	5/26/1999	-	1,150,000	98.66	U S TREASURY BILL
1CM382	6/30/1999	5/26/1999	1,150,000	-	99.66	U S TREASURY BILL
1CM400	11/30/1999	10/29/1999	-	930,000	98.10	U S TREASURY BILL
1CM400	11/30/1999	10/29/1999	-	9,176	1.00	FIDELITY SPARTAN
1CM404	6/30/1999	5/26/1999	-	1,350,000	98.66	U S TREASURY BILL
1CM404	6/30/1999	5/26/1999	1,350,000	-	99.66	U S TREASURY BILL
1CM424	6/30/1999	5/26/1999	575,000	-	99.66	U S TREASURY BILL
1CM424	6/30/1999	5/26/1999	-	575,000	98.66	U S TREASURY BILL
1CM427	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL
1CM427	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1CM428	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM428	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM438	6/30/1999	5/26/1999	1,475,000	-	99.66	U S TREASURY BILL
1CM438	6/30/1999	5/26/1999	-	1,475,000	98.66	U S TREASURY BILL
1CM442	6/30/1999	5/26/1999	-	2,850,000	98.66	U S TREASURY BILL
1CM442	6/30/1999	5/26/1999	2,850,000	-	99.66	U S TREASURY BILL
1CM448	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM448	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM459	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1CM459	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1CM459	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL
1CM459	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1CM480	6/30/1999	5/26/1999	-	1,050,000	98.66	U S TREASURY BILL
1CM480	6/30/1999	5/26/1999	1,050,000	-	99.66	U S TREASURY BILL
1CM480	8/31/2002	7/31/2002	-	1,300,000	99.54	U S TREASURY BILL
1CM480	8/31/2002	7/31/2002	1,300,000	-	99.48	U S TREASURY BILL
1CM480	8/31/2002	7/31/2002	-	1,100,000	99.48	U S TREASURY BILL
1CM480	8/31/2002	7/31/2002	1,100,000	-	99.54	U S TREASURY BILL
1CM481	8/31/2002	7/31/2002	-	1,525,000	99.48	U S TREASURY BILL
1CM481	8/31/2002	7/31/2002	-	1,525,000	99.54	U S TREASURY BILL
1CM481	8/31/2002	7/31/2002	1,525,000	-	99.48	U S TREASURY BILL
1CM481	8/31/2002	7/31/2002	1,525,000	-	99.54	U S TREASURY BILL
1CM482	6/30/1999	5/26/1999	450,000	-	99.66	U S TREASURY BILL
1CM482	6/30/1999	5/26/1999	-	450,000	98.66	U S TREASURY BILL
1CM491	6/30/1999	5/26/1999	10,525,000	-	99.66	U S TREASURY BILL
1CM491	6/30/1999	5/26/1999	-	10,525,000	98.66	U S TREASURY BILL
1CM491	8/31/2002	7/31/2002	-	13,075,000	99.48	U S TREASURY BILL
1CM491	8/31/2002	7/31/2002	-	13,075,000	99.54	U S TREASURY BILL
1CM491	8/31/2002	7/31/2002	13,075,000	-	99.48	U S TREASURY BILL
1CM491	8/31/2002	7/31/2002	13,075,000	-	99.54	U S TREASURY BILL
1CM505	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1CM505	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1CM505	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1CM505	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1CM510	6/30/1999	5/26/1999	-	225,000	98.66	U S TREASURY BILL
1CM510	6/30/1999	5/26/1999	225,000	-	99.66	U S TREASURY BILL
1CM512	8/31/2002	7/31/2002	-	2,375,000	99.54	U S TREASURY BILL
1CM512	8/31/2002	7/31/2002	2,375,000	-	99.48	U S TREASURY BILL
1CM512	8/31/2002	7/31/2002	-	2,375,000	99.48	U S TREASURY BILL
1CM512	8/31/2002	7/31/2002	2,375,000	-	99.54	U S TREASURY BILL
1CM514	6/30/1999	5/26/1999	450,000	-	99.66	U S TREASURY BILL
1CM514	6/30/1999	5/26/1999	-	450,000	98.66	U S TREASURY BILL
1CM515	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM515	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM515	8/31/2002	7/31/2002	-	2,725,000	99.48	U S TREASURY BILL
1CM515	8/31/2002	7/31/2002	2,725,000	-	99.54	U S TREASURY BILL
1CM515	8/31/2002	7/31/2002	-	2,725,000	99.54	U S TREASURY BILL
1CM515	8/31/2002	7/31/2002	2,725,000	-	99.48	U S TREASURY BILL
1CM520	6/30/1999	5/26/1999	-	1,525,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1CM520	6/30/1999	5/26/1999	1,525,000	-	99.66	U S TREASURY BILL
1CM520	8/31/2002	7/31/2002	-	1,250,000	99.48	U S TREASURY BILL
1CM520	8/31/2002	7/31/2002	1,250,000	-	99.54	U S TREASURY BILL
1CM520	8/31/2002	7/31/2002	1,250,000	-	99.48	U S TREASURY BILL
1CM520	8/31/2002	7/31/2002	-	1,250,000	99.54	U S TREASURY BILL
1CM547	6/30/1999	5/26/1999	1,825,000	-	99.66	U S TREASURY BILL
1CM547	6/30/1999	5/26/1999	-	1,825,000	98.66	U S TREASURY BILL
1CM548	8/31/2002	7/31/2002	-	1,675,000	99.48	U S TREASURY BILL
1CM548	8/31/2002	7/31/2002	1,675,000	-	99.54	U S TREASURY BILL
1CM548	8/31/2002	7/31/2002	-	1,675,000	99.54	U S TREASURY BILL
1CM548	8/31/2002	7/31/2002	1,675,000	-	99.48	U S TREASURY BILL
1CM558	6/30/1999	5/26/1999	-	250,000	98.66	U S TREASURY BILL
1CM558	6/30/1999	5/26/1999	250,000	-	99.66	U S TREASURY BILL
1CM562	1/31/2001	12/29/2001	-	22,955	1.00	FIDELITY SPARTAN
1CM562	1/31/2001	12/29/2001	-	1,025,000	97.85	U S TREASURY BILL
1CM562	1/31/2001	12/29/2001	-	1,025,000	97.75	U S TREASURY BILL
1CM563	6/30/1999	5/26/1999	-	600,000	98.66	U S TREASURY BILL
1CM563	6/30/1999	5/26/1999	600,000	-	99.66	U S TREASURY BILL
1CM563	8/31/2002	7/31/2002	-	2,250,000	99.48	U S TREASURY BILL
1CM563	8/31/2002	7/31/2002	-	2,250,000	99.54	U S TREASURY BILL
1CM563	8/31/2002	7/31/2002	2,250,000	-	99.54	U S TREASURY BILL
1CM563	8/31/2002	7/31/2002	2,250,000	-	99.48	U S TREASURY BILL
1CM566	8/31/2002	7/31/2002	-	1,450,000	99.48	U S TREASURY BILL
1CM566	8/31/2002	7/31/2002	-	1,450,000	99.54	U S TREASURY BILL
1CM566	8/31/2002	7/31/2002	1,450,000	-	99.48	U S TREASURY BILL
1CM566	8/31/2002	7/31/2002	1,450,000	-	99.54	U S TREASURY BILL
1CM574	6/30/1999	5/26/1999	2,375,000	-	99.66	U S TREASURY BILL
1CM574	6/30/1999	5/26/1999	-	2,375,000	98.66	U S TREASURY BILL
1CM580	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1CM580	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1CM580	8/31/2002	7/31/2002	7,550,000	-	99.48	U S TREASURY BILL
1CM580	8/31/2002	7/31/2002	-	7,550,000	99.48	U S TREASURY BILL
1CM580	8/31/2002	7/31/2002	7,550,000	-	99.54	U S TREASURY BILL
1CM580	8/31/2002	7/31/2002	-	7,550,000	99.54	U S TREASURY BILL
1CM583	6/30/1999	5/26/1999	100,000	-	99.66	U S TREASURY BILL
1CM583	6/30/1999	5/26/1999	-	100,000	98.66	U S TREASURY BILL
1CM584	6/30/1999	5/26/1999	375,000	-	99.66	U S TREASURY BILL
1CM584	6/30/1999	5/26/1999	-	375,000	98.66	U S TREASURY BILL
1CM585	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1CM585	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL
1CM586	6/30/1999	5/26/1999	-	2,000,000	98.66	U S TREASURY BILL
1CM586	6/30/1999	5/26/1999	2,000,000	-	99.66	U S TREASURY BILL
1CM591	8/31/2002	7/31/2002	1,625,000	-	99.54	U S TREASURY BILL
1CM591	8/31/2002	7/31/2002	-	1,625,000	99.48	U S TREASURY BILL
1CM591	8/31/2002	7/31/2002	1,625,000	-	99.48	U S TREASURY BILL
1CM591	8/31/2002	7/31/2002	-	1,625,000	99.54	U S TREASURY BILL
1CM602	11/30/1999	10/29/1999	930,000	-	98.10	U S TREASURY BILL
1CM602	11/30/1999	10/29/1999	9,176	-	1.00	FIDELITY SPARTAN
1CM604	8/31/2002	7/31/2002	-	2,625,000	99.48	U S TREASURY BILL
1CM604	8/31/2002	7/31/2002	2,625,000	-	99.54	U S TREASURY BILL
1CM604	8/31/2002	7/31/2002	2,625,000	-	99.48	U S TREASURY BILL
1CM604	8/31/2002	7/31/2002	-	2,625,000	99.54	U S TREASURY BILL
1CM620	8/31/2002	7/31/2002	-	1,925,000	99.48	U S TREASURY BILL
1CM620	8/31/2002	7/31/2002	1,925,000	-	99.48	U S TREASURY BILL
1CM620	8/31/2002	7/31/2002	1,925,000	-	99.54	U S TREASURY BILL
1CM620	8/31/2002	7/31/2002	-	1,925,000	99.54	U S TREASURY BILL
1CM641	1/31/2001	12/29/2001	1,025,000	-	97.75	U S TREASURY BILL
1CM641	1/31/2001	12/29/2001	22,955	-	1.00	FIDELITY SPARTAN
1CM641	1/31/2001	12/29/2001	1,025,000	-	97.85	U S TREASURY BILL
1CM643	8/31/2002	7/31/2002	-	1,250,000	99.54	U S TREASURY BILL
1CM643	8/31/2002	7/31/2002	-	1,250,000	99.48	U S TREASURY BILL
1CM643	8/31/2002	7/31/2002	1,250,000	-	99.54	U S TREASURY BILL
1CM643	8/31/2002	7/31/2002	1,250,000	-	99.48	U S TREASURY BILL
1CM644	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1CM644	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1CM644	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1CM644	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1CM647	8/31/2002	7/31/2002	1,350,000	-	99.54	U S TREASURY BILL
1CM647	8/31/2002	7/31/2002	-	1,350,000	99.54	U S TREASURY BILL
1CM647	8/31/2002	7/31/2002	1,350,000	-	99.48	U S TREASURY BILL
1CM647	8/31/2002	7/31/2002	-	1,350,000	99.48	U S TREASURY BILL
1CM651	8/31/2002	7/31/2002	-	1,925,000	99.54	U S TREASURY BILL
1CM651	8/31/2002	7/31/2002	1,925,000	-	99.48	U S TREASURY BILL
1CM651	8/31/2002	7/31/2002	-	1,925,000	99.48	U S TREASURY BILL
1CM651	8/31/2002	7/31/2002	1,925,000	-	99.54	U S TREASURY BILL
1CM877	2/28/2006	11/22/2006	4,000,000	-	98.52	U S TREASURY BILL
1CM877	2/28/2006	11/22/2006	-	4,000,000	99.52	U S TREASURY BILL
1CM949	1/31/2006	11/22/2006	-	3,000,000	99.52	U S TREASURY BILL
1CM949	1/31/2006	11/22/2006	3,000,000	-	98.52	U S TREASURY BILL
1CM949	1/31/2006	11/22/2006	3,000,000	-	98.52	U S TREASURY BILL
1CM949	1/31/2006	11/22/2006	-	3,000,000	98.52	U S TREASURY BILL
1D0001	8/31/1999	7/16/1999	-	100,000	138.75	INTERNATIONAL BUSINESS MACHS
1D0001	2/29/2000	1/6/2000	-	40,000	42.06	NOVELL INC
1D0001	2/29/2000	1/6/2000	-	35,000	124.50	ORACLE CORPORATION
1D0001	2/29/2000	1/6/2000	-	65,000	124.56	ORACLE CORPORATION
1D0001	2/29/2000	1/6/2000	-	47,400	124.63	ORACLE CORPORATION
1D0001	2/29/2000	1/6/2000	-	54,000	42.13	NOVELL INC
1D0001	2/29/2000	1/7/2000	68,200	-	111.06	INTERNATIONAL BUSINESS MACHS
1D0001	2/29/2000	1/7/2000	-	12,600	82.75	CHEVRON CORP
1D0001	2/29/2000	1/10/2000	-	12,600	36.94	HALIBURTON CO
1D0001	2/29/2000	1/10/2000	54,000	-	32.38	NOVELL INC
1D0001	2/29/2000	1/10/2000	40,000	-	32.31	NOVELL INC
1D0001	2/29/2000	1/10/2000	47,400	-	98.25	ORACLE CORPORATION
1D0001	2/29/2000	1/10/2000	-	20,200	53.75	SCHLUMBERGER LTD
1D0001	2/29/2000	1/10/2000	65,000	-	98.38	ORACLE CORPORATION
1D0001	2/29/2000	1/10/2000	35,000	-	98.75	ORACLE CORPORATION
1D0001	2/29/2000	1/24/2000	-	13,190	75.25	ATLANTIC RICHFIELD CO
1D0001	2/29/2000	1/25/2000	-	68,200	124.50	INTERNATIONAL BUSINESS MACHS
1D0001	12/31/2001	1/18/2001	-	59,000	53.00	JDS UNIPHASE CORP
1D0001	12/31/2001	1/18/2001	-	75,000	53.13	JDS UNIPHASE CORP
1D0001	12/31/2001	1/18/2001	-	90,000	52.75	JDS UNIPHASE CORP
1D0001	12/31/2001	1/22/2001	-	80,000	34.88	NORTEL NETWORKS CORP HLDS CO
1D0001	12/31/2001	1/22/2001	-	70,000	18.75	LUCENT TECHNOLOGIES INC
1D0001	12/31/2001	1/22/2001	-	72,000	34.75	NORTEL NETWORKS CORP HLDS CO
1D0001	12/31/2001	1/22/2001	-	150,000	19.00	LUCENT TECHNOLOGIES INC
1D0001	12/31/2001	1/22/2001	-	85,000	18.90	LUCENT TECHNOLOGIES INC
1D0001	12/31/2001	1/26/2001	-	92,000	38.63	YAHOO INC
1D0001	12/31/2001	1/26/2001	-	65,000	38.50	YAHOO INC
1D0001	12/31/2001	1/26/2001	-	75,000	38.75	YAHOO INC
1D0001	12/31/2001	7/16/2001	-	79,000	39.82	AUTOZONE INC
1D0001	12/31/2001	7/16/2001	-	96,000	39.57	AUTOZONE INC
1D0001	12/31/2001	8/28/2001	-	60,000	10.62	ADAPTEC INC
1D0001	12/31/2001	8/28/2001	-	90,000	10.55	ADAPTEC INC
1D0001	12/31/2001	8/29/2001	-	95,000	10.70	ADAPTEC INC
1D0001	12/31/2001	9/24/2001	-	85,000	58.52	JOHNSON CTLS INC
1D0001	12/31/2001	9/25/2001	-	60,000	56.70	JOHNSON CTLS INC
1D0001	12/31/2001	9/26/2001	-	95,000	55.10	JOHNSON CTLS INC
1D0001	12/31/2001	10/1/2001	-	85,000	34.20	SYMANTEC CORP
1D0001	12/31/2001	10/1/2001	-	75,000	34.10	SYMANTEC CORP
1D0001	12/31/2001	10/2/2001	-	110,000	33.90	SYMANTEC CORP
1D0001	12/31/2001	10/4/2001	-	75,000	38.00	TRICON GLOBAL RESTAURANTS INC
1D0001	12/31/2001	10/5/2001	-	65,000	37.70	TRICON GLOBAL RESTAURANTS INC
1D0001	12/31/2001	11/8/2001	85,000	-	51.75	TRICON GLOBAL RESTAURANTS INC
1D0001	12/31/2001	11/9/2001	55,000	-	51.90	TRICON GLOBAL RESTAURANTS INC
1D0001	12/31/2001	11/16/2001	19,000	-	79.53	JOHNSON CTLS INC
1D0001	12/31/2001	11/16/2001	85,000	-	61.00	SYMANTEC CORP
1D0001	12/31/2001	11/16/2001	75,000	-	15.13	ADAPTEC INC
1D0001	12/31/2001	11/16/2001	90,000	-	61.33	SYMANTEC CORP
1D0001	12/31/2001	11/19/2001	80,000	-	67.50	AUTOZONE INC
1D0001	12/31/2001	11/19/2001	80,000	-	15.00	ADAPTEC INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0001	12/31/2001	11/19/2001	66,000	-	80.49	JOHNSON CTLS INC
1D0001	12/31/2001	11/19/2001	90,000	-	15.09	ADAPTEC INC
1D0001	12/31/2001	11/19/2001	95,000	-	61.52	SYMANTEC CORP
1D0001	12/31/2001	11/20/2001	60,000	-	81.60	JOHNSON CTLS INC
1D0001	12/31/2001	11/21/2001	45,000	-	80.75	JOHNSON CTLS INC
1D0001	12/31/2001	11/21/2001	50,000	-	80.70	JOHNSON CTLS INC
1D0001	12/31/2001	11/21/2001	95,000	-	66.22	AUTOZONE INC
1D0001	6/30/2002	5/31/2002	-	75,000	13.00	ADAPTEC INC
1D0001	8/31/2003	7/10/2003	-	125,000	28.22	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	95,000	-	28.35	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	-	35,000	28.19	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	-	110,000	28.30	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	35,000	-	28.19	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	110,000	-	28.30	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	125,000	-	28.22	BROADCOM CORP CL A
1D0001	8/31/2003	7/10/2003	-	95,000	28.35	BROADCOM CORP CL A
1D0001	12/31/2003	11/5/2003	50,000	-	29.57	PRICELINE COM INC
1D0001	12/31/2003	11/5/2003	28,000	-	29.81	PRICELINE COM INC
1D0001	12/31/2003	11/5/2003	100,000	-	29.99	PRICELINE COM INC
1D0001	12/31/2003	11/5/2003	-	100,000	29.99	PRICELINE COM INC
1D0001	12/31/2003	11/5/2003	50,000	-	29.57	PRICELINE COM INC
1D0001	12/31/2003	11/5/2003	-	28,000	29.81	PRICELINE COM INC
1D0001	12/31/2004	11/3/2004	15,000	-	45.01	FOREST LABS INC
1D0001	12/31/2004	11/30/2004	-	15,000	37.79	FOREST LABS INC
1D0001	11/30/2005	10/4/2005	-	68,000	60.58	EXPRESS SCRIPTS INC CL A
1D0001	8/31/2007	5/2/2007	75,000	-	102.85	UNITED STATES STEEL CORP
1D0001	8/31/2007	5/2/2007	100,000	-	102.77	UNITED STATES STEEL CORP
1D0001	3/31/2008	2/5/2008	30,000	-	81.88	DEVON ENERGY CORP NEW
1D0001	3/31/2008	2/5/2008	-	85,000	78.51	AMAZON COM INC
1D0001	3/31/2008	2/5/2008	-	89,000	78.58	AMAZON COM INC
1D0001	11/30/2008	10/1/2008	90,000	-	120.98	SPDR TRUST SER 1 UNITS
1D0001	11/30/2008	10/1/2008	-	90,000	120.98	SPDR TRUST SER 1 UNITS
1D0001	11/30/2008	10/1/2008	-	95,000	120.42	SPDR TRUST SER 1 UNITS
1D0001	11/30/2008	10/1/2008	95,000	-	120.42	SPDR TRUST SER 1 UNITS
1D0010	8/31/1996	7/1/1996	115,000	-	19.13	STAPLES INC
1D0010	8/31/1996	7/2/1996	50,000	-	49.13	SEARS ROEBUCK & CO
1D0010	8/31/1996	7/2/1996	132,000	-	13.63	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/3/1996	30,000	-	45.25	AMERICAN EXPRESS COMPANY
1D0010	8/31/1996	7/3/1996	125,000	-	19.25	STAPLES INC
1D0010	8/31/1996	7/3/1996	100,000	-	14.38	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/3/1996	94,000	-	14.25	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/3/1996	115,000	-	45.25	AMERICAN EXPRESS COMPANY
1D0010	8/31/1996	7/3/1996	123,150	-	37.13	INTEL CORP
1D0010	8/31/1996	7/3/1996	33,000	-	29.38	PROMUS HOTEL CORP
1D0010	8/31/1996	7/3/1996	51,000	-	45.25	AMERICAN EXPRESS COMPANY
1D0010	8/31/1996	7/5/1996	66,000	-	14.38	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/5/1996	45,998	-	45.13	AMERICAN EXPRESS COMPANY
1D0010	8/31/1996	7/5/1996	90,000	-	75.13	INTEL CORP
1D0010	8/31/1996	7/5/1996	67,000	-	45.13	AMERICAN EXPRESS COMPANY
1D0010	8/31/1996	7/5/1996	18,000	-	29.38	PROMUS HOTEL CORP
1D0010	8/31/1996	7/5/1996	45,000	-	75.13	INTEL CORP
1D0010	8/31/1996	7/5/1996	100,000	-	14.50	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/5/1996	80,000	-	38.00	INTEL CORP
1D0010	8/31/1996	7/5/1996	19,515	-	57.50	DEAN WITTER DISCOVER & CO
1D0010	8/31/1996	7/5/1996	102,000	-	38.00	INTEL CORP
1D0010	8/31/1996	7/8/1996	22,000	-	29.75	PROMUS HOTEL CORP
1D0010	8/31/1996	7/8/1996	106,150	-	38.75	INTEL CORP
1D0010	8/31/1996	7/8/1996	111,000	-	14.00	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/8/1996	100,000	-	19.50	STAPLES INC
1D0010	8/31/1996	7/8/1996	137,000	-	75.75	INTEL CORP
1D0010	8/31/1996	7/8/1996	12,000	-	42.88	MILLIPORE CORP
1D0010	8/31/1996	7/8/1996	73,625	-	19.50	STAPLES INC
1D0010	8/31/1996	7/8/1996	150,000	-	38.75	INTEL CORP
1D0010	8/31/1996	7/8/1996	46,351	-	46.38	ALLSTATE
1D0010	8/31/1996	7/8/1996	96,000	-	19.50	STAPLES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0010	8/31/1996	7/9/1996	134,000	-	13.88	VLSI TECHNOLOGY INC
1D0010	8/31/1996	7/9/1996	136,000	-	37.63	INTEL CORP
1D0010	8/31/1996	7/9/1996	4,000	-	42.63	MILLIPORE CORP
1D0010	8/31/1996	7/9/1996	3,500	-	29.38	PROMUS HOTEL CORP
1D0010	8/31/1996	7/11/1996	88,000	-	38.75	INTEL CORP
1D0010	8/31/1996	7/11/1996	150,000	-	37.00	INTEL CORP
1D0010	8/31/1996	7/12/1996	150,000	-	37.00	INTEL CORP
1D0010	8/31/1996	7/12/1996	46,000	-	42.63	MILLIPORE CORP
1D0010	8/31/1996	7/12/1996	108,000	-	37.00	INTEL CORP
1D0010	8/31/1996	7/15/1996	38,000	-	42.75	MILLIPORE CORP
1D0010	8/31/1996	7/22/1996	135,000	-	37.75	INTEL CORP
1D0010	8/31/1996	7/22/1996	85,000	-	37.88	INTEL CORP
1D0010	8/31/1996	7/22/1996	144,200	-	37.75	INTEL CORP
1D0010	8/31/1996	7/22/1996	147,500	-	37.88	INTEL CORP
1D0010	8/31/1996	7/22/1996	113,000	-	37.75	INTEL CORP
1D0010	8/31/1996	7/22/1996	130,000	-	37.75	INTEL CORP
1D0010	8/31/1996	7/22/1996	118,000	-	37.75	INTEL CORP
1D0010	8/31/1996	7/22/1996	126,000	-	37.63	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	284,000	32.61	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	315,000	33.00	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	216,000	33.03	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	321,000	32.94	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	325,000	32.82	INTEL CORP
1D0010	2/28/2003	3/8/2003	-	339,000	32.77	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	245,000	32.47	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	310,000	32.79	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	299,000	32.50	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	306,000	32.61	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	318,000	32.74	INTEL CORP
1D0010	2/28/2003	3/11/2003	-	322,000	32.68	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	274,000	33.34	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	324,000	33.40	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	200,000	33.20	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	302,000	33.15	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	338,000	33.18	INTEL CORP
1D0010	2/28/2003	3/12/2003	-	362,000	33.29	INTEL CORP
1D0011	8/31/1996	7/1/1996	150,000	-	121.63	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	75,000	-	121.75	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	50,000	-	121.63	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	90,000	-	121.75	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	97,000	-	19.13	STAPLES INC
1D0011	8/31/1996	7/1/1996	121,000	-	61.25	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/1/1996	125,000	-	121.75	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	150,000	-	13.50	NOVELL INC
1D0011	8/31/1996	7/1/1996	150,000	-	121.63	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	100,000	-	13.38	NOVELL INC
1D0011	8/31/1996	7/1/1996	70,000	-	32.38	INCO LTD
1D0011	8/31/1996	7/1/1996	143,000	-	49.13	JOHNSON & JOHNSON
1D0011	8/31/1996	7/1/1996	58,000	-	49.13	JOHNSON & JOHNSON
1D0011	8/31/1996	7/1/1996	125,000	-	121.75	MICROSOFT CORP
1D0011	8/31/1996	7/1/1996	134,000	-	19.13	STAPLES INC
1D0011	8/31/1996	7/1/1996	150,000	-	13.50	NOVELL INC
1D0011	8/31/1996	7/2/1996	65,000	-	32.00	INCO LTD
1D0011	8/31/1996	7/2/1996	78,000	-	26.50	LAM RESEARCH CORP
1D0011	8/31/1996	7/2/1996	150,000	-	13.50	NOVELL INC
1D0011	8/31/1996	7/2/1996	10,000	-	39.25	ORACLE CORPORATION
1D0011	8/31/1996	7/2/1996	31,000	-	49.25	JOHNSON & JOHNSON
1D0011	8/31/1996	7/2/1996	36,000	-	61.25	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/2/1996	62,000	-	13.50	NOVELL INC
1D0011	8/31/1996	7/2/1996	70,000	-	18.75	STAPLES INC
1D0011	8/31/1996	7/2/1996	74,000	-	61.25	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/2/1996	112,000	-	39.25	ORACLE CORPORATION
1D0011	8/31/1996	7/2/1996	80,000	-	25.13	OCCIDENTAL PETROLEUM CORP
1D0011	8/31/1996	7/2/1996	121,000	-	39.25	ORACLE CORPORATION
1D0011	8/31/1996	7/2/1996	131,000	-	18.75	STAPLES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0011	8/31/1996	7/2/1996	17,000	-	34.25	LIZ CLAIBORNE INC
1D0011	8/31/1996	7/2/1996	85,000	-	61.25	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/2/1996	105,000	-	39.25	ORACLE CORPORATION
1D0011	8/31/1996	7/2/1996	125,000	-	25.13	OCCIDENTAL PETROLEUM CORP
1D0011	8/31/1996	7/2/1996	115,000	-	49.25	JOHNSON & JOHNSON
1D0011	8/31/1996	7/2/1996	73,200	-	26.50	LAM RESEARCH CORP
1D0011	8/31/1996	7/2/1996	150,000	-	49.25	JOHNSON & JOHNSON
1D0011	8/31/1996	7/2/1996	150,000	-	13.38	NOVELL INC
1D0011	8/31/1996	7/3/1996	57,400	-	83.00	CITICORP
1D0011	8/31/1996	7/3/1996	135,000	-	40.13	ORACLE CORPORATION
1D0011	8/31/1996	7/3/1996	150,000	-	40.13	ORACLE CORPORATION
1D0011	8/31/1996	7/3/1996	70,000	-	31.13	CENTOCOR INC
1D0011	8/31/1996	7/3/1996	93,000	-	71.13	THE CHASE MANHATTAN CORP NEW
1D0011	8/31/1996	7/3/1996	118,000	-	31.00	CENTOCOR INC
1D0011	8/31/1996	7/3/1996	58,000	-	34.63	LIZ CLAIBORNE INC
1D0011	8/31/1996	7/3/1996	150,000	-	13.75	NOVELL INC
1D0011	8/31/1996	7/3/1996	4,000	-	13.63	NOVELL INC
1D0011	8/31/1996	7/3/1996	100,000	-	19.25	STAPLES INC
1D0011	8/31/1996	7/3/1996	115,000	-	35.50	PEPSICO INC
1D0011	8/31/1996	7/3/1996	150,000	-	13.63	NOVELL INC
1D0011	8/31/1996	7/3/1996	150,000	-	27.25	LAM RESEARCH CORP
1D0011	8/31/1996	7/3/1996	44,000	-	31.00	CENTOCOR INC
1D0011	8/31/1996	7/3/1996	125,000	-	35.63	PEPSICO INC
1D0011	8/31/1996	7/3/1996	127,000	-	40.13	ORACLE CORPORATION
1D0011	8/31/1996	7/3/1996	133,000	-	44.75	GTE CORP
1D0011	8/31/1996	7/3/1996	135,000	-	13.75	NOVELL INC
1D0011	8/31/1996	7/3/1996	140,000	-	40.13	ORACLE CORPORATION
1D0011	8/31/1996	7/5/1996	91,000	-	49.50	JOHNSON & JOHNSON
1D0011	8/31/1996	7/5/1996	112,000	-	60.75	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/5/1996	125,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/5/1996	126,000	-	60.75	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/5/1996	150,000	-	13.88	NOVELL INC
1D0011	8/31/1996	7/5/1996	68,000	-	62.13	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/5/1996	107,000	-	60.75	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/5/1996	120,000	-	60.75	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/5/1996	136,000	-	27.25	LAM RESEARCH CORP
1D0011	8/31/1996	7/5/1996	150,000	-	19.50	STAPLES INC
1D0011	8/31/1996	7/5/1996	30,700	-	44.75	GTE CORP
1D0011	8/31/1996	7/5/1996	60,000	-	60.63	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/5/1996	125,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/5/1996	80,400	-	75.13	INTEL CORP
1D0011	8/31/1996	7/5/1996	135,000	-	13.88	NOVELL INC
1D0011	8/31/1996	7/5/1996	10,000	-	19.50	STAPLES INC
1D0011	8/31/1996	7/5/1996	100,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/5/1996	150,000	-	14.00	NOVELL INC
1D0011	8/31/1996	7/5/1996	150,000	-	49.50	JOHNSON & JOHNSON
1D0011	8/31/1996	7/5/1996	100,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/5/1996	25,000	-	34.38	LIZ CLAIBORNE INC
1D0011	8/31/1996	7/5/1996	65,600	-	42.88	BELLSOUTH CORP
1D0011	8/31/1996	7/5/1996	150,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/8/1996	60,600	-	35.63	PEPSICO INC
1D0011	8/31/1996	7/8/1996	76,000	-	62.00	EASTMAN CHEMICAL CO
1D0011	8/31/1996	7/8/1996	91,000	-	60.25	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/8/1996	108,000	-	75.75	INTEL CORP
1D0011	8/31/1996	7/8/1996	50,000	-	49.75	JOHNSON & JOHNSON
1D0011	8/31/1996	7/8/1996	78,000	-	37.88	BOISE CASCADE CORP
1D0011	8/31/1996	7/8/1996	87,376	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0011	8/31/1996	7/8/1996	37,000	-	13.88	NOVELL INC
1D0011	8/31/1996	7/8/1996	90,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/8/1996	96,800	-	66.75	BELL ATLANTIC CORP
1D0011	8/31/1996	7/8/1996	150,000	-	13.88	NOVELL INC
1D0011	8/31/1996	7/8/1996	51,000	-	25.00	OCCIDENTAL PETROLEUM CORP
1D0011	8/31/1996	7/8/1996	150,000	-	13.63	NOVELL INC
1D0011	8/31/1996	7/8/1996	100,000	-	122.38	MICROSOFT CORP
1D0011	8/31/1996	7/8/1996	102,000	-	27.25	LAM RESEARCH CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0011	8/31/1996	7/8/1996	150,000	-	49.75	JOHNSON & JOHNSON
1D0011	8/31/1996	7/8/1996	150,000	-	122.38	MICROSOFT CORP
1D0011	8/31/1996	7/8/1996	44,000	-	60.25	SUN MICROSYSTEMS INC
1D0011	8/31/1996	7/8/1996	117,000	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0011	8/31/1996	7/8/1996	150,000	-	122.25	MICROSOFT CORP
1D0011	8/31/1996	7/9/1996	83,000	-	13.75	NOVELL INC
1D0011	8/31/1996	7/9/1996	125,000	-	122.63	MICROSOFT CORP
1D0011	8/31/1996	7/9/1996	4,000	-	109.13	NIKE INC
1D0011	8/31/1996	7/9/1996	135,000	-	43.13	BELLSOUTH CORP
1D0011	8/31/1996	7/9/1996	30,000	-	49.50	JOHNSON & JOHNSON
1D0011	8/31/1996	7/9/1996	74,000	-	19.00	STAPLES INC
1D0011	8/31/1996	7/9/1996	120,000	-	83.13	CITICORP
1D0011	8/31/1996	7/9/1996	70,000	-	25.63	OCCIDENTAL PETROLEUM CORP
1D0011	8/31/1996	7/9/1996	149,000	-	58.13	WARNER LAMBERT CO
1D0011	8/31/1996	7/9/1996	150,000	-	122.63	MICROSOFT CORP
1D0011	8/31/1996	7/9/1996	39,450	-	26.50	LAM RESEARCH CORP
1D0011	8/31/1996	7/9/1996	120,000	-	13.75	NOVELL INC
1D0011	8/31/1996	7/9/1996	151,000	-	109.25	NIKE INC
1D0011	8/31/1996	7/9/1996	85,000	-	58.00	WARNER LAMBERT CO
1D0011	8/31/1996	7/9/1996	130,600	-	48.13	NYNEX CORP
1D0011	8/31/1996	7/9/1996	150,000	-	66.63	MERCK & CO
1D0011	8/31/1996	7/9/1996	83,000	-	26.50	LAM RESEARCH CORP
1D0011	8/31/1996	7/9/1996	100,000	-	122.63	MICROSOFT CORP
1D0011	8/31/1996	7/9/1996	120,000	-	13.63	NOVELL INC
1D0011	8/31/1996	7/9/1996	136,000	-	71.38	THE CHASE MANHATTAN CORP NEW
1D0011	8/31/1996	7/9/1996	150,000	-	66.63	MERCK & CO
1D0011	8/31/1996	7/9/1996	91,000	-	32.13	INCO LTD
1D0011	8/31/1996	7/10/1996	23,000	-	43.00	BELLSOUTH CORP
1D0011	8/31/1996	7/10/1996	90,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/10/1996	100,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/10/1996	52,000	-	57.25	WARNER LAMBERT CO
1D0011	8/31/1996	7/10/1996	130,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/10/1996	49,000	-	25.13	OCCIDENTAL PETROLEUM CORP
1D0011	8/31/1996	7/10/1996	75,000	-	49.25	JOHNSON & JOHNSON
1D0011	8/31/1996	7/10/1996	85,000	-	43.00	BELLSOUTH CORP
1D0011	8/31/1996	7/10/1996	125,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/10/1996	107,000	-	49.25	JOHNSON & JOHNSON
1D0011	8/31/1996	7/10/1996	150,000	-	13.13	NOVELL INC
1D0011	8/31/1996	7/11/1996	34,625	-	18.75	STAPLES INC
1D0011	8/31/1996	7/11/1996	67,000	-	18.75	STAPLES INC
1D0011	8/31/1996	7/11/1996	33,350	-	26.50	LAM RESEARCH CORP
1D0011	8/31/1996	7/11/1996	120,000	-	13.13	NOVELL INC
1D0011	8/31/1996	7/11/1996	125,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/11/1996	58,000	-	108.50	NIKE INC
1D0011	8/31/1996	7/12/1996	75,000	-	110.13	NIKE INC
1D0011	8/31/1996	7/12/1996	150,000	-	13.13	NOVELL INC
1D0011	8/31/1996	7/12/1996	110,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/12/1996	125,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/12/1996	125,000	-	121.13	MICROSOFT CORP
1D0011	8/31/1996	7/12/1996	125,000	-	121.13	MICROSOFT CORP
1D0011	8/31/1996	7/12/1996	128,000	-	18.75	STAPLES INC
1D0011	8/31/1996	7/12/1996	150,000	-	121.13	MICROSOFT CORP
1D0011	8/31/1996	7/12/1996	151,000	-	110.25	NIKE INC
1D0011	8/31/1996	7/15/1996	101,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/15/1996	122,000	-	57.75	WARNER LAMBERT CO
1D0011	8/31/1996	7/15/1996	140,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/15/1996	49,000	-	32.38	INCO LTD
1D0011	8/31/1996	7/15/1996	81,000	-	109.00	NIKE INC
1D0011	8/31/1996	7/15/1996	101,000	-	109.00	NIKE INC
1D0011	8/31/1996	7/15/1996	150,000	-	12.88	NOVELL INC
1D0011	8/31/1996	7/15/1996	19,000	-	109.00	NIKE INC
1D0011	8/31/1996	7/15/1996	57,000	-	37.50	BOISE CASCADE CORP
1D0011	8/31/1996	7/16/1996	125,000	-	13.00	NOVELL INC
1D0011	8/31/1996	7/16/1996	53,000	-	57.75	WARNER LAMBERT CO
1D0011	8/31/1996	7/16/1996	55,000	-	37.50	BOISE CASCADE CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0011	8/31/1996	7/16/1996	125,000	-	12.88	NOVELL INC
1D0011	8/31/1996	7/16/1996	150,000	-	12.88	NOVELL INC
1D0011	8/31/1996	7/16/1996	60,000	-	37.50	BOISE CASCADE CORP
1D0011	8/31/1996	7/16/1996	75,000	-	57.75	WARNER LAMBERT CO
1D0011	8/31/1996	7/16/1996	100,000	-	26.13	MCI COMMUNICATIONS CORP
1D0011	8/31/1996	7/16/1996	117,900	-	26.00	MCI COMMUNICATIONS CORP
1D0011	8/31/1996	7/16/1996	129,000	-	12.75	NOVELL INC
1D0011	8/31/1996	7/17/1996	25,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	42,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	76,500	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	63,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	88,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	76,500	-	12.38	NOVELL INC
1D0011	8/31/1996	7/17/1996	21,000	-	12.38	NOVELL INC
1D0011	8/31/1996	7/17/1996	21,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/17/1996	49,000	-	12.50	NOVELL INC
1D0011	8/31/1996	7/24/1996	100,000	-	121.25	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	125,000	-	121.25	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	100,000	-	121.25	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	150,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	150,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	150,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	75,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	125,000	-	121.25	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	150,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/24/1996	150,000	-	121.38	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	125,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	140,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	50,000	-	120.75	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	75,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	75,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	90,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	100,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	125,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	50,000	-	120.75	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	100,000	-	121.00	MICROSOFT CORP
1D0011	8/31/1996	7/26/1996	93,200	-	121.00	MICROSOFT CORP
1D0011	12/31/2002	1/10/2002	-	82,000	14.12	SUN MICROSYSTEMS INC
1D0011	12/31/2002	1/10/2002	-	75,000	13.95	SUN MICROSYSTEMS INC
1D0011	12/31/2002	1/10/2002	-	86,000	14.00	SUN MICROSYSTEMS INC
1D0011	12/31/2005	1/12/2005	125,000	-	38.81	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/12/2005	75,000	-	39.01	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/12/2005	90,000	-	38.96	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/13/2005	85,000	-	39.12	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/14/2005	75,000	-	39.49	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/14/2005	25,000	-	39.41	DIAMOND OFFSHORE DRILLING
1D0011	12/31/2005	1/18/2005	30,000	-	194.88	GOOGLE
1D0011	12/31/2005	1/18/2005	50,000	-	195.09	GOOGLE
1D0011	12/31/2005	1/19/2005	70,000	-	42.11	BURLINGTON RESOURCES INC
1D0011	12/31/2005	1/19/2005	126,000	-	42.06	BURLINGTON RESOURCES INC
1D0011	12/31/2005	1/19/2005	27,000	-	195.02	GOOGLE
1D0011	12/31/2005	1/19/2005	55,000	-	195.50	GOOGLE
1D0011	12/31/2005	1/19/2005	110,000	-	41.99	BURLINGTON RESOURCES INC
1D0011	12/31/2005	1/20/2005	70,000	-	196.08	GOOGLE
1D0017	2/28/2003	6/15/2003	9,920	-	1.00	FIDELITY SPARTAN
1D0026	6/30/1999	5/26/1999	-	31,775,000	98.66	U S TREASURY BILL
1D0026	6/30/1999	5/26/1999	31,775,000	-	99.66	U S TREASURY BILL
1D0026	8/31/2002	7/31/2002	-	47,000,000	99.54	U S TREASURY BILL
1D0026	8/31/2002	7/31/2002	47,000,000	-	99.54	U S TREASURY BILL
1D0026	8/31/2002	7/31/2002	-	47,000,000	99.48	U S TREASURY BILL
1D0026	8/31/2002	7/31/2002	47,000,000	-	99.48	U S TREASURY BILL
1D0030	8/31/1996	7/1/1996	123,000	-	70.63	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/1/1996	144,000	-	82.25	CITICORP
1D0030	8/31/1996	7/1/1996	138,000	-	70.63	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/1/1996	27,000	-	55.00	HOME DEPOT INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0030	8/31/1996	7/1/1996	101,000	-	55.00	HOME DEPOT INC
1D0030	8/31/1996	7/1/1996	150,000	-	55.00	HOME DEPOT INC
1D0030	8/31/1996	7/2/1996	102,000	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/2/1996	95,000	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/2/1996	132,000	-	83.13	CITICORP
1D0030	8/31/1996	7/2/1996	147,000	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/3/1996	150,000	-	83.00	CITICORP
1D0030	8/31/1996	7/3/1996	25,000	-	54.63	HOME DEPOT INC
1D0030	8/31/1996	7/3/1996	32,000	-	54.63	HOME DEPOT INC
1D0030	8/31/1996	7/3/1996	87,600	-	35.63	PEPSICO INC
1D0030	8/31/1996	7/3/1996	150,000	-	71.13	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/3/1996	87,400	-	71.13	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/5/1996	139,000	-	75.13	INTEL CORP
1D0030	8/31/1996	7/5/1996	122,000	-	75.13	INTEL CORP
1D0030	8/31/1996	7/5/1996	126,000	-	71.50	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/5/1996	60,400	-	42.88	BELLSOUTH CORP
1D0030	8/31/1996	7/5/1996	47,700	-	44.75	GTE CORP
1D0030	8/31/1996	7/5/1996	150,000	-	75.13	INTEL CORP
1D0030	8/31/1996	7/5/1996	78,000	-	83.13	CITICORP
1D0030	8/31/1996	7/5/1996	116,000	-	83.13	CITICORP
1D0030	8/31/1996	7/8/1996	98,000	-	60.13	SUN MICROSYSTEMS INC
1D0030	8/31/1996	7/8/1996	147,000	-	75.75	INTEL CORP
1D0030	8/31/1996	7/8/1996	150,000	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/8/1996	34,000	-	83.00	CITICORP
1D0030	8/31/1996	7/8/1996	116,000	-	60.13	SUN MICROSYSTEMS INC
1D0030	8/31/1996	7/8/1996	55,000	-	60.13	SUN MICROSYSTEMS INC
1D0030	8/31/1996	7/8/1996	108,000	-	75.75	INTEL CORP
1D0030	8/31/1996	7/8/1996	142,000	-	60.13	SUN MICROSYSTEMS INC
1D0030	8/31/1996	7/8/1996	57,092	-	71.00	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/8/1996	150,000	-	75.75	INTEL CORP
1D0030	8/31/1996	7/8/1996	109,000	-	60.13	SUN MICROSYSTEMS INC
1D0030	8/31/1996	7/9/1996	143,000	-	55.25	HOME DEPOT INC
1D0030	8/31/1996	7/9/1996	28,200	-	63.75	BELL ATLANTIC CORP
1D0030	8/31/1996	7/9/1996	47,200	-	122.63	MICROSOFT CORP
1D0030	8/31/1996	7/9/1996	55,000	-	55.25	HOME DEPOT INC
1D0030	8/31/1996	7/9/1996	67,000	-	55.25	HOME DEPOT INC
1D0030	8/31/1996	7/9/1996	40,600	-	59.38	AMERITECH CORP
1D0030	8/31/1996	7/10/1996	86,000	-	74.63	INTEL CORP
1D0030	8/31/1996	7/10/1996	38,000	-	47.75	NYNEX CORP
1D0030	8/31/1996	7/10/1996	118,000	-	69.75	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/10/1996	46,000	-	69.75	THE CHASE MANHATTAN CORP NEW
1D0030	8/31/1996	7/10/1996	79,000	-	74.63	INTEL CORP
1D0030	8/31/1996	7/10/1996	97,000	-	74.63	INTEL CORP
1D0030	8/31/1996	7/10/1996	81,000	-	74.63	INTEL CORP
1D0030	8/31/1996	7/11/1996	40,000	-	74.25	INTEL CORP
1D0030	8/31/1996	7/11/1996	56,000	-	74.25	INTEL CORP
1D0030	8/31/1996	7/11/1996	100,000	-	108.50	NIKE INC
1D0030	8/31/1996	7/12/1996	150,000	-	110.25	NIKE INC
1D0030	8/31/1996	7/15/1996	10,000	-	109.00	NIKE INC
1D0030	8/31/1996	7/23/1996	140,000	-	82.13	CITICORP
1D0030	8/31/1996	7/25/1996	82,450	-	82.13	CITICORP
1D0030	8/31/1996	7/25/1996	42,450	-	82.13	CITICORP
1D0030	8/31/1996	7/25/1996	126,000	-	82.13	CITICORP
1D0030	8/31/1996	7/26/1996	93,000	-	81.88	CITICORP
1D0030	8/31/1996	7/26/1996	101,000	-	81.88	CITICORP
1D0030	7/31/2000	6/7/2000	-	100,000	70.00	EBAY INC
1D0030	7/31/2000	6/7/2000	-	220,000	69.81	EBAY INC
1D0030	7/31/2000	6/7/2000	-	175,000	69.75	EBAY INC
1D0030	7/31/2000	6/7/2000	-	145,000	69.88	EBAY INC
1D0030	7/31/2000	6/7/2000	-	125,000	69.94	EBAY INC
1D0030	7/31/2000	6/15/2000	220,000	-	69.81	EBAY INC
1D0030	7/31/2000	6/15/2000	100,000	-	70.00	EBAY INC
1D0030	7/31/2000	6/15/2000	125,000	-	69.94	EBAY INC
1D0030	7/31/2000	6/15/2000	175,000	-	69.75	EBAY INC
1D0030	7/31/2000	6/15/2000	145,000	-	69.88	EBAY INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0030	12/31/2002	1/9/2002	-	558,000	36.17	INTEL CORP
1D0030	12/31/2002	1/9/2002	-	462,000	36.11	INTEL CORP
1D0030	12/31/2002	1/9/2002	-	542,000	36.21	INTEL CORP
1D0030	12/31/2002	1/9/2002	-	438,000	36.07	INTEL CORP
1D0030	12/31/2002	1/10/2002	-	453,000	36.07	INTEL CORP
1D0030	12/31/2002	1/10/2002	-	547,000	36.12	INTEL CORP
1D0030	12/31/2002	1/11/2002	-	540,000	35.70	INTEL CORP
1D0030	12/31/2002	1/11/2002	-	460,000	35.61	INTEL CORP
1D0030	12/31/2002	1/14/2002	-	427,000	36.36	INTEL CORP
1D0030	12/31/2002	1/14/2002	-	511,000	36.57	INTEL CORP
1D0030	12/31/2002	1/14/2002	-	573,000	36.48	INTEL CORP
1D0030	12/31/2002	1/14/2002	-	489,000	36.51	INTEL CORP
1D0030	12/31/2002	1/15/2002	-	203,200	35.21	INTEL CORP
1D0030	12/31/2002	1/15/2002	-	570,000	35.13	INTEL CORP
1D0030	12/31/2002	1/15/2002	-	490,000	35.18	INTEL CORP
1D0030	12/31/2002	1/15/2002	-	430,000	35.08	INTEL CORP
1D0030	12/31/2002	1/16/2002	-	538,000	34.92	INTEL CORP
1D0030	12/31/2002	1/16/2002	-	502,000	34.84	INTEL CORP
1D0030	12/31/2002	1/16/2002	-	517,000	34.89	INTEL CORP
1D0030	12/31/2002	1/16/2002	-	481,000	34.77	INTEL CORP
1D0030	12/31/2002	1/17/2002	-	308,800	34.99	INTEL CORP
1D0030	12/31/2002	1/29/2002	-	297,000	48.91	HOME DEPOT INC
1D0030	12/31/2002	1/29/2002	-	300,000	49.00	HOME DEPOT INC
1D0030	12/31/2002	1/30/2002	-	265,000	47.13	HOME DEPOT INC
1D0030	12/31/2002	1/30/2002	-	299,000	47.30	HOME DEPOT INC
1D0030	12/31/2002	1/30/2002	-	280,000	47.09	HOME DEPOT INC
1D0030	12/31/2002	1/30/2002	-	170,000	47.01	HOME DEPOT INC
1D0030	12/31/2002	1/30/2002	-	285,000	47.28	HOME DEPOT INC
1D0030	12/31/2002	1/31/2002	-	264,000	47.68	HOME DEPOT INC
1D0030	12/31/2002	1/31/2002	-	240,000	47.50	HOME DEPOT INC
1D0030	12/31/2002	1/31/2002	-	300,000	47.41	HOME DEPOT INC
1D0030	11/30/2002	6/28/2002	-	596	1.00	FIDELITY SPARTAN
1D0030	11/30/2002	9/30/2002	-	95,537,232	1.00	FIDELITY SPARTAN
1D0031	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1D0031	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1D0032	8/31/1996	7/1/1996	55,000	-	56.25	DEAN WITTER DISCOVER & CO
1D0032	8/31/1996	7/1/1996	147,000	-	82.25	CITICORP
1D0032	8/31/1996	7/1/1996	8,000	-	48.25	MCKESSON CORPORATION
1D0032	8/31/1996	7/2/1996	135,000	-	39.13	ORACLE CORPORATION
1D0032	8/31/1996	7/2/1996	148,000	-	49.13	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/2/1996	25,000	-	49.13	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/2/1996	7,000	-	48.63	MCKESSON CORPORATION
1D0032	8/31/1996	7/2/1996	110,000	-	39.25	ORACLE CORPORATION
1D0032	8/31/1996	7/2/1996	125,000	-	39.13	ORACLE CORPORATION
1D0032	8/31/1996	7/2/1996	138,000	-	39.25	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/2/1996	36,000	-	39.25	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/2/1996	51,600	-	57.00	DEAN WITTER DISCOVER & CO
1D0032	8/31/1996	7/3/1996	11,000	-	48.13	MCKESSON CORPORATION
1D0032	8/31/1996	7/3/1996	75,000	-	40.13	ORACLE CORPORATION
1D0032	8/31/1996	7/3/1996	83,000	-	83.00	CITICORP
1D0032	8/31/1996	7/3/1996	137,000	-	48.88	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/3/1996	10,000	-	48.88	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/3/1996	113,000	-	39.75	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/3/1996	150,000	-	40.13	ORACLE CORPORATION
1D0032	8/31/1996	7/3/1996	43,000	-	57.13	DEAN WITTER DISCOVER & CO
1D0032	8/31/1996	7/3/1996	100,000	-	40.13	ORACLE CORPORATION
1D0032	8/31/1996	7/3/1996	125,000	-	48.88	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/3/1996	150,000	-	40.13	ORACLE CORPORATION
1D0032	8/31/1996	7/3/1996	14,000	-	39.75	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/3/1996	10,421	-	45.63	ALLSTATE
1D0032	8/31/1996	7/3/1996	30,000	-	45.63	ALLSTATE
1D0032	8/31/1996	7/3/1996	150,000	-	40.13	ORACLE CORPORATION
1D0032	8/31/1996	7/3/1996	135,000	-	45.63	ALLSTATE
1D0032	8/31/1996	7/5/1996	150,000	-	46.50	ALLSTATE
1D0032	8/31/1996	7/5/1996	150,000	-	54.13	GENERAL MOTORS CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0032	8/31/1996	7/5/1996	13,000	-	46.50	ALLSTATE
1D0032	8/31/1996	7/5/1996	50,422	-	46.50	ALLSTATE
1D0032	8/31/1996	7/5/1996	140,000	-	39.63	ORACLE CORPORATION
1D0032	8/31/1996	7/5/1996	75,000	-	48.88	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/5/1996	74,000	-	40.38	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/5/1996	90,000	-	48.88	SEARS ROEBUCK & CO
1D0032	8/31/1996	7/5/1996	140,000	-	39.75	ORACLE CORPORATION
1D0032	8/31/1996	7/5/1996	150,000	-	39.75	ORACLE CORPORATION
1D0032	8/31/1996	7/5/1996	20,000	-	28.50	AIRTOUCH COMMUNICATIONS
1D0032	8/31/1996	7/5/1996	120,000	-	28.50	AIRTOUCH COMMUNICATIONS
1D0032	8/31/1996	7/5/1996	27,004	-	57.50	DEAN WITTER DISCOVER & CO
1D0032	8/31/1996	7/5/1996	50,000	-	54.25	GENERAL MOTORS CORP
1D0032	8/31/1996	7/5/1996	101,000	-	44.25	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/5/1996	150,000	-	54.25	GENERAL MOTORS CORP
1D0032	8/31/1996	7/5/1996	5,000	-	47.50	MCKESSON CORPORATION
1D0032	8/31/1996	7/8/1996	18,000	-	46.38	ALLSTATE
1D0032	8/31/1996	7/8/1996	85,000	-	46.38	ALLSTATE
1D0032	8/31/1996	7/8/1996	120,000	-	54.13	GENERAL MOTORS CORP
1D0032	8/31/1996	7/8/1996	123,000	-	39.00	ORACLE CORPORATION
1D0032	8/31/1996	7/8/1996	124,000	-	39.13	ORACLE CORPORATION
1D0032	8/31/1996	7/8/1996	125,000	-	39.13	ORACLE CORPORATION
1D0032	8/31/1996	7/8/1996	35,000	-	44.38	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/8/1996	60,000	-	28.50	AIRTOUCH COMMUNICATIONS
1D0032	8/31/1996	7/8/1996	69,000	-	39.50	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/8/1996	85,000	-	28.50	AIRTOUCH COMMUNICATIONS
1D0032	8/31/1996	7/8/1996	122,000	-	39.00	ORACLE CORPORATION
1D0032	8/31/1996	7/8/1996	134,000	-	44.38	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/8/1996	145,000	-	44.38	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/8/1996	21,000	-	47.63	MCKESSON CORPORATION
1D0032	8/31/1996	7/8/1996	46,000	-	75.75	INTEL CORP
1D0032	8/31/1996	7/8/1996	150,000	-	75.75	INTEL CORP
1D0032	8/31/1996	7/8/1996	81,000	-	57.25	DEAN WITTER DISCOVER & CO
1D0032	8/31/1996	7/8/1996	140,000	-	39.13	ORACLE CORPORATION
1D0032	8/31/1996	7/8/1996	150,000	-	83.00	CITICORP
1D0032	8/31/1996	7/8/1996	150,000	-	75.75	INTEL CORP
1D0032	8/31/1996	7/8/1996	40,000	-	54.25	GENERAL MOTORS CORP
1D0032	8/31/1996	7/8/1996	60,000	-	39.00	ORACLE CORPORATION
1D0032	8/31/1996	7/9/1996	150,000	-	74.75	INTEL CORP
1D0032	8/31/1996	7/9/1996	86,800	-	44.25	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/9/1996	33,000	-	83.13	CITICORP
1D0032	8/31/1996	7/9/1996	112,000	-	44.25	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/9/1996	13,000	-	47.63	MCKESSON CORPORATION
1D0032	8/31/1996	7/9/1996	82,000	-	39.50	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/9/1996	146,000	-	74.75	INTEL CORP
1D0032	8/31/1996	7/9/1996	120,000	-	46.25	ALLSTATE
1D0032	8/31/1996	7/9/1996	125,000	-	53.50	GENERAL MOTORS CORP
1D0032	8/31/1996	7/9/1996	150,000	-	74.75	INTEL CORP
1D0032	8/31/1996	7/9/1996	88,000	-	44.25	PHARMACIA & UPJOHN INC
1D0032	8/31/1996	7/9/1996	100,000	-	53.38	GENERAL MOTORS CORP
1D0032	8/31/1996	7/9/1996	100,000	-	53.63	GENERAL MOTORS CORP
1D0032	8/31/1996	7/10/1996	142,000	-	74.63	INTEL CORP
1D0032	8/31/1996	7/10/1996	139,000	-	74.63	INTEL CORP
1D0032	8/31/1996	7/10/1996	2,000	-	47.63	MCKESSON CORPORATION
1D0032	8/31/1996	7/11/1996	111,000	-	74.13	INTEL CORP
1D0032	8/31/1996	7/11/1996	43,000	-	38.50	STORAGE TECHNOLOGY CORP
1D0032	8/31/1996	7/11/1996	137,000	-	74.25	INTEL CORP
1D0032	8/31/1996	7/11/1996	27,000	-	47.50	MCKESSON CORPORATION
1D0032	8/31/1996	7/11/1996	95,000	-	74.13	INTEL CORP
1D0032	8/31/1996	7/11/1996	123,000	-	74.25	INTEL CORP
1D0032	8/31/1996	7/11/1996	125,000	-	74.25	INTEL CORP
1D0032	8/31/1996	7/11/1996	131,000	-	74.25	INTEL CORP
1D0032	8/31/1996	7/11/1996	99,000	-	74.13	INTEL CORP
1D0032	8/31/1996	7/11/1996	107,000	-	74.13	INTEL CORP
1D0032	8/31/1996	7/11/1996	119,000	-	74.13	INTEL CORP
1D0032	8/31/1996	7/12/1996	6,000	-	46.63	MCKESSON CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0032	8/31/1996	7/15/1996	9,000	-	46.13	MCKESSON CORPORATION
1D0032	8/31/1996	7/16/1996	6,000	-	46.25	MCKESSON CORPORATION
1D0032	8/31/1996	7/17/1996	3,000	-	45.88	MCKESSON CORPORATION
1D0032	8/31/1996	7/18/1996	7,000	-	45.88	MCKESSON CORPORATION
1D0032	8/31/1996	7/19/1996	18,000	-	45.63	MCKESSON CORPORATION
1D0032	8/31/1996	7/24/1996	52,000	-	44.38	MCKESSON CORPORATION
1D0032	8/31/1996	7/25/1996	102,000	-	82.13	CITICORP
1D0032	2/29/2000	1/26/2000	400,000	-	61.38	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	235,000	122.69	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	281,000	122.56	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	219,000	122.50	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	255,000	122.38	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	300,000	122.63	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	400,000	-	61.38	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	470,000	-	61.34	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	510,000	-	61.19	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	438,000	-	61.25	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	600,000	-	61.31	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	490,000	-	61.22	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	562,000	-	61.28	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	245,000	122.44	ORACLE CORPORATION
1D0032	2/29/2000	1/26/2000	-	400,000	122.75	ORACLE CORPORATION
1D0032	12/31/2002	1/9/2002	-	558,000	36.17	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	600,000	35.94	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	603,000	36.00	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	561,000	36.21	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	597,000	36.02	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	518,000	36.07	INTEL CORP
1D0032	12/31/2002	1/9/2002	-	563,000	36.11	INTEL CORP
1D0032	12/31/2002	1/10/2002	-	544,000	36.07	INTEL CORP
1D0032	12/31/2002	1/10/2002	-	569,000	36.12	INTEL CORP
1D0032	12/31/2002	1/10/2002	-	587,000	35.80	INTEL CORP
1D0032	12/31/2002	1/10/2002	-	300,000	35.91	INTEL CORP
1D0032	12/31/2002	1/11/2002	-	54,000	5.60	PRICELINE.COM INC
1D0032	12/31/2002	1/11/2002	-	45,000	5.66	PRICELINE.COM INC
1D0032	12/31/2002	1/11/2002	-	55,000	5.70	PRICELINE.COM INC
1D0032	12/31/2002	1/14/2002	-	642,000	36.22	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	680,000	36.31	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	672,000	36.48	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	700,000	36.14	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	658,000	36.19	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	630,000	36.57	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	643,000	36.36	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	655,000	36.51	INTEL CORP
1D0032	12/31/2002	1/14/2002	-	720,000	36.27	INTEL CORP
1D0032	12/31/2002	1/15/2002	-	410,000	35.13	INTEL CORP
1D0032	12/31/2002	1/15/2002	-	532,000	35.18	INTEL CORP
1D0032	12/31/2002	1/15/2002	-	390,000	35.08	INTEL CORP
1D0032	12/31/2002	1/15/2002	-	668,000	35.21	INTEL CORP
1D0032	12/31/2002	1/16/2002	-	560,000	34.92	INTEL CORP
1D0032	12/31/2002	1/16/2002	-	391,200	34.73	INTEL CORP
1D0032	12/31/2002	1/16/2002	-	549,000	34.89	INTEL CORP
1D0032	12/31/2002	1/16/2002	-	557,000	34.84	INTEL CORP
1D0032	12/31/2002	1/16/2002	-	75,000	31.30	NATIONAL SEMICONDUCTOR CORP
1D0032	12/31/2002	1/16/2002	-	594,000	34.77	INTEL CORP
1D0032	12/31/2002	1/17/2002	-	150,000	34.86	INTEL CORP
1D0032	12/31/2002	1/17/2002	-	158,800	34.91	INTEL CORP
1D0032	12/31/2002	1/22/2002	-	69,000	56.04	BIOGEN INC
1D0032	12/31/2002	1/22/2002	-	75,000	55.96	BIOGEN INC
1D0032	12/31/2002	1/22/2002	-	81,000	56.00	BIOGEN INC
1D0032	12/31/2002	1/28/2002	-	75,000	3.51	PALM INC
1D0032	12/31/2002	1/28/2002	-	78,000	3.60	PALM INC
1D0032	12/31/2002	1/28/2002	-	47,000	3.66	PALM INC
1D0036	8/31/1996	7/1/1996	100,000	-	13.63	ADVANCED MICRO DEVICES
1D0036	8/31/1996	7/1/1996	87,000	-	9.75	BORLAND INTL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0036	8/31/1996	7/1/1996	93,000	-	9.75	BORLAND INTL INC
1D0036	8/31/1996	7/2/1996	71,000	-	9.50	BORLAND INTL INC
1D0036	8/31/1996	7/2/1996	115,000	-	35.13	ADOBE SYS INC
1D0036	8/31/1996	7/3/1996	150,000	-	36.38	ADOBE SYS INC
1D0036	8/31/1996	7/3/1996	85,000	-	9.00	BORLAND INTL INC
1D0036	8/31/1996	7/5/1996	125,000	-	36.13	ADOBE SYS INC
1D0036	8/31/1996	7/5/1996	150,000	-	10.00	BORLAND INTL INC
1D0036	8/31/1996	7/5/1996	150,000	-	75.13	INTEL CORP
1D0036	8/31/1996	7/5/1996	35,000	-	10.00	BORLAND INTL INC
1D0036	8/31/1996	7/5/1996	87,000	-	75.13	INTEL CORP
1D0036	8/31/1996	7/5/1996	125,000	-	13.88	ADVANCED MICRO DEVICES
1D0036	8/31/1996	7/8/1996	45,000	-	14.13	ADVANCED MICRO DEVICES
1D0036	8/31/1996	7/8/1996	150,000	-	14.13	ADVANCED MICRO DEVICES
1D0036	8/31/1996	7/8/1996	100,000	-	36.38	ADOBE SYS INC
1D0036	8/31/1996	7/8/1996	64,000	-	8.00	BORLAND INTL INC
1D0036	8/31/1996	7/9/1996	85,000	-	13.50	ADVANCED MICRO DEVICES
1D0036	8/31/1996	7/9/1996	150,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	53,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/9/1996	69,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	72,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	81,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	95,000	-	35.75	ADOBE SYS INC
1D0036	8/31/1996	7/9/1996	75,000	-	35.75	ADOBE SYS INC
1D0036	8/31/1996	7/9/1996	147,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	149,000	-	74.75	INTEL CORP
1D0036	8/31/1996	7/9/1996	67,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	36,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	108,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	31,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	100,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	94,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	132,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	48,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/10/1996	104,000	-	74.63	INTEL CORP
1D0036	8/31/1996	7/11/1996	27,000	-	74.13	INTEL CORP
1D0036	8/31/1996	7/11/1996	39,000	-	74.13	INTEL CORP
1D0036	8/31/1996	7/11/1996	44,000	-	74.13	INTEL CORP
1D0036	8/31/1996	7/11/1996	130,000	-	35.38	ADOBE SYS INC
1D0036	8/31/1996	7/11/1996	12,000	-	74.13	INTEL CORP
1D0036	8/31/1996	7/11/1996	90,000	-	74.13	INTEL CORP
1D0036	8/31/1996	7/12/1996	75,000	-	35.00	ADOBE SYS INC
1D0036	12/31/2002	1/11/2002	-	84,100	5.60	PRICELINE.COM INC
1D0036	3/31/2006	4/4/2006	153,000	-	27.35	SANDISK CORP
1D0036	3/31/2006	4/4/2006	100,000	-	27.49	SANDISK CORP
1D0036	3/31/2006	4/5/2006	125,000	-	27.65	SANDISK CORP
1D0036	3/31/2006	4/5/2006	90,000	-	27.71	SANDISK CORP
1D0036	3/31/2006	4/6/2006	130,000	-	27.97	SANDISK CORP
1D0036	3/31/2006	4/6/2006	200,000	-	27.80	SANDISK CORP
1D0036	3/31/2006	4/6/2006	120,000	-	27.77	SANDISK CORP
1D0036	3/31/2006	4/7/2006	150,000	-	27.87	SANDISK CORP
1D0036	3/31/2006	4/8/2006	97,000	-	28.03	SANDISK CORP
1D0036	3/31/2006	4/8/2006	92,000	-	28.11	SANDISK CORP
1D0036	3/31/2006	4/8/2006	175,000	-	28.09	SANDISK CORP
1D0036	3/31/2006	4/11/2006	85,000	-	28.40	SANDISK CORP
1D0036	3/31/2006	4/11/2006	68,000	-	28.39	SANDISK CORP
1D0036	3/31/2006	4/11/2006	122,000	-	28.28	SANDISK CORP
1D0036	3/31/2006	4/19/2006	100,000	-	49.75	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/19/2006	155,000	-	49.21	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/19/2006	175,000	-	50.29	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/19/2006	180,000	-	48.90	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/19/2006	195,000	-	50.21	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/19/2006	105,000	-	49.99	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/20/2006	95,000	-	47.05	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/20/2006	110,000	-	47.11	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/20/2006	130,000	-	47.81	BURLINGTON NORTHERN SANTA FE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0036	3/31/2006	4/20/2006	80,000	-	47.70	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	4/20/2006	115,000	-	47.22	BURLINGTON NORTHERN SANTA FE
1D0036	3/31/2006	6/8/2006	90,000	-	38.35	APPLE COMPUTER INC
1D0036	3/31/2006	6/8/2006	93,000	-	38.09	APPLE COMPUTER INC
1D0036	3/31/2006	6/8/2006	125,000	-	37.87	APPLE COMPUTER INC
1D0036	3/31/2006	6/8/2006	75,000	-	37.99	APPLE COMPUTER INC
1D0036	3/31/2006	6/8/2006	100,000	-	38.18	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	105,000	-	38.13	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	150,000	-	37.90	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	170,000	-	38.00	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	182,000	-	37.95	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	110,000	-	38.00	APPLE COMPUTER INC
1D0036	3/31/2006	6/9/2006	200,000	-	38.03	APPLE COMPUTER INC
1D0036	3/31/2006	6/27/2006	50,000	-	37.86	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/27/2006	120,000	-	37.91	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/27/2006	90,000	-	37.77	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	95,000	-	36.86	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	150,000	-	37.03	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	100,000	-	36.95	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	120,000	-	36.99	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	75,000	-	36.72	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	77,000	-	36.80	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/28/2006	80,000	-	35.99	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/29/2006	60,000	-	34.99	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/29/2006	53,000	-	35.20	UNITED STATES STEEL CORP
1D0036	3/31/2006	6/29/2006	100,000	-	35.11	UNITED STATES STEEL CORP
1D0049	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1D0049	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1D0053	6/30/1999	5/26/1999	-	1,625,000	98.66	U S TREASURY BILL
1D0053	6/30/1999	5/26/1999	1,625,000	-	99.66	U S TREASURY BILL
1D0058	8/31/2002	7/31/2002	-	75,000	99.54	U S TREASURY BILL
1D0058	8/31/2002	7/31/2002	75,000	-	99.48	U S TREASURY BILL
1D0061	8/31/2002	7/31/2002	-	1,975,000	99.48	U S TREASURY BILL
1D0061	8/31/2002	7/31/2002	-	1,975,000	99.54	U S TREASURY BILL
1D0061	8/31/2002	7/31/2002	1,975,000	-	99.48	U S TREASURY BILL
1D0061	8/31/2002	7/31/2002	1,975,000	-	99.54	U S TREASURY BILL
1D0082	4/30/2006	1/10/2006	68,000	-	9.04	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	75,000	-	8.97	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	90,000	-	9.00	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	50,000	-	9.05	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	90,000	-	8.90	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	65,000	-	8.89	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	80,000	-	9.02	PMC SIERRA INC
1D0082	4/30/2006	1/10/2006	82,000	-	9.07	PMC SIERRA INC
1D0082	5/31/2006	1/11/2006	80,000	-	43.99	JOY GLOBAL INC
1D0082	4/30/2006	1/12/2006	50,000	-	44.20	JOY GLOBAL INC
1D0082	4/30/2006	1/12/2006	60,000	-	40.47	ALLEGHENY TECHNOLOGIES INC
1D0082	4/30/2006	1/12/2006	75,000	-	26.47	ARCHER DANIELS MIDLAND CO
1D0082	5/31/2006	1/12/2006	-	45,000	31.32	DELL INC
1D0082	4/30/2006	1/12/2006	44,000	-	8.70	ALLIED WASTE INDUSTRIES INC
1D0082	4/30/2006	1/12/2006	45,000	-	31.32	DELL INC
1D0082	4/30/2006	1/12/2006	55,000	-	8.75	ALLIED WASTE INDUSTRIES INC
1D0082	4/30/2006	1/12/2006	70,000	-	31.11	DELL INC
1D0082	4/30/2006	1/12/2006	70,000	-	40.50	ALLEGHENY TECHNOLOGIES INC
1D0082	4/30/2006	1/12/2006	80,000	-	31.27	DELL INC
1D0082	4/30/2006	1/12/2006	55,000	-	26.56	ARCHER DANIELS MIDLAND CO
1D0082	4/30/2006	1/12/2006	70,000	-	8.77	ALLIED WASTE INDUSTRIES INC
1D0082	4/30/2006	1/12/2006	49,000	-	8.79	ALLIED WASTE INDUSTRIES INC
1D0082	5/31/2006	1/12/2006	-	80,000	31.27	DELL INC
1D0082	5/31/2006	1/12/2006	-	50,000	31.25	DELL INC
1D0082	5/31/2006	1/12/2006	-	70,000	31.11	DELL INC
1D0082	4/30/2006	1/12/2006	8,000	-	44.73	JOY GLOBAL INC
1D0082	4/30/2006	1/12/2006	90,000	-	26.50	ARCHER DANIELS MIDLAND CO
1D0082	4/30/2006	1/12/2006	50,000	-	31.25	DELL INC
1D0082	4/30/2006	1/12/2006	55,000	-	44.54	JOY GLOBAL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1D0082	4/30/2006	1/12/2006	80,000	-	26.65	ARCHER DANIELS MIDLAND CO
1D0082	4/30/2006	1/12/2006	90,000	-	8.80	ALLIED WASTE INDUSTRIES INC
1D0082	4/30/2006	1/13/2006	75,000	-	78.07	AMGEN INC
1D0082	4/30/2006	1/13/2006	80,000	-	78.23	AMGEN INC
1D0082	5/31/2006	1/13/2006	-	75,000	78.07	AMGEN INC
1D0082	4/30/2006	1/13/2006	65,000	-	26.46	ARCHER DANIELS MIDLAND CO
1D0082	5/31/2006	1/13/2006	-	80,000	78.23	AMGEN INC
1D0082	4/30/2006	1/13/2006	50,000	-	40.51	ALLEGHENY TECHNOLOGIES INC
1D0082	4/30/2006	1/13/2006	75,000	-	40.20	ALLEGHENY TECHNOLOGIES INC
1D0082	4/30/2006	1/13/2006	82,000	-	26.51	ARCHER DANIELS MIDLAND CO
1D0082	4/30/2006	1/13/2006	78,000	-	26.29	ARCHER DANIELS MIDLAND CO
1D0082	5/31/2006	1/13/2006	100,000	-	43.84	JOY GLOBAL INC
1D0082	4/30/2006	1/13/2006	50,000	-	26.32	ARCHER DANIELS MIDLAND CO
1D0082	4/30/2006	1/13/2006	60,000	-	78.15	AMGEN INC
1D0082	4/30/2006	1/13/2006	40,000	-	40.42	ALLEGHENY TECHNOLOGIES INC
1D0082	5/31/2006	1/13/2006	-	60,000	78.15	AMGEN INC
1D0082	5/31/2006	1/17/2006	85,000	-	40.70	ALLEGHENY TECHNOLOGIES INC
1D0082	5/31/2006	1/17/2006	90,000	-	40.72	ALLEGHENY TECHNOLOGIES INC
1D0082	5/31/2006	1/17/2006	70,000	-	40.66	ALLEGHENY TECHNOLOGIES INC
1D0082	5/31/2006	1/17/2006	50,000	-	40.59	ALLEGHENY TECHNOLOGIES INC
1D0082	4/30/2006	1/18/2006	80,000	-	10.48	BEA SYSTEMS INC
1D0082	4/30/2006	1/18/2006	45,000	-	70.01	NUCOR CORP
1D0082	4/30/2006	1/18/2006	65,000	-	70.10	NUCOR CORP
1D0082	4/30/2006	1/18/2006	75,000	-	10.51	BEA SYSTEMS INC
1D0082	4/30/2006	1/18/2006	75,000	-	70.15	NUCOR CORP
1D0082	4/30/2006	1/18/2006	80,000	-	70.06	NUCOR CORP
1D0082	4/30/2006	1/18/2006	90,000	-	10.55	BEA SYSTEMS INC
1D0082	4/30/2006	1/24/2006	75,000	-	33.03	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	76,000	-	32.70	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	93,000	-	43.52	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	67,000	-	33.19	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	63,000	-	43.70	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	75,000	-	43.61	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	90,000	-	43.48	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	70,000	-	32.62	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	50,000	-	32.29	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	59,000	-	43.65	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	60,000	-	43.30	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	82,000	-	43.50	NVIDIA CORP
1D0082	4/30/2006	1/24/2006	83,000	-	32.55	CITRIX SYSTEMS INC
1D0082	4/30/2006	1/24/2006	79,000	-	33.08	CITRIX SYSTEMS INC
1D0082	5/31/2006	1/25/2006	50,000	-	69.01	NUCOR CORP
1D0082	5/31/2006	1/25/2006	80,000	-	68.99	NUCOR CORP
1D0082	5/31/2006	1/25/2006	90,000	-	68.94	NUCOR CORP
1D0082	5/31/2006	4/28/2006	-	18,562	1.00	FIDELITY SPARTAN
1D0082	5/31/2006	4/28/2006	-	100,000	98.09	U S TREASURY BILL
1E0107	6/30/1999	5/26/1999	-	4,825,000	98.66	U S TREASURY BILL
1E0107	6/30/1999	5/26/1999	4,825,000	-	99.66	U S TREASURY BILL
1E0107	8/31/2002	7/31/2002	-	9,725,000	99.54	U S TREASURY BILL
1E0107	8/31/2002	7/31/2002	9,725,000	-	99.54	U S TREASURY BILL
1E0107	8/31/2002	7/31/2002	-	9,725,000	99.48	U S TREASURY BILL
1E0107	8/31/2002	7/31/2002	9,725,000	-	99.48	U S TREASURY BILL
1E0112	6/30/1996	5/20/1996	1,775,000	-	129.75	STARBUCKS CORP
1E0112	6/30/1996	5/20/1996	-	1,775,000	129.75	STARBUCKS CORPORATION
1E0112	6/30/1999	5/26/1999	-	7,075,000	98.66	U S TREASURY BILL
1E0112	6/30/1999	5/26/1999	7,075,000	-	99.66	U S TREASURY BILL
1E0112	8/31/2002	7/31/2002	-	6,075,000	99.54	U S TREASURY BILL
1E0112	8/31/2002	7/31/2002	6,075,000	-	99.54	U S TREASURY BILL
1E0112	8/31/2002	7/31/2002	-	6,075,000	99.48	U S TREASURY BILL
1E0112	8/31/2002	7/31/2002	6,075,000	-	99.48	U S TREASURY BILL
1E0114	8/31/2002	7/31/2002	-	1,850,000	99.54	U S TREASURY BILL
1E0114	8/31/2002	7/31/2002	1,850,000	-	99.48	U S TREASURY BILL
1E0114	8/31/2002	7/31/2002	-	1,850,000	99.48	U S TREASURY BILL
1E0114	8/31/2002	7/31/2002	1,850,000	-	99.54	U S TREASURY BILL
1E0122	3/31/1997	1/10/1997	-	7,874	41.38	COOPER IND INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0122	3/31/1997	1/10/1997	7,874	-	42.38	COOPER IND INC
1E0123	12/31/1995	11/28/1995	-	20,000	70.00	AMR CORP
1E0123	12/31/1995	11/28/1995	6,000	-	70.00	AMR CORP
1E0123	12/31/1995	11/30/1995	467	-	-	FIDELITY CASH RESERVES SBI
1E0123	2/28/1997	1/31/1997	-	440,000	95.04	U S TREASURY BILL
1E0123	2/28/1997	1/31/1997	32,936	-	1.00	FIDELITY SPARTAN
1E0123	2/28/1997	1/31/1997	400,000	-	95.04	U S TREASURY BILL
1E0123	5/31/1997	4/17/1997	765,000	-	97.70	U S TREASURY BILL
1E0123	8/31/2000	7/31/2000	3,852	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2000	7/31/2000	725,000	-	98.54	U S TREASURY BILL
1E0123	8/31/2000	7/31/2000	-	718,267	1.00	FIDELITY SPARTAN
1E0123	4/30/2001	3/30/2001	1,444	-	1.00	FIDELITY SPARTAN
1E0123	4/30/2001	3/30/2001	-	750,000	98.81	U S TREASURY BILL
1E0123	4/30/2001	3/30/2001	710,000	-	98.81	U S TREASURY BILL
1E0123	8/31/2002	1/7/2002	-	1,450	20.95	MASSEY ENERGY CO
1E0123	8/31/2002	1/7/2002	-	26,000	6.39	3COM CORP
1E0123	8/31/2002	1/7/2002	375,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2002	1/8/2002	-	53,000	50.01	VERIZON COMMUNICATIONS
1E0123	8/31/2002	1/8/2002	6,605,000	-	99.30	U S TREASURY BILL
1E0123	8/31/2002	1/8/2002	-	38,386	49.88	VERIZON COMMUNICATIONS
1E0123	8/31/2002	1/8/2002	-	40,000	49.95	VERIZON COMMUNICATIONS
1E0123	8/31/2002	1/9/2002	-	282,000	29.42	DELL COMPUTER CORP
1E0123	8/31/2002	1/9/2002	-	200,200	29.35	DELL COMPUTER CORP
1E0123	8/31/2002	1/9/2002	-	210,000	29.58	DELL COMPUTER CORP
1E0123	8/31/2002	1/9/2002	32,900,000	-	99.31	U S TREASURY BILL
1E0123	8/31/2002	1/9/2002	-	200,000	29.75	DELL COMPUTER CORP
1E0123	8/31/2002	1/9/2002	-	215,000	29.50	DELL COMPUTER CORP
1E0123	8/31/2002	1/10/2002	5,480,000	-	99.32	U S TREASURY BILL
1E0123	8/31/2002	1/10/2002	-	42,949	36.70	DOW CHEMICAL CO
1E0123	8/31/2002	1/10/2002	-	52,392	55.70	TYCO INTERNATIONAL LTD
1E0123	8/31/2002	1/10/2002	-	18,850	30.70	WASTE MANAGEMENT INC
1E0123	8/31/2002	1/11/2002	-	48,652	58.69	MORGAN STANLEY
1E0123	8/31/2002	1/11/2002	-	152,000	39.50	SBC COMMUNICATIONS INC
1E0123	8/31/2002	1/11/2002	-	156,612	39.60	SBC COMMUNICATIONS INC
1E0123	8/31/2002	1/11/2002	-	40,000	57.00	BIOGEN INC
1E0123	8/31/2002	1/11/2002	-	48,800	57.03	BIOGEN INC
1E0123	8/31/2002	1/11/2002	-	100,000	39.57	SBC COMMUNICATIONS INC
1E0123	8/31/2002	1/11/2002	-	40,000	58.50	MORGAN STANLEY
1E0123	8/31/2002	1/11/2002	-	50,000	17.81	ALCATEL ALSTHOMCGE
1E0123	8/31/2002	1/11/2002	-	58,400	125.02	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2002	1/11/2002	-	52,000	58.73	MORGAN STANLEY
1E0123	8/31/2002	1/11/2002	-	76,000	124.58	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2002	1/11/2002	-	1,740	12.37	AVAYA INC
1E0123	8/31/2002	1/11/2002	-	56,000	124.96	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2002	1/11/2002	-	98,000	39.63	SBC COMMUNICATIONS INC
1E0123	8/31/2002	1/11/2002	70,035,000	-	99.37	U S TREASURY BILL
1E0123	8/31/2002	1/11/2002	-	54,157	17.90	ALCATEL ALSTHOMCGE
1E0123	8/31/2002	1/11/2002	-	57,000	58.87	MORGAN STANLEY
1E0123	8/31/2002	1/11/2002	-	58,000	124.73	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2002	1/14/2002	-	55,000	38.99	BELLSOUTH CORP
1E0123	8/31/2002	1/14/2002	-	65,000	39.05	BELLSOUTH CORP
1E0123	8/31/2002	1/14/2002	-	39,920	69.51	LEHMAN BROS HOLDING INC
1E0123	8/31/2002	1/14/2002	-	82,486	32.12	AOL TIME WARNER INC
1E0123	8/31/2002	1/14/2002	-	94,800	39.14	BELLSOUTH CORP
1E0123	8/31/2002	1/14/2002	-	50,000	32.00	AOL TIME WARNER INC
1E0123	8/31/2002	1/14/2002	15,515,000	-	99.35	U S TREASURY BILL
1E0123	8/31/2002	1/15/2002	-	65,000	26.32	J C PENNEY CO INC
1E0123	8/31/2002	1/15/2002	-	92,000	13.50	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/15/2002	-	150,000	35.22	INTEL CORP
1E0123	8/31/2002	1/15/2002	-	158,800	35.07	INTEL CORP
1E0123	8/31/2002	1/15/2002	-	230,000	50.93	HOME DEPOT INC
1E0123	8/31/2002	1/15/2002	-	55,000	26.11	J C PENNEY CO INC
1E0123	8/31/2002	1/15/2002	-	86,000	13.55	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/15/2002	-	72,000	13.45	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/15/2002	-	232,497	50.80	HOME DEPOT INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	1/15/2002	41,740,000	-	99.35	U S TREASURY BILL
1E0123	8/31/2002	1/15/2002	-	42,260	13.51	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/16/2002	-	56,000	13.32	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/16/2002	-	70,000	13.36	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/16/2002	-	210,000	50.79	HOME DEPOT INC
1E0123	8/31/2002	1/16/2002	77,550,000	-	99.34	U S TREASURY BILL
1E0123	8/31/2002	1/16/2002	-	45,022	23.22	HEWLETT PACKARD CO
1E0123	8/31/2002	1/16/2002	-	29,600	23.22	HEWLETT PACKARD CO
1E0123	8/31/2002	1/16/2002	-	200,000	34.80	INTEL CORP
1E0123	8/31/2002	1/16/2002	-	54,000	38.79	JP MORGAN CHASE & CO
1E0123	8/31/2002	1/16/2002	-	78,270	13.45	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/16/2002	-	44,870	39.02	JP MORGAN CHASE & CO
1E0123	8/31/2002	1/16/2002	-	252,000	50.98	HOME DEPOT INC
1E0123	8/31/2002	1/16/2002	-	46,000	38.95	JP MORGAN CHASE & CO
1E0123	8/31/2002	1/16/2002	-	88,000	13.50	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/16/2002	-	108,800	34.74	INTEL CORP
1E0123	8/31/2002	1/16/2002	-	265,000	50.91	HOME DEPOT INC
1E0123	8/31/2002	1/16/2002	-	140,000	50.72	HOME DEPOT INC
1E0123	8/31/2002	1/16/2002	-	235,000	50.85	HOME DEPOT INC
1E0123	8/31/2002	1/16/2002	45,022	-	23.22	HEWLETT PACKARD CO
1E0123	8/31/2002	1/17/2002	-	52,270	13.00	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/17/2002	-	62,000	12.91	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/17/2002	-	83,000	12.89	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/17/2002	-	38,563	4.22	PALM INC
1E0123	8/31/2002	1/17/2002	3,955,000	-	99.32	U S TREASURY BILL
1E0123	8/31/2002	1/17/2002	-	95,000	12.80	SUN MICROSYSTEMS INC
1E0123	8/31/2002	1/18/2002	-	2,800	44.59	BANK OF NEW YORK
1E0123	8/31/2002	1/18/2002	-	135,200	68.95	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	-	145,000	69.53	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	-	162,000	69.42	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	-	156,000	69.40	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	-	165,000	69.04	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	75,210,000	-	99.31	U S TREASURY BILL
1E0123	8/31/2002	1/18/2002	-	157,000	69.60	MICROSOFT CORP
1E0123	8/31/2002	1/18/2002	-	155,000	69.50	MICROSOFT CORP
1E0123	8/31/2002	1/24/2002	-	24,790,000	99.54	U S TREASURY BILL
1E0123	8/31/2002	1/24/2002	24,855,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2002	1/25/2002	-	28,474	79.01	AMERICAN INTL GROUP INC
1E0123	8/31/2002	1/25/2002	-	35,500	5.20	NOVELL INC
1E0123	8/31/2002	1/25/2002	2,450,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2002	1/29/2002	19,450,000	-	99.32	U S TREASURY BILL
1E0123	8/31/2002	1/29/2002	-	166,300	41.63	PFIZER INC
1E0123	8/31/2002	1/29/2002	-	200,000	41.70	PFIZER INC
1E0123	8/31/2002	1/29/2002	-	71,000	57.12	ABBOTT LABORATORIES
1E0123	8/31/2002	1/30/2002	-	57,000	16.87	ORACLE CORPORATION
1E0123	8/31/2002	1/30/2002	-	136,300	41.69	PFIZER INC
1E0123	8/31/2002	1/30/2002	-	230,000	41.74	PFIZER INC
1E0123	8/31/2002	1/30/2002	-	65,000	17.07	ORACLE CORPORATION
1E0123	8/31/2002	1/30/2002	-	78,000	16.99	ORACLE CORPORATION
1E0123	8/31/2002	1/30/2002	-	84,620	17.12	ORACLE CORPORATION
1E0123	8/31/2002	1/30/2002	21,495,000	-	99.31	U S TREASURY BILL
1E0123	8/31/2002	1/30/2002	-	24,384	12.08	COMPAQ COMPUTER CORP
1E0123	8/31/2002	1/30/2002	-	31,900	28.99	REEBOK INTL LTD
1E0123	8/31/2002	1/31/2002	-	1,345,000	99.74	U S TREASURY BILL
1E0123	8/31/2002	1/31/2002	775,000	-	99.06	U S TREASURY BILL
1E0123	8/31/2002	1/31/2002	4,817	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	1/31/2002	-	1,904	37.15	SIEBEL SYSTEMS INC
1E0123	8/31/2002	1/31/2002	70,000	-	99.31	U S TREASURY BILL
1E0123	8/31/2002	2/12/2002	135,000	-	99.38	U S TREASURY BILL
1E0123	8/31/2002	2/12/2002	-	5,600	24.84	APPLE COMPUTER INC
1E0123	8/31/2002	2/14/2002	785,000	-	99.40	U S TREASURY BILL
1E0123	8/31/2002	2/19/2002	-	50,000	53.47	SEARS ROEBUCK & CO
1E0123	8/31/2002	2/19/2002	11,225,000	-	99.42	U S TREASURY BILL
1E0123	8/31/2002	2/19/2002	-	46,600	53.51	SEARS ROEBUCK & CO
1E0123	8/31/2002	2/19/2002	-	54,000	53.32	SEARS ROEBUCK & CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	2/19/2002	-	21,235	31.13	PLUM CREEK TIMBER CO
1E0123	8/31/2002	2/19/2002	-	46,000	53.41	SEARS ROEBUCK & CO
1E0123	8/31/2002	2/21/2002	645,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2002	2/27/2002	-	32,400	34.79	KELLOGG CO
1E0123	8/31/2002	2/27/2002	1,130,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2002	2/28/2002	3,885	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	2/28/2002	620,000	-	99.24	U S TREASURY BILL
1E0123	8/31/2002	2/28/2002	720,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2002	2/28/2002	-	655,000	99.59	U S TREASURY BILL
1E0123	8/31/2002	2/28/2002	-	2,155,000	99.59	U S TREASURY BILL
1E0123	8/31/2002	3/4/2002	-	10,500	24.30	THE WALT DISNEY CO
1E0123	8/31/2002	3/4/2002	255,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/7/2002	17,310,000	-	99.49	U S TREASURY BILL
1E0123	8/31/2002	3/7/2002	-	53,817	30.81	BURLINGTON NORTHERN SANTA FE
1E0123	8/31/2002	3/7/2002	-	44,000	68.62	HARTFORD FINL SERVICE GROUP
1E0123	8/31/2002	3/7/2002	-	50,000	38.20	MICRON TECHNOLOGY INC
1E0123	8/31/2002	3/7/2002	-	56,000	68.70	HARTFORD FINL SERVICE GROUP
1E0123	8/31/2002	3/7/2002	-	20,000	68.55	HARTFORD FINL SERVICE GROUP
1E0123	8/31/2002	3/7/2002	-	50,200	38.25	MICRON TECHNOLOGY INC
1E0123	8/31/2002	3/7/2002	-	51,000	68.59	HARTFORD FINL SERVICE GROUP
1E0123	8/31/2002	3/8/2002	-	198,000	38.85	ALCOA INC
1E0123	8/31/2002	3/8/2002	-	125,000	39.02	ALCOA INC
1E0123	8/31/2002	3/8/2002	-	59,000	68.51	HARTFORD FINL SERVICE GROUP
1E0123	8/31/2002	3/8/2002	-	155,000	38.98	ALCOA INC
1E0123	8/31/2002	3/8/2002	-	172,000	38.90	ALCOA INC
1E0123	8/31/2002	3/8/2002	29,495,000	-	99.49	U S TREASURY BILL
1E0123	8/31/2002	3/11/2002	-	64,000	45.00	INTERNATIONAL PAPER CO
1E0123	8/31/2002	3/11/2002	16,895,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2002	3/11/2002	-	4,050	53.06	PHILIP MORRIS COMPANIES INC
1E0123	8/31/2002	3/11/2002	-	56,000	45.10	INTERNATIONAL PAPER CO
1E0123	8/31/2002	3/11/2002	-	28,000	33.70	CNF INC
1E0123	8/31/2002	3/11/2002	-	150,000	39.50	ALCOA INC
1E0123	8/31/2002	3/11/2002	-	108,800	39.65	ALCOA INC
1E0123	8/31/2002	3/12/2002	-	18,600	17.31	FOOT LOCKER INC
1E0123	8/31/2002	3/12/2002	-	50,000	39.79	ADOBE SYS INC
1E0123	8/31/2002	3/12/2002	-	50,000	61.09	GENERAL MOTORS CORP
1E0123	8/31/2002	3/12/2002	-	51,700	61.22	GENERAL MOTORS CORP
1E0123	8/31/2002	3/12/2002	-	65,000	40.22	ADOBE SYS INC
1E0123	8/31/2002	3/12/2002	-	44,000	61.02	GENERAL MOTORS CORP
1E0123	8/31/2002	3/12/2002	-	56,000	61.17	GENERAL MOTORS CORP
1E0123	8/31/2002	3/12/2002	-	63,000	40.17	ADOBE SYS INC
1E0123	8/31/2002	3/12/2002	-	66,000	40.00	ADOBE SYS INC
1E0123	8/31/2002	3/12/2002	-	42,000	39.85	ADOBE SYS INC
1E0123	8/31/2002	3/12/2002	24,230,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2002	3/14/2002	730,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/14/2002	-	730,000	99.39	U S TREASURY BILL
1E0123	8/31/2002	3/14/2002	730,000	-	99.39	U S TREASURY BILL
1E0123	8/31/2002	3/14/2002	-	730,000	99.39	U S TREASURY BILL
1E0123	8/31/2002	3/15/2002	-	1,450	43.00	FLUOR CORP NEW
1E0123	8/31/2002	3/15/2002	7,575,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/15/2002	3,110,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/15/2002	3,110,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/15/2002	-	48,000	63.19	MERCK & CO
1E0123	8/31/2002	3/15/2002	-	3,110,000	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/15/2002	-	7,575,000	99.47	U S TREASURY BILL
1E0123	8/31/2002	3/18/2002	-	32,000	49.90	BOEING CO
1E0123	8/31/2002	3/18/2002	-	263,000	40.21	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	2,652	47.12	DEVON ENERGY CORP NEW
1E0123	8/31/2002	3/18/2002	-	255,000	40.41	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	262,000	40.17	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	285,000	40.30	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	204,200	40.50	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	275,000	40.20	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	-	260,000	40.25	GENERAL ELECTRIC CO
1E0123	8/31/2002	3/18/2002	74,790,000	-	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	3/19/2002	-	55,000	39.99	HONEYWELL INTERNATIONAL INC
1E0123	8/31/2002	3/19/2002	-	65,800	40.18	HONEYWELL INTERNATIONAL INC
1E0123	8/31/2002	3/19/2002	-	4,750	53.07	RAYONIER INC
1E0123	8/31/2002	3/19/2002	-	45,000	39.90	HONEYWELL INTERNATIONAL INC
1E0123	8/31/2002	3/19/2002	-	1,256	16.00	VISTEN CORP
1E0123	8/31/2002	3/19/2002	-	32,000	63.05	ITT INDUSTRIES INC INDIANA
1E0123	8/31/2002	3/19/2002	8,970,000	-	99.49	U S TREASURY BILL
1E0123	8/31/2002	3/20/2002	-	64,300	50.82	PEPSICO INC
1E0123	8/31/2002	3/20/2002	-	68,000	50.61	PEPSICO INC
1E0123	8/31/2002	3/20/2002	95,000	-	20.51	STAPLES INC (RTL & DEL)
1E0123	8/31/2002	3/20/2002	-	1,100	46.89	DAIMLER CHRYSLER AG
1E0123	8/31/2002	3/20/2002	-	2,400	60.17	PRAXAIR INC
1E0123	8/31/2002	3/20/2002	-	43,820	90.03	CHEVRONTXACO CORP
1E0123	8/31/2002	3/20/2002	-	65,000	50.75	PEPSICO INC
1E0123	8/31/2002	3/20/2002	-	80,000	16.30	DELPHI CORP
1E0123	8/31/2002	3/20/2002	-	1,400	81.40	FANNIE MAE
1E0123	8/31/2002	3/20/2002	-	52,370	20.37	STAPLES INC (RTL & DEL)
1E0123	8/31/2002	3/20/2002	-	54,400	41.79	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	3/20/2002	-	57,000	40.70	PHELPS DODGE CORP
1E0123	8/31/2002	3/20/2002	-	60,000	41.60	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	3/20/2002	-	60,974	16.22	DELPHI CORP
1E0123	8/31/2002	3/20/2002	-	64,000	28.70	MCDONALDS CORP
1E0123	8/31/2002	3/20/2002	-	67,000	50.67	PEPSICO INC
1E0123	8/31/2002	3/20/2002	-	68,000	41.65	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	3/20/2002	-	83,000	63.17	ITT INDUSTRIES INC INDIANA
1E0123	8/31/2002	3/20/2002	-	95,000	20.51	STAPLES INC (RTL & DEL)
1E0123	8/31/2002	3/20/2002	-	97,000	59.55	CATERPILLAR INC
1E0123	8/31/2002	3/20/2002	-	24,000	53.18	RAYONIER INC
1E0123	8/31/2002	3/20/2002	-	58,000	41.73	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	3/20/2002	-	90,000	20.51	STAPLES INC (RTL & DEL)
1E0123	8/31/2002	3/20/2002	-	103,529	49.50	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	-	130,000	49.27	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	-	117,270,000	99.43	U S TREASURY BILL
1E0123	8/31/2002	3/20/2002	-	12,862	41.22	RAYTHEON COMPANY NEW
1E0123	8/31/2002	3/20/2002	-	20,280	64.71	KIMBERLY CLARK CORP
1E0123	8/31/2002	3/20/2002	-	47,000	59.18	CATERPILLAR INC
1E0123	8/31/2002	3/20/2002	-	50,000	40.63	PHELPS DODGE CORP
1E0123	8/31/2002	3/20/2002	-	51,973	69.03	BANK OF AMERICA
1E0123	8/31/2002	3/20/2002	-	152,000	49.40	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	117,270,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2002	3/20/2002	-	2,500	41.22	BURLINGTON RESOURCES INC
1E0123	8/31/2002	3/20/2002	-	13,600	8.77	IOMEGA CORP
1E0123	8/31/2002	3/20/2002	-	54,000	68.99	BANK OF AMERICA
1E0123	8/31/2002	3/20/2002	-	10,810	25.02	NEWMONT MNG CORP
1E0123	8/31/2002	3/20/2002	-	50,000	89.90	CHEVRONTXACO CORP
1E0123	8/31/2002	3/20/2002	-	59,000	42.00	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	3/20/2002	-	86,000	59.32	CATERPILLAR INC
1E0123	8/31/2002	3/20/2002	-	98,100	59.60	CATERPILLAR INC
1E0123	8/31/2002	3/20/2002	-	103,259	49.50	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	121,640,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2002	3/20/2002	-	26,430	58.04	YUM BRANDS INC
1E0123	8/31/2002	3/20/2002	-	46,000	68.74	BANK OF AMERICA
1E0123	8/31/2002	3/20/2002	-	70,000	20.44	STAPLES INC (RTL & DEL)
1E0123	8/31/2002	3/20/2002	-	120,000	49.48	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	-	165,000	49.32	CITI GROUP INC
1E0123	8/31/2002	3/20/2002	103,529	-	49.50	CITI GROUP INC
1E0123	8/31/2002	3/21/2002	-	800	68.03	HERSHEY FOODS CORP
1E0123	8/31/2002	3/21/2002	-	62,000	63.21	PHILLIPS PETROLEUM CO
1E0123	8/31/2002	3/21/2002	-	2,172	38.98	AETNA INC
1E0123	8/31/2002	3/21/2002	-	740,000	99.44	U S TREASURY BILL
1E0123	8/31/2002	3/21/2002	19,180,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2002	3/21/2002	-	40,025	41.37	HEINZ H J CO
1E0123	8/31/2002	3/21/2002	-	2,400	34.12	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2002	3/21/2002	-	10,000	19.29	CATELLUS DEVELOPMENT CORP
1E0123	8/31/2002	3/21/2002	-	10,200	34.09	GILLETTE CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	3/21/2002	-	40,000	41.29	HEINZ H J CO
1E0123	8/31/2002	3/21/2002	-	75,200	38.20	MCKESSON CORP
1E0123	8/31/2002	3/21/2002	-	834	44.51	NCR CORP
1E0123	8/31/2002	3/21/2002	-	15,000	48.07	FORTUNE BRANDS INC
1E0123	8/31/2002	3/21/2002	-	50,000	63.17	PHILLIPS PETROLEUM CO
1E0123	8/31/2002	3/21/2002	-	54,800	64.89	JOHNSON & JOHNSON
1E0123	8/31/2002	3/22/2002	-	31,000	30.02	GEORGIA PACIFIC CORP COMMON
1E0123	8/31/2002	3/22/2002	-	5,644	37.00	AGILENT TECHNOLOGIES
1E0123	8/31/2002	3/22/2002	-	14,426	14.05	HILTON HOTELS CORP
1E0123	8/31/2002	3/22/2002	-	47,445	39.06	STARWOOD HOTEL RESORTS WLDWIDE
1E0123	8/31/2002	3/22/2002	-	56,200	39.19	BAKER HUGHES INC
1E0123	8/31/2002	3/22/2002	22,975,000	-	99.40	U S TREASURY BILL
1E0123	8/31/2002	3/22/2002	-	3,400	50.15	WELLS FARGO & CO NEW
1E0123	8/31/2002	3/22/2002	-	16,782	17.09	FORD MOTOR COMPANY
1E0123	8/31/2002	3/22/2002	-	70,798	52.45	BP PLC
1E0123	8/31/2002	3/22/2002	-	42,000	38.70	STARWOOD HOTEL RESORTS WLDWIDE
1E0123	8/31/2002	3/22/2002	-	85,000	49.56	FORTUNE BRANDS INC
1E0123	8/31/2002	3/22/2002	-	40,000	38.81	STARWOOD HOTEL RESORTS WLDWIDE
1E0123	8/31/2002	3/22/2002	-	60,000	49.70	FORTUNE BRANDS INC
1E0123	8/31/2002	3/22/2002	-	9,700	44.31	HARRAHS ENTERTAINMENT INC
1E0123	8/31/2002	3/22/2002	-	21,650	27.65	BRUNSWICK CORP
1E0123	8/31/2002	3/22/2002	-	48,000	38.99	STARWOOD HOTEL RESORTS WLDWIDE
1E0123	8/31/2002	3/25/2002	-	40,000	80.41	LILLY ELI & CO
1E0123	8/31/2002	3/26/2002	-	55,000	36.92	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	22,975,000	99.42	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	140,820,000	99.46	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	158,045,000	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	163,970,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	163,975,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	170,715,000	96.65	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	136,450,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	161,030,000	-	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,975,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	171,260,000	-	96.34	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	64,510	37.01	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	103,000	61.67	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	140,000	61.50	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	182,000	61.58	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	158,045,000	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	163,975,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	162,010,000	-	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,970,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	165,000,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	169,770,000	-	96.65	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	170,310,000	-	96.34	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	45,000	36.97	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	69,000	36.90	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	115,395,000	99.65	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	138,760,000	99.61	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	159,030,000	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	205,230,000	99.57	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	133,190,000	-	99.54	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	1,600	48.69	DU PONT E I DE NEMOURS & CO
1E0123	8/31/2002	3/26/2002	-	128,725,000	99.54	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	161,030,000	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	162,010,000	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	165,000,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	169,770,000	96.65	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	159,030,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	161,030,000	-	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,975,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	169,770,000	-	96.65	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	165,000	61.55	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	136,450,000	99.46	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	161,030,000	101.84	U S TREASURY NOTE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	3/26/2002	-	171,260,000	96.34	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	158,045,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	158,045,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,970,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	170,310,000	-	96.34	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	64,000	36.78	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	67,000	36.87	ALLSTATE
1E0123	8/31/2002	3/26/2002	-	110,000	61.60	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	158,045,000	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	162,010,000	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	163,970,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	165,000,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	133,190,000	99.54	U S TREASURY BILL
1E0123	8/31/2002	3/26/2002	-	165,000,000	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	170,310,000	96.34	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	158,045,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	159,030,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,970,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	163,975,000	-	104.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	-	164,400	61.75	AMGEN INC
1E0123	8/31/2002	3/26/2002	-	159,030,000	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	144,250,000	-	103.75	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	161,030,000	-	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	162,010,000	-	101.84	U S TREASURY NOTE
1E0123	8/31/2002	3/26/2002	170,715,000	-	96.65	U S TREASURY NOTE
1E0123	8/31/2002	3/28/2002	-	695,000	99.48	U S TREASURY BILL
1E0123	8/31/2002	3/28/2002	-	8,143	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	3/28/2002	-	13,250,000	104.14	U S TREASURY NOTE
1E0123	8/31/2002	3/28/2002	3,556	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	3/28/2002	13,250,000	-	104.14	U S TREASURY NOTE
1E0123	8/31/2002	3/28/2002	-	13,990,000	99.48	U S TREASURY BILL
1E0123	8/31/2002	3/28/2002	8,143	-	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	3/28/2002	15,000	-	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	3/28/2002	13,725,000	-	104.14	U S TREASURY NOTE
1E0123	8/31/2002	4/30/2002	-	750,000	99.65	U S TREASURY BILL
1E0123	8/31/2002	4/30/2002	535,000	-	99.55	U S TREASURY BILL
1E0123	8/31/2002	4/30/2002	2,001	-	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	4/30/2002	1	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	5/2/2002	-	26,265,000	99.49	U S TREASURY BILL
1E0123	8/31/2002	5/23/2002	-	2,850,000	99.50	U S TREASURY BILL
1E0123	6/30/2002	5/31/2002	-	20,666	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	5/31/2002	-	190,240	1.00	FIDELITY SPARTAN
1E0123	6/30/2002	5/31/2002	8,428	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	5/31/2002	177,986	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	5/31/2002	-	900,000	99.44	U S TREASURY BILL
1E0123	8/31/2002	5/31/2002	190,240	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	6/28/2002	-	700,000	99.59	U S TREASURY BILL
1E0123	8/31/2002	6/28/2002	-	6,840	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	7/1/2002	295,000	-	99.86	U S TREASURY BILL
1E0123	8/31/2002	7/2/2002	165,000	-	99.86	U S TREASURY BILL
1E0123	8/31/2002	7/3/2002	680,000	-	99.87	U S TREASURY BILL
1E0123	8/31/2002	7/5/2002	225,000	-	99.87	U S TREASURY BILL
1E0123	8/31/2002	7/8/2002	230,000	-	99.89	U S TREASURY BILL
1E0123	8/31/2002	7/9/2002	195,000	-	99.89	U S TREASURY BILL
1E0123	8/31/2002	7/10/2002	60,000	-	99.90	U S TREASURY BILL
1E0123	8/31/2002	7/11/2002	-	17,000,000	99.38	U S TREASURY BILL
1E0123	8/31/2002	7/11/2002	300,000	-	99.90	U S TREASURY BILL
1E0123	8/31/2002	7/12/2002	140,000	-	99.91	U S TREASURY BILL
1E0123	8/31/2002	7/15/2002	95,000	-	99.92	U S TREASURY BILL
1E0123	8/31/2002	7/16/2002	345,000	-	99.93	U S TREASURY BILL
1E0123	8/31/2002	7/17/2002	340,000	-	99.93	U S TREASURY BILL
1E0123	8/31/2002	7/18/2002	295,000	-	99.94	U S TREASURY BILL
1E0123	8/31/2002	7/19/2002	390,000	-	99.94	U S TREASURY BILL
1E0123	8/31/2002	7/22/2002	530,000	-	99.95	U S TREASURY BILL
1E0123	8/31/2002	7/23/2002	275,000	-	99.96	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2002	7/24/2002	-	200,673	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	7/24/2002	-	15,001	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	7/24/2002	285,000	-	99.96	U S TREASURY BILL
1E0123	8/31/2002	7/25/2002	550,000	-	99.97	U S TREASURY BILL
1E0123	8/31/2002	7/26/2002	375,000	-	99.97	U S TREASURY BILL
1E0123	8/31/2002	7/29/2002	-	46,000	28.10	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	-	158,045,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	161,030,000	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	100,000	-	31.57	EXXON MOBIL CORP
1E0123	8/31/2002	7/29/2002	116,000	-	16.25	LIMITED BRANDS
1E0123	8/31/2002	7/29/2002	135,000	-	17.76	INTEL CORP
1E0123	8/31/2002	7/29/2002	145,000	-	27.49	CHIRON CORP
1E0123	8/31/2002	7/29/2002	150,000	-	27.03	CHIRON CORP
1E0123	8/31/2002	7/29/2002	155,000	-	28.00	CHIRON CORP
1E0123	8/31/2002	7/29/2002	162,000	-	45.00	FEDEX CORPORATION
1E0123	8/31/2002	7/29/2002	200,000	-	12.50	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	200,000	-	16.81	SARA LEE CORP
1E0123	8/31/2002	7/29/2002	200,000	-	49.30	AMERICAN INTL GROUP INC
1E0123	8/31/2002	7/29/2002	210,000	-	34.95	MORGAN STANLEY
1E0123	8/31/2002	7/29/2002	216,000	-	26.12	PFIZER INC
1E0123	8/31/2002	7/29/2002	230,000	-	10.79	AOL TIME WARNER INC
1E0123	8/31/2002	7/29/2002	250,000	-	43.99	COCA COLA CO
1E0123	8/31/2002	7/29/2002	264,000	-	30.79	EXXON MOBIL CORP
1E0123	8/31/2002	7/29/2002	335,000	-	32.06	BANC ONE CORP
1E0123	8/31/2002	7/29/2002	349,000	-	11.16	HEWLETT PACKARD CO
1E0123	8/31/2002	7/29/2002	158,045,000	-	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	159,030,000	-	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	161,030,000	-	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	171,260,000	-	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	171,260,000	-	105.21	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	155,000	28.00	CHIRON CORP
1E0123	9/30/2002	7/29/2002	-	200,000	49.30	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	-	225,000	47.99	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	-	230,000	10.79	AOL TIME WARNER INC
1E0123	9/30/2002	7/29/2002	-	349,000	11.16	HEWLETT PACKARD CO
1E0123	9/30/2002	7/29/2002	-	157,975,000	112.68	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	103,000	-	34.72	AMGEN INC
1E0123	9/30/2002	7/29/2002	126,000	-	42.09	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	139,000	-	27.62	AMERICAN EXPRESS COMPANY
1E0123	9/30/2002	7/29/2002	140,000	-	78.59	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	141,000	-	43.49	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	152,000	-	32.82	MEDTRONIC INC
1E0123	9/30/2002	7/29/2002	152,000	-	41.63	HCA INC
1E0123	9/30/2002	7/29/2002	178,000	-	17.49	U S BANCORP
1E0123	9/30/2002	7/29/2002	194,000	-	10.79	AOL TIME WARNER INC
1E0123	10/31/2002	7/29/2002	-	46,000	17.15	FOX ENTERTAINMENT GRP INC A
1E0123	10/31/2002	7/29/2002	-	76,000	48.01	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	100,000	43.81	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	112,000	30.97	EXXON MOBIL CORP
1E0123	10/31/2002	7/29/2002	-	115,000	15.89	LIMITED BRANDS
1E0123	10/31/2002	7/29/2002	-	116,000	17.50	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	119,000	48.42	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	127,000	17.38	U S BANCORP
1E0123	10/31/2002	7/29/2002	-	130,000	25.01	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	131,000	34.52	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	141,000	43.49	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	145,000	12.12	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	149,000	18.71	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	154,000	42.17	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	163,000	24.51	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	164,000	79.12	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	169,000	12.26	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	190,000	45.51	JOHNSON & JOHNSON
1E0123	10/31/2002	7/29/2002	-	200,000	8.75	AT & T CORP
1E0123	10/31/2002	7/29/2002	-	200,000	28.51	AMERICAN EXPRESS COMPANY

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	225,000	8.42	AT & T CORP
1E0123	10/31/2002	7/29/2002	-	230,000	56.53	BANK OF AMERICA
1E0123	10/31/2002	7/29/2002	-	238,000	18.55	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	239,000	48.08	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	245,000	10.60	AOL TIME WARNER INC
1E0123	10/31/2002	7/29/2002	-	256,000	42.15	MICROSOFT CORP
1E0123	10/31/2002	7/29/2002	-	2,625,000	99.99	U S TREASURY BILL
1E0123	10/31/2002	7/29/2002	163,970,000	-	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	265,000	55.37	BANK OF AMERICA
1E0123	8/31/2002	7/29/2002	-	162,010,000	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	163,970,000	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	165,000,000	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	170,310,000	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	170,310,000	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	58,000	-	16.79	FOX ENTERTAINMENT GRP INC A
1E0123	8/31/2002	7/29/2002	62,000	-	16.53	FOX ENTERTAINMENT GRP INC A
1E0123	8/31/2002	7/29/2002	68,000	-	21.62	CAMPBELL SOUP CO
1E0123	8/31/2002	7/29/2002	115,000	-	17.77	NORFOLK SOUTHERN CORP
1E0123	8/31/2002	7/29/2002	126,000	-	44.05	FEDEX CORPORATION
1E0123	8/31/2002	7/29/2002	132,000	-	43.00	HCA INC
1E0123	8/31/2002	7/29/2002	140,000	-	24.13	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	141,000	-	12.48	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	142,000	-	15.56	LIMITED BRANDS
1E0123	8/31/2002	7/29/2002	189,000	-	20.31	CLEAR CHANNEL COMMUNICATIONS
1E0123	8/31/2002	7/29/2002	200,000	-	18.00	INTEL CORP
1E0123	8/31/2002	7/29/2002	214,000	-	44.79	COCA COLA CO
1E0123	8/31/2002	7/29/2002	220,000	-	43.83	COCA COLA CO
1E0123	8/31/2002	7/29/2002	230,000	-	28.46	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	245,000	-	12.31	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	245,000	-	33.86	MORGAN STANLEY
1E0123	8/31/2002	7/29/2002	262,000	-	19.62	JP MORGAN CHASE & CO
1E0123	8/31/2002	7/29/2002	300,000	-	31.90	BANC ONE CORP
1E0123	8/31/2002	7/29/2002	330,000	-	9.95	EL PASO CORPORATION
1E0123	8/31/2002	7/29/2002	331,000	-	38.89	MERCK & CO
1E0123	8/31/2002	7/29/2002	159,030,000	-	112.68	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	200,000	18.00	INTEL CORP
1E0123	9/30/2002	7/29/2002	-	225,000	27.27	AMERICAN EXPRESS COMPANY
1E0123	9/30/2002	7/29/2002	-	245,000	12.31	CISCO SYSTEMS INC
1E0123	9/30/2002	7/29/2002	-	245,000	33.86	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	-	262,000	19.62	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	-	267,000	78.22	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	-	300,000	31.90	BANC ONE CORP
1E0123	9/30/2002	7/29/2002	-	330,000	9.95	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	-	350,000	32.79	MEDTRONIC INC
1E0123	9/30/2002	7/29/2002	122,000	-	43.56	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	132,000	-	44.56	JOHNSON & JOHNSON
1E0123	9/30/2002	7/29/2002	137,000	-	41.77	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	146,000	-	30.27	VIACOM INC
1E0123	9/30/2002	7/29/2002	149,000	-	27.89	HOME DEPOT INC
1E0123	9/30/2002	7/29/2002	164,000	-	39.07	MERCK & CO
1E0123	9/30/2002	7/29/2002	173,000	-	33.86	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	173,000	-	47.97	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	179,000	-	41.97	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	188,000	-	32.79	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	48,000	21.56	CAMPBELL SOUP CO
1E0123	10/31/2002	7/29/2002	-	57,000	21.74	CAMPBELL SOUP CO
1E0123	10/31/2002	7/29/2002	-	76,000	25.00	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	87,000	20.52	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	93,000	12.27	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	124,000	11.46	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	137,000	41.77	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	139,000	9.89	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	142,000	32.84	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	149,000	12.31	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	153,000	39.16	MERCK & CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	154,000	43.97	FEDEX CORPORATION
1E0123	10/31/2002	7/29/2002	-	157,000	11.09	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	162,000	30.17	VIACOM INC
1E0123	10/31/2002	7/29/2002	-	173,000	47.97	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	179,000	41.97	MICROSOFT CORP
1E0123	10/31/2002	7/29/2002	-	182,000	79.06	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	200,000	30.22	VIACOM INC
1E0123	10/31/2002	7/29/2002	-	265,000	10.92	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	265,000	31.83	BANC ONE CORP
1E0123	8/31/2002	7/29/2002	-	158,045,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	169,770,000	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	55,000	-	21.89	CAMPBELL SOUP CO
1E0123	8/31/2002	7/29/2002	66,000	-	25.09	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	76,000	-	25.00	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	100,000	-	42.50	WELLS FARGO & CO NEW
1E0123	8/31/2002	7/29/2002	124,000	-	11.46	HEWLETT PACKARD CO
1E0123	8/31/2002	7/29/2002	142,000	-	12.22	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	147,000	-	21.59	CLEAR CHANNEL COMMUNICATIONS
1E0123	8/31/2002	7/29/2002	200,000	-	30.22	VIACOM INC
1E0123	8/31/2002	7/29/2002	225,000	-	27.27	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	230,000	-	34.12	MORGAN STANLEY
1E0123	8/31/2002	7/29/2002	236,000	-	25.47	PFIZER INC
1E0123	8/31/2002	7/29/2002	262,000	-	17.32	U S BANCORP
1E0123	8/31/2002	7/29/2002	265,000	-	10.92	HEWLETT PACKARD CO
1E0123	8/31/2002	7/29/2002	265,000	-	32.84	MEDTRONIC INC
1E0123	8/31/2002	7/29/2002	286,000	-	44.26	JOHNSON & JOHNSON
1E0123	8/31/2002	7/29/2002	293,000	-	43.78	PHILIP MORRIS COMPANIES INC
1E0123	8/31/2002	7/29/2002	300,000	-	17.53	U S BANCORP
1E0123	8/31/2002	7/29/2002	350,000	-	32.79	MEDTRONIC INC
1E0123	8/31/2002	7/29/2002	158,045,000	-	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	161,030,000	-	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	162,010,000	-	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	163,970,000	-	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	165,000,000	-	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	170,310,000	-	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	170,715,000	-	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	170,715,000	-	105.41	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	230,000	34.12	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	-	262,000	17.32	U S BANCORP
1E0123	9/30/2002	7/29/2002	-	286,000	44.26	JOHNSON & JOHNSON
1E0123	9/30/2002	7/29/2002	-	300,000	17.53	U S BANCORP
1E0123	9/30/2002	7/29/2002	-	331,000	38.89	MERCK & CO
1E0123	9/30/2002	7/29/2002	87,000	-	20.52	CLEAR CHANNEL COMMUNICATIONS
1E0123	9/30/2002	7/29/2002	93,000	-	12.27	CISCO SYSTEMS INC
1E0123	9/30/2002	7/29/2002	119,000	-	8.60	AT & T CORP
1E0123	9/30/2002	7/29/2002	137,000	-	27.78	HOME DEPOT INC
1E0123	9/30/2002	7/29/2002	139,000	-	9.89	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	139,000	-	17.42	INTEL CORP
1E0123	9/30/2002	7/29/2002	139,000	-	41.59	HCA INC
1E0123	9/30/2002	7/29/2002	142,000	-	32.84	MEDTRONIC INC
1E0123	9/30/2002	7/29/2002	156,000	-	18.83	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	157,000	-	9.97	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	157,000	-	11.09	HEWLETT PACKARD CO
1E0123	9/30/2002	7/29/2002	161,000	-	17.41	U S BANCORP
1E0123	9/30/2002	7/29/2002	162,000	-	30.17	VIACOM INC
1E0123	9/30/2002	7/29/2002	187,000	-	43.78	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	196,000	-	25.34	PFIZER INC
1E0123	10/31/2002	7/29/2002	-	86,000	44.06	COCA COLA CO
1E0123	10/31/2002	7/29/2002	-	100,000	16.37	LIMITED BRANDS
1E0123	10/31/2002	7/29/2002	-	100,000	28.10	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	115,000	17.77	NORFOLK SOUTHERN CORP
1E0123	10/31/2002	7/29/2002	-	125,000	17.31	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	128,000	18.89	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	132,000	43.00	HCA INC
1E0123	10/31/2002	7/29/2002	-	139,000	17.32	U S BANCORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	139,000	41.59	HCA INC
1E0123	10/31/2002	7/29/2002	-	144,000	17.68	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	153,000	21.63	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	164,000	39.07	MERCK & CO
1E0123	10/31/2002	7/29/2002	-	167,000	8.58	AT & T CORP
1E0123	10/31/2002	7/29/2002	-	168,000	41.87	HCA INC
1E0123	10/31/2002	7/29/2002	-	174,000	44.58	FEDEX CORPORATION
1E0123	10/31/2002	7/29/2002	-	184,000	26.00	PFIZER INC
1E0123	10/31/2002	7/29/2002	-	184,000	28.12	HOME DEPOT INC
1E0123	10/31/2002	7/29/2002	-	189,000	20.31	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	200,000	35.78	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	200,000	55.61	BANK OF AMERICA
1E0123	10/31/2002	7/29/2002	-	230,000	8.61	AT & T CORP
1E0123	10/31/2002	7/29/2002	-	236,000	28.00	HOME DEPOT INC
1E0123	10/31/2002	7/29/2002	-	245,000	27.35	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	-	159,030,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	159,030,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	163,970,000	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	171,260,000	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	171,260,000	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	18,000	-	11.52	HEWLETT PACKARD CO
1E0123	8/31/2002	7/29/2002	46,000	-	28.10	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	96,000	-	28.00	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	103,000	-	25.20	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	112,000	-	19.89	JP MORGAN CHASE & CO
1E0123	8/31/2002	7/29/2002	115,000	-	15.89	LIMITED BRANDS
1E0123	8/31/2002	7/29/2002	116,000	-	17.50	INTEL CORP
1E0123	8/31/2002	7/29/2002	138,000	-	45.15	FEDEX CORPORATION
1E0123	8/31/2002	7/29/2002	169,000	-	16.07	LIMITED BRANDS
1E0123	8/31/2002	7/29/2002	190,000	-	45.51	JOHNSON & JOHNSON
1E0123	8/31/2002	7/29/2002	205,000	-	44.19	JOHNSON & JOHNSON
1E0123	8/31/2002	7/29/2002	225,000	-	34.49	AMGEN INC
1E0123	8/31/2002	7/29/2002	230,000	-	35.63	AMGEN INC
1E0123	8/31/2002	7/29/2002	238,000	-	18.55	JP MORGAN CHASE & CO
1E0123	8/31/2002	7/29/2002	239,000	-	48.08	AMERICAN INTL GROUP INC
1E0123	8/31/2002	7/29/2002	245,000	-	10.60	AOL TIME WARNER INC
1E0123	8/31/2002	7/29/2002	281,000	-	31.22	EXXON MOBIL CORP
1E0123	8/31/2002	7/29/2002	285,000	-	32.91	MEDTRONIC INC
1E0123	8/31/2002	7/29/2002	300,000	-	10.03	EL PASO CORPORATION
1E0123	8/31/2002	7/29/2002	300,000	-	39.07	MERCK & CO
1E0123	8/31/2002	7/29/2002	355,000	-	41.52	HCA INC
1E0123	8/31/2002	7/29/2002	360,000	-	41.71	MICROSOFT CORP
1E0123	8/31/2002	7/29/2002	162,010,000	-	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	165,000,000	-	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	169,770,000	-	105.41	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	250,000	43.99	COCA COLA CO
1E0123	9/30/2002	7/29/2002	-	300,000	39.07	MERCK & CO
1E0123	9/30/2002	7/29/2002	-	355,000	41.52	HCA INC
1E0123	9/30/2002	7/29/2002	-	360,000	41.71	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	76,000	-	17.94	INTEL CORP
1E0123	9/30/2002	7/29/2002	76,000	-	48.01	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	112,000	-	9.78	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	127,000	-	43.97	COCA COLA CO
1E0123	9/30/2002	7/29/2002	128,000	-	41.83	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	131,000	-	34.52	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	152,000	-	43.69	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	153,000	-	9.70	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	169,000	-	11.16	HEWLETT PACKARD CO
1E0123	9/30/2002	7/29/2002	183,000	-	17.53	U S BANCORP
1E0123	9/30/2002	7/29/2002	184,000	-	30.06	VIACOM INC
1E0123	9/30/2002	7/29/2002	186,000	-	27.27	AMERICAN EXPRESS COMPANY
1E0123	10/31/2002	7/29/2002	-	49,000	27.63	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	68,000	34.61	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	86,000	41.89	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	100,000	31.57	EXXON MOBIL CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	100,000	35.88	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	105,000	25.15	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	106,000	27.81	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	112,000	23.92	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	118,000	41.92	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	125,000	20.25	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	127,000	43.97	COCA COLA CO
1E0123	10/31/2002	7/29/2002	-	135,000	17.76	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	139,000	78.91	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	140,000	78.59	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	142,000	17.57	U S BANCORP
1E0123	10/31/2002	7/29/2002	-	147,000	24.68	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	152,000	32.82	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	152,000	41.63	HCA INC
1E0123	10/31/2002	7/29/2002	-	159,000	34.12	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	160,000	30.19	VIACOM INC
1E0123	10/31/2002	7/29/2002	-	162,000	45.00	FEDEX CORPORATION
1E0123	10/31/2002	7/29/2002	-	205,000	55.49	BANK OF AMERICA
1E0123	10/31/2002	7/29/2002	-	216,000	44.40	COCA COLA CO
1E0123	10/31/2002	7/29/2002	-	219,000	45.39	JOHNSON & JOHNSON
1E0123	10/31/2002	7/29/2002	-	220,000	27.59	HOME DEPOT INC
1E0123	10/31/2002	7/29/2002	-	230,000	48.12	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	245,000	41.75	HCA INC
1E0123	10/31/2002	7/29/2002	-	264,000	30.79	EXXON MOBIL CORP
1E0123	10/31/2002	7/29/2002	300,000	-	99.99	U S TREASURY BILL
1E0123	10/31/2002	7/29/2002	169,770,000	-	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	13,250,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	161,030,000	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	163,970,000	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	170,715,000	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	105,000	-	25.15	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	120,000	-	17.43	NORFOLK SOUTHERN CORP
1E0123	8/31/2002	7/29/2002	128,000	-	16.66	SARA LEE CORP
1E0123	8/31/2002	7/29/2002	129,000	-	26.75	CHIRON CORP
1E0123	8/31/2002	7/29/2002	130,000	-	25.01	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	147,000	-	24.68	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	154,000	-	43.97	FEDEX CORPORATION
1E0123	8/31/2002	7/29/2002	163,000	-	24.51	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	180,000	-	16.74	SARA LEE CORP
1E0123	8/31/2002	7/29/2002	184,000	-	28.12	HOME DEPOT INC
1E0123	8/31/2002	7/29/2002	200,000	-	35.78	AMGEN INC
1E0123	8/31/2002	7/29/2002	205,000	-	55.49	BANK OF AMERICA
1E0123	8/31/2002	7/29/2002	230,000	-	8.61	AT & T CORP
1E0123	8/31/2002	7/29/2002	230,000	-	48.12	AMERICAN INTL GROUP INC
1E0123	8/31/2002	7/29/2002	245,000	-	41.75	HCA INC
1E0123	8/31/2002	7/29/2002	248,000	-	41.83	WELLS FARGO & CO NEW
1E0123	8/31/2002	7/29/2002	265,000	-	55.37	BANK OF AMERICA
1E0123	8/31/2002	7/29/2002	286,000	-	11.07	HEWLETT PACKARD CO
1E0123	8/31/2002	7/29/2002	288,000	-	18.71	JP MORGAN CHASE & CO
1E0123	8/31/2002	7/29/2002	300,000	-	42.00	HCA INC
1E0123	8/31/2002	7/29/2002	333,000	-	79.06	PROCTER & GAMBLE CO
1E0123	8/31/2002	7/29/2002	338,000	-	17.49	U S BANCORP
1E0123	8/31/2002	7/29/2002	158,045,000	-	112.68	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	248,000	41.83	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	-	288,000	18.71	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	-	300,000	42.00	HCA INC
1E0123	9/30/2002	7/29/2002	-	333,000	79.06	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	-	338,000	17.49	U S BANCORP
1E0123	9/30/2002	7/29/2002	112,000	-	30.97	EXXON MOBIL CORP
1E0123	9/30/2002	7/29/2002	118,000	-	41.92	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	127,000	-	34.47	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	128,000	-	42.00	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	139,000	-	17.32	U S BANCORP
1E0123	9/30/2002	7/29/2002	139,000	-	78.91	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	142,000	-	17.57	U S BANCORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	9/30/2002	7/29/2002	146,000	-	9.86	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	154,000	-	42.17	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	164,000	-	79.12	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	167,000	-	31.09	EXXON MOBIL CORP
1E0123	9/30/2002	7/29/2002	168,000	-	41.87	HCA INC
1E0123	9/30/2002	7/29/2002	174,000	-	18.94	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	174,000	-	32.91	MEDTRONIC INC
1E0123	9/30/2002	7/29/2002	217,000	-	39.04	MERCK & CO
1E0123	9/30/2002	7/29/2002	279,000	-	31.90	BANC ONE CORP
1E0123	9/30/2002	7/29/2002	13,250,000	-	112.68	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	144,250,000	-	112.68	U S TREASURY NOTE
1E0123	10/31/2002	7/29/2002	-	54,000	17.01	FOX ENTERTAINMENT GRP INC A
1E0123	10/31/2002	7/29/2002	-	58,000	16.79	FOX ENTERTAINMENT GRP INC A
1E0123	10/31/2002	7/29/2002	-	105,000	18.02	NORFOLK SOUTHERN CORP
1E0123	10/31/2002	7/29/2002	-	116,000	16.25	LIMITED BRANDS
1E0123	10/31/2002	7/29/2002	-	118,000	44.37	JOHNSON & JOHNSON
1E0123	10/31/2002	7/29/2002	-	127,000	34.47	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	128,000	41.83	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	140,000	24.13	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	146,000	30.27	VIACOM INC
1E0123	10/31/2002	7/29/2002	-	150,000	27.03	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	152,000	43.69	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	153,000	9.70	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	161,000	17.41	U S BANCORP
1E0123	10/31/2002	7/29/2002	-	167,000	31.09	EXXON MOBIL CORP
1E0123	10/31/2002	7/29/2002	-	178,000	78.64	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	183,000	17.53	U S BANCORP
1E0123	10/31/2002	7/29/2002	-	184,000	30.06	VIACOM INC
1E0123	10/31/2002	7/29/2002	-	215,000	33.77	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	236,000	25.47	PFIZER INC
1E0123	10/31/2002	7/29/2002	-	244,000	43.53	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	265,000	32.84	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	279,000	31.90	BANC ONE CORP
1E0123	10/31/2002	7/29/2002	-	281,000	31.22	EXXON MOBIL CORP
1E0123	10/31/2002	7/29/2002	-	300,000	10.03	EL PASO CORPORATION
1E0123	8/31/2002	7/29/2002	-	161,030,000	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	169,770,000	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	10,000	-	43.10	HCA INC
1E0123	8/31/2002	7/29/2002	100,000	-	16.37	LIMITED BRANDS
1E0123	8/31/2002	7/29/2002	100,000	-	28.10	CHIRON CORP
1E0123	8/31/2002	7/29/2002	100,000	-	35.88	AMGEN INC
1E0123	8/31/2002	7/29/2002	144,000	-	17.68	INTEL CORP
1E0123	8/31/2002	7/29/2002	169,000	-	12.26	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	174,000	-	44.58	FEDEX CORPORATION
1E0123	8/31/2002	7/29/2002	180,000	-	17.42	INTEL CORP
1E0123	8/31/2002	7/29/2002	184,000	-	26.00	PFIZER INC
1E0123	8/31/2002	7/29/2002	200,000	-	8.75	AT & T CORP
1E0123	8/31/2002	7/29/2002	200,000	-	10.84	AOL TIME WARNER INC
1E0123	8/31/2002	7/29/2002	225,000	-	8.42	AT & T CORP
1E0123	8/31/2002	7/29/2002	225,000	-	10.58	AOL TIME WARNER INC
1E0123	8/31/2002	7/29/2002	225,000	-	47.99	AMERICAN INTL GROUP INC
1E0123	8/31/2002	7/29/2002	236,000	-	28.00	HOME DEPOT INC
1E0123	8/31/2002	7/29/2002	256,000	-	42.15	MICROSOFT CORP
1E0123	8/31/2002	7/29/2002	263,000	-	43.49	PHILIP MORRIS COMPANIES INC
1E0123	8/31/2002	7/29/2002	265,000	-	31.83	BANC ONE CORP
1E0123	8/31/2002	7/29/2002	287,000	-	42.20	WELLS FARGO & CO NEW
1E0123	8/31/2002	7/29/2002	360,000	-	30.06	VIACOM INC
1E0123	8/31/2002	7/29/2002	163,970,000	-	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	169,770,000	-	105.41	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	180,000	17.42	INTEL CORP
1E0123	9/30/2002	7/29/2002	-	287,000	42.20	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	-	360,000	30.06	VIACOM INC
1E0123	9/30/2002	7/29/2002	97,000	-	48.61	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	104,000	-	17.88	INTEL CORP
1E0123	9/30/2002	7/29/2002	106,000	-	27.81	CHIRON CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	9/30/2002	7/29/2002	149,000	-	12.31	CISCO SYSTEMS INC
1E0123	9/30/2002	7/29/2002	149,000	-	18.71	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	167,000	-	8.58	AT & T CORP
1E0123	9/30/2002	7/29/2002	178,000	-	78.97	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	182,000	-	79.06	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	18,000	11.52	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	42,000	36.00	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	96,000	28.00	AMERICAN EXPRESS COMPANY
1E0123	10/31/2002	7/29/2002	-	97,000	48.61	AMERICAN INTL GROUP INC
1E0123	10/31/2002	7/29/2002	-	103,000	25.20	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	104,000	20.47	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	122,000	43.56	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	126,000	9.93	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	132,000	44.56	JOHNSON & JOHNSON
1E0123	10/31/2002	7/29/2002	-	137,000	27.78	HOME DEPOT INC
1E0123	10/31/2002	7/29/2002	-	138,000	45.15	FEDEX CORPORATION
1E0123	10/31/2002	7/29/2002	-	139,000	27.62	AMERICAN EXPRESS COMPANY
1E0123	10/31/2002	7/29/2002	-	149,000	27.89	HOME DEPOT INC
1E0123	10/31/2002	7/29/2002	-	153,000	41.86	MICROSOFT CORP
1E0123	10/31/2002	7/29/2002	-	156,000	18.83	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	169,000	11.16	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	178,000	78.97	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	186,000	27.27	AMERICAN EXPRESS COMPANY
1E0123	10/31/2002	7/29/2002	-	188,000	32.79	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	210,000	34.95	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	269,000	38.71	MERCK & CO
1E0123	10/31/2002	7/29/2002	161,030,000	-	110.69	U S TREASURY NOTE
1E0123	10/31/2002	7/29/2002	170,310,000	-	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	158,045,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	162,010,000	110.69	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	165,000,000	112.26	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	169,770,000	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	42,000	-	36.00	AMGEN INC
1E0123	8/31/2002	7/29/2002	46,000	-	17.15	FOX ENTERTAINMENT GRP INC A
1E0123	8/31/2002	7/29/2002	48,000	-	21.56	CAMPBELL SOUP CO
1E0123	8/31/2002	7/29/2002	125,000	-	17.31	INTEL CORP
1E0123	8/31/2002	7/29/2002	153,000	-	21.63	CLEAR CHANNEL COMMUNICATIONS
1E0123	8/31/2002	7/29/2002	216,000	-	44.40	COCA COLA CO
1E0123	8/31/2002	7/29/2002	220,000	-	27.59	HOME DEPOT INC
1E0123	8/31/2002	7/29/2002	260,000	-	27.78	HOME DEPOT INC
1E0123	8/31/2002	7/29/2002	265,000	-	41.77	WELLS FARGO & CO NEW
1E0123	8/31/2002	7/29/2002	267,000	-	78.22	PROCTER & GAMBLE CO
1E0123	8/31/2002	7/29/2002	269,000	-	38.71	MERCK & CO
1E0123	8/31/2002	7/29/2002	284,000	-	42.00	MICROSOFT CORP
1E0123	8/31/2002	7/29/2002	300,000	-	79.40	PROCTER & GAMBLE CO
1E0123	8/31/2002	7/29/2002	340,000	-	30.15	VIACOM INC
1E0123	8/31/2002	7/29/2002	170,310,000	-	105.21	U S TREASURY NOTE
1E0123	9/30/2002	7/29/2002	-	260,000	27.78	HOME DEPOT INC
1E0123	9/30/2002	7/29/2002	-	263,000	43.49	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	-	265,000	41.77	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	-	284,000	42.00	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	-	285,000	32.91	MEDTRONIC INC
1E0123	9/30/2002	7/29/2002	-	300,000	79.40	PROCTER & GAMBLE CO
1E0123	9/30/2002	7/29/2002	-	340,000	30.15	VIACOM INC
1E0123	9/30/2002	7/29/2002	49,000	-	27.63	CHIRON CORP
1E0123	9/30/2002	7/29/2002	68,000	-	34.61	AMGEN INC
1E0123	9/30/2002	7/29/2002	104,000	-	20.47	CLEAR CHANNEL COMMUNICATIONS
1E0123	9/30/2002	7/29/2002	117,000	-	41.93	HCA INC
1E0123	9/30/2002	7/29/2002	126,000	-	9.93	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	153,000	-	39.16	MERCK & CO
1E0123	9/30/2002	7/29/2002	153,000	-	41.86	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	159,000	-	34.12	MORGAN STANLEY
1E0123	9/30/2002	7/29/2002	160,000	-	30.19	VIACOM INC
1E0123	9/30/2002	7/29/2002	179,000	-	38.89	MERCK & CO
1E0123	9/30/2002	7/29/2002	2,625,000	-	99.99	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	10,000	43.10	HCA INC
1E0123	10/31/2002	7/29/2002	-	76,000	17.94	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	103,000	34.72	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	104,000	17.88	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	112,000	9.78	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	112,000	19.89	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	117,000	41.93	HCA INC
1E0123	10/31/2002	7/29/2002	-	141,000	12.48	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	145,000	27.49	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	147,000	21.59	CLEAR CHANNEL COMMUNICATIONS
1E0123	10/31/2002	7/29/2002	-	178,000	17.49	U S BANCORP
1E0123	10/31/2002	7/29/2002	-	179,000	38.89	MERCK & CO
1E0123	10/31/2002	7/29/2002	-	194,000	10.79	AOL TIME WARNER INC
1E0123	10/31/2002	7/29/2002	-	200,000	10.84	AOL TIME WARNER INC
1E0123	10/31/2002	7/29/2002	-	214,000	44.79	COCA COLA CO
1E0123	10/31/2002	7/29/2002	-	216,000	26.12	PFIZER INC
1E0123	10/31/2002	7/29/2002	-	225,000	10.58	AOL TIME WARNER INC
1E0123	8/31/2002	7/29/2002	-	144,250,000	112.68	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	170,310,000	105.21	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	-	170,715,000	105.41	U S TREASURY NOTE
1E0123	8/31/2002	7/29/2002	54,000	-	17.01	FOX ENTERTAINMENT GRP INC A
1E0123	8/31/2002	7/29/2002	57,000	-	21.74	CAMPBELL SOUP CO
1E0123	8/31/2002	7/29/2002	100,000	-	43.81	PHILIP MORRIS COMPANIES INC
1E0123	8/31/2002	7/29/2002	105,000	-	18.02	NORFOLK SOUTHERN CORP
1E0123	8/31/2002	7/29/2002	112,000	-	23.92	GENERAL ELECTRIC CO
1E0123	8/31/2002	7/29/2002	125,000	-	20.25	CLEAR CHANNEL COMMUNICATIONS
1E0123	8/31/2002	7/29/2002	145,000	-	12.12	CISCO SYSTEMS INC
1E0123	8/31/2002	7/29/2002	200,000	-	28.51	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	200,000	-	55.61	BANK OF AMERICA
1E0123	8/31/2002	7/29/2002	215,000	-	33.77	MORGAN STANLEY
1E0123	8/31/2002	7/29/2002	219,000	-	45.39	JOHNSON & JOHNSON
1E0123	8/31/2002	7/29/2002	230,000	-	56.53	BANK OF AMERICA
1E0123	8/31/2002	7/29/2002	244,000	-	43.53	PHILIP MORRIS COMPANIES INC
1E0123	8/31/2002	7/29/2002	245,000	-	8.59	AT & T CORP
1E0123	8/31/2002	7/29/2002	245,000	-	27.35	AMERICAN EXPRESS COMPANY
1E0123	8/31/2002	7/29/2002	245,000	-	34.52	AMGEN INC
1E0123	8/31/2002	7/29/2002	255,000	-	30.90	EXXON MOBIL CORP
1E0123	8/31/2002	7/29/2002	264,000	-	25.34	PFIZER INC
1E0123	8/31/2002	7/29/2002	270,000	-	9.70	EL PASO CORPORATION
1E0123	8/31/2002	7/29/2002	286,000	-	20.47	CLEAR CHANNEL COMMUNICATIONS
1E0123	9/30/2002	7/29/2002	-	245,000	8.59	AT & T CORP
1E0123	9/30/2002	7/29/2002	-	245,000	34.52	AMGEN INC
1E0123	9/30/2002	7/29/2002	-	255,000	30.90	EXXON MOBIL CORP
1E0123	9/30/2002	7/29/2002	-	264,000	25.34	PFIZER INC
1E0123	9/30/2002	7/29/2002	-	270,000	9.70	EL PASO CORPORATION
1E0123	9/30/2002	7/29/2002	-	286,000	20.47	CLEAR CHANNEL COMMUNICATIONS
1E0123	9/30/2002	7/29/2002	-	293,000	43.78	PHILIP MORRIS COMPANIES INC
1E0123	9/30/2002	7/29/2002	86,000	-	41.89	WELLS FARGO & CO NEW
1E0123	9/30/2002	7/29/2002	86,000	-	44.06	COCA COLA CO
1E0123	9/30/2002	7/29/2002	118,000	-	44.37	JOHNSON & JOHNSON
1E0123	9/30/2002	7/29/2002	119,000	-	48.42	AMERICAN INTL GROUP INC
1E0123	9/30/2002	7/29/2002	127,000	-	17.38	U S BANCORP
1E0123	9/30/2002	7/29/2002	128,000	-	18.89	JP MORGAN CHASE & CO
1E0123	9/30/2002	7/29/2002	167,000	-	41.71	MICROSOFT CORP
1E0123	9/30/2002	7/29/2002	178,000	-	78.64	PROCTER & GAMBLE CO
1E0123	10/31/2002	7/29/2002	-	55,000	21.89	CAMPBELL SOUP CO
1E0123	10/31/2002	7/29/2002	-	62,000	16.53	FOX ENTERTAINMENT GRP INC A
1E0123	10/31/2002	7/29/2002	-	66,000	25.09	GENERAL ELECTRIC CO
1E0123	10/31/2002	7/29/2002	-	68,000	21.62	CAMPBELL SOUP CO
1E0123	10/31/2002	7/29/2002	-	100,000	42.50	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	119,000	8.60	AT & T CORP
1E0123	10/31/2002	7/29/2002	-	120,000	17.43	NORFOLK SOUTHERN CORP
1E0123	10/31/2002	7/29/2002	-	126,000	42.09	WELLS FARGO & CO NEW
1E0123	10/31/2002	7/29/2002	-	126,000	44.05	FEDEX CORPORATION
1E0123	10/31/2002	7/29/2002	-	128,000	16.66	SARA LEE CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	10/31/2002	7/29/2002	-	128,000	42.00	MICROSOFT CORP
1E0123	10/31/2002	7/29/2002	-	129,000	26.75	CHIRON CORP
1E0123	10/31/2002	7/29/2002	-	139,000	17.42	INTEL CORP
1E0123	10/31/2002	7/29/2002	-	142,000	12.22	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	142,000	15.56	LIMITED BRANDS
1E0123	10/31/2002	7/29/2002	-	146,000	9.86	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	157,000	9.97	EL PASO CORPORATION
1E0123	10/31/2002	7/29/2002	-	167,000	41.71	MICROSOFT CORP
1E0123	10/31/2002	7/29/2002	-	169,000	16.07	LIMITED BRANDS
1E0123	10/31/2002	7/29/2002	-	173,000	33.86	MORGAN STANLEY
1E0123	10/31/2002	7/29/2002	-	174,000	18.94	JP MORGAN CHASE & CO
1E0123	10/31/2002	7/29/2002	-	174,000	32.91	MEDTRONIC INC
1E0123	10/31/2002	7/29/2002	-	180,000	16.74	SARA LEE CORP
1E0123	10/31/2002	7/29/2002	-	187,000	43.78	PHILIP MORRIS COMPANIES INC
1E0123	10/31/2002	7/29/2002	-	196,000	25.34	PFIZER INC
1E0123	10/31/2002	7/29/2002	-	200,000	12.50	CISCO SYSTEMS INC
1E0123	10/31/2002	7/29/2002	-	200,000	16.81	SARA LEE CORP
1E0123	10/31/2002	7/29/2002	-	205,000	44.19	JOHNSON & JOHNSON
1E0123	10/31/2002	7/29/2002	-	217,000	39.04	MERCK & CO
1E0123	10/31/2002	7/29/2002	-	220,000	43.83	COCA COLA CO
1E0123	10/31/2002	7/29/2002	-	225,000	34.49	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	230,000	28.46	AMERICAN EXPRESS COMPANY
1E0123	10/31/2002	7/29/2002	-	230,000	35.63	AMGEN INC
1E0123	10/31/2002	7/29/2002	-	286,000	11.07	HEWLETT PACKARD CO
1E0123	10/31/2002	7/29/2002	-	335,000	32.06	BANC ONE CORP
1E0123	10/31/2002	7/29/2002	157,975,000	-	112.68	U S TREASURY NOTE
1E0123	9/30/2002	7/30/2002	150,000	-	99.99	U S TREASURY BILL
1E0123	9/30/2002	7/31/2002	4,930	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	7/31/2002	-	5,880	1.00	FIDELITY SPARTAN
1E0123	10/31/2002	7/31/2002	14,693	-	1.00	FIDELITY SPARTAN
1E0123	10/31/2002	7/31/2002	200,000	-	100.00	U S TREASURY BILL
1E0123	8/31/2002	7/31/2002	45,541	-	1.00	FIDELITY SPARTAN
1E0123	9/30/2002	7/31/2002	-	45,541	1.00	FIDELITY SPARTAN
1E0123	10/31/2002	7/31/2002	-	275,000	100.00	U S TREASURY BILL
1E0123	9/30/2002	7/31/2002	275,000	-	100.00	U S TREASURY BILL
1E0123	10/31/2002	7/31/2002	-	4,930	1.00	FIDELITY SPARTAN
1E0123	8/31/2002	7/31/2002	-	6,970,000	99.39	U S TREASURY BILL
1E0123	10/31/2002	8/1/2002	8,400,000	-	99.18	U S TREASURY BILL
1E0123	10/31/2002	8/15/2002	23,050,000	-	99.25	U S TREASURY BILL
1E0123	10/31/2002	8/30/2002	33,423	-	1.00	FIDELITY SPARTAN
1E0123	10/31/2002	9/30/2002	-	12,021,648	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	1/2/2003	250,000	-	99.51	U S TREASURY BILL
1E0123	8/31/2003	1/30/2003	-	31,500,000	99.73	U S TREASURY BILL
1E0123	8/31/2003	1/30/2003	32,650,000	-	99.62	U S TREASURY BILL
1E0123	8/31/2003	1/31/2003	275,000	-	99.63	U S TREASURY BILL
1E0123	8/31/2003	1/31/2003	3,934	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	2/3/2003	675,000	-	99.63	U S TREASURY BILL
1E0123	8/31/2003	2/14/2003	4,925,000	-	99.67	U S TREASURY BILL
1E0123	8/31/2003	2/14/2003	-	22,945,000	99.76	U S TREASURY BILL
1E0123	8/31/2003	2/25/2003	275,000	-	99.70	U S TREASURY BILL
1E0123	8/31/2003	2/28/2003	200,000	-	99.71	U S TREASURY BILL
1E0123	8/31/2003	2/28/2003	1,049	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	3/7/2003	300,000	-	99.75	U S TREASURY BILL
1E0123	8/31/2003	3/14/2003	300,000	-	99.77	U S TREASURY BILL
1E0123	8/31/2003	3/31/2003	11,894	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	4/1/2003	525,000	-	99.82	U S TREASURY BILL
1E0123	8/31/2003	4/24/2003	-	31,725,000	99.56	U S TREASURY BILL
1E0123	8/31/2003	4/30/2003	9,159	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	4/30/2003	275,000	-	99.91	U S TREASURY BILL
1E0123	8/31/2003	5/1/2003	675,000	-	99.92	U S TREASURY BILL
1E0123	8/31/2003	5/1/2003	-	23,045,000	99.55	U S TREASURY BILL
1E0123	8/31/2003	5/15/2003	600,000	-	99.54	U S TREASURY BILL
1E0123	8/31/2003	5/27/2003	275,000	-	99.58	U S TREASURY BILL
1E0123	8/31/2003	5/28/2003	200,000	-	99.59	U S TREASURY BILL
1E0123	8/31/2003	5/29/2003	42,375,000	-	99.59	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	5/30/2003	1,280	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	5/30/2003	-	4,560	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	6/6/2003	300,000	-	99.63	U S TREASURY BILL
1E0123	8/31/2003	6/16/2003	300,000	-	99.71	U S TREASURY BILL
1E0123	8/31/2003	6/30/2003	12,419	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	7/1/2003	550,000	-	99.74	U S TREASURY BILL
1E0123	8/31/2003	7/31/2003	14,693	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	7/31/2003	11,105	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	7/31/2003	275,000	-	99.80	U S TREASURY BILL
1E0123	8/31/2003	9/3/2003	-	1,000,000	108.28	U S TREASURY NOTE
1E0123	8/31/2003	9/3/2003	35,000	-	29.07	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/4/2003	15,000	-	22.00	GENZYME CORP
1E0123	8/31/2003	9/4/2003	25,000	-	21.94	GENZYME CORP
1E0123	8/31/2003	9/4/2003	38,000	-	28.77	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/4/2003	-	1,775,000	108.31	U S TREASURY NOTE
1E0123	8/31/2003	9/5/2003	35,000	-	20.89	GENZYME CORP
1E0123	8/31/2003	9/5/2003	-	2,025,000	108.56	U S TREASURY NOTE
1E0123	8/31/2003	9/5/2003	15,000	-	28.79	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/5/2003	50,000	-	20.79	GENZYME CORP
1E0123	8/31/2003	9/6/2003	50,000	-	10.05	ALTERA CORP
1E0123	8/31/2003	9/6/2003	-	4,375,000	107.88	U S TREASURY NOTE
1E0123	8/31/2003	9/6/2003	42,000	-	19.85	GENZYME CORP
1E0123	8/31/2003	9/6/2003	100,000	-	9.90	YAHOO INC
1E0123	8/31/2003	9/6/2003	88,000	-	10.10	ALTERA CORP
1E0123	8/31/2003	9/6/2003	34,000	-	14.99	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/6/2003	45,000	-	9.99	ALTERA CORP
1E0123	8/31/2003	9/6/2003	75,000	-	10.00	YAHOO INC
1E0123	8/31/2003	9/9/2003	90,000	-	9.82	YAHOO INC
1E0123	8/31/2003	9/9/2003	55,000	-	9.99	ALTERA CORP
1E0123	8/31/2003	9/9/2003	40,000	-	10.11	ALTERA CORP
1E0123	8/31/2003	9/9/2003	105,000	-	9.60	YAHOO INC
1E0123	8/31/2003	9/9/2003	-	3,825,000	107.50	U S TREASURY NOTE
1E0123	8/31/2003	9/9/2003	36,000	-	19.57	GENZYME CORP
1E0123	8/31/2003	9/9/2003	40,000	-	14.40	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/10/2003	50,000	-	10.00	ALTERA CORP
1E0123	8/31/2003	9/10/2003	35,000	-	10.03	ALTERA CORP
1E0123	8/31/2003	9/10/2003	52,000	-	9.83	ALTERA CORP
1E0123	8/31/2003	9/10/2003	-	5,250,000	107.97	U S TREASURY NOTE
1E0123	8/31/2003	9/10/2003	50,000	-	13.63	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/10/2003	34,000	-	27.91	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/10/2003	35,000	-	9.95	ALTERA CORP
1E0123	8/31/2003	9/10/2003	95,000	-	9.40	YAHOO INC
1E0123	8/31/2003	9/10/2003	42,000	-	19.15	GENZYME CORP
1E0123	8/31/2003	9/10/2003	65,000	-	9.89	ALTERA CORP
1E0123	8/31/2003	9/11/2003	-	6,425,000	107.47	U S TREASURY NOTE
1E0123	8/31/2003	9/11/2003	55,000	-	9.63	YAHOO INC
1E0123	8/31/2003	9/11/2003	60,000	-	10.20	ALTERA CORP
1E0123	8/31/2003	9/11/2003	18,000	-	28.31	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/11/2003	37,000	-	10.25	ALTERA CORP
1E0123	8/31/2003	9/11/2003	37,000	-	10.18	ALTERA CORP
1E0123	8/31/2003	9/11/2003	40,000	-	13.50	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/11/2003	55,000	-	10.22	ALTERA CORP
1E0123	8/31/2003	9/11/2003	30,000	-	28.22	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/11/2003	30,000	-	31.82	GENENTECH INC
1E0123	8/31/2003	9/11/2003	33,000	-	19.63	GENZYME CORP
1E0123	8/31/2003	9/11/2003	75,000	-	9.70	YAHOO INC
1E0123	8/31/2003	9/11/2003	18,000	-	13.62	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/12/2003	25,000	-	31.10	GENENTECH INC
1E0123	8/31/2003	9/12/2003	50,000	-	28.73	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/12/2003	65,000	-	90.61	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/12/2003	55,000	-	18.70	GENZYME CORP
1E0123	8/31/2003	9/12/2003	-	12,550,000	108.25	U S TREASURY NOTE
1E0123	8/31/2003	9/12/2003	47,000	-	9.95	ALTERA CORP
1E0123	8/31/2003	9/12/2003	50,000	-	13.21	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/12/2003	100,000	-	9.75	YAHOO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	9/12/2003	28,000	-	28.89	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/12/2003	38,000	-	30.99	GENENTECH INC
1E0123	8/31/2003	9/12/2003	43,000	-	9.80	YAHOO INC
1E0123	8/31/2003	9/13/2003	30,000	-	19.71	GENZYME CORP
1E0123	8/31/2003	9/13/2003	75,000	-	91.61	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/13/2003	45,000	-	13.70	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/13/2003	50,000	-	10.40	ALTERA CORP
1E0123	8/31/2003	9/13/2003	49,000	-	10.30	YAHOO INC
1E0123	8/31/2003	9/13/2003	100,000	-	27.71	GENENTECH INC
1E0123	8/31/2003	9/13/2003	-	7,425,000	113.91	U S TREASURY NOTE
1E0123	8/31/2003	9/13/2003	-	10,685,000	108.22	U S TREASURY NOTE
1E0123	8/31/2003	9/13/2003	13,000	-	10.32	YAHOO INC
1E0123	8/31/2003	9/13/2003	32,000	-	10.53	ALTERA CORP
1E0123	8/31/2003	9/13/2003	60,000	-	27.70	GENENTECH INC
1E0123	8/31/2003	9/13/2003	75,000	-	27.82	GENENTECH INC
1E0123	8/31/2003	9/13/2003	43,000	-	29.59	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/13/2003	63,000	-	10.27	YAHOO INC
1E0123	8/31/2003	9/13/2003	75,000	-	27.77	GENENTECH INC
1E0123	8/31/2003	9/16/2003	23,000	-	20.11	GENZYME CORP
1E0123	8/31/2003	9/16/2003	86,000	-	91.76	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/16/2003	18,000	-	14.21	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/16/2003	25,000	-	30.34	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/16/2003	45,000	-	10.71	YAHOO INC
1E0123	8/31/2003	9/16/2003	-	10,000,000	113.91	U S TREASURY NOTE
1E0123	8/31/2003	9/16/2003	46,000	-	29.42	GENENTECH INC
1E0123	8/31/2003	9/16/2003	50,000	-	10.65	YAHOO INC
1E0123	8/31/2003	9/17/2003	45,000	-	10.53	YAHOO INC
1E0123	8/31/2003	9/17/2003	15,000	-	19.35	GENZYME CORP
1E0123	8/31/2003	9/17/2003	25,000	-	13.77	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/17/2003	40,000	-	29.99	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/17/2003	95,000	-	91.03	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/17/2003	-	12,125,000	114.59	U S TREASURY NOTE
1E0123	8/31/2003	9/17/2003	33,000	-	28.61	GENENTECH INC
1E0123	8/31/2003	9/17/2003	37,000	-	19.29	GENZYME CORP
1E0123	8/31/2003	9/17/2003	40,000	-	10.50	YAHOO INC
1E0123	8/31/2003	9/17/2003	30,000	-	13.65	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/17/2003	50,000	-	10.49	YAHOO INC
1E0123	8/31/2003	9/18/2003	33,000	-	18.33	GENZYME CORP
1E0123	8/31/2003	9/18/2003	-	13,775,000	114.41	U S TREASURY NOTE
1E0123	8/31/2003	9/18/2003	9,000	-	10.40	YAHOO INC
1E0123	8/31/2003	9/18/2003	100,000	-	91.69	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/18/2003	30,000	-	30.05	BOSTON SCIENTIFIC
1E0123	8/31/2003	9/18/2003	43,000	-	10.33	YAHOO INC
1E0123	8/31/2003	9/18/2003	10,000	-	91.50	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/18/2003	55,000	-	28.70	GENENTECH INC
1E0123	8/31/2003	9/18/2003	45,000	-	18.27	GENZYME CORP
1E0123	8/31/2003	9/18/2003	50,000	-	18.18	GENZYME CORP
1E0123	8/31/2003	9/18/2003	38,000	-	10.32	YAHOO INC
1E0123	8/31/2003	9/19/2003	25,000	-	12.48	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/19/2003	35,000	-	92.77	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/19/2003	-	10,650,000	114.91	U S TREASURY NOTE
1E0123	8/31/2003	9/19/2003	44,000	-	30.21	GENENTECH INC
1E0123	8/31/2003	9/19/2003	80,000	-	92.70	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/20/2003	40,000	-	31.77	GENENTECH INC
1E0123	8/31/2003	9/20/2003	75,000	-	16.69	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/20/2003	50,000	-	93.07	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/20/2003	30,000	-	12.29	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/20/2003	-	14,950,000	114.88	U S TREASURY NOTE
1E0123	8/31/2003	9/20/2003	40,000	-	17.00	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/20/2003	20,000	-	31.84	GENENTECH INC
1E0123	8/31/2003	9/20/2003	90,000	-	93.26	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/23/2003	35,000	-	21.21	ANALOG DEVICES
1E0123	8/31/2003	9/23/2003	75,000	-	15.55	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/23/2003	88,000	-	15.68	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/23/2003	-	13,925,000	115.56	U S TREASURY NOTE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	9/23/2003	28,000	-	21.31	ANALOG DEVICES
1E0123	8/31/2003	9/23/2003	40,000	-	30.70	GENENTECH INC
1E0123	8/31/2003	9/23/2003	80,000	-	92.69	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/23/2003	33,000	-	12.02	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/23/2003	35,000	-	92.58	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/24/2003	-	16,150,000	115.88	U S TREASURY NOTE
1E0123	8/31/2003	9/24/2003	15,000	-	20.51	ANALOG DEVICES
1E0123	8/31/2003	9/24/2003	40,000	-	11.87	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/24/2003	42,000	-	30.27	GENENTECH INC
1E0123	8/31/2003	9/24/2003	50,000	-	20.36	ANALOG DEVICES
1E0123	8/31/2003	9/24/2003	15,000	-	11.90	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/24/2003	63,000	-	15.46	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/24/2003	50,000	-	15.25	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/24/2003	110,000	-	92.27	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/24/2003	40,000	-	92.18	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/25/2003	15,000	-	12.11	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/25/2003	80,000	-	91.25	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/25/2003	75,000	-	91.09	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/25/2003	80,000	-	13.57	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/25/2003	48,000	-	12.06	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/25/2003	-	24,875,000	115.03	U S TREASURY NOTE
1E0123	8/31/2003	9/25/2003	30,000	-	19.99	ANALOG DEVICES
1E0123	8/31/2003	9/25/2003	40,000	-	29.69	GENENTECH INC
1E0123	8/31/2003	9/25/2003	45,000	-	19.82	ANALOG DEVICES
1E0123	8/31/2003	9/25/2003	75,000	-	13.44	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/25/2003	100,000	-	91.11	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/26/2003	60,000	-	90.71	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/26/2003	15,000	-	11.99	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/26/2003	50,000	-	11.86	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/26/2003	53,000	-	19.27	ANALOG DEVICES
1E0123	8/31/2003	9/26/2003	85,000	-	13.79	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/26/2003	62,000	-	13.60	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/26/2003	-	8,500,000	115.00	U S TREASURY NOTE
1E0123	8/31/2003	9/26/2003	30,000	-	19.35	ANALOG DEVICES
1E0123	8/31/2003	9/27/2003	40,000	-	19.29	ANALOG DEVICES
1E0123	8/31/2003	9/27/2003	63,000	-	13.41	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/27/2003	15,000	-	19.40	ANALOG DEVICES
1E0123	8/31/2003	9/27/2003	50,000	-	19.31	ANALOG DEVICES
1E0123	8/31/2003	9/27/2003	85,000	-	90.09	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/27/2003	48,000	-	11.49	NATIONAL SEMICONDUCTOR CORP
1E0123	8/31/2003	9/27/2003	50,000	-	13.66	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/27/2003	55,000	-	13.59	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/27/2003	-	10,750,000	115.72	U S TREASURY NOTE
1E0123	8/31/2003	9/30/2003	-	12,525,000	116.31	U S TREASURY NOTE
1E0123	8/31/2003	9/30/2003	60,000	-	20.25	ANALOG DEVICES
1E0123	8/31/2003	9/30/2003	75,000	-	14.62	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/30/2003	38,000	-	20.32	ANALOG DEVICES
1E0123	8/31/2003	9/30/2003	64,000	-	14.80	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/30/2003	60,000	-	15.00	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/30/2003	75,000	-	89.70	PROCTER & GAMBLE CO
1E0123	8/31/2003	9/30/2003	50,000	-	14.69	VERITAS SOFTWARE CORP
1E0123	8/31/2003	9/30/2003	27,270	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	9/30/2003	25,000	-	89.62	PROCTER & GAMBLE CO
1E0123	8/31/2003	10/1/2003	10,000	-	20.88	ANALOG DEVICES
1E0123	8/31/2003	10/1/2003	50,000	-	20.76	ANALOG DEVICES
1E0123	8/31/2003	10/1/2003	48,000	-	15.38	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/1/2003	60,000	-	15.75	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/1/2003	40,000	-	15.50	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/1/2003	50,000	-	15.29	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/1/2003	40,000	-	20.98	ANALOG DEVICES
1E0123	8/31/2003	10/1/2003	-	4,225,000	115.34	U S TREASURY NOTE
1E0123	8/31/2003	10/2/2003	-	1,155,000	115.72	U S TREASURY NOTE
1E0123	8/31/2003	10/2/2003	-	4,375,000	116.66	U S TREASURY NOTE
1E0123	8/31/2003	10/2/2003	48,000	-	15.37	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/2/2003	115,000	-	10.99	BROADCOM CORP CL A

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	10/2/2003	45,000	-	15.08	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/2/2003	100,000	-	11.05	BROADCOM CORP CL A
1E0123	8/31/2003	10/2/2003	35,000	-	20.80	ANALOG DEVICES
1E0123	8/31/2003	10/2/2003	42,000	-	14.99	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/2/2003	60,000	-	15.17	VERITAS SOFTWARE CORP
1E0123	8/31/2003	10/2/2003	20,000	-	20.99	ANALOG DEVICES
1E0123	8/31/2003	10/3/2003	50,000	-	10.71	BROADCOM CORP CL A
1E0123	8/31/2003	10/3/2003	-	1,225,000	116.56	U S TREASURY NOTE
1E0123	8/31/2003	10/3/2003	85,000	-	10.64	BROADCOM CORP CL A
1E0123	8/31/2003	10/4/2003	-	4,675,000	116.59	U S TREASURY NOTE
1E0123	8/31/2003	10/4/2003	65,000	-	10.93	BROADCOM CORP CL A
1E0123	8/31/2003	10/4/2003	50,000	-	28.08	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/4/2003	87,000	-	27.99	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/4/2003	85,000	-	11.00	BROADCOM CORP CL A
1E0123	8/31/2003	10/7/2003	75,000	-	11.35	BROADCOM CORP CL A
1E0123	8/31/2003	10/7/2003	75,000	-	29.29	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/7/2003	85,000	-	33.34	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/7/2003	-	10,600,000	116.94	U S TREASURY NOTE
1E0123	8/31/2003	10/7/2003	40,000	-	33.30	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/7/2003	125,000	-	29.15	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/7/2003	100,000	-	11.40	BROADCOM CORP CL A
1E0123	8/31/2003	10/7/2003	15,000	-	33.49	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	50,000	-	31.20	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	-	10,750,000	116.72	U S TREASURY NOTE
1E0123	8/31/2003	10/8/2003	50,000	-	31.18	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	50,000	-	31.30	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	75,000	-	10.45	BROADCOM CORP CL A
1E0123	8/31/2003	10/8/2003	50,000	-	31.17	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	56,000	-	27.54	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/8/2003	23,000	-	31.22	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/8/2003	57,500	-	10.38	BROADCOM CORP CL A
1E0123	8/31/2003	10/8/2003	100,000	-	27.60	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/9/2003	-	10,300,000	117.25	U S TREASURY NOTE
1E0123	8/31/2003	10/9/2003	42,000	-	30.09	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/9/2003	75,000	-	30.00	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/9/2003	88,000	-	10.17	BROADCOM CORP CL A
1E0123	8/31/2003	10/9/2003	30,000	-	30.18	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/9/2003	113,000	-	26.41	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/9/2003	45,000	-	30.03	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/9/2003	62,500	-	26.35	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/9/2003	88,000	-	10.00	BROADCOM CORP CL A
1E0123	8/31/2003	10/10/2003	-	12,325,000	116.72	U S TREASURY NOTE
1E0123	8/31/2003	10/10/2003	48,000	-	28.62	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/10/2003	75,000	-	26.35	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/10/2003	75,000	-	32.49	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/10/2003	50,000	-	9.87	BROADCOM CORP CL A
1E0123	8/31/2003	10/10/2003	55,000	-	28.80	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/10/2003	47,000	-	28.60	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/10/2003	60,000	-	32.56	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/10/2003	100,000	-	9.80	BROADCOM CORP CL A
1E0123	8/31/2003	10/10/2003	88,000	-	26.51	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/11/2003	30,000	-	28.72	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/11/2003	43,000	-	10.03	BROADCOM CORP CL A
1E0123	8/31/2003	10/11/2003	55,000	-	9.85	BROADCOM CORP CL A
1E0123	8/31/2003	10/11/2003	80,000	-	25.88	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/11/2003	62,000	-	25.70	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/11/2003	-	11,350,000	115.88	U S TREASURY NOTE
1E0123	8/31/2003	10/11/2003	50,000	-	9.99	BROADCOM CORP CL A
1E0123	8/31/2003	10/11/2003	60,000	-	29.79	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/11/2003	40,000	-	28.50	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/11/2003	50,000	-	29.82	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/11/2003	100,000	-	28.44	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/15/2003	-	12,625,000	114.41	U S TREASURY NOTE
1E0123	8/31/2003	10/15/2003	40,000	-	26.29	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/15/2003	40,000	-	28.60	MERRILL LYNCH & CO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	10/15/2003	50,000	-	26.21	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/15/2003	63,000	-	9.70	BROADCOM CORP CL A
1E0123	8/31/2003	10/15/2003	25,000	-	9.89	BROADCOM CORP CL A
1E0123	8/31/2003	10/15/2003	25,000	-	26.38	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/15/2003	25,000	-	28.55	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/15/2003	37,000	-	26.32	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/15/2003	55,000	-	28.56	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/15/2003	35,000	-	9.86	BROADCOM CORP CL A
1E0123	8/31/2003	10/15/2003	40,000	-	28.79	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/15/2003	34,000	-	10.08	BROADCOM CORP CL A
1E0123	8/31/2003	10/15/2003	48,000	-	28.58	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/15/2003	50,000	-	28.48	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/15/2003	63,000	-	26.17	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/16/2003	15,000	-	28.68	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/16/2003	30,000	-	25.60	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/16/2003	40,000	-	25.53	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/16/2003	50,000	-	10.71	BROADCOM CORP CL A
1E0123	8/31/2003	10/16/2003	50,000	-	28.51	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/16/2003	60,000	-	25.35	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/16/2003	-	9,100,000	114.06	U S TREASURY NOTE
1E0123	8/31/2003	10/16/2003	55,000	-	25.41	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/16/2003	40,000	-	28.53	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/16/2003	40,000	-	28.59	MERRILL LYNCH & CO INC
1E0123	8/31/2003	10/16/2003	48,000	-	10.56	BROADCOM CORP CL A
1E0123	8/31/2003	10/16/2003	55,000	-	10.63	BROADCOM CORP CL A
1E0123	8/31/2003	10/17/2003	-	10,550,000	113.13	U S TREASURY NOTE
1E0123	8/31/2003	10/17/2003	33,000	-	19.82	BEST BUY CO INC
1E0123	8/31/2003	10/17/2003	55,000	-	11.52	BROADCOM CORP CL A
1E0123	8/31/2003	10/17/2003	38,000	-	28.12	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/17/2003	55,000	-	28.40	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/17/2003	28,000	-	19.07	BEST BUY CO INC
1E0123	8/31/2003	10/17/2003	35,000	-	18.99	BEST BUY CO INC
1E0123	8/31/2003	10/17/2003	43,000	-	11.61	BROADCOM CORP CL A
1E0123	8/31/2003	10/17/2003	37,000	-	18.91	BEST BUY CO INC
1E0123	8/31/2003	10/17/2003	50,000	-	11.45	BROADCOM CORP CL A
1E0123	8/31/2003	10/17/2003	70,000	-	28.04	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/17/2003	36,000	-	19.77	BEST BUY CO INC
1E0123	8/31/2003	10/17/2003	43,000	-	28.26	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/17/2003	45,000	-	28.37	KLA TENCOR CORPORATION
1E0123	8/31/2003	10/18/2003	50,000	-	21.74	BEST BUY CO INC
1E0123	8/31/2003	10/18/2003	80,000	-	21.70	BEST BUY CO INC
1E0123	8/31/2003	10/18/2003	-	2,475,000	113.81	U S TREASURY NOTE
1E0123	8/31/2003	10/21/2003	-	3,375,000	112.97	U S TREASURY NOTE
1E0123	8/31/2003	10/21/2003	25,000	-	19.36	BEST BUY CO INC
1E0123	8/31/2003	10/21/2003	53,000	-	19.19	BEST BUY CO INC
1E0123	8/31/2003	10/21/2003	73,000	-	19.22	BEST BUY CO INC
1E0123	8/31/2003	10/21/2003	50,000	-	19.30	BEST BUY CO INC
1E0123	8/31/2003	10/22/2003	40,000	-	20.31	BEST BUY CO INC
1E0123	8/31/2003	10/22/2003	45,000	-	20.25	BEST BUY CO INC
1E0123	8/31/2003	10/22/2003	-	2,925,000	112.97	U S TREASURY NOTE
1E0123	8/31/2003	10/22/2003	55,000	-	20.12	BEST BUY CO INC
1E0123	8/31/2003	10/22/2003	25,000	-	20.34	BEST BUY CO INC
1E0123	8/31/2003	10/23/2003	49,000	-	19.89	BEST BUY CO INC
1E0123	8/31/2003	10/23/2003	-	1,375,000	113.16	U S TREASURY NOTE
1E0123	8/31/2003	10/23/2003	30,000	-	19.98	BEST BUY CO INC
1E0123	8/31/2003	10/24/2003	83,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/24/2003	-	6,975,000	113.88	U S TREASURY NOTE
1E0123	8/31/2003	10/24/2003	28,000	-	20.51	BEST BUY CO INC
1E0123	8/31/2003	10/24/2003	45,000	-	20.35	BEST BUY CO INC
1E0123	8/31/2003	10/24/2003	100,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/25/2003	60,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/25/2003	80,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/25/2003	-	9,575,000	114.06	U S TREASURY NOTE
1E0123	8/31/2003	10/25/2003	75,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/25/2003	95,000	-	35.60	VERIZON COMMUNICATIONS

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2003	10/28/2003	35,000	-	25.49	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/28/2003	-	11,550,000	114.25	U S TREASURY NOTE
1E0123	8/31/2003	10/28/2003	45,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/28/2003	80,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/28/2003	90,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/28/2003	70,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/28/2003	40,000	-	25.53	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/28/2003	50,000	-	25.32	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/29/2003	-	12,175,000	115.25	U S TREASURY NOTE
1E0123	8/31/2003	10/29/2003	70,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/29/2003	55,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/29/2003	80,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/29/2003	55,000	-	25.49	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/29/2003	45,000	-	25.37	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/29/2003	63,000	-	25.30	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/29/2003	37,000	-	26.00	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/29/2003	50,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	40,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	60,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	15,000	-	25.99	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/30/2003	50,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	-	3,175,000	114.63	U S TREASURY NOTE
1E0123	8/31/2003	10/30/2003	-	9,675,000	115.16	U S TREASURY NOTE
1E0123	8/31/2003	10/30/2003	90,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	25,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	38,000	-	25.68	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/30/2003	75,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/30/2003	58,000	-	25.59	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/31/2003	55,000	-	25.55	SEARS ROEBUCK & CO
1E0123	8/31/2003	10/31/2003	-	6,200,000	115.00	U S TREASURY NOTE
1E0123	8/31/2003	10/31/2003	100,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/31/2003	70,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	10/31/2003	29,482	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	11/1/2003	100,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	11/1/2003	125,000	-	35.60	VERIZON COMMUNICATIONS
1E0123	8/31/2003	11/1/2003	-	124,746	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	11/1/2003	50,000	-	25.75	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/1/2003	60,000	-	25.71	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/1/2003	-	9,275,000	114.34	U S TREASURY NOTE
1E0123	8/31/2003	11/4/2003	-	2,050,000	114.16	U S TREASURY NOTE
1E0123	8/31/2003	11/4/2003	33,000	-	26.78	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/4/2003	55,000	-	26.69	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/5/2003	68,000	-	26.24	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/5/2003	-	1,525,000	114.09	U S TREASURY NOTE
1E0123	8/31/2003	11/6/2003	-	1,025,000	114.13	U S TREASURY NOTE
1E0123	8/31/2003	11/6/2003	45,000	-	26.29	SEARS ROEBUCK & CO
1E0123	8/31/2003	11/15/2003	590,000	-	99.75	U S TREASURY BILL
1E0123	8/31/2003	11/25/2003	280,000	-	99.78	U S TREASURY BILL
1E0123	8/31/2003	11/27/2003	185,000	-	99.79	U S TREASURY BILL
1E0123	8/31/2003	11/29/2003	17,345	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2003	12/6/2003	300,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2003	12/16/2003	575,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2003	12/31/2003	99	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	1/2/2004	-	260,000	99.56	U S TREASURY BILL
1E0123	7/31/2004	1/22/2004	-	79,500,000	99.70	U S TREASURY BILL
1E0123	7/31/2004	1/22/2004	60,900,000	-	99.70	U S TREASURY BILL
1E0123	7/31/2004	1/22/2004	79,500,000	-	99.70	U S TREASURY BILL
1E0123	6/30/2004	1/26/2004	-	95,000	55.00	GENZYME CORP
1E0123	6/30/2004	1/26/2004	-	98,000	55.05	GENZYME CORP
1E0123	6/30/2004	1/26/2004	-	100,000	54.93	GENZYME CORP
1E0123	7/31/2004	1/26/2004	21,450,000	-	99.71	U S TREASURY BILL
1E0123	6/30/2004	1/26/2004	-	96,500	54.88	GENZYME CORP
1E0123	6/30/2004	1/27/2004	-	76,000	56.71	GENZYME CORP
1E0123	7/31/2004	1/27/2004	10,025,000	-	99.71	U S TREASURY BILL
1E0123	6/30/2004	1/27/2004	-	100,500	56.77	GENZYME CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2004	1/29/2004	50,000	-	99.65	U S TREASURY BILL
1E0123	7/31/2004	1/29/2004	-	110,000	99.65	U S TREASURY BILL
1E0123	8/31/2004	1/30/2004	5,264	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	1/30/2004	14,777	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	1/30/2004	14,777	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	1/30/2004	5,264	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	2/2/2004	-	940,000	99.78	U S TREASURY BILL
1E0123	7/31/2004	2/3/2004	500,000	-	99.72	U S TREASURY BILL
1E0123	8/31/2004	2/3/2004	-	500,000	99.72	U S TREASURY BILL
1E0123	8/31/2004	2/3/2004	1,175,000	-	99.72	U S TREASURY BILL
1E0123	7/31/2004	2/27/2004	-	470,000	99.80	U S TREASURY BILL
1E0123	7/31/2004	2/27/2004	4,436	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	2/27/2004	4,436	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	2/27/2004	7,612	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	2/27/2004	7,612	-	1.00	FIDELITY SPARTAN
1E0123	6/30/2004	3/8/2004	-	162,000	42.02	JP MORGAN CHASE & CO
1E0123	6/30/2004	3/8/2004	-	150,000	41.91	JP MORGAN CHASE & CO
1E0123	6/30/2004	3/8/2004	-	155,000	41.95	JP MORGAN CHASE & CO
1E0123	7/31/2004	3/8/2004	19,675,000	-	99.59	U S TREASURY BILL
1E0123	7/31/2004	3/9/2004	12,550,000	-	99.59	U S TREASURY BILL
1E0123	6/30/2004	3/9/2004	-	195,000	42.34	JP MORGAN CHASE & CO
1E0123	6/30/2004	3/9/2004	-	100,000	42.44	JP MORGAN CHASE & CO
1E0123	7/31/2004	3/31/2004	4,451	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	3/31/2004	4,451	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	4/1/2004	1,350,000	-	99.56	U S TREASURY BILL
1E0123	8/31/2004	4/1/2004	-	1,175,000	99.56	U S TREASURY BILL
1E0123	8/31/2004	4/15/2004	210,550,000	-	99.44	U S TREASURY BILL
1E0123	6/30/2004	4/26/2004	-	77,000	115.40	GENENTECH INC
1E0123	6/30/2004	4/26/2004	-	78,000	115.52	GENENTECH INC
1E0123	6/30/2004	4/26/2004	-	81,500	115.66	GENENTECH INC
1E0123	6/30/2004	4/26/2004	-	96,000	116.02	GENENTECH INC
1E0123	7/31/2004	4/26/2004	47,850,000	-	99.47	U S TREASURY BILL
1E0123	6/30/2004	4/26/2004	-	79,000	115.94	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	62,000	118.78	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	86,000	118.68	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	60,000	118.62	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	75,000	118.50	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	50,000	118.38	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	80,000	118.88	GENENTECH INC
1E0123	6/30/2004	4/27/2004	-	81,000	118.56	GENENTECH INC
1E0123	7/31/2004	4/27/2004	58,900,000	-	99.49	U S TREASURY BILL
1E0123	7/31/2004	4/28/2004	14,975,000	-	99.48	U S TREASURY BILL
1E0123	6/30/2004	4/28/2004	-	55,000	117.76	GENENTECH INC
1E0123	6/30/2004	4/28/2004	-	71,500	118.04	GENENTECH INC
1E0123	8/31/2004	4/29/2004	-	1,415,000	99.60	U S TREASURY BILL
1E0123	8/31/2004	4/29/2004	450,000	-	99.60	U S TREASURY BILL
1E0123	8/31/2004	4/30/2004	375,000	-	99.60	U S TREASURY BILL
1E0123	8/31/2004	4/30/2004	-	850,000	99.60	U S TREASURY BILL
1E0123	8/31/2004	4/30/2004	15,658	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	4/30/2004	26,369	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	4/30/2004	15,658	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	4/30/2004	400,000	-	99.60	U S TREASURY BILL
1E0123	7/31/2004	4/30/2004	-	1,228	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	4/30/2004	-	400,000	99.60	U S TREASURY BILL
1E0123	8/31/2004	4/30/2004	26,369	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	5/13/2004	-	470,000	99.56	U S TREASURY BILL
1E0123	8/31/2004	5/27/2004	181,250,000	-	99.54	U S TREASURY BILL
1E0123	7/31/2004	5/28/2004	-	3,200	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	5/31/2004	8,526	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	5/31/2004	8,526	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	6/17/2004	50,000	-	99.56	U S TREASURY BILL
1E0123	8/31/2004	6/17/2004	-	350,000	99.56	U S TREASURY BILL
1E0123	8/31/2004	6/30/2004	-	675,000	99.19	U S TREASURY BILL
1E0123	8/31/2004	6/30/2004	8,055	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	6/30/2004	-	239,203	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2004	6/30/2004	925,000	-	99.19	U S TREASURY BILL
1E0123	8/31/2004	6/30/2004	127	-	1.00	FIDELITY SPARTAN
1E0123	11/30/2004	6/30/2004	-	127	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	6/30/2004	-	669,778,690	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	6/30/2004	675,000	-	99.19	U S TREASURY BILL
1E0123	8/31/2004	7/30/2004	2,781	-	1.00	FIDELITY SPARTAN
1E0123	11/30/2004	7/30/2004	-	2,781	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	7/30/2004	2,781	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	7/30/2004	350,000	-	99.39	U S TREASURY BILL
1E0123	7/31/2004	8/15/2004	90,000	-	18.02	J C PENNEY CO INC
1E0123	7/31/2004	8/15/2004	-	3,400,000	111.94	U S TREASURY NOTE
1E0123	7/31/2004	8/15/2004	120,000	-	17.99	J C PENNEY CO INC
1E0123	7/31/2004	8/18/2004	150,000	-	17.89	J C PENNEY CO INC
1E0123	7/31/2004	8/18/2004	-	4,000,000	112.06	U S TREASURY NOTE
1E0123	7/31/2004	8/18/2004	100,000	-	17.98	J C PENNEY CO INC
1E0123	7/31/2004	8/21/2004	110,000	-	18.01	J C PENNEY CO INC
1E0123	7/31/2004	8/21/2004	-	3,650,000	111.66	U S TREASURY NOTE
1E0123	7/31/2004	8/21/2004	115,000	-	18.11	J C PENNEY CO INC
1E0123	6/30/2004	8/27/2004	-	130,000	57.10	KLA TENCOR CORPORATION
1E0123	7/31/2004	8/27/2004	150,000	-	19.90	J C PENNEY CO INC
1E0123	7/31/2004	8/27/2004	61,100,000	-	99.60	U S TREASURY BILL
1E0123	6/30/2004	8/27/2004	-	110,000	57.51	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	150,000	57.49	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	111,500	57.61	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	113,000	57.59	KLA TENCOR CORPORATION
1E0123	7/31/2004	8/27/2004	125,000	-	19.96	J C PENNEY CO INC
1E0123	6/30/2004	8/27/2004	-	140,000	57.56	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	146,000	57.54	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	154,000	57.42	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/27/2004	-	100,000	57.43	KLA TENCOR CORPORATION
1E0123	7/31/2004	8/28/2004	145,000	-	20.03	J C PENNEY CO INC
1E0123	6/30/2004	8/28/2004	-	205,000	57.19	KLA TENCOR CORPORATION
1E0123	6/30/2004	8/28/2004	-	160,000	57.11	KLA TENCOR CORPORATION
1E0123	7/31/2004	8/28/2004	200,000	-	19.99	J C PENNEY CO INC
1E0123	6/30/2004	8/28/2004	-	105,000	57.21	KLA TENCOR CORPORATION
1E0123	7/31/2004	8/28/2004	20,050,000	-	99.60	U S TREASURY BILL
1E0123	7/31/2004	8/29/2004	86,852	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	8/29/2004	125,000	-	20.20	J C PENNEY CO INC
1E0123	7/31/2004	8/29/2004	-	5,900,000	111.52	U S TREASURY NOTE
1E0123	7/31/2004	8/29/2004	200,000	-	20.19	J C PENNEY CO INC
1E0123	7/31/2004	9/2/2004	160,000	-	20.30	J C PENNEY CO INC
1E0123	7/31/2004	9/2/2004	-	6,400,000	110.94	U S TREASURY NOTE
1E0123	7/31/2004	9/2/2004	190,000	-	20.22	J C PENNEY CO INC
1E0123	6/30/2004	9/8/2004	-	55,000	38.10	CARMAX INC
1E0123	7/31/2004	9/8/2004	4,250,000	-	99.64	U S TREASURY BILL
1E0123	6/30/2004	9/8/2004	-	56,000	38.42	CARMAX INC
1E0123	8/31/2004	9/10/2004	10,400	-	13.20	ORACLE CORPORATION
1E0123	6/30/2004	9/11/2004	-	60,000	15.03	DELTA AIRLINES INC
1E0123	6/30/2004	9/11/2004	-	88,000	14.90	DELTA AIRLINES INC
1E0123	6/30/2004	9/11/2004	-	90,000	15.05	DELTA AIRLINES INC
1E0123	6/30/2004	9/11/2004	-	80,000	15.01	DELTA AIRLINES INC
1E0123	6/30/2004	9/11/2004	-	73,000	14.99	DELTA AIRLINES INC
1E0123	6/30/2004	9/11/2004	-	94,000	14.95	DELTA AIRLINES INC
1E0123	7/31/2004	9/11/2004	7,300,000	-	99.64	U S TREASURY BILL
1E0123	6/30/2004	9/12/2004	-	96,000	14.78	DELTA AIRLINES INC
1E0123	6/30/2004	9/12/2004	-	97,000	14.71	DELTA AIRLINES INC
1E0123	6/30/2004	9/12/2004	-	99,000	14.77	DELTA AIRLINES INC
1E0123	6/30/2004	9/12/2004	-	33,000	14.74	DELTA AIRLINES INC
1E0123	6/30/2004	9/12/2004	-	95,000	14.76	DELTA AIRLINES INC
1E0123	7/31/2004	9/12/2004	-	13,875,000	112.84	U S TREASURY NOTE
1E0123	7/31/2004	9/12/2004	80,000	-	50.87	EBAY INC
1E0123	7/31/2004	9/12/2004	250,000	-	50.81	EBAY INC
1E0123	7/31/2004	9/12/2004	100,000	-	50.75	EBAY INC
1E0123	7/31/2004	9/15/2004	-	14,375,000	112.91	U S TREASURY NOTE
1E0123	7/31/2004	9/15/2004	50,000	-	50.81	EBAY INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2004	9/15/2004	120,000	-	50.73	EBAY INC
1E0123	7/31/2004	9/15/2004	150,000	-	50.64	EBAY INC
1E0123	7/31/2004	9/16/2004	-	16,050,000	112.97	U S TREASURY NOTE
1E0123	7/31/2004	9/16/2004	90,000	-	51.88	EBAY INC
1E0123	7/31/2004	9/16/2004	110,000	-	51.79	EBAY INC
1E0123	7/31/2004	9/16/2004	150,000	-	51.73	EBAY INC
1E0123	7/31/2004	9/17/2004	-	20,775,000	113.13	U S TREASURY NOTE
1E0123	7/31/2004	9/17/2004	175,000	-	51.36	EBAY INC
1E0123	7/31/2004	9/17/2004	100,000	-	51.21	EBAY INC
1E0123	7/31/2004	9/17/2004	183,000	-	51.29	EBAY INC
1E0123	7/31/2004	9/18/2004	170,000	-	53.27	EBAY INC
1E0123	7/31/2004	9/18/2004	200,000	-	53.21	EBAY INC
1E0123	7/31/2004	9/18/2004	150,000	-	53.30	EBAY INC
1E0123	7/31/2004	9/18/2004	-	24,100,000	113.09	U S TREASURY NOTE
1E0123	8/31/2004	9/30/2004	23,965	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	9/30/2004	-	23,966	1.00	FIDELITY SPARTAN
1E0123	11/30/2004	9/30/2004	-	92,116,020	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	9/30/2004	75,000	-	99.70	U S TREASURY BILL
1E0123	8/31/2004	9/30/2004	420	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	9/30/2004	23,965	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	9/30/2004	23,966	-	1.00	FIDELITY SPARTAN
1E0123	6/30/2004	10/21/2004	-	186,000	59.51	AMAZON COM INC
1E0123	7/31/2004	10/21/2004	26,875,000	-	99.50	U S TREASURY BILL
1E0123	6/30/2004	10/21/2004	-	105,000	59.49	AMAZON COM INC
1E0123	6/30/2004	10/21/2004	-	159,000	59.29	AMAZON COM INC
1E0123	6/30/2004	10/22/2004	-	192,000	59.71	AMAZON COM INC
1E0123	7/31/2004	10/22/2004	26,850,000	-	99.51	U S TREASURY BILL
1E0123	6/30/2004	10/22/2004	-	106,000	59.70	AMAZON COM INC
1E0123	6/30/2004	10/22/2004	-	150,000	59.63	AMAZON COM INC
1E0123	7/31/2004	10/24/2004	150,000	-	62.26	AETNA INC
1E0123	7/31/2004	10/24/2004	-	14,400,000	112.52	U S TREASURY NOTE
1E0123	7/31/2004	10/24/2004	110,000	-	62.31	AETNA INC
1E0123	7/31/2004	10/27/2004	125,000	-	62.50	AETNA INC
1E0123	7/31/2004	10/27/2004	-	12,525,000	112.31	U S TREASURY NOTE
1E0123	7/31/2004	10/27/2004	100,000	-	62.42	AETNA INC
1E0123	7/31/2004	10/28/2004	-	1,270,000	112.72	U S TREASURY NOTE
1E0123	7/31/2004	10/28/2004	-	7,000,000	99.77	U S TREASURY BILL
1E0123	7/31/2004	10/28/2004	100,000	-	62.44	AETNA INC
1E0123	7/31/2004	10/28/2004	40,000	-	62.56	AETNA INC
1E0123	11/30/2004	10/29/2004	-	38,530,723	1.00	FIDELITY SPARTAN
1E0123	6/30/2004	10/31/2004	-	120,000	57.54	MERRILL LYNCH & CO INC
1E0123	7/31/2004	10/31/2004	22,300,000	-	99.54	U S TREASURY BILL
1E0123	6/30/2004	10/31/2004	-	137,000	57.41	MERRILL LYNCH & CO INC
1E0123	7/31/2004	10/31/2004	160,000	-	60.07	AETNA INC
1E0123	7/31/2004	10/31/2004	200,000	-	59.99	AETNA INC
1E0123	6/30/2004	10/31/2004	-	140,000	57.26	MERRILL LYNCH & CO INC
1E0123	6/30/2004	10/31/2004	-	104,000	57.37	MERRILL LYNCH & CO INC
1E0123	6/30/2004	10/31/2004	-	116,000	57.49	MERRILL LYNCH & CO INC
1E0123	6/30/2004	10/31/2004	-	145,000	57.60	MERRILL LYNCH & CO INC
1E0123	8/31/2004	10/31/2004	14,976	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	10/31/2004	14,976	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	11/3/2004	175,000	-	58.55	AETNA INC
1E0123	6/30/2004	11/3/2004	-	103,000	58.01	MERRILL LYNCH & CO INC
1E0123	7/31/2004	11/3/2004	5,250,000	-	99.55	U S TREASURY BILL
1E0123	6/30/2004	11/3/2004	-	100,000	57.97	MERRILL LYNCH & CO INC
1E0123	6/30/2004	11/3/2004	-	105,000	58.20	MERRILL LYNCH & CO INC
1E0123	6/30/2004	11/3/2004	-	110,000	58.16	MERRILL LYNCH & CO INC
1E0123	7/31/2004	11/3/2004	150,000	-	58.61	AETNA INC
1E0123	7/31/2004	11/14/2004	150,000	-	21.77	APPLE COMPUTER INC
1E0123	6/30/2004	11/14/2004	-	150,000	38.40	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/14/2004	175,000	-	21.69	APPLE COMPUTER INC
1E0123	6/30/2004	11/14/2004	-	140,000	37.97	VERITAS SOFTWARE CORP
1E0123	6/30/2004	11/14/2004	-	155,000	38.63	VERITAS SOFTWARE CORP
1E0123	6/30/2004	11/14/2004	-	198,000	37.88	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/14/2004	23,750,000	-	99.59	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	6/30/2004	11/14/2004	-	160,000	38.55	VERITAS SOFTWARE CORP
1E0123	6/30/2004	11/17/2004	-	135,000	38.43	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/17/2004	145,000	-	21.73	APPLE COMPUTER INC
1E0123	7/31/2004	11/17/2004	6,175,000	-	99.60	U S TREASURY BILL
1E0123	6/30/2004	11/17/2004	-	200,000	38.51	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/17/2004	165,000	-	21.66	APPLE COMPUTER INC
1E0123	6/30/2004	11/18/2004	-	140,000	38.38	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/18/2004	170,000	-	22.12	APPLE COMPUTER INC
1E0123	6/30/2004	11/18/2004	-	120,000	38.19	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/18/2004	155,000	-	22.24	APPLE COMPUTER INC
1E0123	7/31/2004	11/18/2004	6,775,000	-	99.60	U S TREASURY BILL
1E0123	6/30/2004	11/18/2004	-	105,000	38.27	VERITAS SOFTWARE CORP
1E0123	7/31/2004	11/19/2004	-	6,500,000	99.84	U S TREASURY BILL
1E0123	7/31/2004	11/19/2004	125,000	-	21.50	APPLE COMPUTER INC
1E0123	7/31/2004	11/19/2004	175,000	-	21.62	APPLE COMPUTER INC
1E0123	6/30/2004	11/20/2004	-	140,000	53.85	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/20/2004	-	152,000	53.77	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/20/2004	-	165,000	53.72	SEARS ROEBUCK & CO
1E0123	7/31/2004	11/20/2004	31,550,000	-	99.62	U S TREASURY BILL
1E0123	6/30/2004	11/20/2004	-	152,000	53.80	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/20/2004	-	159,000	53.69	SEARS ROEBUCK & CO
1E0123	7/31/2004	11/20/2004	150,000	-	21.22	APPLE COMPUTER INC
1E0123	7/31/2004	11/20/2004	190,000	-	21.31	APPLE COMPUTER INC
1E0123	7/31/2004	11/20/2004	125,000	-	21.02	APPLE COMPUTER INC
1E0123	6/30/2004	11/21/2004	-	41,000	54.49	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/21/2004	-	183,000	58.42	BEST BUY CO INC
1E0123	7/31/2004	11/21/2004	225,000	-	20.71	APPLE COMPUTER INC
1E0123	6/30/2004	11/21/2004	-	117,000	58.60	BEST BUY CO INC
1E0123	6/30/2004	11/21/2004	-	154,000	58.55	BEST BUY CO INC
1E0123	6/30/2004	11/21/2004	-	110,000	54.54	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/21/2004	-	115,000	54.68	SEARS ROEBUCK & CO
1E0123	7/31/2004	11/21/2004	200,000	-	20.59	APPLE COMPUTER INC
1E0123	7/31/2004	11/21/2004	38,500,000	-	99.62	U S TREASURY BILL
1E0123	6/30/2004	11/21/2004	-	111,000	54.60	SEARS ROEBUCK & CO
1E0123	6/30/2004	11/24/2004	-	173,000	58.17	BEST BUY CO INC
1E0123	6/30/2004	11/24/2004	-	190,000	58.25	BEST BUY CO INC
1E0123	7/31/2004	11/24/2004	21,200,000	-	99.63	U S TREASURY BILL
1E0123	7/31/2004	11/28/2004	-	2,878	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	11/28/2004	2,878	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	11/28/2004	2,874	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	11/28/2004	2,874	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	11/28/2004	170,000	-	99.64	U S TREASURY BILL
1E0123	6/30/2004	12/1/2004	-	152,000	24.83	ALTERA CORP
1E0123	7/31/2004	12/1/2004	7,450,000	-	99.50	U S TREASURY BILL
1E0123	6/30/2004	12/1/2004	-	148,000	24.75	ALTERA CORP
1E0123	6/30/2004	12/2/2004	-	165,000	25.03	ALTERA CORP
1E0123	7/31/2004	12/2/2004	13,400,000	-	99.51	U S TREASURY BILL
1E0123	6/30/2004	12/2/2004	-	175,000	24.96	ALTERA CORP
1E0123	6/30/2004	12/2/2004	-	193,000	25.14	ALTERA CORP
1E0123	7/31/2004	12/4/2004	-	1,925,000	99.88	U S TREASURY BILL
1E0123	7/31/2004	12/4/2004	150,000	-	7.35	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/4/2004	110,000	-	7.43	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/5/2004	125,000	-	7.22	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/5/2004	130,000	-	7.25	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/5/2004	-	1,850,000	99.89	U S TREASURY BILL
1E0123	7/31/2004	12/8/2004	150,000	-	7.24	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/8/2004	145,000	-	7.29	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/8/2004	-	2,150,000	99.89	U S TREASURY BILL
1E0123	7/31/2004	12/9/2004	-	2,025,000	99.89	U S TREASURY BILL
1E0123	7/31/2004	12/9/2004	110,000	-	7.28	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/9/2004	165,000	-	7.31	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/10/2004	115,000	-	7.22	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/10/2004	-	1,750,000	99.90	U S TREASURY BILL
1E0123	7/31/2004	12/10/2004	125,000	-	7.25	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/11/2004	250,000	-	7.20	AT & T WIRELESS SERVICES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2004	12/11/2004	-	3,250,000	99.90	U S TREASURY BILL
1E0123	7/31/2004	12/11/2004	200,000	-	7.22	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/12/2004	150,000	-	7.17	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/12/2004	225,000	-	7.10	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/12/2004	-	2,700,000	99.90	U S TREASURY BILL
1E0123	7/31/2004	12/15/2004	170,000	-	7.17	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/15/2004	230,000	-	7.21	AT & T WIRELESS SERVICES INC
1E0123	7/31/2004	12/15/2004	-	2,900,000	99.91	U S TREASURY BILL
1E0123	6/30/2004	12/18/2004	-	101,000	35.32	ALCOA INC
1E0123	7/31/2004	12/18/2004	29,225,000	-	99.60	U S TREASURY BILL
1E0123	6/30/2004	12/18/2004	-	130,000	35.17	ALCOA INC
1E0123	6/30/2004	12/18/2004	-	136,000	35.41	ALCOA INC
1E0123	6/30/2004	12/18/2004	-	166,000	35.28	ALCOA INC
1E0123	6/30/2004	12/18/2004	-	142,000	35.37	ALCOA INC
1E0123	6/30/2004	12/18/2004	-	149,000	35.48	ALCOA INC
1E0123	6/30/2004	12/19/2004	-	100,000	34.70	ALCOA INC
1E0123	6/30/2004	12/19/2004	-	120,000	34.89	ALCOA INC
1E0123	7/31/2004	12/19/2004	27,475,000	-	99.60	U S TREASURY BILL
1E0123	6/30/2004	12/19/2004	-	106,000	34.78	ALCOA INC
1E0123	6/30/2004	12/19/2004	-	140,000	34.84	ALCOA INC
1E0123	6/30/2004	12/19/2004	-	153,000	34.95	ALCOA INC
1E0123	6/30/2004	12/19/2004	-	167,000	34.73	ALCOA INC
1E0123	6/30/2004	12/22/2004	-	150,000	35.50	ALCOA INC
1E0123	7/31/2004	12/22/2004	9,625,000	-	99.61	U S TREASURY BILL
1E0123	6/30/2004	12/22/2004	-	120,000	35.56	ALCOA INC
1E0123	7/31/2004	12/31/2004	175,000	-	99.77	U S TREASURY BILL
1E0123	8/31/2004	12/31/2004	-	1,140,000	99.77	U S TREASURY BILL
1E0123	7/31/2004	12/31/2004	-	33,343	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	12/31/2004	23,023	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	12/31/2004	-	175,000	99.77	U S TREASURY BILL
1E0123	7/31/2004	12/31/2004	23,023	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2004	12/31/2004	33,343	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2004	12/31/2004	1,315,000	-	99.77	U S TREASURY BILL
1E0123	8/31/2005	1/5/2005	50,000	-	98.77	U S TREASURY BILL
1E0123	8/31/2005	1/6/2005	1,350,000	-	98.71	U S TREASURY BILL
1E0123	8/31/2005	1/13/2005	524,125,000	-	98.92	U S TREASURY BILL
1E0123	8/31/2005	1/20/2005	650,000	-	98.93	U S TREASURY BILL
1E0123	8/31/2005	1/27/2005	139,225,000	-	98.91	U S TREASURY BILL
1E0123	8/31/2005	1/31/2005	100,000	-	98.93	U S TREASURY BILL
1E0123	8/31/2005	1/31/2005	255	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	1/31/2005	-	62,443	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	1/31/2005	4,911	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	2/1/2005	250,000	-	98.81	U S TREASURY BILL
1E0123	8/31/2005	2/3/2005	1,825,000	-	98.82	U S TREASURY BILL
1E0123	8/31/2005	2/15/2005	725,000	-	98.89	U S TREASURY BILL
1E0123	8/31/2005	2/28/2005	30,600	-	1.00	FIDELITY SPARTAN
1E0123	3/31/2005	2/28/2005	-	339,214,171	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	3/3/2005	74,425,000	-	98.98	U S TREASURY BILL
1E0123	8/31/2005	3/4/2005	425,000	-	98.98	U S TREASURY BILL
1E0123	8/31/2005	3/17/2005	76,200,000	-	99.01	U S TREASURY BILL
1E0123	8/31/2005	3/24/2005	244,150,000	-	99.06	U S TREASURY BILL
1E0123	8/31/2005	3/31/2005	1,425,000	-	99.12	U S TREASURY BILL
1E0123	8/31/2005	3/31/2005	10,640	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	4/1/2005	350,000	-	98.66	U S TREASURY BILL
1E0123	8/31/2005	4/29/2005	44,897	-	1.00	FIDELITY SPARTAN
1E0123	5/31/2005	4/29/2005	-	656,366,511	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	5/2/2005	250,000	-	98.93	U S TREASURY BILL
1E0123	8/31/2005	5/16/2005	800,000	-	98.88	U S TREASURY BILL
1E0123	8/31/2005	5/16/2005	-	91,048	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	5/16/2005	100,000	-	98.88	U S TREASURY BILL
1E0123	8/31/2005	5/31/2005	251	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	5/31/2005	13,876	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	6/3/2005	425,000	-	99.09	U S TREASURY BILL
1E0123	8/31/2005	6/15/2005	50,000	-	99.19	U S TREASURY BILL
1E0123	8/31/2005	6/16/2005	528,325,000	-	99.20	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2005	6/23/2005	650,000	-	99.17	U S TREASURY BILL
1E0123	8/31/2005	6/30/2005	127	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2005	6/30/2005	-	658,956,671	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	6/30/2005	1	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	6/30/2005	141,450,000	-	98.58	U S TREASURY BILL
1E0123	8/31/2005	6/30/2005	1,678	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	7/1/2005	350,000	-	98.57	U S TREASURY BILL
1E0123	8/31/2005	7/7/2005	1,350,000	-	98.58	U S TREASURY BILL
1E0123	8/31/2005	7/14/2005	78,800,000	-	98.53	U S TREASURY BILL
1E0123	8/31/2005	7/21/2005	326,800,000	-	98.47	U S TREASURY BILL
1E0123	8/31/2005	7/29/2005	18,512	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	7/29/2005	26,759	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	7/30/2005	2,781	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	9/3/2005	325,000	-	99.25	U S TREASURY BILL
1E0123	8/31/2005	9/9/2005	1,350,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2005	9/16/2005	2,700,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2005	9/23/2005	650,000	-	99.42	U S TREASURY BILL
1E0123	8/31/2005	9/30/2005	25,000	-	99.18	U S TREASURY BILL
1E0123	8/31/2005	9/30/2005	17,317	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	10/1/2005	325,000	-	99.19	U S TREASURY BILL
1E0123	8/31/2005	10/4/2005	-	60,000	39.25	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	70,000	39.55	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	86,000	39.30	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	30,000	39.50	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	60,000	39.45	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	76,000	39.53	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	32,850,000	-	99.20	U S TREASURY BILL
1E0123	8/31/2005	10/4/2005	-	50,000	39.11	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	80,000	39.20	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	100,000	39.41	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	56,000	39.39	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	36,000	39.46	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	68,000	39.47	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/4/2005	-	56,000	39.28	BOSTON SCIENTIFIC
1E0123	8/31/2005	10/14/2005	515,775,000	-	99.57	U S TREASURY BILL
1E0123	8/31/2005	10/21/2005	1,975,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2005	10/27/2005	38,500,000	-	99.31	U S TREASURY BILL
1E0123	8/31/2005	10/29/2005	11,940	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	10/29/2005	50,000	-	99.22	U S TREASURY BILL
1E0123	8/31/2005	11/1/2005	925,000	-	99.23	U S TREASURY BILL
1E0123	8/31/2005	11/15/2005	725,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2005	11/16/2005	-	40,000	41.58	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	55,000	41.33	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	60,000	41.61	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	75,000	41.29	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	90,000	41.45	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	90,000	41.55	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	100,000	41.46	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	100,000	41.37	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	60,000	41.34	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	80,000	41.48	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	25,000	41.56	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	45,000	41.40	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	70,000	41.41	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	80,000	41.31	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	95,000	41.25	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	73,750,000	-	99.29	U S TREASURY BILL
1E0123	8/31/2005	11/16/2005	-	50,000	41.49	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	70,000	41.40	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	75,000	41.50	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	80,000	41.25	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	83,000	41.35	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	125,000	41.37	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	50,000	41.62	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/16/2005	-	70,000	41.64	VERIZON COMMUNICATIONS

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2005	11/16/2005	-	100,000	41.35	VERIZON COMMUNICATIONS
1E0123	8/31/2005	11/30/2005	19,432	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	11/30/2005	50,000	-	99.30	U S TREASURY BILL
1E0123	8/31/2005	12/3/2005	325,000	-	99.32	U S TREASURY BILL
1E0123	8/31/2005	12/15/2005	325,000	-	99.40	U S TREASURY BILL
1E0123	8/31/2005	12/16/2005	350,000	-	99.37	U S TREASURY BILL
1E0123	8/31/2005	12/21/2005	-	145,000	115.78	EBAY INC
1E0123	8/31/2005	12/21/2005	78,050,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2005	12/21/2005	-	150,000	115.81	EBAY INC
1E0123	8/31/2005	12/21/2005	-	25,000	115.93	EBAY INC
1E0123	8/31/2005	12/21/2005	-	175,000	115.99	EBAY INC
1E0123	8/31/2005	12/21/2005	-	175,000	115.85	EBAY INC
1E0123	8/31/2005	12/22/2005	-	145,000	115.80	EBAY INC
1E0123	8/31/2005	12/22/2005	-	150,000	115.00	EBAY INC
1E0123	8/31/2005	12/22/2005	74,400,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2005	12/22/2005	-	155,000	115.76	EBAY INC
1E0123	8/31/2005	12/22/2005	-	30,000	115.76	EBAY INC
1E0123	8/31/2005	12/22/2005	-	160,000	115.74	EBAY INC
1E0123	8/31/2005	12/23/2005	-	100,000	114.58	EBAY INC
1E0123	8/31/2005	12/23/2005	-	140,000	114.69	EBAY INC
1E0123	8/31/2005	12/23/2005	-	150,000	114.64	EBAY INC
1E0123	8/31/2005	12/23/2005	-	128,000	115.38	EBAY INC
1E0123	8/31/2005	12/23/2005	-	150,000	115.47	EBAY INC
1E0123	8/31/2005	12/23/2005	-	100,000	114.55	EBAY INC
1E0123	8/31/2005	12/23/2005	88,725,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2005	12/30/2005	925,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2005	12/30/2005	3,437	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2005	12/31/2005	75,000	-	99.45	U S TREASURY BILL
1E0123	7/31/2006	1/3/2006	-	145,000	39.97	YAHOO INC
1E0123	7/31/2006	1/3/2006	105,000	-	80.72	PEABODY ENERGY CORP
1E0123	7/31/2006	1/3/2006	-	100,000	40.13	YAHOO INC
1E0123	7/31/2006	1/3/2006	-	125,000	39.99	YAHOO INC
1E0123	7/31/2006	1/3/2006	-	150,000	40.19	YAHOO INC
1E0123	7/31/2006	1/3/2006	-	155,000	40.22	YAHOO INC
1E0123	7/31/2006	1/3/2006	-	110,000	40.09	YAHOO INC
1E0123	7/31/2006	1/3/2006	90,000	-	80.69	PEABODY ENERGY CORP
1E0123	7/31/2006	1/3/2006	80,000	-	80.63	PEABODY ENERGY CORP
1E0123	7/31/2006	1/3/2006	9,475,000	-	98.02	U S TREASURY BILL
1E0123	7/31/2006	1/4/2006	-	105,000	39.84	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	120,000	39.78	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	130,000	39.90	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	115,000	40.19	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	128,000	39.88	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	135,000	39.77	YAHOO INC
1E0123	7/31/2006	1/4/2006	-	140,000	39.93	YAHOO INC
1E0123	7/31/2006	1/4/2006	114,000	-	81.62	PEABODY ENERGY CORP
1E0123	7/31/2006	1/4/2006	31,600,000	-	98.04	U S TREASURY BILL
1E0123	7/31/2006	1/4/2006	-	136,000	40.11	YAHOO INC
1E0123	7/31/2006	1/5/2006	-	142,000	39.39	YAHOO INC
1E0123	7/31/2006	1/5/2006	-	98,000	39.32	YAHOO INC
1E0123	7/31/2006	1/5/2006	-	112,000	39.26	YAHOO INC
1E0123	7/31/2006	1/5/2006	-	102,000	39.21	YAHOO INC
1E0123	7/31/2006	1/5/2006	22,975,000	-	98.05	U S TREASURY BILL
1E0123	7/31/2006	1/5/2006	-	118,000	39.19	YAHOO INC
1E0123	7/31/2006	1/9/2006	49,000	-	43.64	INCO LTD
1E0123	7/31/2006	1/9/2006	50,000	-	43.40	INCO LTD
1E0123	7/31/2006	1/9/2006	75,000	-	25.09	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	75,000	-	37.92	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	80,000	-	49.41	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/9/2006	80,000	-	69.58	NUCOR CORP
1E0123	7/31/2006	1/9/2006	95,000	-	49.24	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/9/2006	105,000	-	34.99	NUCOR CORP
1E0123	7/31/2006	1/9/2006	120,000	-	38.09	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	125,000	-	37.80	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	25,700	-	38.22	ALLEGHENY TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	1/9/2006	75,000	-	37.92	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	75,000	-	38.15	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	120,000	-	24.86	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	-	95,000	34.71	NUCOR CORP
1E0123	7/31/2006	1/9/2006	75,000	-	49.33	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/9/2006	80,000	-	49.31	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/9/2006	90,000	-	37.95	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	100,000	-	24.84	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	110,000	-	24.82	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	110,000	-	37.88	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	55,000	-	43.53	INCO LTD
1E0123	7/31/2006	1/9/2006	90,000	-	49.57	UNITED STATES STEEL CORP
1E0123	8/31/2006	1/9/2006	-	125,000	28.31	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/9/2006	80,000	-	24.92	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	115,000	-	24.90	ARCHER DANIELS MIDLAND CO
1E0123	8/31/2006	1/9/2006	-	100,000	28.26	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/9/2006	70,000	-	43.35	INCO LTD
1E0123	7/31/2006	1/9/2006	85,000	-	49.46	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/9/2006	95,000	-	24.87	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	95,000	-	34.71	NUCOR CORP
1E0123	7/31/2006	1/9/2006	95,000	-	69.47	NUCOR CORP
1E0123	7/31/2006	1/9/2006	115,000	-	25.16	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2006	1/9/2006	-	105,000	34.99	NUCOR CORP
1E0123	7/31/2006	1/9/2006	-	124,625,000	98.89	U S TREASURY BILL
1E0123	7/31/2006	1/9/2006	60,000	-	43.60	INCO LTD
1E0123	7/31/2006	1/9/2006	80,000	-	43.41	INCO LTD
1E0123	7/31/2006	1/9/2006	90,000	-	38.00	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	95,000	-	69.35	NUCOR CORP
1E0123	7/31/2006	1/9/2006	100,000	-	37.81	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2006	1/9/2006	110,000	-	24.96	ARCHER DANIELS MIDLAND CO
1E0123	8/31/2006	1/9/2006	-	98,000	28.19	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/9/2006	50,000	-	43.43	INCO LTD
1E0123	7/31/2006	1/9/2006	60,000	-	43.59	INCO LTD
1E0123	7/31/2006	1/9/2006	90,000	-	43.56	INCO LTD
1E0123	7/31/2006	1/9/2006	110,000	-	69.41	NUCOR CORP
1E0123	7/31/2006	1/10/2006	75,000	-	69.29	NUCOR CORP
1E0123	7/31/2006	1/10/2006	95,000	-	43.97	INCO LTD
1E0123	8/31/2006	1/10/2006	-	105,000	28.11	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/10/2006	80,000	-	49.89	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	90,000	-	34.89	NUCOR CORP
1E0123	7/31/2006	1/10/2006	78,000	-	50.12	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	90,000	-	49.79	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	90,000	-	49.83	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	80,000	-	44.09	INCO LTD
1E0123	8/31/2006	1/10/2006	-	116,000	28.18	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/10/2006	-	80,000	34.77	NUCOR CORP
1E0123	7/31/2006	1/10/2006	-	90,000	34.89	NUCOR CORP
1E0123	7/31/2006	1/10/2006	110,000	-	69.37	NUCOR CORP
1E0123	8/31/2006	1/10/2006	-	110,000	28.27	COMPUTER ASSOC INTL INC
1E0123	7/31/2006	1/10/2006	-	53,025,000	99.90	U S TREASURY BILL
1E0123	7/31/2006	1/10/2006	45,000	-	44.17	INCO LTD
1E0123	7/31/2006	1/10/2006	110,000	-	49.77	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	115,000	-	69.48	NUCOR CORP
1E0123	7/31/2006	1/10/2006	80,000	-	34.77	NUCOR CORP
1E0123	7/31/2006	1/10/2006	88,000	-	50.08	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/10/2006	115,000	-	43.90	INCO LTD
1E0123	7/31/2006	1/11/2006	85,000	-	35.30	NUCOR CORP
1E0123	7/31/2006	1/11/2006	110,000	-	35.22	NUCOR CORP
1E0123	7/31/2006	1/11/2006	75,000	-	51.32	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/11/2006	105,000	-	35.36	NUCOR CORP
1E0123	7/31/2006	1/11/2006	110,000	-	70.44	NUCOR CORP
1E0123	7/31/2006	1/11/2006	80,000	-	51.29	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/11/2006	90,000	-	51.40	UNITED STATES STEEL CORP
1E0123	7/31/2006	1/11/2006	-	110,000	35.22	NUCOR CORP
1E0123	7/31/2006	1/11/2006	100,000	-	51.25	UNITED STATES STEEL CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	1/11/2006	-	85,000	35.30	NUCOR CORP
1E0123	7/31/2006	1/11/2006	-	105,000	35.36	NUCOR CORP
1E0123	7/31/2006	1/11/2006	-	38,925,000	99.91	U S TREASURY BILL
1E0123	7/31/2006	1/11/2006	85,000	-	70.60	NUCOR CORP
1E0123	7/31/2006	1/11/2006	105,000	-	70.72	NUCOR CORP
1E0123	7/31/2006	1/12/2006	105,000	-	71.12	NUCOR CORP
1E0123	7/31/2006	1/12/2006	-	18,575,000	99.92	U S TREASURY BILL
1E0123	7/31/2006	1/12/2006	80,000	-	71.35	NUCOR CORP
1E0123	7/31/2006	1/12/2006	90,000	-	71.21	NUCOR CORP
1E0123	7/31/2006	1/13/2006	-	6,700,000	99.93	U S TREASURY BILL
1E0123	7/31/2006	1/13/2006	95,000	-	70.60	NUCOR CORP
1E0123	7/31/2006	1/19/2006	299,125,000	-	98.01	U S TREASURY BILL
1E0123	7/31/2006	1/23/2006	-	105,000	83.51	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	115,000	83.68	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	130,000	83.52	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	98,000	83.84	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	135,000	83.41	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	140,000	83.30	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	125,000	83.76	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	168,000	83.61	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	170,000	83.78	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	165,000	83.59	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	100,000	83.71	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	110,000	83.47	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	120,000	83.64	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	132,000	82.99	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	175,000	83.89	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	207,800,000	-	98.05	U S TREASURY BILL
1E0123	7/31/2006	1/23/2006	-	145,000	83.22	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	150,000	83.80	APPLE COMPUTER INC
1E0123	7/31/2006	1/23/2006	-	155,000	83.56	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	118,000	81.39	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	173,000	80.50	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	99,000	81.53	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	131,000	80.36	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	148,000	80.30	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	107,000	80.78	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	123,000	81.46	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	154,000	80.99	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	153,700,000	-	98.06	U S TREASURY BILL
1E0123	7/31/2006	1/24/2006	-	95,000	80.32	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	90,000	80.88	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	162,000	80.75	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	80,000	80.43	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	128,000	81.58	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	142,000	81.41	APPLE COMPUTER INC
1E0123	7/31/2006	1/24/2006	-	112,000	81.49	APPLE COMPUTER INC
1E0123	7/31/2006	1/26/2006	2,675,000	-	97.97	U S TREASURY BILL
1E0123	7/31/2006	1/31/2006	17,349	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	2/6/2006	-	100,000	40.19	ANALOG DEVICES
1E0123	7/31/2006	2/6/2006	-	92,722	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	2/6/2006	-	117,000	40.15	ANALOG DEVICES
1E0123	7/31/2006	2/6/2006	9,250,000	-	97.78	U S TREASURY BILL
1E0123	7/31/2006	2/7/2006	100,000	-	97.81	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	100,000	-	97.99	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	-	110,000	40.51	ANALOG DEVICES
1E0123	7/31/2006	2/7/2006	-	105,000	69.03	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	-	113,000	69.17	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	-	120,000	69.20	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	97,000	-	97.87	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	-	98,000	40.31	ANALOG DEVICES
1E0123	7/31/2006	2/7/2006	75,000	-	28.29	OFFICE MAX
1E0123	7/31/2006	2/7/2006	-	112,000	68.88	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	-	124,000	69.08	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	45,000	-	28.35	OFFICE MAX

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	2/7/2006	89,000	-	97.92	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	-	117,000	68.99	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/7/2006	75,000	-	98.01	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	-	105,000	40.35	ANALOG DEVICES
1E0123	7/31/2006	2/7/2006	-	114,000	40.48	ANALOG DEVICES
1E0123	7/31/2006	2/7/2006	50,000	-	28.25	OFFICE MAX
1E0123	7/31/2006	2/7/2006	100,000	-	28.37	OFFICE MAX
1E0123	7/31/2006	2/7/2006	110,000	-	97.95	PEABODY ENERGY CORP
1E0123	7/31/2006	2/7/2006	1,450,000	-	97.80	U S TREASURY BILL
1E0123	7/31/2006	2/8/2006	-	125,000	67.90	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/8/2006	-	145,000	68.72	BROADCOM CORP CL A
1E0123	7/31/2006	2/8/2006	75,000	-	100.09	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	-	100,000	67.70	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/8/2006	-	108,000	67.81	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/8/2006	-	125,000	68.69	BROADCOM CORP CL A
1E0123	7/31/2006	2/8/2006	-	130,000	68.66	BROADCOM CORP CL A
1E0123	7/31/2006	2/8/2006	90,000	-	28.01	OFFICE MAX
1E0123	7/31/2006	2/8/2006	90,000	-	99.71	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	-	150,000	68.77	BROADCOM CORP CL A
1E0123	7/31/2006	2/8/2006	20,000	-	28.12	OFFICE MAX
1E0123	7/31/2006	2/8/2006	75,000	-	27.99	OFFICE MAX
1E0123	7/31/2006	2/8/2006	115,000	-	99.67	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	125,000	-	99.62	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	17,575,000	-	97.81	U S TREASURY BILL
1E0123	7/31/2006	2/8/2006	-	90,000	67.69	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/8/2006	-	102,000	67.75	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/8/2006	85,000	-	99.77	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	90,000	-	100.15	PEABODY ENERGY CORP
1E0123	7/31/2006	2/8/2006	-	100,000	68.81	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	56,250	69.27	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	148,000	69.35	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	136,000	69.42	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	88,400,000	-	97.82	U S TREASURY BILL
1E0123	7/31/2006	2/9/2006	-	98,750	70.07	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	109,000	68.38	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/9/2006	-	110,000	70.11	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	50,000	-	27.71	OFFICE MAX
1E0123	7/31/2006	2/9/2006	-	103,000	68.26	MARVELL TECHNOLOGY GRP LTD
1E0123	7/31/2006	2/9/2006	-	107,000	69.53	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	115,500	69.61	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	49,000	-	27.83	OFFICE MAX
1E0123	7/31/2006	2/9/2006	-	105,000	70.01	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	114,000	69.48	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	-	99,000	69.37	BROADCOM CORP CL A
1E0123	7/31/2006	2/9/2006	40,000	-	27.77	OFFICE MAX
1E0123	7/31/2006	2/10/2006	-	2,550,000	97.82	U S TREASURY BILL
1E0123	7/31/2006	2/10/2006	90,000	-	27.58	OFFICE MAX
1E0123	7/31/2006	2/17/2006	-	98,000	35.55	COACH INC
1E0123	7/31/2006	2/17/2006	-	110,000	35.62	COACH INC
1E0123	7/31/2006	2/17/2006	-	115,000	35.49	COACH INC
1E0123	7/31/2006	2/17/2006	-	120,000	35.41	COACH INC
1E0123	7/31/2006	2/17/2006	-	125,000	35.69	COACH INC
1E0123	7/31/2006	2/17/2006	21,450,000	-	97.92	U S TREASURY BILL
1E0123	7/31/2006	2/21/2006	-	80,000	35.59	COACH INC
1E0123	7/31/2006	2/21/2006	-	100,000	35.65	COACH INC
1E0123	7/31/2006	2/21/2006	-	76,000	35.57	COACH INC
1E0123	7/31/2006	2/21/2006	9,300,000	-	97.96	U S TREASURY BILL
1E0123	7/31/2006	2/28/2006	125,000	-	98.12	U S TREASURY BILL
1E0123	8/31/2006	2/28/2006	22,257	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	3/2/2006	-	60,000	51.96	AETNA INC
1E0123	7/31/2006	3/2/2006	-	100,000	61.58	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	-	160,000	61.77	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	-	145,000	61.48	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	-	150,000	61.72	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	100,000	-	20.17	GENERAL MOTORS CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	3/2/2006	73,450,000	-	98.14	U S TREASURY BILL
1E0123	7/31/2006	3/2/2006	-	98,000	52.22	AETNA INC
1E0123	7/31/2006	3/2/2006	-	102,000	51.99	AETNA INC
1E0123	7/31/2006	3/2/2006	110,000	-	20.15	GENERAL MOTORS CORP
1E0123	7/31/2006	3/2/2006	-	99,000	51.98	AETNA INC
1E0123	7/31/2006	3/2/2006	-	110,000	61.64	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	95,000	-	20.20	GENERAL MOTORS CORP
1E0123	7/31/2006	3/2/2006	-	100,000	52.20	AETNA INC
1E0123	7/31/2006	3/2/2006	-	125,000	61.67	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	-	115,000	61.50	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/2/2006	75,000	-	20.22	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	-	120,500	51.91	AETNA INC
1E0123	7/31/2006	3/3/2006	-	123,000	60.52	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	115,000	-	20.20	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	90,000	-	20.31	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	-	98,000	60.69	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	115,000	51.59	AETNA INC
1E0123	7/31/2006	3/3/2006	-	149,000	60.41	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	110,000	51.81	AETNA INC
1E0123	7/31/2006	3/3/2006	-	130,000	61.01	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	140,000	60.81	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	108,000	51.86	AETNA INC
1E0123	7/31/2006	3/3/2006	-	155,000	60.48	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	85,000	-	20.35	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	98,250,000	-	98.16	U S TREASURY BILL
1E0123	7/31/2006	3/3/2006	-	112,000	51.77	AETNA INC
1E0123	7/31/2006	3/3/2006	-	118,000	60.91	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	120,000	60.75	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	110,000	-	20.39	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	125,000	-	20.27	GENERAL MOTORS CORP
1E0123	7/31/2006	3/3/2006	-	105,000	60.83	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/3/2006	-	135,000	60.96	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	-	125,000	51.37	AETNA INC
1E0123	7/31/2006	3/6/2006	-	137,000	60.12	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	90,000	-	12.97	EL PASO CORPORATION
1E0123	7/31/2006	3/6/2006	-	123,000	51.28	AETNA INC
1E0123	7/31/2006	3/6/2006	-	128,000	60.27	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	125,000	-	12.91	EL PASO CORPORATION
1E0123	7/31/2006	3/6/2006	-	102,000	51.21	AETNA INC
1E0123	7/31/2006	3/6/2006	-	124,500	51.33	AETNA INC
1E0123	7/31/2006	3/6/2006	-	120,000	51.41	AETNA INC
1E0123	7/31/2006	3/6/2006	-	132,000	60.32	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	80,000	-	13.00	EL PASO CORPORATION
1E0123	7/31/2006	3/6/2006	-	103,000	60.22	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	-	107,000	60.10	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	-	117,000	60.05	PROCTER & GAMBLE CO
1E0123	7/31/2006	3/6/2006	110,000	-	12.88	EL PASO CORPORATION
1E0123	7/31/2006	3/6/2006	70,125,000	-	98.20	U S TREASURY BILL
1E0123	7/31/2006	3/7/2006	-	118,500	50.99	AETNA INC
1E0123	7/31/2006	3/7/2006	75,000	-	12.98	EL PASO CORPORATION
1E0123	7/31/2006	3/7/2006	-	121,000	51.28	AETNA INC
1E0123	7/31/2006	3/7/2006	100,000	-	12.91	EL PASO CORPORATION
1E0123	7/31/2006	3/7/2006	-	119,000	51.22	AETNA INC
1E0123	7/31/2006	3/7/2006	-	117,500	50.86	AETNA INC
1E0123	7/31/2006	3/7/2006	26,700,000	-	97.67	U S TREASURY BILL
1E0123	7/31/2006	3/7/2006	-	103,500	50.81	AETNA INC
1E0123	7/31/2006	3/7/2006	95,000	-	12.94	EL PASO CORPORATION
1E0123	7/31/2006	3/8/2006	-	118,000	50.88	AETNA INC
1E0123	7/31/2006	3/8/2006	-	110,500	50.72	AETNA INC
1E0123	7/31/2006	3/8/2006	90,000	-	13.00	EL PASO CORPORATION
1E0123	7/31/2006	3/8/2006	-	105,000	50.11	AETNA INC
1E0123	7/31/2006	3/8/2006	75,000	-	12.99	EL PASO CORPORATION
1E0123	7/31/2006	3/8/2006	19,250,000	-	97.68	U S TREASURY BILL
1E0123	7/31/2006	3/8/2006	-	104,000	51.19	AETNA INC
1E0123	7/31/2006	3/8/2006	95,000	-	13.01	EL PASO CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	3/9/2006	-	113,500	49.81	AETNA INC
1E0123	7/31/2006	3/9/2006	-	114,000	49.75	AETNA INC
1E0123	7/31/2006	3/9/2006	-	112,500	50.16	AETNA INC
1E0123	7/31/2006	3/9/2006	-	113,000	50.08	AETNA INC
1E0123	7/31/2006	3/9/2006	-	111,000	50.22	AETNA INC
1E0123	7/31/2006	3/9/2006	28,875,000	-	97.67	U S TREASURY BILL
1E0123	7/31/2006	3/10/2006	-	139,000	49.86	AETNA INC
1E0123	7/31/2006	3/10/2006	-	100,000	28.42	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	105,000	28.32	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	108,000	28.29	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	114,500	50.17	AETNA INC
1E0123	7/31/2006	3/10/2006	-	140,000	49.89	AETNA INC
1E0123	7/31/2006	3/10/2006	46,025,000	-	97.68	U S TREASURY BILL
1E0123	7/31/2006	3/10/2006	-	115,000	28.37	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	120,000	28.21	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	125,000	28.50	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/10/2006	-	119,500	50.08	AETNA INC
1E0123	7/31/2006	3/13/2006	-	125,000	50.63	AETNA INC
1E0123	7/31/2006	3/13/2006	-	119,000	27.80	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	-	124,000	27.77	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	-	102,000	27.91	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	-	99,000	27.96	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	-	123,000	27.85	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	42,860,000	-	97.71	U S TREASURY BILL
1E0123	7/31/2006	3/13/2006	-	98,000	28.02	NATIONAL SEMICONDUCTOR CORP
1E0123	7/31/2006	3/13/2006	-	150,000	50.77	AETNA INC
1E0123	7/31/2006	3/13/2006	-	185,000	50.69	AETNA INC
1E0123	7/31/2006	3/14/2006	-	175,000	50.78	AETNA INC
1E0123	7/31/2006	3/14/2006	-	160,000	50.68	AETNA INC
1E0123	7/31/2006	3/14/2006	17,375,000	-	97.74	U S TREASURY BILL
1E0123	7/31/2006	3/15/2006	-	145,000	51.03	AETNA INC
1E0123	7/31/2006	3/15/2006	-	158,000	50.88	AETNA INC
1E0123	7/31/2006	3/15/2006	100,000	-	21.12	GENERAL MOTORS CORP
1E0123	7/31/2006	3/15/2006	16,100,000	-	97.74	U S TREASURY BILL
1E0123	7/31/2006	3/15/2006	95,000	-	20.99	GENERAL MOTORS CORP
1E0123	7/31/2006	3/15/2006	105,000	-	21.24	GENERAL MOTORS CORP
1E0123	7/31/2006	3/15/2006	-	130,000	50.98	AETNA INC
1E0123	7/31/2006	3/16/2006	-	135,000	51.49	AETNA INC
1E0123	7/31/2006	3/16/2006	15,725,000	-	97.77	U S TREASURY BILL
1E0123	7/31/2006	3/16/2006	-	65,000	51.32	AETNA INC
1E0123	7/31/2006	3/16/2006	-	99,000	51.40	AETNA INC
1E0123	7/31/2006	3/17/2006	115,000	-	21.00	GENERAL MOTORS CORP
1E0123	7/31/2006	3/17/2006	125,000	-	20.88	GENERAL MOTORS CORP
1E0123	7/31/2006	3/17/2006	-	7,250,000	98.24	U S TREASURY BILL
1E0123	7/31/2006	3/17/2006	100,000	-	20.93	GENERAL MOTORS CORP
1E0123	7/31/2006	3/30/2006	450,000	-	98.02	U S TREASURY BILL
1E0123	8/31/2006	3/31/2006	10,073	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	4/6/2006	425,000	-	98.20	U S TREASURY BILL
1E0123	7/31/2006	4/13/2006	25,000	-	98.27	U S TREASURY BILL
1E0123	7/31/2006	4/20/2006	325,000	-	98.37	U S TREASURY BILL
1E0123	7/31/2006	4/27/2006	147,175,000	-	98.16	U S TREASURY BILL
1E0123	8/31/2006	4/28/2006	12,326	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	5/5/2006	-	98,000	84.52	BOEING CO
1E0123	7/31/2006	5/5/2006	-	125,000	85.65	BOEING CO
1E0123	7/31/2006	5/5/2006	-	120,000	85.61	BOEING CO
1E0123	7/31/2006	5/5/2006	-	100,000	85.46	BOEING CO
1E0123	7/31/2006	5/5/2006	-	110,000	84.58	BOEING CO
1E0123	7/31/2006	5/5/2006	48,450,000	-	97.97	U S TREASURY BILL
1E0123	7/31/2006	5/8/2006	-	115,000	85.77	BOEING CO
1E0123	7/31/2006	5/8/2006	-	99,000	85.89	BOEING CO
1E0123	7/31/2006	5/8/2006	-	105,000	85.84	BOEING CO
1E0123	7/31/2006	5/8/2006	49,025,000	-	98.00	U S TREASURY BILL
1E0123	7/31/2006	5/8/2006	-	118,000	85.71	BOEING CO
1E0123	7/31/2006	5/8/2006	-	123,000	85.79	BOEING CO
1E0123	7/31/2006	5/9/2006	-	85,000	86.63	BOEING CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2006	5/9/2006	-	99,500	86.91	BOEING CO
1E0123	7/31/2006	5/9/2006	-	80,000	86.72	BOEING CO
1E0123	7/31/2006	5/9/2006	-	102,000	86.75	BOEING CO
1E0123	7/31/2006	5/9/2006	-	107,500	87.01	BOEING CO
1E0123	7/31/2006	5/9/2006	51,625,000	-	98.01	U S TREASURY BILL
1E0123	7/31/2006	5/9/2006	-	109,000	86.79	BOEING CO
1E0123	7/31/2006	5/11/2006	2,825,000	-	98.05	U S TREASURY BILL
1E0123	7/31/2006	5/18/2006	81,725,000	-	98.05	U S TREASURY BILL
1E0123	7/31/2006	5/25/2006	340,225,000	-	98.05	U S TREASURY BILL
1E0123	8/31/2006	5/31/2006	8,748	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	6/1/2006	75,000	-	98.03	U S TREASURY BILL
1E0123	7/31/2006	6/15/2006	800,000	-	98.61	U S TREASURY BILL
1E0123	7/31/2006	6/22/2006	64,950,000	-	98.58	U S TREASURY BILL
1E0123	8/31/2006	6/30/2006	28,208	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2006	7/6/2006	450,000	-	97.84	U S TREASURY BILL
1E0123	8/31/2006	7/20/2006	100,000	-	97.95	U S TREASURY BILL
1E0123	8/31/2006	7/31/2006	30,296	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	8/1/2006	250,000	-	98.55	U S TREASURY BILL
1E0123	7/31/2006	8/15/2006	800,000	-	98.65	U S TREASURY BILL
1E0123	7/31/2006	8/31/2006	-	61,332	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	8/31/2006	-	850,000	98.76	U S TREASURY BILL
1E0123	7/31/2006	8/31/2006	75,000	-	98.76	U S TREASURY BILL
1E0123	7/31/2006	8/31/2006	850,000	-	98.76	U S TREASURY BILL
1E0123	9/30/2006	8/31/2006	-	142,756,200	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	8/31/2006	11,952	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	9/8/2006	600,000	-	98.78	U S TREASURY BILL
1E0123	7/31/2006	9/8/2006	-	600,000	98.78	U S TREASURY BILL
1E0123	7/31/2006	9/8/2006	1,025,000	-	98.78	U S TREASURY BILL
1E0123	7/31/2006	9/15/2006	50,000	-	98.77	U S TREASURY BILL
1E0123	7/31/2006	9/22/2006	534,975,000	-	98.84	U S TREASURY BILL
1E0123	7/31/2006	9/29/2006	1,575,000	-	98.84	U S TREASURY BILL
1E0123	7/31/2006	9/30/2006	60,656	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	10/4/2006	350,000	-	98.12	U S TREASURY BILL
1E0123	7/31/2006	10/31/2006	22,251	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	10/31/2006	25,000	-	98.16	U S TREASURY BILL
1E0123	7/31/2006	11/15/2006	1,050,000	-	99.23	U S TREASURY BILL
1E0123	7/31/2006	11/30/2006	200,000	-	98.42	U S TREASURY BILL
1E0123	7/31/2006	11/30/2006	-	94,859	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	11/30/2006	21,414	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2006	11/30/2006	-	100,000	98.42	U S TREASURY BILL
1E0123	7/31/2006	11/30/2006	100,000	-	98.42	U S TREASURY BILL
1E0123	7/31/2006	12/8/2006	144,475,000	-	98.44	U S TREASURY BILL
1E0123	7/31/2006	12/15/2006	1,825,000	-	98.33	U S TREASURY BILL
1E0123	7/31/2006	12/22/2006	80,125,000	-	98.34	U S TREASURY BILL
1E0123	7/31/2006	12/29/2006	800,000	-	98.33	U S TREASURY BILL
1E0123	7/31/2006	12/29/2006	332,600,000	-	98.33	U S TREASURY BILL
1E0123	7/31/2006	12/30/2006	53,959	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	1/4/2007	-	145,450,000	98.20	U S TREASURY BILL
1E0123	7/31/2007	1/4/2007	145,300,000	-	98.20	U S TREASURY BILL
1E0123	7/31/2007	1/4/2007	145,450,000	-	98.20	U S TREASURY BILL
1E0123	7/31/2007	1/11/2007	21,475,000	-	98.27	U S TREASURY BILL
1E0123	7/31/2007	1/18/2007	950,000	-	98.26	U S TREASURY BILL
1E0123	7/31/2007	1/25/2007	450,000	-	98.35	U S TREASURY BILL
1E0123	8/31/2007	1/25/2007	-	77,772	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	1/25/2007	275,000	-	98.35	U S TREASURY BILL
1E0123	8/31/2007	1/31/2007	10,777	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	2/1/2007	222,050,000	-	98.16	U S TREASURY BILL
1E0123	7/31/2007	2/1/2007	-	222,050,000	98.16	U S TREASURY BILL
1E0123	7/31/2007	2/1/2007	221,975,000	-	98.16	U S TREASURY BILL
1E0123	7/31/2007	2/8/2007	152,800,000	-	98.26	U S TREASURY BILL
1E0123	7/31/2007	2/9/2007	1,625,000	-	98.27	U S TREASURY BILL
1E0123	7/31/2007	2/9/2007	-	1,625,000	98.27	U S TREASURY BILL
1E0123	8/31/2007	2/22/2007	175,000	-	98.17	U S TREASURY BILL
1E0123	7/31/2007	2/22/2007	875,000	-	98.17	U S TREASURY BILL
1E0123	8/31/2007	2/28/2007	1,889	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2007	3/1/2007	225,525,000	-	98.09	U S TREASURY BILL
1E0123	7/31/2007	3/1/2007	225,950,000	-	98.09	U S TREASURY BILL
1E0123	7/31/2007	3/1/2007	-	225,950,000	98.09	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	175,000	-	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	85,100,000	-	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	8,500,000	-	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	-	8,500,000	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	-	85,100,000	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/8/2007	85,000,000	-	98.10	U S TREASURY BILL
1E0123	7/31/2007	3/12/2007	500,000	-	98.15	U S TREASURY BILL
1E0123	8/31/2007	3/12/2007	175,000	-	98.15	U S TREASURY BILL
1E0123	7/31/2007	3/15/2007	353,900,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	3/22/2007	75,000	-	98.11	U S TREASURY BILL
1E0123	8/31/2007	3/22/2007	75,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	3/27/2007	100,000	-	98.17	U S TREASURY BILL
1E0123	8/31/2007	3/30/2007	63,713	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	4/5/2007	71,350,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	73,075,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	73,065,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	-	73,375,000	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	-	73,075,000	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	-	73,065,000	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/5/2007	73,375,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/12/2007	-	175,000	98.10	U S TREASURY BILL
1E0123	7/31/2007	4/12/2007	175,000	-	98.10	U S TREASURY BILL
1E0123	7/31/2007	4/19/2007	702,350,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/19/2007	-	702,350,000	98.11	U S TREASURY BILL
1E0123	8/31/2007	4/19/2007	175,000	-	98.11	U S TREASURY BILL
1E0123	7/31/2007	4/19/2007	701,700,000	-	98.11	U S TREASURY BILL
1E0123	8/31/2007	4/26/2007	125,000	-	98.12	U S TREASURY BILL
1E0123	7/31/2007	4/26/2007	2,925,000	-	98.12	U S TREASURY BILL
1E0123	8/31/2007	4/26/2007	-	76,379	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	4/30/2007	10,264	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	5/3/2007	256,575,000	-	97.95	U S TREASURY BILL
1E0123	7/31/2007	5/10/2007	100,000	-	98.07	U S TREASURY BILL
1E0123	7/31/2007	5/11/2007	1,675,000	-	98.09	U S TREASURY BILL
1E0123	7/31/2007	5/11/2007	-	1,675,000	98.09	U S TREASURY BILL
1E0123	7/31/2007	5/17/2007	21,525,000	-	98.12	U S TREASURY BILL
1E0123	7/31/2007	5/17/2007	148,075,000	-	98.12	U S TREASURY BILL
1E0123	7/31/2007	5/17/2007	148,225,000	-	98.12	U S TREASURY BILL
1E0123	7/31/2007	5/17/2007	-	148,225,000	98.12	U S TREASURY BILL
1E0123	7/31/2007	5/17/2007	350,000	-	98.12	U S TREASURY BILL
1E0123	8/31/2007	5/24/2007	300,000	-	98.09	U S TREASURY BILL
1E0123	7/31/2007	5/24/2007	1,425,000	-	98.09	U S TREASURY BILL
1E0123	8/31/2007	5/31/2007	19,045	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	6/11/2007	175,000	-	98.70	U S TREASURY BILL
1E0123	7/31/2007	6/11/2007	500,000	-	98.70	U S TREASURY BILL
1E0123	7/31/2007	6/14/2007	1,625,000	-	98.77	U S TREASURY BILL
1E0123	7/31/2007	6/14/2007	-	1,625,000	98.77	U S TREASURY BILL
1E0123	7/31/2007	6/14/2007	379,550,000	-	98.77	U S TREASURY BILL
1E0123	8/31/2007	6/29/2007	23,974	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	7/2/2007	-	475,000	97.87	U S TREASURY BILL
1E0123	8/31/2007	7/2/2007	23,577	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	7/2/2007	475,000	-	97.87	U S TREASURY BILL
1E0123	8/31/2007	7/5/2007	175,000	-	97.86	U S TREASURY BILL
1E0123	8/31/2007	7/31/2007	5,905	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	7/31/2007	50,000	-	97.92	U S TREASURY BILL
1E0123	7/31/2007	8/1/2007	-	111,908	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	8/1/2007	475,000	-	97.85	U S TREASURY BILL
1E0123	7/31/2007	8/3/2007	140,575,000	-	97.98	U S TREASURY BILL
1E0123	7/31/2007	8/8/2007	-	80,000	43.90	OFFICE MAX
1E0123	7/31/2007	8/8/2007	110,000	-	22.69	NVIDIA CORP
1E0123	7/31/2007	8/8/2007	150,000	-	63.70	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/8/2007	-	83,000	44.58	OFFICE MAX
1E0123	7/31/2007	8/8/2007	-	125,000	44.29	OFFICE MAX

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2007	8/8/2007	78,000	-	22.80	NVIDIA CORP
1E0123	7/31/2007	8/8/2007	-	75,000	44.65	OFFICE MAX
1E0123	7/31/2007	8/8/2007	-	81,000	44.36	OFFICE MAX
1E0123	7/31/2007	8/8/2007	67,000	-	60.22	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/8/2007	88,000	-	63.89	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/8/2007	-	90,000	44.60	OFFICE MAX
1E0123	7/31/2007	8/8/2007	-	150,000	44.52	OFFICE MAX
1E0123	7/31/2007	8/8/2007	69,100	-	60.30	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/8/2007	120,000	-	22.74	NVIDIA CORP
1E0123	7/31/2007	8/9/2007	75,000	-	27.08	AMAZON COM INC
1E0123	7/31/2007	8/9/2007	-	120,000	42.54	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/9/2007	100,000	-	26.99	AMAZON COM INC
1E0123	7/31/2007	8/9/2007	-	61,000	42.49	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/9/2007	109,000	-	27.21	AMAZON COM INC
1E0123	7/31/2007	8/11/2007	57,550	-	66.99	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/11/2007	-	88,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/11/2007	1,650,000	-	97.98	U S TREASURY BILL
1E0123	7/31/2007	8/14/2007	-	97,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/14/2007	-	135,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/14/2007	-	200,000	49.29	PEABODY ENERGY CORP
1E0123	7/31/2007	8/14/2007	-	83,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/14/2007	-	179,000	49.17	PEABODY ENERGY CORP
1E0123	7/31/2007	8/14/2007	75,000	-	63.91	APPLE INC
1E0123	7/31/2007	8/14/2007	84,600	-	68.53	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/14/2007	110,000	-	63.88	APPLE INC
1E0123	7/31/2007	8/14/2007	-	170,000	49.22	PEABODY ENERGY CORP
1E0123	7/31/2007	8/14/2007	99,000	-	63.82	APPLE INC
1E0123	7/31/2007	8/14/2007	116,000	-	68.66	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/14/2007	125,000	-	63.64	APPLE INC
1E0123	7/31/2007	8/14/2007	-	51,000	48.96	PEABODY ENERGY CORP
1E0123	7/31/2007	8/14/2007	85,000	-	63.85	APPLE INC
1E0123	7/31/2007	8/14/2007	-	120,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/14/2007	-	120,000	49.10	PEABODY ENERGY CORP
1E0123	7/31/2007	8/14/2007	-	150,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/14/2007	95,000	-	68.46	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/14/2007	145,000	-	63.97	APPLE INC
1E0123	7/31/2007	8/15/2007	-	155,000	41.54	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/15/2007	-	125,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/15/2007	-	130,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/15/2007	95,000	-	69.30	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/15/2007	70,000	-	62.83	APPLE INC
1E0123	7/31/2007	8/15/2007	-	156,000	47.49	PEABODY ENERGY CORP
1E0123	7/31/2007	8/15/2007	70,000	-	68.97	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/15/2007	124,350	-	62.77	APPLE INC
1E0123	7/31/2007	8/15/2007	-	100,000	41.49	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/15/2007	-	100,000	47.41	PEABODY ENERGY CORP
1E0123	7/31/2007	8/15/2007	-	111,000	41.40	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/15/2007	76,500	-	62.97	APPLE INC
1E0123	7/31/2007	8/15/2007	-	75,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/15/2007	-	95,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/15/2007	-	99,000	41.37	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/15/2007	-	200,000	43.84	COCA COLA CO
1E0123	7/31/2007	8/15/2007	110,000	-	69.00	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/15/2007	21,125,000	-	97.95	U S TREASURY BILL
1E0123	7/31/2007	8/15/2007	-	16,300	41.50	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/15/2007	40,000	-	69.21	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/16/2007	-	110,000	66.36	J C PENNEY CO INC
1E0123	7/31/2007	8/16/2007	75,000	-	72.98	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/16/2007	25,000	-	73.03	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/17/2007	41,700	-	73.12	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/17/2007	-	185,000	66.69	J C PENNEY CO INC
1E0123	7/31/2007	8/17/2007	100,000	-	72.99	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/17/2007	-	175,000	66.76	J C PENNEY CO INC
1E0123	7/31/2007	8/17/2007	77,000	-	73.07	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/17/2007	110,000	-	73.11	RESEARCH IN MOTION LTD

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2007	8/18/2007	-	188,000	45.17	PEABODY ENERGY CORP
1E0123	7/31/2007	8/18/2007	60,000	-	64.99	APPLE INC
1E0123	7/31/2007	8/18/2007	-	250,000	45.29	PEABODY ENERGY CORP
1E0123	7/31/2007	8/18/2007	100,000	-	73.27	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/18/2007	110,000	-	65.22	APPLE INC
1E0123	7/31/2007	8/18/2007	150,000	-	26.77	AMAZON COM INC
1E0123	7/31/2007	8/18/2007	-	172,000	45.03	PEABODY ENERGY CORP
1E0123	7/31/2007	8/18/2007	75,000	-	65.08	APPLE INC
1E0123	7/31/2007	8/18/2007	99,200	-	26.94	AMAZON COM INC
1E0123	7/31/2007	8/18/2007	170,000	-	73.39	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/18/2007	82,000	-	65.14	APPLE INC
1E0123	7/31/2007	8/18/2007	-	75,000	67.92	J C PENNEY CO INC
1E0123	7/31/2007	8/18/2007	-	220,000	45.34	PEABODY ENERGY CORP
1E0123	7/31/2007	8/18/2007	110,000	-	26.83	AMAZON COM INC
1E0123	7/31/2007	8/18/2007	205,000	-	26.89	AMAZON COM INC
1E0123	7/31/2007	8/18/2007	-	200,000	68.12	J C PENNEY CO INC
1E0123	7/31/2007	8/21/2007	-	210,000	47.60	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	75,000	-	31.75	PRICELINE COM INC
1E0123	7/31/2007	8/21/2007	100,000	-	59.59	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/21/2007	210,000	-	25.49	NVIDIA CORP
1E0123	7/31/2007	8/21/2007	80,000	-	74.86	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/21/2007	95,000	-	74.94	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/21/2007	122,000	-	25.25	NVIDIA CORP
1E0123	7/31/2007	8/21/2007	127,000	-	59.67	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/21/2007	-	110,000	67.99	J C PENNEY CO INC
1E0123	7/31/2007	8/21/2007	-	185,000	47.44	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	-	195,000	47.69	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	150,000	-	25.40	NVIDIA CORP
1E0123	7/31/2007	8/21/2007	-	121,000	67.86	J C PENNEY CO INC
1E0123	7/31/2007	8/21/2007	106,000	-	59.83	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/21/2007	-	150,000	47.52	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	-	170,000	67.77	J C PENNEY CO INC
1E0123	7/31/2007	8/21/2007	-	89,000	68.07	J C PENNEY CO INC
1E0123	7/31/2007	8/21/2007	-	98,000	47.58	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	-	180,000	47.73	PEABODY ENERGY CORP
1E0123	7/31/2007	8/21/2007	72,500	-	74.69	RESEARCH IN MOTION LTD
1E0123	7/31/2007	8/21/2007	78,000	-	25.36	NVIDIA CORP
1E0123	7/31/2007	8/21/2007	100,000	-	25.19	NVIDIA CORP
1E0123	7/31/2007	8/21/2007	200,000	-	59.88	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/21/2007	79,000	-	59.80	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/21/2007	125,000	-	59.99	UNITED STATES STEEL CORP
1E0123	7/31/2007	8/22/2007	150,000	-	28.30	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	-	165,000	69.23	J C PENNEY CO INC
1E0123	7/31/2007	8/22/2007	70,000	-	67.30	APPLE INC
1E0123	7/31/2007	8/22/2007	75,000	-	27.98	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	98,000	-	26.88	NVIDIA CORP
1E0123	7/31/2007	8/22/2007	99,000	-	28.10	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	100,000	-	26.79	NVIDIA CORP
1E0123	7/31/2007	8/22/2007	-	95,000	40.59	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/22/2007	-	156,000	48.60	PEABODY ENERGY CORP
1E0123	7/31/2007	8/22/2007	75,000	-	28.00	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	-	87,700	40.37	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/22/2007	90,000	-	27.98	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	207,000	-	26.97	NVIDIA CORP
1E0123	7/31/2007	8/22/2007	39,700	-	67.39	APPLE INC
1E0123	7/31/2007	8/22/2007	120,000	-	28.38	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	122,000	-	26.82	NVIDIA CORP
1E0123	7/31/2007	8/22/2007	-	225,000	69.19	J C PENNEY CO INC
1E0123	7/31/2007	8/22/2007	60,000	-	28.16	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	77,000	-	31.89	PRICELINE COM INC
1E0123	7/31/2007	8/22/2007	85,000	-	28.12	AMAZON COM INC
1E0123	7/31/2007	8/22/2007	170,000	-	27.05	NVIDIA CORP
1E0123	7/31/2007	8/22/2007	-	75,000	40.24	ARCHER DANIELS MIDLAND CO
1E0123	7/31/2007	8/22/2007	-	100,000	48.53	PEABODY ENERGY CORP
1E0123	7/31/2007	8/23/2007	88,000	-	67.63	APPLE INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2007	8/23/2007	66,000	-	67.49	APPLE INC
1E0123	7/31/2007	8/23/2007	-	205,000	68.96	J C PENNEY CO INC
1E0123	7/31/2007	8/23/2007	-	150,000	68.89	J C PENNEY CO INC
1E0123	7/31/2007	8/23/2007	79,000	-	67.68	APPLE INC
1E0123	7/31/2007	8/23/2007	129,000	-	67.55	APPLE INC
1E0123	7/31/2007	8/24/2007	775,000	-	97.99	U S TREASURY BILL
1E0123	7/31/2007	8/30/2007	175,000	-	98.07	U S TREASURY BILL
1E0123	7/31/2007	8/31/2007	450,000	-	98.00	U S TREASURY BILL
1E0123	7/31/2007	9/1/2007	75,000	-	97.91	U S TREASURY BILL
1E0123	7/31/2007	9/7/2007	217,225,000	-	98.01	U S TREASURY BILL
1E0123	7/31/2007	9/8/2007	85,500	-	81.27	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/8/2007	100,000	-	27.82	NVIDIA CORP
1E0123	7/31/2007	9/8/2007	75,000	-	98.02	U S TREASURY BILL
1E0123	7/31/2007	9/8/2007	70,000	-	59.44	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/8/2007	77,000	-	81.56	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/8/2007	95,000	-	59.37	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/8/2007	-	75,000	98.02	U S TREASURY BILL
1E0123	7/31/2007	9/8/2007	-	110,000	51.33	NUCOR CORP
1E0123	7/31/2007	9/8/2007	41,000	-	81.34	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/8/2007	120,000	-	28.12	NVIDIA CORP
1E0123	7/31/2007	9/8/2007	-	96,000	52.11	NUCOR CORP
1E0123	7/31/2007	9/8/2007	-	117,000	52.08	NUCOR CORP
1E0123	7/31/2007	9/8/2007	29,000	-	81.88	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/8/2007	78,000	-	27.99	NVIDIA CORP
1E0123	7/31/2007	9/8/2007	-	75,000	51.88	NUCOR CORP
1E0123	7/31/2007	9/8/2007	-	89,000	51.91	NUCOR CORP
1E0123	7/31/2007	9/8/2007	-	100,000	52.22	NUCOR CORP
1E0123	7/31/2007	9/8/2007	-	200,000	52.00	NUCOR CORP
1E0123	7/31/2007	9/8/2007	86,000	-	28.20	NVIDIA CORP
1E0123	7/31/2007	9/8/2007	120,000	-	59.31	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/8/2007	-	110,000	52.17	NUCOR CORP
1E0123	7/31/2007	9/11/2007	70,000	-	60.83	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/11/2007	100,000	-	60.53	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/11/2007	-	250,000	51.20	NUCOR CORP
1E0123	7/31/2007	9/11/2007	89,000	-	60.63	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/11/2007	100,000	-	30.99	AMAZON COM INC
1E0123	7/31/2007	9/11/2007	600,000	-	98.06	U S TREASURY BILL
1E0123	7/31/2007	9/11/2007	110,000	-	60.71	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/11/2007	-	129,000	50.91	NUCOR CORP
1E0123	7/31/2007	9/11/2007	-	225,000	51.12	NUCOR CORP
1E0123	7/31/2007	9/11/2007	78,500	-	27.36	NVIDIA CORP
1E0123	7/31/2007	9/11/2007	130,000	-	30.89	AMAZON COM INC
1E0123	7/31/2007	9/11/2007	77,000	-	30.92	AMAZON COM INC
1E0123	7/31/2007	9/11/2007	-	138,000	50.99	NUCOR CORP
1E0123	7/31/2007	9/11/2007	55,000	-	31.07	AMAZON COM INC
1E0123	7/31/2007	9/11/2007	78,000	-	27.77	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	-	79,000	50.53	NUCOR CORP
1E0123	7/31/2007	9/12/2007	-	121,000	50.28	NUCOR CORP
1E0123	7/31/2007	9/12/2007	55,000	-	77.86	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/12/2007	77,000	-	26.53	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	-	165,000	50.47	NUCOR CORP
1E0123	7/31/2007	9/12/2007	31,500	-	77.62	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/12/2007	60,000	-	26.67	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	95,000	-	26.81	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	140,000	-	29.97	AMAZON COM INC
1E0123	7/31/2007	9/12/2007	-	181,000	50.21	NUCOR CORP
1E0123	7/31/2007	9/12/2007	110,000	-	26.74	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	-	215,000	50.41	NUCOR CORP
1E0123	7/31/2007	9/12/2007	53,200	-	77.92	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/12/2007	78,000	-	30.08	AMAZON COM INC
1E0123	7/31/2007	9/12/2007	125,000	-	26.86	NVIDIA CORP
1E0123	7/31/2007	9/12/2007	125,000	-	29.90	AMAZON COM INC
1E0123	7/31/2007	9/12/2007	50,000	-	77.71	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/12/2007	29,000	-	26.60	NVIDIA CORP
1E0123	7/31/2007	9/13/2007	-	150,000	50.15	NUCOR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2007	9/13/2007	80,000	-	60.15	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/13/2007	-	150,000	50.09	NUCOR CORP
1E0123	7/31/2007	9/13/2007	75,000	-	60.07	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/13/2007	95,000	-	60.18	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/14/2007	150,150,000	-	98.02	U S TREASURY BILL
1E0123	7/31/2007	9/15/2007	-	115,000	32.86	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	-	100,000	33.15	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	-	125,000	32.77	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	-	150,000	32.80	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	-	220,000	32.94	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	100,000	-	28.12	NVIDIA CORP
1E0123	7/31/2007	9/15/2007	10,350	-	82.22	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/15/2007	77,500	-	82.02	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/15/2007	-	75,000	33.08	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	70,000	-	28.31	NVIDIA CORP
1E0123	7/31/2007	9/15/2007	85,000	-	57.49	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/15/2007	-	90,000	32.91	GENERAL MOTORS CORP
1E0123	7/31/2007	9/15/2007	90,000	-	57.60	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/15/2007	95,000	-	28.24	NVIDIA CORP
1E0123	7/31/2007	9/15/2007	70,000	-	57.76	UNITED STATES STEEL CORP
1E0123	7/31/2007	9/18/2007	-	90,000	33.29	GENERAL MOTORS CORP
1E0123	7/31/2007	9/18/2007	-	213,000	33.11	GENERAL MOTORS CORP
1E0123	7/31/2007	9/18/2007	100,000	-	82.73	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/18/2007	-	95,000	33.24	GENERAL MOTORS CORP
1E0123	7/31/2007	9/18/2007	-	130,000	33.38	GENERAL MOTORS CORP
1E0123	7/31/2007	9/18/2007	35,000	-	82.79	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/18/2007	-	142,000	33.06	GENERAL MOTORS CORP
1E0123	7/31/2007	9/18/2007	93,100	-	31.62	AMAZON COM INC
1E0123	7/31/2007	9/18/2007	100,000	-	31.50	AMAZON COM INC
1E0123	7/31/2007	9/18/2007	60,000	-	82.88	RESEARCH IN MOTION LTD
1E0123	7/31/2007	9/28/2007	800,000	-	98.04	U S TREASURY BILL
1E0123	7/31/2007	9/29/2007	75,000	-	98.90	U S TREASURY BILL
1E0123	8/31/2007	9/29/2007	7,435	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2007	9/29/2007	150,000	-	98.90	U S TREASURY BILL
1E0123	7/31/2007	10/2/2007	425,000	-	98.00	U S TREASURY BILL
1E0123	7/31/2007	10/2/2007	-	425,000	98.00	U S TREASURY BILL
1E0123	7/31/2007	10/5/2007	221,225,000	-	98.03	U S TREASURY BILL
1E0123	7/31/2007	10/12/2007	-	10,400	18.29	ORACLE CORPORATION
1E0123	7/31/2007	10/12/2007	175,000	-	97.99	U S TREASURY BILL
1E0123	7/31/2007	10/12/2007	83,400,000	-	97.99	U S TREASURY BILL
1E0123	7/31/2007	10/16/2007	100,000	-	98.04	U S TREASURY BILL
1E0123	7/31/2007	10/16/2007	-	100,000	98.04	U S TREASURY BILL
1E0123	7/31/2007	10/19/2007	347,200,000	-	97.99	U S TREASURY BILL
1E0123	8/31/2007	10/26/2007	75,000	-	97.98	U S TREASURY BILL
1E0123	7/31/2007	10/26/2007	75,000	-	97.98	U S TREASURY BILL
1E0123	8/31/2007	10/31/2007	2,519	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	11/1/2007	-	300,000	97.89	U S TREASURY BILL
1E0123	7/31/2007	11/1/2007	300,000	-	97.89	U S TREASURY BILL
1E0123	7/31/2007	11/9/2007	70,000,000	-	97.98	U S TREASURY BILL
1E0123	7/31/2007	11/10/2007	1,650,000	-	97.99	U S TREASURY BILL
1E0123	7/31/2007	11/10/2007	-	1,650,000	97.99	U S TREASURY BILL
1E0123	7/31/2007	11/22/2007	175,000	-	98.06	U S TREASURY BILL
1E0123	7/31/2007	11/22/2007	-	175,000	98.06	U S TREASURY BILL
1E0123	8/31/2007	11/30/2007	22,424	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	12/7/2007	687,975,000	-	98.21	U S TREASURY BILL
1E0123	7/31/2007	12/11/2007	675,000	-	98.26	U S TREASURY BILL
1E0123	7/31/2007	12/11/2007	-	675,000	98.26	U S TREASURY BILL
1E0123	8/31/2007	12/11/2007	175,000	-	98.26	U S TREASURY BILL
1E0123	7/31/2007	12/11/2007	500,000	-	98.26	U S TREASURY BILL
1E0123	7/31/2007	12/14/2007	2,875,000	-	98.20	U S TREASURY BILL
1E0123	7/31/2007	12/15/2007	400,000	-	98.32	U S TREASURY BILL
1E0123	7/31/2007	12/15/2007	-	400,000	98.32	U S TREASURY BILL
1E0123	7/31/2007	12/18/2007	75,000	-	98.36	U S TREASURY BILL
1E0123	7/31/2007	12/18/2007	-	75,000	98.36	U S TREASURY BILL
1E0123	7/31/2007	12/21/2007	251,325,000	-	98.21	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2007	12/29/2007	44,259	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2007	12/29/2007	100,000	-	98.21	U S TREASURY BILL
1E0123	8/31/2008	1/3/2008	-	112,237	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	1/3/2008	89,025,000	-	98.69	U S TREASURY BILL
1E0123	8/31/2008	1/4/2008	100,000	-	98.74	U S TREASURY BILL
1E0123	8/31/2008	1/9/2008	50,000	-	100.73	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/9/2008	-	100,000	100.90	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/9/2008	-	50,000	100.73	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/9/2008	100,000	-	100.90	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/10/2008	120,000	-	99.95	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/10/2008	-	120,000	99.95	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/11/2008	95,000	-	98.88	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/11/2008	90,000	-	99.01	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/11/2008	-	95,000	98.88	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/11/2008	-	90,000	99.01	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	-	101,000	97.29	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	-	89,000	97.53	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	-	95,000	97.70	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	75,000	-	47.23	GILEAD SCIENCES INC
1E0123	8/31/2008	1/14/2008	101,000	-	97.29	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	125,000	-	46.94	GILEAD SCIENCES INC
1E0123	8/31/2008	1/14/2008	-	75,000	47.23	GILEAD SCIENCES INC
1E0123	8/31/2008	1/14/2008	89,000	-	97.53	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/14/2008	-	125,000	46.94	GILEAD SCIENCES INC
1E0123	8/31/2008	1/14/2008	95,000	-	97.70	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/15/2008	-	110,000	47.70	GILEAD SCIENCES INC
1E0123	8/31/2008	1/15/2008	70,000	-	47.83	GILEAD SCIENCES INC
1E0123	8/31/2008	1/15/2008	110,000	-	47.70	GILEAD SCIENCES INC
1E0123	8/31/2008	1/15/2008	-	70,000	47.83	GILEAD SCIENCES INC
1E0123	8/31/2008	1/15/2008	175,000	-	98.84	U S TREASURY BILL
1E0123	8/31/2008	1/16/2008	-	90,000	48.35	GILEAD SCIENCES INC
1E0123	8/31/2008	1/16/2008	-	95,000	48.22	GILEAD SCIENCES INC
1E0123	8/31/2008	1/16/2008	90,000	-	48.35	GILEAD SCIENCES INC
1E0123	8/31/2008	1/16/2008	95,000	-	48.22	GILEAD SCIENCES INC
1E0123	8/31/2008	1/17/2008	-	50,000	63.12	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/17/2008	-	75,000	63.09	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/17/2008	-	85,000	47.57	GILEAD SCIENCES INC
1E0123	8/31/2008	1/17/2008	-	100,000	47.66	GILEAD SCIENCES INC
1E0123	8/31/2008	1/17/2008	97,000	-	102.03	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/17/2008	120,000	-	101.52	INTERNATIONAL BUSINESS MACHS
1E0123	7/31/2008	1/17/2008	-	90,000	80.00	APOLLO GROUP INC CLASS A
1E0123	8/31/2008	1/17/2008	-	97,000	102.03	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/17/2008	85,000	-	47.57	GILEAD SCIENCES INC
1E0123	8/31/2008	1/17/2008	110,000	-	101.67	INTERNATIONAL BUSINESS MACHS
1E0123	7/31/2008	1/17/2008	-	60,000	79.91	APOLLO GROUP INC CLASS A
1E0123	8/31/2008	1/17/2008	-	60,000	94.99	SPX CORP
1E0123	8/31/2008	1/17/2008	50,000	-	63.12	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/17/2008	75,000	-	63.09	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/17/2008	100,000	-	47.66	GILEAD SCIENCES INC
1E0123	7/31/2008	1/17/2008	-	100,000	80.07	APOLLO GROUP INC CLASS A
1E0123	8/31/2008	1/17/2008	-	120,000	101.52	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/17/2008	60,000	-	94.99	SPX CORP
1E0123	8/31/2008	1/17/2008	742,975,000	-	98.80	U S TREASURY BILL
1E0123	8/31/2008	1/17/2008	-	110,000	101.67	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/18/2008	12,275,000	-	98.87	U S TREASURY BILL
1E0123	7/31/2008	1/18/2008	-	40,000	80.88	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	1/18/2008	-	110,000	81.03	APOLLO GROUP INC CLASS A
1E0123	8/31/2008	1/18/2008	-	50,000	62.92	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/18/2008	-	100,000	93.61	SPX CORP
1E0123	8/31/2008	1/18/2008	-	65,000	62.86	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/18/2008	100,000	-	62.78	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/18/2008	100,000	-	93.61	SPX CORP
1E0123	8/31/2008	1/18/2008	-	100,000	62.78	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/18/2008	50,000	-	62.92	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/18/2008	65,000	-	62.86	BAXTER INTERNATIONAL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	1/22/2008	-	55,000	63.41	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	-	70,000	63.29	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	-	110,000	63.12	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	70,000	-	63.29	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	90,000	-	92.97	SPX CORP
1E0123	8/31/2008	1/22/2008	110,000	-	63.12	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	55,000	-	63.41	BAXTER INTERNATIONAL INC
1E0123	8/31/2008	1/22/2008	-	90,000	92.97	SPX CORP
1E0123	8/31/2008	1/23/2008	75,000	-	91.63	SPX CORP
1E0123	8/31/2008	1/23/2008	90,000	-	114.87	FLUOR CORP NEW
1E0123	8/31/2008	1/23/2008	60,000	-	91.77	SPX CORP
1E0123	8/31/2008	1/23/2008	-	60,000	91.77	SPX CORP
1E0123	8/31/2008	1/23/2008	-	100,000	114.73	FLUOR CORP NEW
1E0123	8/31/2008	1/23/2008	-	75,000	91.63	SPX CORP
1E0123	8/31/2008	1/23/2008	-	90,000	114.87	FLUOR CORP NEW
1E0123	8/31/2008	1/23/2008	100,000	-	114.73	FLUOR CORP NEW
1E0123	8/31/2008	1/24/2008	110,000	-	102.67	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/24/2008	-	13,075,000	99.57	U S TREASURY BILL
1E0123	8/31/2008	1/24/2008	50,000	-	92.09	SPX CORP
1E0123	8/31/2008	1/24/2008	85,000	-	114.93	FLUOR CORP NEW
1E0123	8/31/2008	1/24/2008	113,000	-	45.44	GILEAD SCIENCES INC
1E0123	7/31/2008	1/24/2008	122,000	-	48.93	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/24/2008	-	115,000	102.79	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/24/2008	50,000	-	91.99	SPX CORP
1E0123	8/31/2008	1/24/2008	67,000	-	45.30	GILEAD SCIENCES INC
1E0123	8/31/2008	1/24/2008	-	67,000	45.30	GILEAD SCIENCES INC
1E0123	8/31/2008	1/24/2008	-	85,000	114.93	FLUOR CORP NEW
1E0123	8/31/2008	1/24/2008	-	113,000	45.44	GILEAD SCIENCES INC
1E0123	8/31/2008	1/24/2008	80,000	-	115.07	FLUOR CORP NEW
1E0123	8/31/2008	1/24/2008	-	50,000	92.09	SPX CORP
1E0123	7/31/2008	1/24/2008	98,000	-	48.86	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/24/2008	-	50,000	91.99	SPX CORP
1E0123	8/31/2008	1/24/2008	-	80,000	115.07	FLUOR CORP NEW
1E0123	8/31/2008	1/24/2008	-	110,000	102.67	INTERNATIONAL BUSINESS MACHS
1E0123	7/31/2008	1/24/2008	110,000	-	48.77	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/24/2008	115,000	-	102.79	INTERNATIONAL BUSINESS MACHS
1E0123	7/31/2008	1/25/2008	125,000	-	47.11	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/25/2008	-	70,000	108.12	FLUOR CORP NEW
1E0123	8/31/2008	1/25/2008	-	23,175,000	99.59	U S TREASURY BILL
1E0123	8/31/2008	1/25/2008	-	110,000	107.99	FLUOR CORP NEW
1E0123	7/31/2008	1/25/2008	200,000	-	46.76	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/25/2008	70,000	-	108.12	FLUOR CORP NEW
1E0123	8/31/2008	1/25/2008	110,000	-	107.99	FLUOR CORP NEW
1E0123	7/31/2008	1/25/2008	170,000	-	46.90	ANHEUSER BUSCH COS INC
1E0123	8/31/2008	1/28/2008	90,000	-	108.33	FLUOR CORP NEW
1E0123	8/31/2008	1/28/2008	-	90,000	108.33	FLUOR CORP NEW
1E0123	8/31/2008	1/31/2008	100,000	-	103.90	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/31/2008	-	70,000	104.07	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/31/2008	6,382	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	1/31/2008	70,000	-	104.07	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/31/2008	-	100,000	103.90	INTERNATIONAL BUSINESS MACHS
1E0123	8/31/2008	1/31/2008	204,750,000	-	99.19	U S TREASURY BILL
1E0123	8/31/2008	2/1/2008	-	66,100,000	99.67	U S TREASURY BILL
1E0123	7/31/2008	2/1/2008	100,000	-	129.25	APPLE INC
1E0123	7/31/2008	2/1/2008	97,000	-	130.07	APPLE INC
1E0123	7/31/2008	2/1/2008	125,000	-	129.62	APPLE INC
1E0123	7/31/2008	2/1/2008	110,000	-	130.41	APPLE INC
1E0123	7/31/2008	2/1/2008	75,000	-	130.22	APPLE INC
1E0123	8/31/2008	2/8/2008	-	100,000	67.76	CATERPILLAR INC
1E0123	8/31/2008	2/8/2008	115,000	-	85.79	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	-	89,000	85.66	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	-	110,000	85.88	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	95,000	-	85.71	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	100,000	-	67.76	CATERPILLAR INC
1E0123	8/31/2008	2/8/2008	120,000	-	67.65	CATERPILLAR INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	2/8/2008	-	80,000	67.82	CATERPILLAR INC
1E0123	8/31/2008	2/8/2008	-	95,000	85.71	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	-	115,000	85.79	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	-	120,000	67.65	CATERPILLAR INC
1E0123	8/31/2008	2/8/2008	110,000	-	85.88	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	89,000	-	85.66	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/8/2008	200,000	-	99.13	U S TREASURY BILL
1E0123	8/31/2008	2/8/2008	80,000	-	67.82	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	-	42,000	84.84	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/11/2008	85,000	-	67.91	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	110,000	-	67.88	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	-	95,000	67.73	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	-	85,000	67.91	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	97,000	-	84.59	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/11/2008	-	102,000	84.73	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/11/2008	-	97,000	84.59	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/11/2008	-	110,000	67.88	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	42,000	-	84.84	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/11/2008	95,000	-	67.73	CATERPILLAR INC
1E0123	8/31/2008	2/11/2008	102,000	-	84.73	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	2/12/2008	-	95,000	67.59	CATERPILLAR INC
1E0123	8/31/2008	2/12/2008	-	115,000	67.77	CATERPILLAR INC
1E0123	8/31/2008	2/12/2008	95,000	-	67.59	CATERPILLAR INC
1E0123	8/31/2008	2/12/2008	115,000	-	67.77	CATERPILLAR INC
1E0123	8/31/2008	2/14/2008	-	90,000	87.83	HESS CORP
1E0123	8/31/2008	2/14/2008	-	65,000	87.99	HESS CORP
1E0123	8/31/2008	2/14/2008	80,000	-	64.10	JOY GLOBAL INC
1E0123	8/31/2008	2/14/2008	90,000	-	87.83	HESS CORP
1E0123	8/31/2008	2/14/2008	-	110,000	53.96	PEABODY ENERGY CORP
1E0123	8/31/2008	2/14/2008	80,000	-	53.60	PEABODY ENERGY CORP
1E0123	8/31/2008	2/14/2008	100,000	-	87.77	HESS CORP
1E0123	8/31/2008	2/14/2008	-	80,000	64.10	JOY GLOBAL INC
1E0123	8/31/2008	2/14/2008	-	100,000	87.77	HESS CORP
1E0123	8/31/2008	2/14/2008	65,000	-	87.99	HESS CORP
1E0123	8/31/2008	2/14/2008	110,000	-	53.96	PEABODY ENERGY CORP
1E0123	8/31/2008	2/14/2008	120,000	-	54.10	PEABODY ENERGY CORP
1E0123	8/31/2008	2/14/2008	-	120,000	54.10	PEABODY ENERGY CORP
1E0123	8/31/2008	2/14/2008	-	80,000	53.60	PEABODY ENERGY CORP
1E0123	8/31/2008	2/15/2008	-	90,000	65.36	JOY GLOBAL INC
1E0123	8/31/2008	2/15/2008	-	85,000	88.23	HESS CORP
1E0123	8/31/2008	2/15/2008	200,000	-	53.53	PEABODY ENERGY CORP
1E0123	8/31/2008	2/15/2008	-	150,000	53.69	PEABODY ENERGY CORP
1E0123	8/31/2008	2/15/2008	85,000	-	88.23	HESS CORP
1E0123	8/31/2008	2/15/2008	100,000	-	88.49	HESS CORP
1E0123	8/31/2008	2/15/2008	-	100,000	88.49	HESS CORP
1E0123	8/31/2008	2/15/2008	-	200,000	53.53	PEABODY ENERGY CORP
1E0123	8/31/2008	2/15/2008	150,000	-	53.69	PEABODY ENERGY CORP
1E0123	8/31/2008	2/15/2008	-	95,000	88.12	HESS CORP
1E0123	8/31/2008	2/15/2008	90,000	-	65.36	JOY GLOBAL INC
1E0123	8/31/2008	2/15/2008	95,000	-	88.12	HESS CORP
1E0123	8/31/2008	2/19/2008	-	70,000	89.07	HESS CORP
1E0123	8/31/2008	2/19/2008	-	80,000	89.16	HESS CORP
1E0123	8/31/2008	2/19/2008	40,000	-	104.93	APACHE CORP
1E0123	8/31/2008	2/19/2008	95,000	-	65.70	JOY GLOBAL INC
1E0123	8/31/2008	2/19/2008	100,000	-	53.60	PEABODY ENERGY CORP
1E0123	8/31/2008	2/19/2008	-	70,000	104.88	APACHE CORP
1E0123	8/31/2008	2/19/2008	-	115,000	53.69	PEABODY ENERGY CORP
1E0123	8/31/2008	2/19/2008	125,000	-	53.71	PEABODY ENERGY CORP
1E0123	8/31/2008	2/19/2008	70,000	-	89.07	HESS CORP
1E0123	8/31/2008	2/19/2008	75,000	-	88.98	HESS CORP
1E0123	8/31/2008	2/19/2008	80,000	-	89.16	HESS CORP
1E0123	8/31/2008	2/19/2008	-	40,000	104.93	APACHE CORP
1E0123	8/31/2008	2/19/2008	115,000	-	53.69	PEABODY ENERGY CORP
1E0123	8/31/2008	2/19/2008	-	95,000	65.70	JOY GLOBAL INC
1E0123	8/31/2008	2/19/2008	-	125,000	53.71	PEABODY ENERGY CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	2/19/2008	70,000	-	104.88	APACHE CORP
1E0123	8/31/2008	2/19/2008	-	75,000	88.98	HESS CORP
1E0123	8/31/2008	2/19/2008	-	100,000	53.60	PEABODY ENERGY CORP
1E0123	8/31/2008	2/20/2008	-	95,000	64.44	JOY GLOBAL INC
1E0123	8/31/2008	2/20/2008	-	80,000	106.93	APACHE CORP
1E0123	8/31/2008	2/20/2008	75,000	-	107.10	APACHE CORP
1E0123	8/31/2008	2/20/2008	95,000	-	64.44	JOY GLOBAL INC
1E0123	8/31/2008	2/20/2008	-	75,000	107.10	APACHE CORP
1E0123	8/31/2008	2/20/2008	80,000	-	106.93	APACHE CORP
1E0123	8/31/2008	2/21/2008	-	60,000	62.67	JOY GLOBAL INC
1E0123	8/31/2008	2/21/2008	-	65,000	62.79	JOY GLOBAL INC
1E0123	8/31/2008	2/21/2008	-	60,000	104.59	APACHE CORP
1E0123	8/31/2008	2/21/2008	-	65,000	104.77	APACHE CORP
1E0123	8/31/2008	2/21/2008	65,000	-	104.77	APACHE CORP
1E0123	8/31/2008	2/21/2008	60,000	-	62.67	JOY GLOBAL INC
1E0123	8/31/2008	2/21/2008	60,000	-	104.59	APACHE CORP
1E0123	8/31/2008	2/21/2008	65,000	-	62.79	JOY GLOBAL INC
1E0123	8/31/2008	2/22/2008	-	85,000	95.60	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/22/2008	-	95,000	95.12	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/22/2008	45,000	-	108.23	APACHE CORP
1E0123	8/31/2008	2/22/2008	65,000	-	64.99	JOY GLOBAL INC
1E0123	8/31/2008	2/22/2008	40,000	-	65.07	JOY GLOBAL INC
1E0123	8/31/2008	2/22/2008	-	40,000	65.07	JOY GLOBAL INC
1E0123	8/31/2008	2/22/2008	90,000	-	108.12	APACHE CORP
1E0123	8/31/2008	2/22/2008	95,000	-	95.12	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/22/2008	-	65,000	64.99	JOY GLOBAL INC
1E0123	8/31/2008	2/22/2008	85,000	-	95.60	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/22/2008	-	90,000	108.12	APACHE CORP
1E0123	8/31/2008	2/22/2008	-	45,000	108.23	APACHE CORP
1E0123	8/31/2008	2/25/2008	110,000	-	95.38	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/25/2008	70,000	-	95.65	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/25/2008	-	70,000	95.65	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/25/2008	-	110,000	95.38	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/26/2008	75,000	-	95.88	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/26/2008	-	75,000	95.88	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/26/2008	-	85,000	103.78	ENTERGY CORP NEW
1E0123	8/31/2008	2/26/2008	-	90,000	95.72	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/26/2008	75,000	-	103.69	ENTERGY CORP NEW
1E0123	8/31/2008	2/26/2008	-	75,000	103.69	ENTERGY CORP NEW
1E0123	8/31/2008	2/26/2008	90,000	-	95.72	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/26/2008	85,000	-	103.78	ENTERGY CORP NEW
1E0123	8/31/2008	2/27/2008	-	90,000	102.76	ENTERGY CORP NEW
1E0123	8/31/2008	2/27/2008	90,000	-	94.71	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/27/2008	-	50,000	94.82	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/27/2008	90,000	-	102.76	ENTERGY CORP NEW
1E0123	8/31/2008	2/27/2008	100,000	-	102.87	ENTERGY CORP NEW
1E0123	8/31/2008	2/27/2008	50,000	-	94.82	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/27/2008	-	90,000	94.71	DEVON ENERGY CORP NEW
1E0123	8/31/2008	2/27/2008	-	100,000	102.87	ENTERGY CORP NEW
1E0123	8/31/2008	2/28/2008	-	110,000	103.23	ENTERGY CORP NEW
1E0123	8/31/2008	2/28/2008	23,475,000	-	99.20	U S TREASURY BILL
1E0123	8/31/2008	2/28/2008	65,000	-	103.47	ENTERGY CORP NEW
1E0123	8/31/2008	2/28/2008	-	65,000	103.47	ENTERGY CORP NEW
1E0123	8/31/2008	2/28/2008	110,000	-	103.23	ENTERGY CORP NEW
1E0123	8/31/2008	2/29/2008	-	60,000	103.88	ENTERGY CORP NEW
1E0123	8/31/2008	2/29/2008	20,816	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	2/29/2008	-	90,000	103.79	ENTERGY CORP NEW
1E0123	8/31/2008	2/29/2008	90,000	-	103.79	ENTERGY CORP NEW
1E0123	8/31/2008	2/29/2008	60,000	-	103.88	ENTERGY CORP NEW
1E0123	8/31/2008	3/6/2008	-	88,000	164.76	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/6/2008	-	100,000	40.71	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	150,000	-	164.49	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/6/2008	75,000	-	40.89	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	90,000	-	40.73	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	100,000	-	52.77	NORFOLK SOUTHERN CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	3/6/2008	115,000	-	52.65	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/6/2008	-	112,000	164.68	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/6/2008	88,000	-	164.76	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/6/2008	-	100,000	45.26	AMGEN INC
1E0123	8/31/2008	3/6/2008	-	75,000	40.89	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	-	90,000	40.73	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	-	110,000	45.11	AMGEN INC
1E0123	8/31/2008	3/6/2008	-	115,000	52.65	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/6/2008	100,000	-	40.71	QUALCOMM INC
1E0123	8/31/2008	3/6/2008	241,775,000	-	99.51	U S TREASURY BILL
1E0123	8/31/2008	3/6/2008	-	100,000	52.77	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/6/2008	-	150,000	164.49	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/6/2008	100,000	-	45.26	AMGEN INC
1E0123	8/31/2008	3/6/2008	110,000	-	45.11	AMGEN INC
1E0123	8/31/2008	3/6/2008	112,000	-	164.68	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	-	120,000	52.81	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/7/2008	-	100,000	160.60	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	145,000	-	160.39	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	-	125,000	160.47	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	-	145,000	160.39	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	95,000	-	52.69	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/7/2008	120,000	-	52.81	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/7/2008	-	95,000	52.69	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/7/2008	100,000	-	160.60	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/7/2008	125,000	-	160.47	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/10/2008	75,000	-	53.41	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/10/2008	-	100,000	53.38	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/10/2008	140,000	-	162.92	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/10/2008	250,000	-	99.57	U S TREASURY BILL
1E0123	8/31/2008	3/10/2008	850,000	-	99.57	U S TREASURY BILL
1E0123	8/31/2008	3/10/2008	-	100,000	104.52	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/10/2008	150,000	-	162.88	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/10/2008	-	140,000	162.92	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/10/2008	150,000	-	104.60	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/10/2008	-	150,000	162.88	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	3/10/2008	100,000	-	53.38	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/10/2008	100,000	-	104.52	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/10/2008	-	75,000	53.41	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/10/2008	-	150,000	104.60	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/11/2008	-	125,000	52.41	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/11/2008	-	110,000	105.87	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/11/2008	-	150,000	52.30	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/11/2008	145,000	-	106.00	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/11/2008	-	145,000	106.00	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/11/2008	110,000	-	105.87	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/11/2008	125,000	-	52.41	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/11/2008	150,000	-	52.30	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/12/2008	80,000	-	102.76	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/12/2008	-	65,000	102.70	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/12/2008	80,000	-	39.77	QUALCOMM INC
1E0123	8/31/2008	3/12/2008	110,000	-	51.79	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/12/2008	120,000	-	39.67	QUALCOMM INC
1E0123	8/31/2008	3/12/2008	-	80,000	39.77	QUALCOMM INC
1E0123	8/31/2008	3/12/2008	-	120,000	51.85	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/12/2008	65,000	-	102.70	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/12/2008	75,000	-	39.82	QUALCOMM INC
1E0123	8/31/2008	3/12/2008	-	75,000	39.82	QUALCOMM INC
1E0123	8/31/2008	3/12/2008	-	80,000	102.76	FREEPORT MCMORAN COMMON
1E0123	8/31/2008	3/12/2008	-	110,000	51.79	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/12/2008	120,000	-	51.85	NORFOLK SOUTHERN CORP
1E0123	8/31/2008	3/12/2008	-	120,000	39.67	QUALCOMM INC
1E0123	8/31/2008	3/13/2008	-	110,000	82.62	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	125,000	-	39.22	QUALCOMM INC
1E0123	8/31/2008	3/13/2008	173,925,000	-	99.53	U S TREASURY BILL
1E0123	8/31/2008	3/13/2008	-	100,000	62.99	AMAZON COM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	3/13/2008	-	115,000	39.37	QUALCOMM INC
1E0123	8/31/2008	3/13/2008	-	120,000	43.70	AMGEN INC
1E0123	8/31/2008	3/13/2008	-	115,000	82.71	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	-	125,000	39.22	QUALCOMM INC
1E0123	8/31/2008	3/13/2008	90,000	-	82.49	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	85,000	-	63.26	AMAZON COM INC
1E0123	8/31/2008	3/13/2008	100,000	-	62.99	AMAZON COM INC
1E0123	8/31/2008	3/13/2008	80,000	-	43.77	AMGEN INC
1E0123	8/31/2008	3/13/2008	90,000	-	63.40	AMAZON COM INC
1E0123	8/31/2008	3/13/2008	110,000	-	82.62	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	115,000	-	39.37	QUALCOMM INC
1E0123	8/31/2008	3/13/2008	-	80,000	43.77	AMGEN INC
1E0123	8/31/2008	3/13/2008	-	85,000	63.26	AMAZON COM INC
1E0123	8/31/2008	3/13/2008	-	90,000	63.40	AMAZON COM INC
1E0123	8/31/2008	3/13/2008	-	90,000	82.49	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	115,000	-	82.71	SCHLUMBERGER LTD
1E0123	8/31/2008	3/13/2008	120,000	-	43.70	AMGEN INC
1E0123	8/31/2008	3/14/2008	75,000	-	63.92	AMAZON COM INC
1E0123	8/31/2008	3/14/2008	-	80,000	63.89	AMAZON COM INC
1E0123	8/31/2008	3/14/2008	-	112,000	84.63	SCHLUMBERGER LTD
1E0123	8/31/2008	3/14/2008	-	120,000	84.54	SCHLUMBERGER LTD
1E0123	8/31/2008	3/14/2008	120,000	-	84.54	SCHLUMBERGER LTD
1E0123	8/31/2008	3/14/2008	-	75,000	63.92	AMAZON COM INC
1E0123	8/31/2008	3/14/2008	-	110,000	63.99	AMAZON COM INC
1E0123	8/31/2008	3/14/2008	112,000	-	84.63	SCHLUMBERGER LTD
1E0123	8/31/2008	3/14/2008	80,000	-	63.89	AMAZON COM INC
1E0123	8/31/2008	3/14/2008	110,000	-	63.99	AMAZON COM INC
1E0123	8/31/2008	3/17/2008	95,000	-	65.91	AMAZON COM INC
1E0123	8/31/2008	3/17/2008	90,000	-	65.77	AMAZON COM INC
1E0123	8/31/2008	3/17/2008	120,000	-	84.38	SCHLUMBERGER LTD
1E0123	8/31/2008	3/17/2008	-	88,000	84.29	SCHLUMBERGER LTD
1E0123	8/31/2008	3/17/2008	-	90,000	65.77	AMAZON COM INC
1E0123	8/31/2008	3/17/2008	-	95,000	65.91	AMAZON COM INC
1E0123	8/31/2008	3/17/2008	-	120,000	84.38	SCHLUMBERGER LTD
1E0123	8/31/2008	3/17/2008	88,000	-	84.29	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	100,000	-	82.15	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	110,000	-	64.49	AMAZON COM INC
1E0123	8/31/2008	3/18/2008	-	150,000	81.99	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	-	75,000	82.32	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	-	80,000	64.57	AMAZON COM INC
1E0123	8/31/2008	3/18/2008	-	100,000	82.15	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	75,000	-	82.32	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	150,000	-	81.99	SCHLUMBERGER LTD
1E0123	8/31/2008	3/18/2008	-	110,000	64.49	AMAZON COM INC
1E0123	8/31/2008	3/18/2008	80,000	-	64.57	AMAZON COM INC
1E0123	8/31/2008	3/20/2008	-	90,000	43.37	AMGEN INC
1E0123	8/31/2008	3/20/2008	72,000	-	38.59	QUALCOMM INC
1E0123	8/31/2008	3/20/2008	75,000	-	43.29	AMGEN INC
1E0123	8/31/2008	3/20/2008	113,000	-	38.72	QUALCOMM INC
1E0123	8/31/2008	3/20/2008	70,000	-	412.29	GOOGLE
1E0123	8/31/2008	3/20/2008	82,000,000	-	99.64	U S TREASURY BILL
1E0123	8/31/2008	3/20/2008	75,000	-	412.57	GOOGLE
1E0123	8/31/2008	3/20/2008	-	70,000	412.29	GOOGLE
1E0123	8/31/2008	3/20/2008	-	75,000	412.57	GOOGLE
1E0123	8/31/2008	3/20/2008	90,000	-	43.37	AMGEN INC
1E0123	8/31/2008	3/20/2008	-	72,000	38.59	QUALCOMM INC
1E0123	8/31/2008	3/20/2008	-	75,000	43.29	AMGEN INC
1E0123	8/31/2008	3/20/2008	-	113,000	38.72	QUALCOMM INC
1E0123	8/31/2008	3/24/2008	-	77,000	39.13	QUALCOMM INC
1E0123	8/31/2008	3/24/2008	-	3,850,000	99.98	U S TREASURY BILL
1E0123	8/31/2008	3/24/2008	40,000	-	425.81	GOOGLE
1E0123	7/31/2008	3/24/2008	95,000	-	40.49	AMGEN INC
1E0123	8/31/2008	3/24/2008	-	100,000	39.00	QUALCOMM INC
1E0123	8/31/2008	3/24/2008	90,000	-	425.70	GOOGLE
1E0123	8/31/2008	3/24/2008	-	90,000	425.70	GOOGLE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	3/24/2008	100,000	-	39.00	QUALCOMM INC
1E0123	8/31/2008	3/24/2008	77,000	-	39.13	QUALCOMM INC
1E0123	8/31/2008	3/24/2008	-	40,000	425.81	GOOGLE
1E0123	7/31/2008	3/25/2008	110,000	-	39.99	AMGEN INC
1E0123	8/31/2008	3/25/2008	-	80,000	431.94	GOOGLE
1E0123	8/31/2008	3/25/2008	-	100,000	38.11	QUALCOMM INC
1E0123	8/31/2008	3/25/2008	-	88,000	38.03	QUALCOMM INC
1E0123	8/31/2008	3/25/2008	55,000	-	431.78	GOOGLE
1E0123	8/31/2008	3/25/2008	80,000	-	431.94	GOOGLE
1E0123	8/31/2008	3/25/2008	100,000	-	38.11	QUALCOMM INC
1E0123	8/31/2008	3/25/2008	-	55,000	431.78	GOOGLE
1E0123	8/31/2008	3/25/2008	88,000	-	38.03	QUALCOMM INC
1E0123	8/31/2008	3/25/2008	-	4,400,000	99.99	U S TREASURY BILL
1E0123	7/31/2008	3/26/2008	75,000	-	39.27	AMGEN INC
1E0123	7/31/2008	3/26/2008	75,000	-	39.47	AMGEN INC
1E0123	8/31/2008	3/26/2008	-	120,000	110.68	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/26/2008	-	5,900,000	99.98	U S TREASURY BILL
1E0123	8/31/2008	3/26/2008	120,000	-	110.68	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/26/2008	100,000	-	110.77	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/26/2008	-	100,000	110.77	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/27/2008	-	115,000	39.99	AMGEN INC
1E0123	8/31/2008	3/27/2008	-	105,000	131.22	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	-	97,000	112.89	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/27/2008	79,000	-	40.25	AMGEN INC
1E0123	8/31/2008	3/27/2008	-	95,000	130.98	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	100,000	-	83.19	CHEVRON CORP
1E0123	8/31/2008	3/27/2008	105,000	-	131.22	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	110,000	-	131.09	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	-	115,000	83.09	CHEVRON CORP
1E0123	8/31/2008	3/27/2008	115,000	-	39.99	AMGEN INC
1E0123	8/31/2008	3/27/2008	-	100,000	83.19	CHEVRON CORP
1E0123	8/31/2008	3/27/2008	-	110,000	131.09	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	95,000	-	130.98	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/27/2008	-	79,000	40.25	AMGEN INC
1E0123	8/31/2008	3/27/2008	97,000	-	112.89	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/27/2008	115,000	-	83.09	CHEVRON CORP
1E0123	8/31/2008	3/28/2008	-	100,000	40.66	AMGEN INC
1E0123	8/31/2008	3/28/2008	95,000	-	83.88	CHEVRON CORP
1E0123	8/31/2008	3/28/2008	125,000	-	40.75	AMGEN INC
1E0123	8/31/2008	3/28/2008	-	100,000	131.15	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/28/2008	-	120,000	131.29	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/28/2008	-	110,000	114.15	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/28/2008	-	125,000	40.75	AMGEN INC
1E0123	8/31/2008	3/28/2008	90,000	-	131.38	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/28/2008	100,000	-	99.54	U S TREASURY BILL
1E0123	8/31/2008	3/28/2008	120,000	-	83.97	CHEVRON CORP
1E0123	8/31/2008	3/28/2008	100,000	-	131.15	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/28/2008	-	120,000	83.97	CHEVRON CORP
1E0123	8/31/2008	3/28/2008	100,000	-	40.66	AMGEN INC
1E0123	8/31/2008	3/28/2008	-	90,000	131.38	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/28/2008	110,000	-	114.15	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/28/2008	-	95,000	83.88	CHEVRON CORP
1E0123	8/31/2008	3/28/2008	120,000	-	131.29	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	-	80,000	117.83	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/31/2008	-	90,000	40.78	AMGEN INC
1E0123	8/31/2008	3/31/2008	-	115,000	132.46	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	97,000	-	132.57	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	99,000	-	84.64	CHEVRON CORP
1E0123	8/31/2008	3/31/2008	-	103,000	132.88	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	-	125,000	84.78	CHEVRON CORP
1E0123	8/31/2008	3/31/2008	95,000	-	40.69	AMGEN INC
1E0123	8/31/2008	3/31/2008	103,000	-	132.88	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	-	90,000	117.70	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/31/2008	-	97,000	132.57	TRANSOCEAN INC NEW
1E0123	8/31/2008	3/31/2008	5,663	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	3/31/2008	80,000	-	117.83	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/31/2008	-	95,000	40.69	AMGEN INC
1E0123	8/31/2008	3/31/2008	-	99,000	84.64	CHEVRON CORP
1E0123	8/31/2008	3/31/2008	90,000	-	40.78	AMGEN INC
1E0123	8/31/2008	3/31/2008	125,000	-	84.78	CHEVRON CORP
1E0123	8/31/2008	3/31/2008	14,390	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	3/31/2008	90,000	-	117.70	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	3/31/2008	115,000	-	132.46	TRANSOCEAN INC NEW
1E0123	8/31/2008	4/1/2008	-	114,000	84.48	CHEVRON CORP
1E0123	8/31/2008	4/1/2008	107,000	-	84.39	CHEVRON CORP
1E0123	8/31/2008	4/1/2008	-	107,000	84.39	CHEVRON CORP
1E0123	8/31/2008	4/1/2008	275,000	-	99.65	U S TREASURY BILL
1E0123	8/31/2008	4/1/2008	114,000	-	84.48	CHEVRON CORP
1E0123	8/31/2008	4/3/2008	453,950,000	-	99.66	U S TREASURY BILL
1E0123	8/31/2008	4/7/2008	18,475,000	-	99.67	U S TREASURY BILL
1E0123	7/31/2008	4/7/2008	-	75,000	74.99	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	4/7/2008	-	80,000	75.12	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	4/7/2008	-	90,000	75.35	OCCIDENTAL PETROLEUM CORP
1E0123	8/31/2008	4/8/2008	16,175,000	-	99.53	U S TREASURY BILL
1E0123	7/31/2008	4/8/2008	-	78,000	76.59	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	4/8/2008	-	92,000	76.70	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	4/8/2008	-	40,000	76.37	OCCIDENTAL PETROLEUM CORP
1E0123	8/31/2008	4/15/2008	125,000	-	99.61	U S TREASURY BILL
1E0123	8/31/2008	4/17/2008	-	90,000	132.07	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/17/2008	90,000	-	132.07	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/17/2008	115,000	-	132.29	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/17/2008	-	115,000	132.29	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/18/2008	100,000	-	130.91	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/18/2008	-	100,000	130.91	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/18/2008	75,000	-	130.88	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/18/2008	-	75,000	130.88	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/21/2008	-	150,000	134.77	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/21/2008	67,000	-	134.63	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/21/2008	150,000	-	134.77	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/21/2008	-	67,000	134.63	DIAMOND OFFSHORE DRILLING
1E0123	8/31/2008	4/24/2008	69,425,000	-	99.54	U S TREASURY BILL
1E0123	7/31/2008	4/25/2008	-	110,000	88.12	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/25/2008	115,000	-	72.07	GENENTECH INC
1E0123	7/31/2008	4/25/2008	-	57,000	88.03	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/25/2008	-	115,000	72.07	GENENTECH INC
1E0123	8/31/2008	4/25/2008	165,000	-	71.95	GENENTECH INC
1E0123	8/31/2008	4/25/2008	14,900,000	-	99.54	U S TREASURY BILL
1E0123	8/31/2008	4/25/2008	121,000	-	83.12	CATERPILLAR INC
1E0123	8/31/2008	4/25/2008	98,000	-	83.07	CATERPILLAR INC
1E0123	8/31/2008	4/25/2008	-	121,000	83.12	CATERPILLAR INC
1E0123	8/31/2008	4/25/2008	-	98,000	83.07	CATERPILLAR INC
1E0123	8/31/2008	4/25/2008	-	165,000	71.95	GENENTECH INC
1E0123	8/31/2008	4/28/2008	-	90,000	73.47	GENENTECH INC
1E0123	8/31/2008	4/28/2008	100,000	-	82.63	CATERPILLAR INC
1E0123	8/31/2008	4/28/2008	25,000,000	-	99.54	U S TREASURY BILL
1E0123	8/31/2008	4/28/2008	90,000	-	73.47	GENENTECH INC
1E0123	8/31/2008	4/28/2008	150,000	-	73.27	GENENTECH INC
1E0123	7/31/2008	4/28/2008	-	150,000	90.56	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/28/2008	-	150,000	73.27	GENENTECH INC
1E0123	7/31/2008	4/28/2008	-	125,000	90.29	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/28/2008	-	100,000	82.63	CATERPILLAR INC
1E0123	8/31/2008	4/28/2008	105,000	-	82.50	CATERPILLAR INC
1E0123	8/31/2008	4/28/2008	-	105,000	82.50	CATERPILLAR INC
1E0123	8/31/2008	4/29/2008	80,000	-	82.22	CATERPILLAR INC
1E0123	8/31/2008	4/29/2008	100,000	-	82.35	CATERPILLAR INC
1E0123	8/31/2008	4/29/2008	8,925,000	-	99.53	U S TREASURY BILL
1E0123	8/31/2008	4/29/2008	-	100,000	82.35	CATERPILLAR INC
1E0123	8/31/2008	4/29/2008	98,000	-	72.77	GENENTECH INC
1E0123	7/31/2008	4/29/2008	-	100,000	88.87	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/29/2008	-	80,000	82.22	CATERPILLAR INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	4/29/2008	-	98,000	72.77	GENENTECH INC
1E0123	8/31/2008	4/30/2008	112,000	-	71.92	GENENTECH INC
1E0123	8/31/2008	4/30/2008	-	112,000	71.92	GENENTECH INC
1E0123	8/31/2008	4/30/2008	95,000	-	82.71	CATERPILLAR INC
1E0123	7/31/2008	4/30/2008	-	95,000	91.06	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/30/2008	-	101,000	82.69	CATERPILLAR INC
1E0123	8/31/2008	4/30/2008	15,402	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	4/30/2008	-	95,000	82.71	CATERPILLAR INC
1E0123	8/31/2008	4/30/2008	16,000,000	-	99.56	U S TREASURY BILL
1E0123	7/31/2008	4/30/2008	-	80,000	91.00	GENERAL DYNAMICS CORP
1E0123	8/31/2008	4/30/2008	101,000	-	82.69	CATERPILLAR INC
1E0123	8/31/2008	5/1/2008	72,300,000	-	99.52	U S TREASURY BILL
1E0123	8/31/2008	5/1/2008	-	110,000	72.22	GENENTECH INC
1E0123	8/31/2008	5/1/2008	-	72,000	72.53	GENENTECH INC
1E0123	8/31/2008	5/1/2008	-	88,000	72.49	GENENTECH INC
1E0123	8/31/2008	5/1/2008	72,000	-	72.53	GENENTECH INC
1E0123	8/31/2008	5/1/2008	88,000	-	72.49	GENENTECH INC
1E0123	8/31/2008	5/1/2008	110,000	-	72.22	GENENTECH INC
1E0123	8/31/2008	5/2/2008	63,000	-	68.21	GENENTECH INC
1E0123	8/31/2008	5/2/2008	-	100,000	67.99	GENENTECH INC
1E0123	8/31/2008	5/2/2008	100,000	-	67.99	GENENTECH INC
1E0123	8/31/2008	5/2/2008	-	57,000	68.09	GENENTECH INC
1E0123	8/31/2008	5/2/2008	-	63,000	68.21	GENENTECH INC
1E0123	8/31/2008	5/2/2008	57,000	-	68.09	GENENTECH INC
1E0123	8/31/2008	5/5/2008	-	75,000	67.99	GENENTECH INC
1E0123	8/31/2008	5/5/2008	75,000	-	67.99	GENENTECH INC
1E0123	8/31/2008	5/8/2008	-	200,000	155.51	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/8/2008	-	90,000	155.27	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/8/2008	126,275,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2008	5/8/2008	90,000	-	155.27	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/8/2008	200,000	-	155.51	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/9/2008	-	70,000	158.22	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/9/2008	170,000	-	158.39	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/9/2008	250,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2008	5/9/2008	-	170,000	158.39	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/9/2008	70,000	-	158.22	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/12/2008	185,000	-	162.11	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/12/2008	-	185,000	162.11	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/12/2008	119,000	-	162.06	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/12/2008	-	119,000	162.06	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/13/2008	-	101,000	157.61	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/13/2008	101,000	-	157.61	TRANSOCEAN INC NEW
1E0123	8/31/2008	5/15/2008	-	100,000	586.59	GOOGLE
1E0123	8/31/2008	5/15/2008	120,000	-	192.29	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/15/2008	70,000	-	192.41	GOLDMAN SACHS GROUP INC
1E0123	7/31/2008	5/15/2008	-	60,000	140.11	PRICELINE COM INC
1E0123	8/31/2008	5/15/2008	-	70,000	192.41	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/15/2008	80,000	-	586.40	GOOGLE
1E0123	8/31/2008	5/15/2008	95,000	-	192.11	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/15/2008	231,100,000	-	99.34	U S TREASURY BILL
1E0123	8/31/2008	5/15/2008	-	80,000	586.40	GOOGLE
1E0123	8/31/2008	5/15/2008	-	95,000	192.11	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/15/2008	-	120,000	192.29	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/15/2008	100,000	-	586.59	GOOGLE
1E0123	8/31/2008	5/15/2008	8,450,000	-	99.34	U S TREASURY BILL
1E0123	8/31/2008	5/16/2008	-	130,000	193.07	FLUOR CORP NEW
1E0123	8/31/2008	5/16/2008	-	180,000	140.98	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	200,000	-	141.06	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	7,325,000	-	99.34	U S TREASURY BILL
1E0123	8/31/2008	5/16/2008	-	242,000	141.19	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	60,000	-	586.22	GOOGLE
1E0123	8/31/2008	5/16/2008	115,000	-	192.86	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	115,000	-	193.70	FLUOR CORP NEW
1E0123	8/31/2008	5/16/2008	125,000	-	192.91	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	125,000	-	192.99	FLUOR CORP NEW

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	5/16/2008	150,000	-	192.77	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	-	60,000	586.22	GOOGLE
1E0123	8/31/2008	5/16/2008	-	125,000	192.99	FLUOR CORP NEW
1E0123	8/31/2008	5/16/2008	90,000	-	586.80	GOOGLE
1E0123	8/31/2008	5/16/2008	-	200,000	141.06	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	-	115,000	192.86	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	180,000	-	140.98	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	-	90,000	586.80	GOOGLE
1E0123	8/31/2008	5/16/2008	-	250,000	141.30	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	80,000	-	586.65	GOOGLE
1E0123	7/31/2008	5/16/2008	-	52,000	140.01	PRICELINE COM INC
1E0123	8/31/2008	5/16/2008	-	150,000	192.77	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	242,000	-	141.19	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/16/2008	-	80,000	586.65	GOOGLE
1E0123	8/31/2008	5/16/2008	-	115,000	193.70	FLUOR CORP NEW
1E0123	8/31/2008	5/16/2008	-	125,000	192.91	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/16/2008	130,000	-	193.07	FLUOR CORP NEW
1E0123	8/31/2008	5/16/2008	250,000	-	141.30	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/19/2008	-	40,000	142.76	PRICELINE COM INC
1E0123	8/31/2008	5/19/2008	-	135,000	192.07	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/19/2008	-	200,000	144.07	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	100,000	-	191.50	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	232,000	-	143.99	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	-	35,000	139.90	APACHE CORP
1E0123	8/31/2008	5/19/2008	-	200,000	192.12	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/19/2008	90,000	-	191.36	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	100,000	-	140.11	APACHE CORP
1E0123	8/31/2008	5/19/2008	-	90,000	191.36	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	-	232,000	143.99	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	65,000	-	191.12	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	75,000	-	140.03	APACHE CORP
1E0123	8/31/2008	5/19/2008	135,000	-	192.07	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/19/2008	200,000	-	192.12	GOLDMAN SACHS GROUP INC
1E0123	8/31/2008	5/19/2008	-	100,000	140.11	APACHE CORP
1E0123	8/31/2008	5/19/2008	-	100,000	191.50	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	-	230,000	143.89	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	-	238,000	144.29	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	35,000	-	139.90	APACHE CORP
1E0123	8/31/2008	5/19/2008	200,000	-	144.07	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	230,000	-	143.89	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	238,000	-	144.29	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/19/2008	-	65,000	191.12	FLUOR CORP NEW
1E0123	8/31/2008	5/19/2008	-	75,000	140.03	APACHE CORP
1E0123	8/31/2008	5/19/2008	5,750,000	-	99.35	U S TREASURY BILL
1E0123	8/31/2008	5/20/2008	50,000	-	137.73	APACHE CORP
1E0123	8/31/2008	5/20/2008	-	40,000	80.21	JOY GLOBAL INC
1E0123	8/31/2008	5/20/2008	-	90,000	137.81	APACHE CORP
1E0123	8/31/2008	5/20/2008	-	231,000	140.59	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	-	235,000	140.71	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	-	50,000	137.73	APACHE CORP
1E0123	8/31/2008	5/20/2008	-	237,000	140.11	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	-	245,000	140.36	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	40,000	-	80.21	JOY GLOBAL INC
1E0123	8/31/2008	5/20/2008	90,000	-	137.81	APACHE CORP
1E0123	8/31/2008	5/20/2008	231,000	-	140.59	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	235,000	-	140.71	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	237,000	-	140.11	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/20/2008	245,000	-	140.36	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/21/2008	-	200,000	181.06	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	-	85,000	143.18	APACHE CORP
1E0123	8/31/2008	5/21/2008	200,000	-	181.06	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/21/2008	-	128,000	180.95	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	128,000	-	180.95	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	226,000	-	142.69	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/21/2008	-	239,000	142.22	RESEARCH IN MOTION LTD

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	5/21/2008	-	240,000	142.41	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/21/2008	-	74,000	82.00	JOY GLOBAL INC
1E0123	8/31/2008	5/21/2008	90,000	-	143.27	APACHE CORP
1E0123	7/31/2008	5/21/2008	-	172,000	180.88	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	172,000	-	180.88	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	85,000	-	143.18	APACHE CORP
1E0123	8/31/2008	5/21/2008	239,000	-	142.22	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/21/2008	-	144,000	180.76	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	-	90,000	143.27	APACHE CORP
1E0123	8/31/2008	5/21/2008	-	226,000	142.69	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/21/2008	74,000	-	82.00	JOY GLOBAL INC
1E0123	8/31/2008	5/21/2008	144,000	-	180.76	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/21/2008	240,000	-	142.41	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/22/2008	-	69,000	187.43	APPLE INC
1E0123	7/31/2008	5/22/2008	-	95,000	188.09	APPLE INC
1E0123	8/31/2008	5/22/2008	-	220,000	142.78	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/22/2008	230,000	-	142.53	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/22/2008	-	156,000	185.29	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	80,000	-	82.34	JOY GLOBAL INC
1E0123	8/31/2008	5/22/2008	251,100,000	-	99.32	U S TREASURY BILL
1E0123	7/31/2008	5/22/2008	-	90,000	187.99	APPLE INC
1E0123	7/31/2008	5/22/2008	-	103,000	185.17	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	-	225,000	142.88	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/22/2008	220,000	-	142.78	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/22/2008	96,075,000	-	99.32	U S TREASURY BILL
1E0123	8/31/2008	5/22/2008	156,000	-	185.29	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	197,000	-	185.03	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	-	80,000	82.34	JOY GLOBAL INC
1E0123	8/31/2008	5/22/2008	-	230,000	142.53	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/22/2008	-	96,000	188.27	APPLE INC
1E0123	7/31/2008	5/22/2008	-	77,000	188.53	APPLE INC
1E0123	7/31/2008	5/22/2008	-	80,000	188.75	APPLE INC
1E0123	7/31/2008	5/22/2008	-	197,000	185.03	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	103,000	-	185.17	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/22/2008	225,000	-	142.88	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/23/2008	-	142,000	183.99	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	142,000	-	183.99	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	158,000	-	184.09	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	-	203,000	138.27	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/23/2008	-	247,000	138.59	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/23/2008	-	137,000	183.81	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	137,000	-	183.81	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/23/2008	-	163,000	183.60	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	-	81,000	80.10	JOY GLOBAL INC
1E0123	8/31/2008	5/23/2008	210,000	-	138.41	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/23/2008	203,000	-	138.27	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/23/2008	81,000	-	80.10	JOY GLOBAL INC
1E0123	8/31/2008	5/23/2008	163,000	-	183.60	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/23/2008	-	158,000	184.09	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/23/2008	247,000	-	138.59	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/23/2008	-	210,000	138.41	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/27/2008	-	157,000	185.29	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/27/2008	-	161,000	185.07	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/27/2008	-	240,000	140.19	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/27/2008	-	147,000	184.99	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/27/2008	113,350,000	-	99.34	U S TREASURY BILL
1E0123	7/31/2008	5/27/2008	-	143,000	185.46	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/27/2008	-	85,000	81.71	JOY GLOBAL INC
1E0123	8/31/2008	5/27/2008	-	200,000	140.38	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/27/2008	240,000	-	140.19	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/27/2008	-	216,000	140.51	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/27/2008	-	113,350,000	99.34	U S TREASURY BILL
1E0123	8/31/2008	5/27/2008	200,000	-	140.38	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/27/2008	216,000	-	140.51	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/27/2008	85,000	-	81.71	JOY GLOBAL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	5/27/2008	113,425,000	-	99.26	U S TREASURY BILL
1E0123	8/31/2008	5/28/2008	-	160,000	103.18	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	-	205,000	133.60	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	-	241,000	133.51	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	85,000	-	79.25	JOY GLOBAL INC
1E0123	8/31/2008	5/28/2008	205,000	-	133.79	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	130,375,000	-	99.15	U S TREASURY BILL
1E0123	7/31/2008	5/28/2008	-	162,000	181.76	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/28/2008	-	184,000	181.27	UNITED STATES STEEL CORP
1E0123	7/31/2008	5/28/2008	-	192,000	181.81	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/28/2008	241,000	-	133.40	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	130,150,000	-	99.33	U S TREASURY BILL
1E0123	8/31/2008	5/28/2008	-	241,000	133.40	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	-	130,150,000	99.33	U S TREASURY BILL
1E0123	8/31/2008	5/28/2008	100,000	-	103.11	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	150,000	-	103.27	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	160,000	-	103.18	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	-	100,000	103.11	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	-	150,000	103.27	CHEVRON CORP
1E0123	8/31/2008	5/28/2008	-	85,000	79.25	JOY GLOBAL INC
1E0123	8/31/2008	5/28/2008	241,000	-	133.51	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/28/2008	-	174,000	181.53	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/28/2008	-	205,000	133.79	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/28/2008	205,000	-	133.60	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/29/2008	-	202,000	131.75	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/29/2008	60,000	-	78.12	JOY GLOBAL INC
1E0123	8/31/2008	5/29/2008	115,000	-	102.36	CHEVRON CORP
1E0123	8/31/2008	5/29/2008	125,000	-	102.22	CHEVRON CORP
1E0123	8/31/2008	5/29/2008	-	240,000	131.96	RESEARCH IN MOTION LTD
1E0123	7/31/2008	5/29/2008	-	155,000	173.03	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/29/2008	-	60,000	78.12	JOY GLOBAL INC
1E0123	8/31/2008	5/29/2008	-	115,000	102.36	CHEVRON CORP
1E0123	7/31/2008	5/29/2008	-	125,000	173.80	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/29/2008	-	125,000	102.22	CHEVRON CORP
1E0123	8/31/2008	5/29/2008	240,000	-	131.96	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/29/2008	89,725,000	-	99.28	U S TREASURY BILL
1E0123	7/31/2008	5/29/2008	-	108,100	173.91	UNITED STATES STEEL CORP
1E0123	8/31/2008	5/29/2008	220,000	-	132.00	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/29/2008	67,825,000	-	99.28	U S TREASURY BILL
1E0123	8/31/2008	5/29/2008	-	220,000	132.00	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/29/2008	202,000	-	131.75	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	13,084	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	5/30/2008	85,000	-	76.80	JOY GLOBAL INC
1E0123	8/31/2008	5/30/2008	230,000	-	135.28	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	-	100,000	100.06	CHEVRON CORP
1E0123	8/31/2008	5/30/2008	75,000	-	99.30	U S TREASURY BILL
1E0123	8/31/2008	5/30/2008	100,000	-	100.06	CHEVRON CORP
1E0123	8/31/2008	5/30/2008	125,000	-	100.10	CHEVRON CORP
1E0123	8/31/2008	5/30/2008	34,439	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	5/30/2008	272,200	-	135.52	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	-	85,000	76.80	JOY GLOBAL INC
1E0123	8/31/2008	5/30/2008	-	230,000	135.28	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	-	272,200	135.52	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	200,000	-	135.41	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	-	125,000	100.10	CHEVRON CORP
1E0123	8/31/2008	5/30/2008	-	200,000	135.41	RESEARCH IN MOTION LTD
1E0123	8/31/2008	5/30/2008	22,510	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2008	6/2/2008	-	70,000	109.39	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/2/2008	-	70,000	108.86	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/2/2008	-	80,000	109.06	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/2/2008	-	76,000	109.14	BURLINGTON NORTHERN SANTA FE
1E0123	8/31/2008	6/2/2008	32,475,000	-	99.40	U S TREASURY BILL
1E0123	7/31/2008	6/3/2008	-	82,000	112.30	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/3/2008	-	90,000	112.23	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/3/2008	-	90,000	112.09	BURLINGTON NORTHERN SANTA FE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	6/3/2008	40,875,000	-	99.43	U S TREASURY BILL
1E0123	7/31/2008	6/3/2008	-	100,000	112.47	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	6/4/2008	-	82,000	113.08	BURLINGTON NORTHERN SANTA FE
1E0123	8/31/2008	6/4/2008	9,325,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2008	6/5/2008	-	45,000	119.41	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/5/2008	-	65,000	134.62	SPX CORP
1E0123	8/31/2008	6/5/2008	75,000	-	119.63	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/5/2008	175,000	-	99.43	U S TREASURY BILL
1E0123	8/31/2008	6/5/2008	-	75,000	119.63	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/5/2008	65,000	-	134.62	SPX CORP
1E0123	8/31/2008	6/5/2008	45,000	-	119.41	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/6/2008	100,000	-	120.20	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/6/2008	50,000	-	136.60	SPX CORP
1E0123	8/31/2008	6/6/2008	-	50,000	136.60	SPX CORP
1E0123	8/31/2008	6/6/2008	40,000	-	136.53	SPX CORP
1E0123	8/31/2008	6/6/2008	-	40,000	136.53	SPX CORP
1E0123	8/31/2008	6/6/2008	-	20,000	120.07	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/6/2008	20,000	-	120.07	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/6/2008	-	100,000	120.20	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/9/2008	-	75,000	116.70	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/9/2008	275,000	-	99.18	U S TREASURY BILL
1E0123	8/31/2008	6/9/2008	60,000	-	136.02	SPX CORP
1E0123	8/31/2008	6/9/2008	275,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2008	6/9/2008	-	70,000	116.53	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/9/2008	-	275,000	99.41	U S TREASURY BILL
1E0123	8/31/2008	6/9/2008	70,000	-	116.53	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/9/2008	75,000	-	116.70	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/9/2008	-	60,000	136.02	SPX CORP
1E0123	8/31/2008	6/10/2008	60,000	-	117.03	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/10/2008	-	90,000	117.11	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/10/2008	850,000	-	99.38	U S TREASURY BILL
1E0123	8/31/2008	6/10/2008	-	850,000	99.38	U S TREASURY BILL
1E0123	8/31/2008	6/10/2008	-	85,000	133.09	SPX CORP
1E0123	8/31/2008	6/10/2008	850,000	-	99.12	U S TREASURY BILL
1E0123	8/31/2008	6/10/2008	85,000	-	133.09	SPX CORP
1E0123	8/31/2008	6/10/2008	90,000	-	117.11	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/10/2008	-	60,000	117.03	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/11/2008	-	50,000	119.69	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/11/2008	40,000	-	132.51	SPX CORP
1E0123	8/31/2008	6/11/2008	-	40,000	132.51	SPX CORP
1E0123	8/31/2008	6/11/2008	50,000	-	119.69	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/11/2008	80,000	-	119.77	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/11/2008	-	80,000	119.77	DEVON ENERGY CORP NEW
1E0123	8/31/2008	6/12/2008	759,975,000	-	99.38	U S TREASURY BILL
1E0123	8/31/2008	6/12/2008	-	759,975,000	99.38	U S TREASURY BILL
1E0123	8/31/2008	6/12/2008	90,000	-	136.35	SPX CORP
1E0123	8/31/2008	6/12/2008	412,550,000	-	99.02	U S TREASURY BILL
1E0123	8/31/2008	6/12/2008	-	90,000	136.35	SPX CORP
1E0123	8/31/2008	6/12/2008	350,000,000	-	99.06	U S TREASURY BILL
1E0123	8/31/2008	6/13/2008	55,000	-	134.09	SPX CORP
1E0123	8/31/2008	6/13/2008	-	55,000	134.09	SPX CORP
1E0123	8/31/2008	6/19/2008	-	100,000	78.51	PEABODY ENERGY CORP
1E0123	8/31/2008	6/19/2008	200,000	-	78.86	PEABODY ENERGY CORP
1E0123	8/31/2008	6/19/2008	-	200,000	101.69	SCHLUMBERGER LTD
1E0123	8/31/2008	6/19/2008	-	110,000	101.42	SCHLUMBERGER LTD
1E0123	8/31/2008	6/19/2008	-	65,000	121.03	ENTERGY CORP NEW
1E0123	8/31/2008	6/19/2008	100,000	-	78.51	PEABODY ENERGY CORP
1E0123	8/31/2008	6/19/2008	110,000	-	101.42	SCHLUMBERGER LTD
1E0123	8/31/2008	6/19/2008	65,000	-	121.03	ENTERGY CORP NEW
1E0123	8/31/2008	6/19/2008	-	200,000	78.86	PEABODY ENERGY CORP
1E0123	8/31/2008	6/19/2008	200,000	-	101.69	SCHLUMBERGER LTD
1E0123	7/31/2008	6/20/2008	-	117,000	143.53	MONSANTO CO
1E0123	8/31/2008	6/20/2008	-	85,000	121.71	ENTERGY CORP NEW
1E0123	7/31/2008	6/20/2008	-	150,000	143.40	MONSANTO CO
1E0123	8/31/2008	6/20/2008	-	39,000	103.33	SCHLUMBERGER LTD

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	6/20/2008	-	90,000	79.77	PEABODY ENERGY CORP
1E0123	8/31/2008	6/20/2008	90,000	-	103.57	SCHLUMBERGER LTD
1E0123	8/31/2008	6/20/2008	150,000	-	103.71	SCHLUMBERGER LTD
1E0123	8/31/2008	6/20/2008	-	80,000	79.53	PEABODY ENERGY CORP
1E0123	7/31/2008	6/20/2008	-	83,000	143.09	MONSANTO CO
1E0123	8/31/2008	6/20/2008	-	150,000	103.71	SCHLUMBERGER LTD
1E0123	8/31/2008	6/20/2008	64,825,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2008	6/20/2008	39,000	-	103.33	SCHLUMBERGER LTD
1E0123	8/31/2008	6/20/2008	85,000	-	121.71	ENTERGY CORP NEW
1E0123	8/31/2008	6/20/2008	90,000	-	79.77	PEABODY ENERGY CORP
1E0123	8/31/2008	6/20/2008	80,000	-	79.53	PEABODY ENERGY CORP
1E0123	7/31/2008	6/20/2008	-	100,000	142.87	MONSANTO CO
1E0123	8/31/2008	6/20/2008	-	90,000	103.57	SCHLUMBERGER LTD
1E0123	7/31/2008	6/23/2008	-	93,000	145.42	MONSANTO CO
1E0123	8/31/2008	6/23/2008	-	170,000	83.42	PEABODY ENERGY CORP
1E0123	8/31/2008	6/23/2008	-	75,000	121.86	ENTERGY CORP NEW
1E0123	8/31/2008	6/23/2008	-	101,000	105.49	SCHLUMBERGER LTD
1E0123	8/31/2008	6/23/2008	60,000	-	121.74	ENTERGY CORP NEW
1E0123	8/31/2008	6/23/2008	126,000	-	105.26	SCHLUMBERGER LTD
1E0123	8/31/2008	6/23/2008	-	150,000	83.25	PEABODY ENERGY CORP
1E0123	8/31/2008	6/23/2008	-	60,000	121.74	ENTERGY CORP NEW
1E0123	8/31/2008	6/23/2008	75,000	-	121.86	ENTERGY CORP NEW
1E0123	8/31/2008	6/23/2008	101,000	-	105.49	SCHLUMBERGER LTD
1E0123	7/31/2008	6/23/2008	-	80,000	145.09	MONSANTO CO
1E0123	7/31/2008	6/23/2008	-	125,000	145.61	MONSANTO CO
1E0123	8/31/2008	6/23/2008	-	126,000	105.26	SCHLUMBERGER LTD
1E0123	8/31/2008	6/23/2008	150,000	-	83.25	PEABODY ENERGY CORP
1E0123	8/31/2008	6/23/2008	170,000	-	83.42	PEABODY ENERGY CORP
1E0123	8/31/2008	6/23/2008	43,550,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2008	6/24/2008	-	110,000	122.22	ENTERGY CORP NEW
1E0123	8/31/2008	6/24/2008	95,000	-	122.16	ENTERGY CORP NEW
1E0123	8/31/2008	6/24/2008	124,000	-	107.57	SCHLUMBERGER LTD
1E0123	8/31/2008	6/24/2008	140,000	-	107.49	SCHLUMBERGER LTD
1E0123	8/31/2008	6/24/2008	-	80,000	92.10	MASSEY ENERGY CO
1E0123	8/31/2008	6/24/2008	-	124,000	107.57	SCHLUMBERGER LTD
1E0123	8/31/2008	6/24/2008	-	140,000	107.49	SCHLUMBERGER LTD
1E0123	7/31/2008	6/24/2008	-	110,000	92.60	MASSEY ENERGY CO
1E0123	8/31/2008	6/24/2008	-	115,000	85.99	PEABODY ENERGY CORP
1E0123	8/31/2008	6/24/2008	95,000	-	86.07	PEABODY ENERGY CORP
1E0123	8/31/2008	6/24/2008	110,000	-	92.60	MASSEY ENERGY CO
1E0123	8/31/2008	6/24/2008	115,000	-	85.99	PEABODY ENERGY CORP
1E0123	7/31/2008	6/24/2008	-	70,000	92.10	MASSEY ENERGY CO
1E0123	8/31/2008	6/24/2008	-	95,000	86.07	PEABODY ENERGY CORP
1E0123	8/31/2008	6/24/2008	70,000	-	92.10	MASSEY ENERGY CO
1E0123	8/31/2008	6/24/2008	110,000	-	122.22	ENTERGY CORP NEW
1E0123	8/31/2008	6/24/2008	7,450,000	-	99.47	U S TREASURY BILL
1E0123	8/31/2008	6/24/2008	-	95,000	122.16	ENTERGY CORP NEW
1E0123	8/31/2008	6/25/2008	85,000	-	122.07	ENTERGY CORP NEW
1E0123	7/31/2008	6/25/2008	-	95,000	91.36	MASSEY ENERGY CO
1E0123	7/31/2008	6/25/2008	-	90,000	91.49	MASSEY ENERGY CO
1E0123	7/31/2008	6/25/2008	-	120,000	91.77	MASSEY ENERGY CO
1E0123	7/31/2008	6/25/2008	-	125,000	91.63	MASSEY ENERGY CO
1E0123	8/31/2008	6/25/2008	-	85,000	122.07	ENTERGY CORP NEW
1E0123	7/31/2008	6/25/2008	-	57,000	91.12	MASSEY ENERGY CO
1E0123	8/31/2008	6/25/2008	44,800,000	-	99.48	U S TREASURY BILL
1E0123	7/31/2008	6/26/2008	-	132,000	21.00	EL PASO CORPORATION
1E0123	7/31/2008	6/26/2008	-	140,000	21.53	EL PASO CORPORATION
1E0123	7/31/2008	6/26/2008	-	125,000	21.69	EL PASO CORPORATION
1E0123	8/31/2008	6/26/2008	216,800,000	-	99.46	U S TREASURY BILL
1E0123	8/31/2008	6/26/2008	115,000	-	128.31	HESS CORP
1E0123	8/31/2008	6/26/2008	150,000	-	128.17	HESS CORP
1E0123	8/31/2008	6/26/2008	-	115,000	128.31	HESS CORP
1E0123	8/31/2008	6/26/2008	-	100,000	123.02	ENTERGY CORP NEW
1E0123	8/31/2008	6/26/2008	-	150,000	128.17	HESS CORP
1E0123	7/31/2008	6/26/2008	-	112,000	21.08	EL PASO CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	6/26/2008	100,000	-	123.02	ENTERGY CORP NEW
1E0123	8/31/2008	6/27/2008	9,475,000	-	99.45	U S TREASURY BILL
1E0123	7/31/2008	6/27/2008	-	126,000	22.00	EL PASO CORPORATION
1E0123	8/31/2008	6/27/2008	-	125,000	128.00	HESS CORP
1E0123	7/31/2008	6/27/2008	-	147,000	21.86	EL PASO CORPORATION
1E0123	8/31/2008	6/27/2008	-	80,000	127.87	HESS CORP
1E0123	8/31/2008	6/27/2008	45,000	-	127.80	HESS CORP
1E0123	7/31/2008	6/27/2008	-	153,000	21.71	EL PASO CORPORATION
1E0123	8/31/2008	6/27/2008	-	45,000	127.80	HESS CORP
1E0123	8/31/2008	6/27/2008	80,000	-	127.87	HESS CORP
1E0123	8/31/2008	6/27/2008	125,000	-	128.00	HESS CORP
1E0123	8/31/2008	6/30/2008	75,000	-	126.26	HESS CORP
1E0123	8/31/2008	6/30/2008	70,000	-	126.43	HESS CORP
1E0123	8/31/2008	6/30/2008	-	70,000	126.43	HESS CORP
1E0123	8/31/2008	6/30/2008	100,000	-	126.51	HESS CORP
1E0123	8/31/2008	6/30/2008	19,147	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	6/30/2008	21,209	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	6/30/2008	-	75,000	126.26	HESS CORP
1E0123	8/31/2008	6/30/2008	-	100,000	126.51	HESS CORP
1E0123	8/31/2008	7/3/2008	-	719,825,000	99.41	U S TREASURY BILL
1E0123	8/31/2008	7/3/2008	-	53,586	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	7/3/2008	-	119,456	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	7/3/2008	150,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2008	7/3/2008	370,700,000	-	99.18	U S TREASURY BILL
1E0123	8/31/2008	7/3/2008	350,000,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2008	7/3/2008	719,825,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2008	7/10/2008	175,150,000	-	99.41	U S TREASURY BILL
1E0123	8/31/2008	7/17/2008	82,425,000	-	99.49	U S TREASURY BILL
1E0123	8/31/2008	7/24/2008	100,000	-	99.44	U S TREASURY BILL
1E0123	8/31/2008	7/31/2008	867	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	7/31/2008	50,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2008	7/31/2008	23,625,000	-	99.45	U S TREASURY BILL
1E0123	8/31/2008	7/31/2008	20,110	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	7/31/2008	-	867	1.00	FIDELITY SPARTAN
1E0123	7/31/2008	8/8/2008	100,000	-	18.99	MASSEY ENERGY CO
1E0123	7/31/2008	8/8/2008	60,000	-	19.09	MASSEY ENERGY CO
1E0123	7/31/2008	8/9/2008	75,000	-	53.51	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	8/9/2008	100,000	-	53.40	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	8/9/2008	90,000	-	53.77	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	8/9/2008	77,000	-	18.18	MASSEY ENERGY CO
1E0123	7/31/2008	8/9/2008	110,000	-	17.99	MASSEY ENERGY CO
1E0123	7/31/2008	8/9/2008	80,000	-	54.00	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	8/9/2008	110,000	-	54.25	OCCIDENTAL PETROLEUM CORP
1E0123	7/31/2008	8/10/2008	95,000	-	17.39	MASSEY ENERGY CO
1E0123	7/31/2008	8/10/2008	125,000	-	17.20	MASSEY ENERGY CO
1E0123	7/31/2008	8/13/2008	77,000	-	77.51	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/13/2008	100,000	-	97.99	ENTERGY CORP NEW
1E0123	7/31/2008	8/13/2008	90,000	-	77.12	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/13/2008	80,000	-	77.36	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/13/2008	115,000	-	98.36	ENTERGY CORP NEW
1E0123	7/31/2008	8/13/2008	90,000	-	98.12	ENTERGY CORP NEW
1E0123	7/31/2008	8/14/2008	81,000	-	97.78	ENTERGY CORP NEW
1E0123	7/31/2008	8/14/2008	100,000	-	97.83	ENTERGY CORP NEW
1E0123	7/31/2008	8/14/2008	99,000	-	97.48	ENTERGY CORP NEW
1E0123	7/31/2008	8/14/2008	50,000	-	73.47	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/14/2008	120,000	-	97.30	ENTERGY CORP NEW
1E0123	7/31/2008	8/14/2008	100,000	-	73.30	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/15/2008	110,000	-	70.86	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/15/2008	115,000	-	70.91	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/15/2008	95,000	-	70.70	GENERAL DYNAMICS CORP
1E0123	7/31/2008	8/16/2008	90,000	-	38.59	QUALCOMM INC
1E0123	7/31/2008	8/16/2008	78,000	-	39.06	QUALCOMM INC
1E0123	7/31/2008	8/16/2008	120,000	-	38.71	QUALCOMM INC
1E0123	7/31/2008	8/16/2008	100,000	-	38.33	QUALCOMM INC
1E0123	7/31/2008	8/17/2008	95,000	-	38.07	QUALCOMM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2008	8/17/2008	150,000	-	37.77	QUALCOMM INC
1E0123	7/31/2008	8/17/2008	80,000	-	38.19	QUALCOMM INC
1E0123	7/31/2008	8/17/2008	100,000	-	37.94	QUALCOMM INC
1E0123	7/31/2008	8/20/2008	89,000	-	56.77	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	8/20/2008	57,000	-	63.79	MONSANTO CO
1E0123	7/31/2008	8/20/2008	60,000	-	63.53	MONSANTO CO
1E0123	7/31/2008	8/20/2008	81,000	-	64.00	MONSANTO CO
1E0123	7/31/2008	8/20/2008	92,000	-	63.86	MONSANTO CO
1E0123	7/31/2008	8/21/2008	100,000	-	59.77	MONSANTO CO
1E0123	7/31/2008	8/21/2008	50,000	-	54.37	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	8/21/2008	150,000	-	59.88	MONSANTO CO
1E0123	7/31/2008	8/21/2008	71,000	-	54.25	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	8/21/2008	120,000	-	59.60	MONSANTO CO
1E0123	7/31/2008	8/21/2008	88,000	-	60.30	MONSANTO CO
1E0123	7/31/2008	8/22/2008	55,000	-	53.51	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	8/22/2008	65,000	-	53.69	APOLLO GROUP INC CLASS A
1E0123	7/31/2008	8/23/2008	70,000	-	54.51	APOLLO GROUP INC CLASS A
1E0123	8/31/2008	9/4/2008	525,000	-	98.40	U S TREASURY BILL
1E0123	8/31/2008	9/6/2008	712,850,000	-	98.46	U S TREASURY BILL
1E0123	8/31/2008	9/10/2008	700,000	-	98.59	U S TREASURY BILL
1E0123	8/31/2008	9/13/2008	3,100,000	-	98.53	U S TREASURY BILL
1E0123	8/31/2008	9/20/2008	129,900,000	-	98.57	U S TREASURY BILL
1E0123	7/31/2008	9/24/2008	-	108,000	106.31	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2008	9/24/2008	-	92,000	106.07	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2008	9/24/2008	-	104,000	106.49	ALLEGHENY TECHNOLOGIES INC
1E0123	8/31/2008	9/24/2008	32,775,000	-	98.62	U S TREASURY BILL
1E0123	7/31/2008	9/25/2008	-	121,000	105.70	ALLEGHENY TECHNOLOGIES INC
1E0123	8/31/2008	9/25/2008	28,200,000	-	98.64	U S TREASURY BILL
1E0123	7/31/2008	9/25/2008	-	142,000	105.88	ALLEGHENY TECHNOLOGIES INC
1E0123	7/31/2008	9/26/2008	-	113,000	106.56	ALLEGHENY TECHNOLOGIES INC
1E0123	8/31/2008	9/26/2008	12,200,000	-	98.65	U S TREASURY BILL
1E0123	7/31/2008	9/27/2008	-	120,000	109.62	ALLEGHENY TECHNOLOGIES INC
1E0123	8/31/2008	9/27/2008	13,375,000	-	98.34	U S TREASURY BILL
1E0123	8/31/2008	9/28/2008	9,750,000	-	98.34	U S TREASURY BILL
1E0123	7/31/2008	9/28/2008	-	85,700	109.03	ALLEGHENY TECHNOLOGIES INC
1E0123	8/31/2008	9/28/2008	14,953	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	10/4/2008	261,175,000	-	98.29	U S TREASURY BILL
1E0123	8/31/2008	10/11/2008	173,000,000	-	98.26	U S TREASURY BILL
1E0123	8/31/2008	10/15/2008	100,000	-	98.26	U S TREASURY BILL
1E0123	8/31/2008	10/18/2008	1,750,000	-	98.36	U S TREASURY BILL
1E0123	8/31/2008	10/26/2008	150,000	-	98.66	U S TREASURY BILL
1E0123	8/31/2008	10/31/2008	3,311	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2008	11/6/2008	-	250,000	189.77	APPLE INC
1E0123	7/31/2008	11/6/2008	90,000	-	73.28	GENENTECH INC
1E0123	7/31/2008	11/6/2008	-	100,000	189.99	APPLE INC
1E0123	8/31/2008	11/6/2008	50,650,000	-	98.64	U S TREASURY BILL
1E0123	7/31/2008	11/6/2008	25,000	-	73.46	GENENTECH INC
1E0123	7/31/2008	11/6/2008	110,000	-	73.12	GENENTECH INC
1E0123	7/31/2008	11/7/2008	-	90,000	188.49	APPLE INC
1E0123	7/31/2008	11/7/2008	85,000	-	73.81	GENENTECH INC
1E0123	7/31/2008	11/7/2008	90,000	-	73.70	GENENTECH INC
1E0123	7/31/2008	11/7/2008	-	125,000	188.90	APPLE INC
1E0123	8/31/2008	11/7/2008	29,300,000	-	98.71	U S TREASURY BILL
1E0123	7/31/2008	11/7/2008	-	75,000	188.72	APPLE INC
1E0123	7/31/2008	11/7/2008	75,000	-	73.59	GENENTECH INC
1E0123	7/31/2008	11/7/2008	100,000	-	73.68	GENENTECH INC
1E0123	7/31/2008	11/8/2008	-	80,000	187.35	APPLE INC
1E0123	7/31/2008	11/8/2008	-	150,000	188.19	APPLE INC
1E0123	7/31/2008	11/8/2008	-	180,000	188.63	APPLE INC
1E0123	7/31/2008	11/8/2008	-	200,000	188.81	APPLE INC
1E0123	8/31/2008	11/8/2008	116,600,000	-	98.58	U S TREASURY BILL
1E0123	7/31/2008	11/9/2008	-	97,000	190.09	APPLE INC
1E0123	7/31/2008	11/9/2008	-	110,000	189.99	APPLE INC
1E0123	7/31/2008	11/9/2008	-	81,550	190.18	APPLE INC
1E0123	7/31/2008	11/9/2008	-	99,000	190.00	APPLE INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	8/31/2008	11/9/2008	88,550,000	-	98.62	U S TREASURY BILL
1E0123	7/31/2008	11/9/2008	-	71,000	189.79	APPLE INC
1E0123	8/31/2008	11/15/2008	363,750,000	-	98.64	U S TREASURY BILL
1E0123	8/31/2008	11/29/2008	69,100,000	-	98.77	U S TREASURY BILL
1E0123	8/31/2008	11/30/2008	23,601	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	12/3/2008	525,000	-	98.71	U S TREASURY BILL
1E0123	7/31/2008	12/7/2008	-	100,300	94.40	AMAZON COM INC
1E0123	7/31/2008	12/7/2008	-	145,000	94.19	AMAZON COM INC
1E0123	7/31/2008	12/7/2008	-	155,000	94.31	AMAZON COM INC
1E0123	8/31/2008	12/7/2008	38,225,000	-	98.75	U S TREASURY BILL
1E0123	7/31/2008	12/10/2008	-	168,000	94.88	AMAZON COM INC
1E0123	7/31/2008	12/10/2008	-	171,000	94.79	AMAZON COM INC
1E0123	8/31/2008	12/10/2008	33,225,000	-	98.77	U S TREASURY BILL
1E0123	8/31/2008	12/11/2008	-	14,425,000	98.76	U S TREASURY BILL
1E0123	7/31/2008	12/11/2008	-	152,000	94.77	AMAZON COM INC
1E0123	8/31/2008	12/11/2008	14,425,000	-	98.76	U S TREASURY BILL
1E0123	8/31/2008	12/11/2008	14,575,000	-	98.76	U S TREASURY BILL
1E0123	7/31/2008	12/12/2008	-	139,000	94.51	AMAZON COM INC
1E0123	8/31/2008	12/12/2008	13,300,000	-	98.75	U S TREASURY BILL
1E0123	7/31/2008	12/13/2008	-	95,000	122.07	ENTERGY CORP NEW
1E0123	7/31/2008	12/13/2008	-	138,000	34.44	NVIDIA CORP
1E0123	7/31/2008	12/13/2008	-	156,000	94.09	AMAZON COM INC
1E0123	8/31/2008	12/13/2008	32,475,000	-	98.78	U S TREASURY BILL
1E0123	7/31/2008	12/14/2008	-	113,000	35.12	NVIDIA CORP
1E0123	7/31/2008	12/14/2008	-	126,000	35.08	NVIDIA CORP
1E0123	7/31/2008	12/14/2008	-	154,000	95.80	AMAZON COM INC
1E0123	7/31/2008	12/14/2008	-	120,000	35.49	NVIDIA CORP
1E0123	8/31/2008	12/14/2008	65,250,000	-	98.76	U S TREASURY BILL
1E0123	7/31/2008	12/14/2008	-	50,000	122.12	ENTERGY CORP NEW
1E0123	7/31/2008	12/14/2008	-	77,000	122.09	ENTERGY CORP NEW
1E0123	7/31/2008	12/14/2008	-	135,000	35.39	NVIDIA CORP
1E0123	7/31/2008	12/14/2008	-	175,000	95.72	AMAZON COM INC
1E0123	7/31/2008	12/17/2008	-	60,000	121.80	ENTERGY CORP NEW
1E0123	7/31/2008	12/17/2008	-	108,000	36.00	NVIDIA CORP
1E0123	8/31/2008	12/17/2008	80,450,000	-	98.68	U S TREASURY BILL
1E0123	7/31/2008	12/17/2008	-	192,000	93.69	AMAZON COM INC
1E0123	7/31/2008	12/17/2008	-	65,000	121.71	ENTERGY CORP NEW
1E0123	7/31/2008	12/17/2008	-	107,000	35.86	NVIDIA CORP
1E0123	7/31/2008	12/17/2008	-	108,000	35.79	NVIDIA CORP
1E0123	7/31/2008	12/17/2008	-	68,000	122.04	ENTERGY CORP NEW
1E0123	7/31/2008	12/17/2008	-	104,000	35.61	NVIDIA CORP
1E0123	7/31/2008	12/17/2008	-	144,000	93.39	AMAZON COM INC
1E0123	7/31/2008	12/17/2008	-	75,000	121.96	ENTERGY CORP NEW
1E0123	7/31/2008	12/18/2008	-	140,000	34.99	NVIDIA CORP
1E0123	7/31/2008	12/18/2008	-	104,000	34.71	NVIDIA CORP
1E0123	7/31/2008	12/18/2008	-	120,000	119.99	ENTERGY CORP NEW
1E0123	7/31/2008	12/18/2008	-	153,000	92.82	AMAZON COM INC
1E0123	8/31/2008	12/18/2008	46,025,000	-	98.69	U S TREASURY BILL
1E0123	7/31/2008	12/18/2008	-	100,000	34.88	NVIDIA CORP
1E0123	7/31/2008	12/18/2008	-	137,000	35.09	NVIDIA CORP
1E0123	7/31/2008	12/19/2008	-	177,000	91.09	AMAZON COM INC
1E0123	7/31/2008	12/19/2008	-	95,000	120.12	ENTERGY CORP NEW
1E0123	7/31/2008	12/19/2008	-	120,000	35.31	NVIDIA CORP
1E0123	7/31/2008	12/19/2008	-	138,000	35.39	NVIDIA CORP
1E0123	8/31/2008	12/19/2008	37,125,000	-	98.71	U S TREASURY BILL
1E0123	8/31/2008	12/20/2008	35,300,000	-	98.74	U S TREASURY BILL
1E0123	7/31/2008	12/20/2008	-	130,000	34.64	NVIDIA CORP
1E0123	7/31/2008	12/20/2008	-	182,000	87.89	AMAZON COM INC
1E0123	7/31/2008	12/20/2008	-	133,000	34.71	NVIDIA CORP
1E0123	7/31/2008	12/20/2008	-	135,000	34.70	NVIDIA CORP
1E0123	7/31/2008	12/20/2008	-	145,000	34.88	NVIDIA CORP
1E0123	7/31/2008	12/21/2008	-	135,000	34.19	NVIDIA CORP
1E0123	7/31/2008	12/21/2008	-	137,000	87.13	AMAZON COM INC
1E0123	7/31/2008	12/21/2008	-	140,000	34.24	NVIDIA CORP
1E0123	8/31/2008	12/21/2008	30,675,000	-	98.72	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1E0123	7/31/2008	12/21/2008	-	150,000	34.09	NVIDIA CORP
1E0123	7/31/2008	12/21/2008	-	113,000	33.89	NVIDIA CORP
1E0123	7/31/2008	12/24/2008	75,000	-	81.30	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/24/2008	-	150,000	34.64	NVIDIA CORP
1E0123	7/31/2008	12/24/2008	80,000	-	81.66	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/24/2008	-	144,000	34.42	NVIDIA CORP
1E0123	8/31/2008	12/24/2008	-	10,150,000	99.37	U S TREASURY BILL
1E0123	7/31/2008	12/24/2008	93,000	-	81.93	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/26/2008	90,000	-	80.92	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/26/2008	-	136,000	35.11	NVIDIA CORP
1E0123	7/31/2008	12/26/2008	-	145,000	35.20	NVIDIA CORP
1E0123	7/31/2008	12/26/2008	60,000	-	81.30	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/26/2008	100,000	-	80.88	BURLINGTON NORTHERN SANTA FE
1E0123	8/31/2008	12/26/2008	-	10,425,000	99.39	U S TREASURY BILL
1E0123	7/31/2008	12/27/2008	-	110,000	35.79	NVIDIA CORP
1E0123	7/31/2008	12/27/2008	-	125,000	35.66	NVIDIA CORP
1E0123	7/31/2008	12/27/2008	87,000	-	82.86	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/27/2008	-	105,000	35.63	NVIDIA CORP
1E0123	7/31/2008	12/27/2008	75,000	-	82.70	BURLINGTON NORTHERN SANTA FE
1E0123	7/31/2008	12/27/2008	-	121,000	35.49	NVIDIA CORP
1E0123	7/31/2008	12/27/2008	80,000	-	82.79	BURLINGTON NORTHERN SANTA FE
1E0123	8/31/2008	12/27/2008	229,950,000	-	98.68	U S TREASURY BILL
1E0123	8/31/2008	12/28/2008	10,350,000	-	98.68	U S TREASURY BILL
1E0123	7/31/2008	12/28/2008	-	143,000	35.86	NVIDIA CORP
1E0123	7/31/2008	12/28/2008	-	142,000	35.72	NVIDIA CORP
1E0123	7/31/2008	12/31/2008	-	100,750	36.00	NVIDIA CORP
1E0123	8/31/2008	12/31/2008	22,585	-	1.00	FIDELITY SPARTAN
1E0123	8/31/2008	12/31/2008	23,816	-	1.00	FIDELITY SPARTAN
1E0123	7/31/2008	12/31/2008	-	149,000	36.09	NVIDIA CORP
1E0123	8/31/2008	12/31/2008	9,100,000	-	98.71	U S TREASURY BILL
1E0126	6/30/1999	5/26/1999	3,425,000	-	99.66	U S TREASURY BILL
1E0126	6/30/1999	5/26/1999	-	3,425,000	98.66	U S TREASURY BILL
1E0139	6/30/1999	5/26/1999	7,650,000	-	99.66	U S TREASURY BILL
1E0139	6/30/1999	5/26/1999	-	7,650,000	98.66	U S TREASURY BILL
1E0139	8/31/2002	7/31/2002	-	5,950,000	99.48	U S TREASURY BILL
1E0139	8/31/2002	7/31/2002	5,950,000	-	99.54	U S TREASURY BILL
1E0139	8/31/2002	7/31/2002	-	5,950,000	99.54	U S TREASURY BILL
1E0139	8/31/2002	7/31/2002	5,950,000	-	99.48	U S TREASURY BILL
1E0153	6/30/1999	5/26/1999	-	8,250,000	98.66	U S TREASURY BILL
1E0153	6/30/1999	5/26/1999	8,250,000	-	99.66	U S TREASURY BILL
1E0160	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1E0160	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1E0160	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1E0160	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1E0161	8/31/2002	7/31/2002	1,250,000	-	99.48	U S TREASURY BILL
1E0161	8/31/2002	7/31/2002	-	1,250,000	99.48	U S TREASURY BILL
1E0161	8/31/2002	7/31/2002	-	1,250,000	99.54	U S TREASURY BILL
1E0161	8/31/2002	7/31/2002	1,250,000	-	99.54	U S TREASURY BILL
1E0163	2/28/2006	11/22/2006	-	3,000,000	99.52	U S TREASURY BILL
1E0163	2/28/2006	11/22/2006	3,000,000	-	98.52	U S TREASURY BILL
1EM011	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM011	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM016	6/30/1999	5/26/1999	-	375,000	98.66	U S TREASURY BILL
1EM016	6/30/1999	5/26/1999	375,000	-	99.66	U S TREASURY BILL
1EM022	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1EM022	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1EM029	6/30/1999	5/26/1999	-	1,375,000	98.66	U S TREASURY BILL
1EM029	6/30/1999	5/26/1999	1,375,000	-	99.66	U S TREASURY BILL
1EM029	8/31/2002	7/31/2002	1,300,000	-	99.54	U S TREASURY BILL
1EM029	8/31/2002	7/31/2002	-	1,300,000	99.48	U S TREASURY BILL
1EM029	8/31/2002	7/31/2002	1,300,000	-	99.48	U S TREASURY BILL
1EM029	8/31/2002	7/31/2002	-	1,300,000	99.54	U S TREASURY BILL
1EM030	6/30/1999	5/26/1999	-	550,000	98.66	U S TREASURY BILL
1EM030	6/30/1999	5/26/1999	550,000	-	99.66	U S TREASURY BILL
1EM052	6/30/1999	5/26/1999	-	650,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1EM052	6/30/1999	5/26/1999	650,000	-	99.66	U S TREASURY BILL
1EM057	8/31/2002	7/31/2002	-	1,400,000	99.54	U S TREASURY BILL
1EM057	8/31/2002	7/31/2002	1,400,000	-	99.54	U S TREASURY BILL
1EM057	8/31/2002	7/31/2002	-	1,400,000	99.48	U S TREASURY BILL
1EM057	8/31/2002	7/31/2002	1,400,000	-	99.48	U S TREASURY BILL
1EM061	8/31/2002	7/31/2002	1,750,000	-	99.48	U S TREASURY BILL
1EM061	8/31/2002	7/31/2002	-	1,750,000	99.48	U S TREASURY BILL
1EM061	8/31/2002	7/31/2002	-	1,750,000	99.54	U S TREASURY BILL
1EM061	8/31/2002	7/31/2002	1,750,000	-	99.54	U S TREASURY BILL
1EM070	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1EM070	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1EM095	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1EM095	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1EM100	6/30/1999	5/26/1999	250,000	-	99.66	U S TREASURY BILL
1EM100	6/30/1999	5/26/1999	-	250,000	98.66	U S TREASURY BILL
1EM111	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM111	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM111	8/31/2002	7/31/2002	1,450,000	-	99.48	U S TREASURY BILL
1EM111	8/31/2002	7/31/2002	1,450,000	-	99.54	U S TREASURY BILL
1EM111	8/31/2002	7/31/2002	-	1,450,000	99.54	U S TREASURY BILL
1EM111	8/31/2002	7/31/2002	-	1,450,000	99.48	U S TREASURY BILL
1EM122	8/31/2002	7/31/2002	-	1,275,000	99.54	U S TREASURY BILL
1EM122	8/31/2002	7/31/2002	-	1,275,000	99.48	U S TREASURY BILL
1EM122	8/31/2002	7/31/2002	1,275,000	-	99.48	U S TREASURY BILL
1EM122	8/31/2002	7/31/2002	1,275,000	-	99.54	U S TREASURY BILL
1EM135	6/30/1999	5/26/1999	500,000	-	99.66	U S TREASURY BILL
1EM135	6/30/1999	5/26/1999	-	500,000	98.66	U S TREASURY BILL
1EM183	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM183	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM224	6/30/1999	5/26/1999	-	2,125,000	98.66	U S TREASURY BILL
1EM224	6/30/1999	5/26/1999	2,125,000	-	99.66	U S TREASURY BILL
1EM224	8/31/2002	7/31/2002	-	2,100,000	99.48	U S TREASURY BILL
1EM224	8/31/2002	7/31/2002	-	2,100,000	99.54	U S TREASURY BILL
1EM224	8/31/2002	7/31/2002	2,100,000	-	99.48	U S TREASURY BILL
1EM224	8/31/2002	7/31/2002	2,100,000	-	99.54	U S TREASURY BILL
1EM259	6/30/1999	5/26/1999	-	1,475,000	98.66	U S TREASURY BILL
1EM259	6/30/1999	5/26/1999	1,475,000	-	99.66	U S TREASURY BILL
1EM259	8/31/2002	7/31/2002	1,825,000	-	99.54	U S TREASURY BILL
1EM259	8/31/2002	7/31/2002	-	1,825,000	99.54	U S TREASURY BILL
1EM259	8/31/2002	7/31/2002	-	1,825,000	99.48	U S TREASURY BILL
1EM259	8/31/2002	7/31/2002	1,825,000	-	99.48	U S TREASURY BILL
1EM268	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1EM268	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1EM276	6/30/1999	5/26/1999	-	3,475,000	98.66	U S TREASURY BILL
1EM276	6/30/1999	5/26/1999	3,475,000	-	99.66	U S TREASURY BILL
1EM276	8/31/2002	7/31/2002	3,850,000	-	99.54	U S TREASURY BILL
1EM276	8/31/2002	7/31/2002	3,850,000	-	99.48	U S TREASURY BILL
1EM276	8/31/2002	7/31/2002	-	3,850,000	99.48	U S TREASURY BILL
1EM276	8/31/2002	7/31/2002	-	3,850,000	99.54	U S TREASURY BILL
1EM286	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM286	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM294	6/30/1999	5/26/1999	1,800,000	-	99.66	U S TREASURY BILL
1EM294	6/30/1999	5/26/1999	-	1,800,000	98.66	U S TREASURY BILL
1EM294	8/31/2002	7/31/2002	-	4,075,000	99.54	U S TREASURY BILL
1EM294	8/31/2002	7/31/2002	-	4,075,000	99.48	U S TREASURY BILL
1EM294	8/31/2002	7/31/2002	4,075,000	-	99.54	U S TREASURY BILL
1EM294	8/31/2002	7/31/2002	4,075,000	-	99.48	U S TREASURY BILL
1EM305	6/30/1999	5/26/1999	-	5,150,000	98.66	U S TREASURY BILL
1EM305	6/30/1999	5/26/1999	5,150,000	-	99.66	U S TREASURY BILL
1EM305	8/31/2002	7/31/2002	7,025,000	-	99.54	U S TREASURY BILL
1EM305	8/31/2002	7/31/2002	-	7,025,000	99.48	U S TREASURY BILL
1EM305	8/31/2002	7/31/2002	7,025,000	-	99.48	U S TREASURY BILL
1EM305	8/31/2002	7/31/2002	-	7,025,000	99.54	U S TREASURY BILL
1EM313	6/30/1999	5/26/1999	-	1,950,000	98.66	U S TREASURY BILL
1EM313	6/30/1999	5/26/1999	1,950,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1EM313	8/31/2002	7/31/2002	-	1,525,000	99.48	U S TREASURY BILL
1EM313	8/31/2002	7/31/2002	1,525,000	-	99.54	U S TREASURY BILL
1EM313	8/31/2002	7/31/2002	-	1,525,000	99.54	U S TREASURY BILL
1EM313	8/31/2002	7/31/2002	1,525,000	-	99.48	U S TREASURY BILL
1EM343	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1EM343	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1EM364	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM364	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM364	8/31/2002	7/31/2002	2,725,000	-	99.54	U S TREASURY BILL
1EM364	8/31/2002	7/31/2002	-	2,725,000	99.48	U S TREASURY BILL
1EM364	8/31/2002	7/31/2002	-	2,725,000	99.54	U S TREASURY BILL
1EM364	8/31/2002	7/31/2002	2,725,000	-	99.48	U S TREASURY BILL
1EM372	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1EM372	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1EM375	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1EM375	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1EM432	12/31/2002	11/29/2002	100,000	-	99.67	U S TREASURY BILL
1EM432	12/31/2002	11/29/2002	100,000	-	99.67	U S TREASURY BILL
1EM446	7/31/2003	6/27/2003	1,500,000	-	99.79	U S TREASURY BILL
1EM446	7/31/2003	6/27/2003	3,180	-	1.00	FIDELITY SPARTAN
1F0011	4/30/2001	11/30/2001	-	6,286	1.00	FIDELITY SPARTAN
1F0011	4/30/2001	12/29/2001	-	2,989	1.00	FIDELITY SPARTAN
1F0012	6/30/2002	3/30/2002	-	50,000	1.00	FIDELITY SPARTAN
1F0012	6/30/2002	7/31/2002	-	40,000	1.00	FIDELITY SPARTAN
1F0012	6/30/2002	8/31/2002	-	25,296	1.00	FIDELITY SPARTAN
1F0012	12/31/2002	9/30/2002	-	115,000	99.41	U S TREASURY BILL
1F0018	6/30/1998	4/30/1998	-	5	1.00	FIDELITY SPARTAN
1F0018	6/30/1998	5/29/1998	-	4	1.00	FIDELITY SPARTAN
1F0020	6/30/1999	5/26/1999	-	5,375,000	98.66	U S TREASURY BILL
1F0020	6/30/1999	5/26/1999	5,375,000	-	99.66	U S TREASURY BILL
1F0020	8/31/2002	7/31/2002	-	5,700,000	99.48	U S TREASURY BILL
1F0020	8/31/2002	7/31/2002	5,700,000	-	99.48	U S TREASURY BILL
1F0020	8/31/2002	7/31/2002	5,700,000	-	99.54	U S TREASURY BILL
1F0020	8/31/2002	7/31/2002	-	5,700,000	99.54	U S TREASURY BILL
1F0021	6/30/1999	5/26/1999	32,625,000	-	99.66	U S TREASURY BILL
1F0021	6/30/1999	5/26/1999	-	32,625,000	98.66	U S TREASURY BILL
1F0021	8/31/2002	7/31/2002	-	37,125,000	99.48	U S TREASURY BILL
1F0021	8/31/2002	7/31/2002	37,125,000	-	99.48	U S TREASURY BILL
1F0021	8/31/2002	7/31/2002	37,125,000	-	99.54	U S TREASURY BILL
1F0021	8/31/2002	7/31/2002	-	37,125,000	99.54	U S TREASURY BILL
1F0054	4/30/1999	3/29/1999	-	36,000	171.25	MICROSOFT CORP
1F0054	4/30/1999	3/29/1999	72,000	-	85.63	MICROSOFT CORP
1F0054	4/30/1999	3/29/1999	100,000	-	85.50	MICROSOFT CORP
1F0054	4/30/1999	3/29/1999	-	50,000	171.00	MICROSOFT CORP
1F0054	2/29/2000	1/6/2000	-	46,000	67.25	ADOBE SYS INC
1F0054	2/29/2000	1/6/2000	-	72,000	118.38	MICROSOFT CORP
1F0054	2/29/2000	1/6/2000	-	46,000	118.31	MICROSOFT CORP
1F0054	2/29/2000	1/6/2000	-	19,040	77.25	LUCENT TECHNOLOGIES INC
1F0054	2/29/2000	1/6/2000	-	5,562	24.00	ALLSTATE
1F0054	3/31/2000	1/6/2000	5,562	-	24.00	ALLSTATE
1F0054	2/29/2000	1/6/2000	-	66,000	42.13	NOVELL INC
1F0054	2/29/2000	1/6/2000	-	30,000	82.94	AMERICA ONLINE CORP
1F0054	2/29/2000	1/6/2000	-	54,000	118.25	MICROSOFT CORP
1F0054	2/29/2000	1/6/2000	-	42,000	83.00	AMERICA ONLINE CORP
1F0054	2/29/2000	1/7/2000	-	918	37.94	NCR CORP
1F0054	2/29/2000	1/7/2000	-	42,000	29.50	ADVANCED MICRO DEVICES
1F0054	2/29/2000	1/10/2000	-	25,500	27.38	COMPAQ COMPUTER CORP
1F0054	3/31/2000	1/10/2000	25,500	-	27.38	COMPAQ COMPUTER CORP
1F0054	2/29/2000	1/10/2000	46,000	-	58.50	ADOBE SYS INC
1F0054	2/29/2000	1/10/2000	55,000	-	40.00	NATIONAL SEMICONDUCTOR CORP
1F0054	2/29/2000	1/10/2000	66,000	-	32.50	NOVELL INC
1F0054	2/29/2000	1/10/2000	-	3,000	30.50	SEARS ROEBUCK & CO
1F0054	3/31/2000	1/10/2000	3,000	-	30.50	SEARS ROEBUCK & CO
1F0054	2/29/2000	1/10/2000	-	2,000	72.50	LEHMAN BROS HOLDING INC
1F0054	2/29/2000	1/11/2000	-	22,035	49.38	AT & T CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1F0054	2/29/2000	1/11/2000	-	2,250	50.25	EASTMAN CHEMICAL CO
1F0054	2/29/2000	1/13/2000	-	12,348	47.88	CHIRON CORP
1F0054	3/31/2000	1/13/2000	12,348	-	47.88	CHIRON CORP
1F0054	2/29/2000	1/18/2000	42,000	-	60.06	AMERICA ONLINE CORP
1F0054	2/29/2000	1/18/2000	-	9,000	59.75	EASTMAN KODAK CO
1F0054	2/29/2000	1/18/2000	30,000	-	60.13	AMERICA ONLINE CORP
1F0054	2/29/2000	1/20/2000	-	10,000	47.50	FEDEX CORPORATION
1F0054	2/29/2000	1/21/2000	19,040	-	51.13	LUCENT TECHNOLOGIES INC
1F0054	2/29/2000	1/25/2000	-	26,466	54.25	BP AMOCO PLC
1F0054	2/29/2000	1/28/2000	-	24,000	21.63	PHILIP MORRIS COMPANIES INC
1F0054	3/31/2000	1/28/2000	24,000	-	21.63	PHILIP MORRIS COMPANIES INC
1F0054	2/29/2000	1/28/2000	-	6,000	55.75	PPG INDS INC
1F0054	3/31/2000	1/28/2000	6,000	-	55.75	PPG INDS INC
1F0054	2/29/2000	1/28/2000	-	55,000	53.50	NATIONAL SEMICONDUCTOR CORP
1F0054	2/29/2000	1/28/2000	46,000	-	100.13	MICROSOFT CORP
1F0054	2/29/2000	1/28/2000	54,000	-	100.38	MICROSOFT CORP
1F0054	2/29/2000	1/28/2000	-	3,400	42.94	CATERPILLAR INC
1F0054	2/29/2000	1/28/2000	-	20,000	46.50	INTERNATIONAL PAPER CO
1F0054	2/29/2000	1/28/2000	-	30,000	39.38	GEORGIA PACIFIC GROUP
1F0054	2/29/2000	1/28/2000	72,000	-	100.00	MICROSOFT CORP
1F0054	2/29/2000	1/31/2000	-	17,000	87.75	MERRILL LYNCH & CO INC
1F0054	3/31/2000	1/31/2000	17,000	-	87.75	MERRILL LYNCH & CO INC
1F0054	10/31/2001	9/4/2001	10,000	-	51.05	KLA TENCOR CORPORATION
1F0054	6/30/2002	4/24/2002	-	50,000	42.75	XILINX INC
1F0054	4/30/2004	2/5/2004	60,000	-	48.02	AMAZON COM INC
1F0054	9/30/2008	2/5/2008	-	7,500	81.88	DEVON ENERGY CORP NEW
1F0054	9/30/2008	2/5/2008	-	10,000	81.88	DEVON ENERGY CORP NEW
1F0054	9/30/2008	2/12/2008	20,000	-	67.45	AMAZON COM INC
1F0054	9/30/2008	3/7/2008	-	3,500	120.62	APPLE INC
1F0054	9/30/2008	6/4/2008	33,400	-	28.65	MICROSOFT CORP
1F0054	9/30/2008	6/5/2008	-	20,000	75.15	PEABODY ENERGY CORP
1F0054	9/30/2008	7/16/2008	-	30,000	72.28	WYNN RESORTS LTD
1F0054	9/30/2008	7/22/2008	20,000	-	73.30	PEABODY ENERGY CORP
1F0054	9/30/2008	7/28/2008	7,500	-	94.89	DEVON ENERGY CORP NEW
1F0054	9/30/2008	8/5/2008	25,000	-	116.58	PRICELINE COM INC
1F0054	9/30/2008	8/5/2008	-	15,000	77.80	SEARS HOLDING CORP
1F0077	6/30/1999	5/26/1999	-	4,800,000	98.66	U S TREASURY BILL
1F0077	6/30/1999	5/26/1999	4,800,000	-	99.66	U S TREASURY BILL
1F0077	8/31/2002	7/31/2002	-	3,850,000	99.48	U S TREASURY BILL
1F0077	8/31/2002	7/31/2002	-	3,850,000	99.54	U S TREASURY BILL
1F0077	8/31/2002	7/31/2002	3,850,000	-	99.54	U S TREASURY BILL
1F0077	8/31/2002	7/31/2002	3,850,000	-	99.48	U S TREASURY BILL
1F0083	6/30/1999	5/26/1999	5,500,000	-	99.66	U S TREASURY BILL
1F0083	6/30/1999	5/26/1999	-	5,500,000	98.66	U S TREASURY BILL
1F0085	6/30/1999	5/26/1999	2,350,000	-	99.66	U S TREASURY BILL
1F0085	6/30/1999	5/26/1999	-	2,350,000	98.66	U S TREASURY BILL
1F0085	8/31/2002	7/31/2002	-	2,925,000	99.54	U S TREASURY BILL
1F0085	8/31/2002	7/31/2002	2,925,000	-	99.48	U S TREASURY BILL
1F0085	8/31/2002	7/31/2002	2,925,000	-	99.54	U S TREASURY BILL
1F0085	8/31/2002	7/31/2002	-	2,925,000	99.48	U S TREASURY BILL
1F0089	6/30/2002	8/31/2002	-	10,829	1.00	FIDELITY SPARTAN
1F0137	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1F0137	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1F0137	8/31/2002	7/31/2002	-	1,850,000	99.48	U S TREASURY BILL
1F0137	8/31/2002	7/31/2002	1,850,000	-	99.54	U S TREASURY BILL
1F0137	8/31/2002	7/31/2002	1,850,000	-	99.48	U S TREASURY BILL
1F0137	8/31/2002	7/31/2002	-	1,850,000	99.54	U S TREASURY BILL
1F0148	12/31/1997	4/7/1997	-	8,500	84.50	MERRILL LYNCH & CO INC
1F0148	12/31/1997	4/7/1997	-	46,000	50.50	LUCENT TECHNOLOGIES INC
1F0148	12/31/1997	4/8/1997	-	2,340	34.50	DEAN WITTER DISCOVER & CO
1F0148	12/31/1997	4/8/1997	-	11,200	97.50	GENERAL ELECTRIC CO
1F0148	12/31/1997	4/9/1997	-	2,781	59.00	ALLSTATE
1F0148	12/31/1997	4/10/1997	-	8,000	37.75	PHILIP MORRIS COMPANIES INC
1F0148	12/31/1997	4/16/1997	-	3,000	45.88	SEARS ROEBUCK & CO
1F0148	12/31/1997	4/17/1997	-	15,400	83.50	PFIZER INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1F0148	12/31/1997	4/17/1997	-	5,100	71.50	COMPAQ COMPUTER CORP
1F0148	12/31/1997	4/18/1997	-	6,000	49.13	PPG INDS INC
1F0148	12/31/1997	4/22/1997	-	10,000	79.75	AMOCO CORP
1F0148	12/31/1997	4/24/1997	-	12,348	17.88	CHIRON CORP
1F0148	12/31/1997	10/30/1997	-	100,000	127.00	MICROSOFT CORP
1F0148	12/31/1997	10/31/1997	-	20,000	90.25	INTERNATIONAL BUSINESS MACHS
1F0148	12/31/1997	10/31/1997	-	20,000	45.00	INTERNATIONAL PAPER CO
1F0148	12/31/1997	10/31/1997	20,000	-	90.25	INTERNATIONAL BUSINESS MACHS
1F0148	12/31/1997	10/31/1997	-	9,000	57.00	EASTMAN KODAK CO
1F0148	12/31/1997	10/31/1997	-	58,700	125.00	MICROSOFT CORP
1F0148	2/29/2000	1/7/2000	-	26,466	59.06	BP AMOCO PLC
1F0148	2/29/2000	1/13/2000	-	12,348	47.88	CHIRON CORP
1F0148	2/29/2000	1/13/2000	-	74,000	58.00	LUCENT TECHNOLOGIES INC
1F0148	2/29/2000	1/13/2000	-	56,000	57.94	LUCENT TECHNOLOGIES INC
1F0148	2/29/2000	1/13/2000	-	54,000	57.88	LUCENT TECHNOLOGIES INC
1F0148	2/29/2000	1/19/2000	-	6,000	64.63	PPG INDS INC
1F0148	2/29/2000	1/19/2000	-	5,562	25.25	ALLSTATE
1F0148	2/29/2000	1/19/2000	-	22,400	154.50	GENERAL ELECTRIC CO
1F0148	2/29/2000	1/20/2000	-	92,400	37.25	PFIZER INC
1F0148	2/29/2000	1/20/2000	-	24,000	24.31	PHILIP MORRIS COMPANIES INC
1F0148	2/29/2000	1/21/2000	-	2,340	138.00	MORGAN STANLEY DEAN WITTER CO
1F0148	2/29/2000	1/25/2000	-	3,000	34.13	SEARS ROEBUCK & CO
1F0148	2/29/2000	1/28/2000	-	25,500	33.63	COMPAQ COMPUTER CORP
1F0148	2/29/2000	1/31/2000	-	17,000	87.63	MERRILL LYNCH & CO INC
1F0154	8/31/2002	7/31/2002	-	1,450,000	99.54	U S TREASURY BILL
1F0154	8/31/2002	7/31/2002	1,450,000	-	99.48	U S TREASURY BILL
1F0154	8/31/2002	7/31/2002	1,450,000	-	99.54	U S TREASURY BILL
1F0154	8/31/2002	7/31/2002	-	1,450,000	99.48	U S TREASURY BILL
1F0155	6/30/1999	5/26/1999	-	2,600,000	98.66	U S TREASURY BILL
1F0155	6/30/1999	5/26/1999	2,600,000	-	99.66	U S TREASURY BILL
1F0155	8/31/2002	7/31/2002	-	9,825,000	99.48	U S TREASURY BILL
1F0155	8/31/2002	7/31/2002	9,825,000	-	99.54	U S TREASURY BILL
1F0155	8/31/2002	7/31/2002	-	9,825,000	99.54	U S TREASURY BILL
1F0155	8/31/2002	7/31/2002	9,825,000	-	99.48	U S TREASURY BILL
1F0160	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1F0160	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1F0160	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1F0160	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1F0162	8/31/2002	7/31/2002	-	6,225,000	99.48	U S TREASURY BILL
1F0162	8/31/2002	7/31/2002	6,225,000	-	99.54	U S TREASURY BILL
1F0162	8/31/2002	7/31/2002	-	6,225,000	99.54	U S TREASURY BILL
1F0162	8/31/2002	7/31/2002	6,225,000	-	99.48	U S TREASURY BILL
1F0165	8/31/2002	7/31/2002	-	475,000	99.54	U S TREASURY BILL
1F0165	8/31/2002	7/31/2002	475,000	-	99.48	U S TREASURY BILL
1F0166	2/28/2006	11/22/2006	-	300,000	99.52	U S TREASURY BILL
1F0166	2/28/2006	11/22/2006	300,000	-	98.52	U S TREASURY BILL
1F0172	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1F0172	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1F0172	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1F0172	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1FN005	6/30/1999	5/26/1999	-	37,975,000	98.66	U S TREASURY BILL
1FN005	6/30/1999	5/26/1999	37,975,000	-	99.66	U S TREASURY BILL
1FN005	8/31/2002	7/31/2002	-	63,300,000	99.54	U S TREASURY BILL
1FN005	8/31/2002	7/31/2002	-	63,300,000	99.48	U S TREASURY BILL
1FN005	8/31/2002	7/31/2002	63,300,000	-	99.48	U S TREASURY BILL
1FN005	8/31/2002	7/31/2002	63,300,000	-	99.54	U S TREASURY BILL
1FN012	6/30/1999	5/26/1999	-	192,850,000	98.66	U S TREASURY BILL
1FN012	6/30/1999	5/26/1999	192,850,000	-	99.66	U S TREASURY BILL
1FN012	8/31/2002	7/31/2002	239,900,000	-	99.54	U S TREASURY BILL
1FN012	8/31/2002	7/31/2002	-	239,900,000	99.48	U S TREASURY BILL
1FN012	8/31/2002	7/31/2002	-	239,900,000	99.54	U S TREASURY BILL
1FN012	8/31/2002	7/31/2002	239,900,000	-	99.48	U S TREASURY BILL
1FN021	6/30/1999	5/26/1999	-	7,375,000	98.66	U S TREASURY BILL
1FN021	6/30/1999	5/26/1999	7,375,000	-	99.66	U S TREASURY BILL
1FN021	8/31/2002	7/31/2002	-	12,825,000	99.54	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1FN021	8/31/2002	7/31/2002	12,825,000	-	99.48	U S TREASURY BILL
1FN021	8/31/2002	7/31/2002	-	12,825,000	99.48	U S TREASURY BILL
1FN021	8/31/2002	7/31/2002	12,825,000	-	99.54	U S TREASURY BILL
1FN027	6/30/1999	5/26/1999	3,975,000	-	99.66	U S TREASURY BILL
1FN027	6/30/1999	5/26/1999	-	3,975,000	98.66	U S TREASURY BILL
1FN028	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1FN028	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1FN028	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1FN028	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1FN028	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1FN028	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1FN037	6/30/1999	5/26/1999	-	3,100,000	98.66	U S TREASURY BILL
1FN037	6/30/1999	5/26/1999	3,100,000	-	99.66	U S TREASURY BILL
1FN037	8/31/2002	7/31/2002	-	3,150,000	99.48	U S TREASURY BILL
1FN037	8/31/2002	7/31/2002	3,450,000	-	99.48	U S TREASURY BILL
1FN037	8/31/2002	7/31/2002	3,150,000	-	99.54	U S TREASURY BILL
1FN037	8/31/2002	7/31/2002	-	3,450,000	99.54	U S TREASURY BILL
1FN045	6/30/1999	5/26/1999	-	193,400,000	98.66	U S TREASURY BILL
1FN045	6/30/1999	5/26/1999	193,400,000	-	99.66	U S TREASURY BILL
1FN045	8/31/2002	7/31/2002	-	229,425,000	99.48	U S TREASURY BILL
1FN045	8/31/2002	7/31/2002	-	229,425,000	99.54	U S TREASURY BILL
1FN045	8/31/2002	7/31/2002	229,425,000	-	99.54	U S TREASURY BILL
1FN045	8/31/2002	7/31/2002	229,425,000	-	99.48	U S TREASURY BILL
1FN046	6/30/1999	5/26/1999	3,500,000	-	99.66	U S TREASURY BILL
1FN046	6/30/1999	5/26/1999	-	3,500,000	98.66	U S TREASURY BILL
1FN046	8/31/2002	7/31/2002	2,850,000	-	99.48	U S TREASURY BILL
1FN046	8/31/2002	7/31/2002	-	2,850,000	99.54	U S TREASURY BILL
1FN046	8/31/2002	7/31/2002	-	2,850,000	99.48	U S TREASURY BILL
1FN046	8/31/2002	7/31/2002	2,850,000	-	99.54	U S TREASURY BILL
1FN056	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1FN056	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1FN061	6/30/1999	5/26/1999	124,225,000	-	99.66	U S TREASURY BILL
1FN061	6/30/1999	5/26/1999	-	124,225,000	98.66	U S TREASURY BILL
1FN061	8/31/2002	7/31/2002	-	205,850,000	99.48	U S TREASURY BILL
1FN061	8/31/2002	7/31/2002	205,850,000	-	99.48	U S TREASURY BILL
1FN061	8/31/2002	7/31/2002	205,850,000	-	99.54	U S TREASURY BILL
1FN061	8/31/2002	7/31/2002	-	205,850,000	99.54	U S TREASURY BILL
1FN063	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1FN063	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1FN079	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1FN079	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1FN079	8/31/2002	7/31/2002	-	1,800,000	99.54	U S TREASURY BILL
1FN079	8/31/2002	7/31/2002	-	1,800,000	99.48	U S TREASURY BILL
1FN079	8/31/2002	7/31/2002	1,800,000	-	99.48	U S TREASURY BILL
1FN079	8/31/2002	7/31/2002	1,800,000	-	99.54	U S TREASURY BILL
1FN080	6/30/1999	5/26/1999	1,975,000	-	99.66	U S TREASURY BILL
1FN080	6/30/1999	5/26/1999	-	1,975,000	98.66	U S TREASURY BILL
1FN084	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1FN084	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL
1FN084	8/31/2002	7/31/2002	-	1,800,000	99.48	U S TREASURY BILL
1FN084	8/31/2002	7/31/2002	1,800,000	-	99.48	U S TREASURY BILL
1FN084	8/31/2002	7/31/2002	1,800,000	-	99.54	U S TREASURY BILL
1FN084	8/31/2002	7/31/2002	-	1,800,000	99.54	U S TREASURY BILL
1FN085	1/31/1996	12/20/1995	986	-	47.00	MCI COMMUNICATIONS CORP
1FN085	1/31/1996	12/20/1995	-	986	47.00	MCDONALDS CORP
1FN086	6/30/1999	5/26/1999	-	28,175,000	98.66	U S TREASURY BILL
1FN086	6/30/1999	5/26/1999	28,175,000	-	99.66	U S TREASURY BILL
1FN086	8/31/2002	7/31/2002	-	42,550,000	99.48	U S TREASURY BILL
1FN086	8/31/2002	7/31/2002	-	42,550,000	99.54	U S TREASURY BILL
1FN086	8/31/2002	7/31/2002	42,550,000	-	99.48	U S TREASURY BILL
1FN086	8/31/2002	7/31/2002	42,550,000	-	99.54	U S TREASURY BILL
1FN088	6/30/1999	5/26/1999	-	2,700,000	98.66	U S TREASURY BILL
1FN088	6/30/1999	5/26/1999	2,700,000	-	99.66	U S TREASURY BILL
1FN089	6/30/1999	5/26/1999	-	3,200,000	98.66	U S TREASURY BILL
1FN089	6/30/1999	5/26/1999	3,200,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1FN089	8/31/2002	7/31/2002	-	2,400,000	99.48	U S TREASURY BILL
1FN089	8/31/2002	7/31/2002	2,400,000	-	99.48	U S TREASURY BILL
1FN089	8/31/2002	7/31/2002	2,400,000	-	99.54	U S TREASURY BILL
1FN089	8/31/2002	7/31/2002	-	2,400,000	99.54	U S TREASURY BILL
1FN091	6/30/1999	5/26/1999	-	6,375,000	98.66	U S TREASURY BILL
1FN091	6/30/1999	5/26/1999	6,375,000	-	99.66	U S TREASURY BILL
1FN091	8/31/2002	7/31/2002	8,000,000	-	99.48	U S TREASURY BILL
1FN091	8/31/2002	7/31/2002	-	8,000,000	99.54	U S TREASURY BILL
1FN091	8/31/2002	7/31/2002	8,000,000	-	99.54	U S TREASURY BILL
1FN091	8/31/2002	7/31/2002	-	8,000,000	99.48	U S TREASURY BILL
1FN092	6/30/1999	5/26/1999	-	19,425,000	98.66	U S TREASURY BILL
1FN092	6/30/1999	5/26/1999	19,425,000	-	99.66	U S TREASURY BILL
1FN092	8/31/2002	7/31/2002	36,975,000	-	99.48	U S TREASURY BILL
1FN092	8/31/2002	7/31/2002	-	36,975,000	99.54	U S TREASURY BILL
1FN092	8/31/2002	7/31/2002	36,975,000	-	99.54	U S TREASURY BILL
1FN092	8/31/2002	7/31/2002	-	36,975,000	99.48	U S TREASURY BILL
1FN094	6/30/1999	5/26/1999	-	9,625,000	98.66	U S TREASURY BILL
1FN094	6/30/1999	5/26/1999	9,625,000	-	99.66	U S TREASURY BILL
1FN094	8/31/2002	7/31/2002	-	69,475,000	99.48	U S TREASURY BILL
1FN094	8/31/2002	7/31/2002	69,475,000	-	99.54	U S TREASURY BILL
1FN094	8/31/2002	7/31/2002	69,475,000	-	99.48	U S TREASURY BILL
1FN094	8/31/2002	7/31/2002	-	69,475,000	99.54	U S TREASURY BILL
1FN095	6/30/1999	5/26/1999	-	32,400,000	98.66	U S TREASURY BILL
1FN095	6/30/1999	5/26/1999	32,400,000	-	99.66	U S TREASURY BILL
1FN095	8/31/2002	7/31/2002	-	72,200,000	99.48	U S TREASURY BILL
1FN095	8/31/2002	7/31/2002	72,200,000	-	99.48	U S TREASURY BILL
1FN095	8/31/2002	7/31/2002	72,200,000	-	99.54	U S TREASURY BILL
1FN095	8/31/2002	7/31/2002	-	72,200,000	99.54	U S TREASURY BILL
1FR001	6/30/1999	5/26/1999	2,575,000	-	99.66	U S TREASURY BILL
1FR001	6/30/1999	5/26/1999	-	2,575,000	98.66	U S TREASURY BILL
1FR001	8/31/2002	7/31/2002	2,575,000	-	99.48	U S TREASURY BILL
1FR001	8/31/2002	7/31/2002	-	2,575,000	99.48	U S TREASURY BILL
1FR001	8/31/2002	7/31/2002	2,575,000	-	99.54	U S TREASURY BILL
1FR001	8/31/2002	7/31/2002	-	2,575,000	99.54	U S TREASURY BILL
1FR002	6/30/1999	5/26/1999	18,850,000	-	99.66	U S TREASURY BILL
1FR002	6/30/1999	5/26/1999	-	18,850,000	98.66	U S TREASURY BILL
1FR002	8/31/2002	7/31/2002	31,050,000	-	99.54	U S TREASURY BILL
1FR002	8/31/2002	7/31/2002	-	31,050,000	99.54	U S TREASURY BILL
1FR002	8/31/2002	7/31/2002	-	31,050,000	99.48	U S TREASURY BILL
1FR002	8/31/2002	7/31/2002	31,050,000	-	99.48	U S TREASURY BILL
1FR003	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1FR003	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1FR003	8/31/2002	7/31/2002	1,775,000	-	99.54	U S TREASURY BILL
1FR003	8/31/2002	7/31/2002	-	1,775,000	99.48	U S TREASURY BILL
1FR003	8/31/2002	7/31/2002	1,775,000	-	99.48	U S TREASURY BILL
1FR003	8/31/2002	7/31/2002	-	1,775,000	99.54	U S TREASURY BILL
1FR005	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1FR005	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1FR008	6/30/1999	5/26/1999	-	53,400,000	98.66	U S TREASURY BILL
1FR008	6/30/1999	5/26/1999	53,400,000	-	99.66	U S TREASURY BILL
1FR008	8/31/2002	7/31/2002	-	111,925,000	99.48	U S TREASURY BILL
1FR008	8/31/2002	7/31/2002	111,925,000	-	99.54	U S TREASURY BILL
1FR008	8/31/2002	7/31/2002	-	111,925,000	99.54	U S TREASURY BILL
1FR008	8/31/2002	7/31/2002	111,925,000	-	99.48	U S TREASURY BILL
1FR009	6/30/1999	5/26/1999	-	1,400,000	98.66	U S TREASURY BILL
1FR009	6/30/1999	5/26/1999	1,400,000	-	99.66	U S TREASURY BILL
1FR009	8/31/2002	7/31/2002	5,800,000	-	99.54	U S TREASURY BILL
1FR009	8/31/2002	7/31/2002	5,800,000	-	99.48	U S TREASURY BILL
1FR009	8/31/2002	7/31/2002	-	5,800,000	99.48	U S TREASURY BILL
1FR009	8/31/2002	7/31/2002	-	5,800,000	99.54	U S TREASURY BILL
1FR010	6/30/1999	5/26/1999	-	5,475,000	98.66	U S TREASURY BILL
1FR010	6/30/1999	5/26/1999	5,475,000	-	99.66	U S TREASURY BILL
1FR010	8/31/2002	7/31/2002	-	12,825,000	99.54	U S TREASURY BILL
1FR010	8/31/2002	7/31/2002	-	12,825,000	99.48	U S TREASURY BILL
1FR010	8/31/2002	7/31/2002	12,825,000	-	99.54	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1FR010	8/31/2002	7/31/2002	12,825,000	-	99.48	U S TREASURY BILL
1FR015	6/30/1999	5/26/1999	3,850,000	-	99.66	U S TREASURY BILL
1FR015	6/30/1999	5/26/1999	-	3,850,000	98.66	U S TREASURY BILL
1FR016	6/30/1999	5/26/1999	2,100,000	-	99.66	U S TREASURY BILL
1FR016	6/30/1999	5/26/1999	-	2,100,000	98.66	U S TREASURY BILL
1FR016	8/31/2002	7/31/2002	22,225,000	-	99.54	U S TREASURY BILL
1FR016	8/31/2002	7/31/2002	-	22,225,000	99.48	U S TREASURY BILL
1FR016	8/31/2002	7/31/2002	-	22,225,000	99.54	U S TREASURY BILL
1FR016	8/31/2002	7/31/2002	22,225,000	-	99.48	U S TREASURY BILL
1FR032	6/30/1999	5/26/1999	-	26,025,000	98.66	U S TREASURY BILL
1FR032	6/30/1999	5/26/1999	26,025,000	-	99.66	U S TREASURY BILL
1FR032	8/31/2002	7/31/2002	-	9,700,000	99.48	U S TREASURY BILL
1FR032	8/31/2002	7/31/2002	9,700,000	-	99.54	U S TREASURY BILL
1FR032	8/31/2002	7/31/2002	-	9,700,000	99.54	U S TREASURY BILL
1FR032	8/31/2002	7/31/2002	9,700,000	-	99.48	U S TREASURY BILL
1FR036	6/30/1999	5/26/1999	3,675,000	-	99.66	U S TREASURY BILL
1FR036	6/30/1999	5/26/1999	-	3,675,000	98.66	U S TREASURY BILL
1FR036	8/31/2002	7/31/2002	-	21,275,000	99.54	U S TREASURY BILL
1FR036	8/31/2002	7/31/2002	21,275,000	-	99.48	U S TREASURY BILL
1FR036	8/31/2002	7/31/2002	21,275,000	-	99.54	U S TREASURY BILL
1FR036	8/31/2002	7/31/2002	-	21,275,000	99.48	U S TREASURY BILL
1FR039	3/31/1999	2/17/1999	-	40,000	99.21	U S TREASURY BILL
1FR039	3/31/1999	2/23/1999	-	1,000,000	99.18	U S TREASURY BILL
1FR039	3/31/1999	2/23/1999	-	1,000,000	99.26	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	98.75	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	1,000,000	-	99.19	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	98.84	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	1,040,000	-	99.27	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	98.67	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	98.93	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	99.02	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	2,850,000	98.84	U S TREASURY BILL
1FR039	3/31/1999	2/24/1999	-	500,000	99.11	U S TREASURY BILL
1FR039	3/31/1999	2/26/1999	-	34,507	1.00	FIDELITY SPARTAN
1FR041	6/30/1999	5/26/1999	1,125,000	-	99.66	U S TREASURY BILL
1FR041	6/30/1999	5/26/1999	-	1,125,000	98.66	U S TREASURY BILL
1FR041	12/31/2000	10/27/2000	5,055,000	-	98.58	U S TREASURY BILL
1FR041	12/31/2000	10/27/2000	-	1,525,000	98.58	U S TREASURY BILL
1FR045	8/31/2002	7/31/2002	12,375,000	-	99.54	U S TREASURY BILL
1FR045	8/31/2002	7/31/2002	-	12,375,000	99.48	U S TREASURY BILL
1FR045	8/31/2002	7/31/2002	-	12,375,000	99.48	U S TREASURY BILL
1FR045	8/31/2002	7/31/2002	12,375,000	-	99.48	U S TREASURY BILL
1FR048	8/31/2002	7/31/2002	2,950,000	-	99.48	U S TREASURY BILL
1FR048	8/31/2002	7/31/2002	-	2,950,000	99.48	U S TREASURY BILL
1FR048	8/31/2002	7/31/2002	-	2,950,000	99.54	U S TREASURY BILL
1FR048	8/31/2002	7/31/2002	2,950,000	-	99.54	U S TREASURY BILL
1FR049	3/31/1999	2/23/1999	1,000,000	-	99.18	U S TREASURY BILL
1FR049	3/31/1999	2/23/1999	1,000,000	-	99.26	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	-	1,000,000	99.27	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	500,000	-	98.93	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	500,000	-	98.67	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	500,000	-	99.02	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	-	1,000,000	99.19	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	500,000	-	98.75	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	3,350,000	-	98.84	U S TREASURY BILL
1FR049	3/31/1999	2/24/1999	500,000	-	99.11	U S TREASURY BILL
1FR049	3/31/1999	2/26/1999	34,483	-	1.00	FIDELITY SPARTAN
1FR049	6/30/1999	5/26/1999	1,750,000	-	99.66	U S TREASURY BILL
1FR049	6/30/1999	5/26/1999	-	1,750,000	98.66	U S TREASURY BILL
1FR049	8/31/2002	7/31/2002	-	3,075,000	99.54	U S TREASURY BILL
1FR049	8/31/2002	7/31/2002	-	3,075,000	99.48	U S TREASURY BILL
1FR049	8/31/2002	7/31/2002	3,075,000	-	99.54	U S TREASURY BILL
1FR049	8/31/2002	7/31/2002	3,075,000	-	99.48	U S TREASURY BILL
1FR063	8/31/2002	7/31/2002	-	1,275,000	99.48	U S TREASURY BILL
1FR063	8/31/2002	7/31/2002	-	1,275,000	99.54	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1FR063	8/31/2002	7/31/2002	1,275,000	-	99.48	U S TREASURY BILL
1FR063	8/31/2002	7/31/2002	1,275,000	-	99.54	U S TREASURY BILL
1FR066	8/31/2002	7/31/2002	-	350,000	99.54	U S TREASURY BILL
1FR066	8/31/2002	7/31/2002	350,000	-	99.48	U S TREASURY BILL
1FR069	8/31/2002	7/31/2002	14,375,000	-	99.48	U S TREASURY BILL
1FR069	8/31/2002	7/31/2002	-	4,325,000	99.48	U S TREASURY BILL
1FR069	8/31/2002	7/31/2002	4,325,000	-	99.54	U S TREASURY BILL
1FR069	8/31/2002	7/31/2002	-	14,375,000	99.54	U S TREASURY BILL
1FR070	8/31/2002	7/31/2002	15,150,000	-	99.54	U S TREASURY BILL
1FR070	8/31/2002	7/31/2002	15,150,000	-	99.48	U S TREASURY BILL
1FR070	8/31/2002	7/31/2002	-	15,150,000	99.54	U S TREASURY BILL
1FR070	8/31/2002	7/31/2002	-	15,150,000	99.48	U S TREASURY BILL
1FR071	8/31/2002	7/31/2002	15,350,000	-	99.54	U S TREASURY BILL
1FR071	8/31/2002	7/31/2002	15,350,000	-	99.48	U S TREASURY BILL
1FR071	8/31/2002	7/31/2002	-	15,350,000	99.48	U S TREASURY BILL
1FR071	8/31/2002	7/31/2002	-	15,350,000	99.54	U S TREASURY BILL
1FR072	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1FR072	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL
1FR072	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1FR072	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1FR074	8/31/2002	7/31/2002	-	1,950,000	99.48	U S TREASURY BILL
1FR074	8/31/2002	7/31/2002	-	1,950,000	99.54	U S TREASURY BILL
1FR074	8/31/2002	7/31/2002	1,950,000	-	99.48	U S TREASURY BILL
1FR074	8/31/2002	7/31/2002	1,950,000	-	99.54	U S TREASURY BILL
1FR080	8/31/2002	7/31/2002	25,375,000	-	99.48	U S TREASURY BILL
1FR080	8/31/2002	7/31/2002	-	25,375,000	99.54	U S TREASURY BILL
1FR080	8/31/2002	7/31/2002	-	25,375,000	99.48	U S TREASURY BILL
1FR080	8/31/2002	7/31/2002	25,375,000	-	99.54	U S TREASURY BILL
1FR082	8/31/2002	7/31/2002	3,325,000	-	99.54	U S TREASURY BILL
1FR082	8/31/2002	7/31/2002	-	3,325,000	99.54	U S TREASURY BILL
1FR082	8/31/2002	7/31/2002	3,325,000	-	99.48	U S TREASURY BILL
1FR082	8/31/2002	7/31/2002	-	3,325,000	99.48	U S TREASURY BILL
1FR085	8/31/2002	7/31/2002	-	10,225,000	99.54	U S TREASURY BILL
1FR085	8/31/2002	7/31/2002	10,225,000	-	99.54	U S TREASURY BILL
1FR085	8/31/2002	7/31/2002	-	10,225,000	99.48	U S TREASURY BILL
1FR085	8/31/2002	7/31/2002	10,225,000	-	99.48	U S TREASURY BILL
1FR099	2/28/2007	1/26/2007	-	2,450,000	99.14	U S TREASURY BILL
1FR099	2/28/2007	1/26/2007	2,450,000	-	98.95	U S TREASURY BILL
1G0072	6/30/1999	5/26/1999	-	2,300,000	98.66	U S TREASURY BILL
1G0072	6/30/1999	5/26/1999	2,300,000	-	99.66	U S TREASURY BILL
1G0072	8/31/2002	7/31/2002	2,575,000	-	99.48	U S TREASURY BILL
1G0072	8/31/2002	7/31/2002	-	2,575,000	99.54	U S TREASURY BILL
1G0072	8/31/2002	7/31/2002	-	2,575,000	99.48	U S TREASURY BILL
1G0072	8/31/2002	7/31/2002	2,575,000	-	99.54	U S TREASURY BILL
1G0086	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1G0086	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1G0087	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1G0087	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1G0092	6/30/1999	5/26/1999	-	9,750,000	98.66	U S TREASURY BILL
1G0092	6/30/1999	5/26/1999	9,750,000	-	99.66	U S TREASURY BILL
1G0092	8/31/2002	7/31/2002	15,275,000	-	99.54	U S TREASURY BILL
1G0092	8/31/2002	7/31/2002	-	15,275,000	99.48	U S TREASURY BILL
1G0092	8/31/2002	7/31/2002	-	15,275,000	99.54	U S TREASURY BILL
1G0092	8/31/2002	7/31/2002	15,275,000	-	99.48	U S TREASURY BILL
1G0102	8/31/2002	7/31/2002	1,475,000	-	99.54	U S TREASURY BILL
1G0102	8/31/2002	7/31/2002	-	1,475,000	99.54	U S TREASURY BILL
1G0102	8/31/2002	7/31/2002	-	1,475,000	99.48	U S TREASURY BILL
1G0102	8/31/2002	7/31/2002	1,475,000	-	99.48	U S TREASURY BILL
1G0111	8/31/2002	7/31/2002	125,000	-	99.48	U S TREASURY BILL
1G0111	8/31/2002	7/31/2002	-	125,000	99.54	U S TREASURY BILL
1G0112	6/30/1999	5/26/1999	250,000	-	99.66	U S TREASURY BILL
1G0112	6/30/1999	5/26/1999	-	250,000	98.66	U S TREASURY BILL
1G0113	8/31/2004	7/30/2004	-	100,000	1.00	FIDELITY SPARTAN
1G0114	6/30/1999	5/26/1999	-	2,700,000	98.66	U S TREASURY BILL
1G0114	6/30/1999	5/26/1999	2,700,000	-	99.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1G0114	8/31/2002	7/31/2002	-	4,675,000	99.48	U S TREASURY BILL
1G0114	8/31/2002	7/31/2002	4,675,000	-	99.48	U S TREASURY BILL
1G0114	8/31/2002	7/31/2002	4,675,000	-	99.54	U S TREASURY BILL
1G0114	8/31/2002	7/31/2002	-	4,675,000	99.54	U S TREASURY BILL
1G0119	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1G0119	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1G0222	6/30/1999	5/26/1999	1,575,000	-	99.66	U S TREASURY BILL
1G0222	6/30/1999	5/26/1999	-	1,575,000	98.66	U S TREASURY BILL
1G0222	8/31/2002	7/31/2002	1,375,000	-	99.54	U S TREASURY BILL
1G0222	8/31/2002	7/31/2002	1,375,000	-	99.48	U S TREASURY BILL
1G0222	8/31/2002	7/31/2002	-	1,375,000	99.54	U S TREASURY BILL
1G0222	8/31/2002	7/31/2002	-	1,375,000	99.48	U S TREASURY BILL
1G0238	8/31/2002	7/31/2002	-	625,000	99.54	U S TREASURY BILL
1G0238	8/31/2002	7/31/2002	625,000	-	99.48	U S TREASURY BILL
1G0253	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1G0253	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1G0290	8/31/2002	7/31/2002	-	1,650,000	99.48	U S TREASURY BILL
1G0290	8/31/2002	7/31/2002	-	1,650,000	99.54	U S TREASURY BILL
1G0290	8/31/2002	7/31/2002	1,650,000	-	99.48	U S TREASURY BILL
1G0290	8/31/2002	7/31/2002	1,650,000	-	99.54	U S TREASURY BILL
1G0296	6/30/1999	5/26/1999	500,000	-	99.66	U S TREASURY BILL
1G0296	6/30/1999	5/26/1999	-	500,000	98.66	U S TREASURY BILL
1G0304	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1G0304	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1G0308	6/30/1999	5/26/1999	2,625,000	-	99.66	U S TREASURY BILL
1G0308	6/30/1999	5/26/1999	-	2,625,000	98.66	U S TREASURY BILL
1G0308	8/31/2002	7/31/2002	-	2,925,000	99.54	U S TREASURY BILL
1G0308	8/31/2002	7/31/2002	-	2,925,000	99.48	U S TREASURY BILL
1G0308	8/31/2002	7/31/2002	2,925,000	-	99.48	U S TREASURY BILL
1G0308	8/31/2002	7/31/2002	2,925,000	-	99.54	U S TREASURY BILL
1G0309	8/31/2002	7/31/2002	-	1,475,000	99.48	U S TREASURY BILL
1G0309	8/31/2002	7/31/2002	1,475,000	-	99.54	U S TREASURY BILL
1G0309	8/31/2002	7/31/2002	1,475,000	-	99.48	U S TREASURY BILL
1G0309	8/31/2002	7/31/2002	-	1,475,000	99.54	U S TREASURY BILL
1G0321	8/31/2002	7/31/2002	-	14,125,000	99.54	U S TREASURY BILL
1G0321	8/31/2002	7/31/2002	14,125,000	-	99.48	U S TREASURY BILL
1G0321	8/31/2002	7/31/2002	-	14,125,000	99.54	U S TREASURY BILL
1G0321	8/31/2002	7/31/2002	-	14,125,000	99.48	U S TREASURY BILL
1G0322	1/31/2006	12/19/2006	-	150,000	98.92	U S TREASURY BILL
1G0322	1/31/2006	12/19/2006	150,000	-	98.82	U S TREASURY BILL
1G0331	11/30/2001	10/31/2001	-	2,000,000	99.46	U S TREASURY BILL
1G0331	11/30/2001	10/31/2001	5,400	-	1.00	FIDELITY SPARTAN
1G0331	11/30/2001	10/31/2001	1,000,000	-	99.46	U S TREASURY BILL
1G0331	11/30/2001	10/31/2001	-	10,800	1.00	FIDELITY SPARTAN
1H0022	6/30/1999	5/26/1999	2,475,000	-	99.66	U S TREASURY BILL
1H0022	6/30/1999	5/26/1999	-	2,475,000	98.66	U S TREASURY BILL
1H0022	8/31/2002	7/31/2002	-	1,900,000	99.54	U S TREASURY BILL
1H0022	8/31/2002	7/31/2002	-	1,900,000	99.48	U S TREASURY BILL
1H0022	8/31/2002	7/31/2002	1,900,000	-	99.48	U S TREASURY BILL
1H0022	8/31/2002	7/31/2002	1,900,000	-	99.54	U S TREASURY BILL
1H0024	6/30/1999	5/26/1999	1,650,000	-	99.66	U S TREASURY BILL
1H0024	6/30/1999	5/26/1999	-	1,650,000	98.66	U S TREASURY BILL
1H0024	8/31/2002	7/31/2002	1,800,000	-	99.48	U S TREASURY BILL
1H0024	8/31/2002	7/31/2002	-	1,800,000	99.48	U S TREASURY BILL
1H0024	8/31/2002	7/31/2002	1,800,000	-	99.54	U S TREASURY BILL
1H0024	8/31/2002	7/31/2002	-	1,800,000	99.54	U S TREASURY BILL
1H0067	6/30/1999	5/26/1999	-	16,150,000	98.66	U S TREASURY BILL
1H0067	6/30/1999	5/26/1999	16,150,000	-	99.66	U S TREASURY BILL
1H0067	8/31/2002	7/31/2002	12,750,000	-	99.48	U S TREASURY BILL
1H0067	8/31/2002	7/31/2002	-	12,750,000	99.54	U S TREASURY BILL
1H0067	8/31/2002	7/31/2002	-	12,750,000	99.48	U S TREASURY BILL
1H0067	8/31/2002	7/31/2002	12,750,000	-	99.54	U S TREASURY BILL
1H0071	6/30/1999	5/26/1999	2,175,000	-	99.66	U S TREASURY BILL
1H0071	6/30/1999	5/26/1999	-	2,175,000	98.66	U S TREASURY BILL
1H0071	8/31/2002	7/31/2002	-	2,575,000	99.54	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1H0071	8/31/2002	7/31/2002	2,575,000	-	99.48	U S TREASURY BILL
1H0071	8/31/2002	7/31/2002	-	2,575,000	99.48	U S TREASURY BILL
1H0071	8/31/2002	7/31/2002	2,575,000	-	99.54	U S TREASURY BILL
1H0076	6/30/1999	5/26/1999	2,625,000	-	99.66	U S TREASURY BILL
1H0076	6/30/1999	5/26/1999	-	2,625,000	98.66	U S TREASURY BILL
1H0076	8/31/2002	7/31/2002	3,800,000	-	99.48	U S TREASURY BILL
1H0076	8/31/2002	7/31/2002	-	3,800,000	99.54	U S TREASURY BILL
1H0076	8/31/2002	7/31/2002	-	3,800,000	99.48	U S TREASURY BILL
1H0076	8/31/2002	7/31/2002	3,800,000	-	99.54	U S TREASURY BILL
1H0094	8/31/2002	7/31/2002	-	650,000	99.54	U S TREASURY BILL
1H0094	8/31/2002	7/31/2002	650,000	-	99.48	U S TREASURY BILL
1H0106	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1H0106	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1H0126	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1H0126	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL
1H0126	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1H0126	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1H0126	9/30/2007	8/20/2007	2,625,000	-	98.95	U S TREASURY BILL
1H0140	8/31/2002	7/31/2002	-	1,350,000	99.48	U S TREASURY BILL
1H0140	8/31/2002	7/31/2002	1,350,000	-	99.54	U S TREASURY BILL
1H0140	8/31/2002	7/31/2002	-	1,350,000	99.54	U S TREASURY BILL
1H0140	8/31/2002	7/31/2002	1,350,000	-	99.48	U S TREASURY BILL
1H0141	8/31/2002	7/31/2002	2,675,000	-	99.54	U S TREASURY BILL
1H0141	8/31/2002	7/31/2002	-	2,675,000	99.54	U S TREASURY BILL
1H0141	8/31/2002	7/31/2002	-	2,675,000	99.48	U S TREASURY BILL
1H0141	8/31/2002	7/31/2002	2,675,000	-	99.48	U S TREASURY BILL
1H0146	8/31/2002	7/31/2002	1,000,000	-	99.48	U S TREASURY BILL
1H0146	8/31/2002	7/31/2002	-	1,000,000	99.54	U S TREASURY BILL
1I0001	6/30/1999	5/26/1999	-	2,600,000	98.66	U S TREASURY BILL
1I0001	6/30/1999	5/26/1999	2,600,000	-	99.66	U S TREASURY BILL
1I0001	8/31/2002	7/31/2002	3,250,000	-	99.48	U S TREASURY BILL
1I0001	8/31/2002	7/31/2002	-	3,250,000	99.48	U S TREASURY BILL
1I0001	8/31/2002	7/31/2002	-	3,250,000	99.54	U S TREASURY BILL
1I0001	8/31/2002	7/31/2002	3,250,000	-	99.54	U S TREASURY BILL
1I0003	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1I0003	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1I0003	8/31/2002	7/31/2002	2,075,000	-	99.54	U S TREASURY BILL
1I0003	8/31/2002	7/31/2002	2,075,000	-	99.48	U S TREASURY BILL
1I0003	8/31/2002	7/31/2002	-	2,075,000	99.48	U S TREASURY BILL
1I0003	8/31/2002	7/31/2002	-	2,075,000	99.54	U S TREASURY BILL
1I0004	6/30/1999	5/26/1999	2,775,000	-	99.66	U S TREASURY BILL
1I0004	6/30/1999	5/26/1999	-	2,775,000	98.66	U S TREASURY BILL
1I0004	8/31/2002	7/31/2002	5,350,000	-	99.48	U S TREASURY BILL
1I0004	8/31/2002	7/31/2002	-	5,350,000	99.54	U S TREASURY BILL
1I0004	8/31/2002	7/31/2002	-	5,350,000	99.48	U S TREASURY BILL
1I0004	8/31/2002	7/31/2002	5,350,000	-	99.54	U S TREASURY BILL
1J0001	8/31/1996	7/9/1996	11,400	-	70.63	CATERPILLAR INC
1J0001	8/31/1996	7/16/1996	11,400	-	73.88	PFIZER INC
1J0002	8/31/1996	7/1/1996	21,000	-	25.38	WAL-MART STORES INC
1J0002	8/31/1996	7/1/1996	8,500	-	33.50	WMX TECHNOLOGIES INC
1J0002	8/31/1996	7/1/1996	27,000	-	28.50	ASARCO INC
1J0002	8/31/1996	7/2/1996	2,250	-	39.13	ORACLE CORPORATION
1J0002	8/31/1996	7/3/1996	20,000	-	45.25	AMERICAN EXPRESS COMPANY
1J0002	8/31/1996	7/3/1996	2,100	-	44.75	GTE CORP
1J0002	8/31/1996	7/3/1996	4,000	-	25.38	LEHMAN BROS HOLDING INC
1J0002	8/31/1996	7/5/1996	11,200	-	60.63	SUN MICROSYSTEMS INC
1J0002	8/31/1996	7/5/1996	20,000	-	29.00	BROWNING FERRIS IND INC
1J0002	8/31/1996	7/5/1996	20,000	-	75.00	ANHEUSER BUSCH COS INC
1J0002	8/31/1996	7/8/1996	4,400	-	75.75	INTEL CORP
1J0002	8/31/1996	7/8/1996	8,400	-	50.25	MCDONNELL DOUGLAS CORP
1J0002	8/31/1996	7/8/1996	104,000	-	49.75	JOHNSON & JOHNSON
1J0002	8/31/1996	7/9/1996	91,200	-	49.63	COCA COLA CO
1J0002	8/31/1996	7/9/1996	800	-	35.75	EARTHGRAINS COMPANY
1J0002	8/31/1996	7/9/1996	12,100	-	66.63	MERCK & CO
1J0002	8/31/1996	7/16/1996	8,600	-	73.88	PFIZER INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1J0002	8/31/1996	7/16/1996	23,500	-	79.75	DOW CHEMICAL CO
1J0002	8/31/1996	7/24/1996	6,500	-	44.38	MCKESSON CORPORATION
1J0002	5/31/1997	4/17/1997	9,230,000	-	97.70	U S TREASURY BILL
1J0002	12/31/2001	11/26/2001	-	100,000	12.20	JDS UNIPHASE CORP
1J0002	12/31/2001	11/26/2001	-	45,000	12.22	JDS UNIPHASE CORP
1J0002	12/31/2001	11/26/2001	-	150,000	12.27	JDS UNIPHASE CORP
1J0002	12/31/2001	11/26/2001	-	90,000	12.10	JDS UNIPHASE CORP
1J0002	12/31/2001	11/29/2001	-	150,000	67.85	MERCK & CO
1J0002	12/31/2001	11/29/2001	-	50,000	66.80	AMGEN INC
1J0002	12/31/2001	11/29/2001	-	95,000	67.80	MERCK & CO
1J0002	12/31/2001	11/29/2001	-	125,000	66.91	AMGEN INC
1J0002	12/31/2001	11/29/2001	-	112,000	67.74	MERCK & CO
1J0002	12/31/2001	11/29/2001	-	90,000	66.84	AMGEN INC
1J0002	12/31/2001	11/29/2001	-	150,000	67.00	AMGEN INC
1J0002	12/31/2002	1/8/2002	-	9,000	55.58	MORGAN STANLEY
1J0002	12/31/2002	1/8/2002	9,000	-	55.58	MORGAN STANLEY
1J0002	12/31/2002	1/11/2002	-	35,000	5.60	PRICELINE.COM INC
1J0002	12/31/2002	1/11/2002	35,000	-	5.60	PRICELINE.COM INC
1J0002	12/31/2002	1/17/2002	-	22,000	24.00	GEORGIA PACIFIC CORP COMMON
1J0002	12/31/2002	1/17/2002	22,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1J0002	12/31/2002	1/22/2002	-	14,000	25.99	TEXAS INSTRUMENTS INC
1J0002	12/31/2002	1/22/2002	14,000	-	25.99	TEXAS INSTRUMENTS INC
1J0003	8/31/1996	7/1/1996	17,500	-	25.38	WAL-MART STORES INC
1J0003	8/31/1996	7/1/1996	7,600	-	33.50	WMX TECHNOLOGIES INC
1J0003	8/31/1996	7/2/1996	40,050	-	39.25	ORACLE CORPORATION
1J0003	8/31/1996	7/3/1996	31,600	-	49.50	JOHNSON & JOHNSON
1J0003	8/31/1996	7/3/1996	42,000	-	87.75	GENERAL ELECTRIC CO
1J0003	8/31/1996	7/3/1996	1,000	-	25.38	LEHMAN BROS HOLDING INC
1J0003	8/31/1996	7/3/1996	5,000	-	45.25	AMERICAN EXPRESS COMPANY
1J0003	8/31/1996	7/5/1996	5,000	-	29.00	BROWNING FERRIS IND INC
1J0003	8/31/1996	7/5/1996	5,000	-	75.00	ANHEUSER BUSCH COS INC
1J0003	8/31/1996	7/8/1996	46,000	-	75.75	INTEL CORP
1J0003	8/31/1996	7/9/1996	7,100	-	66.63	MERCK & CO
1J0003	8/31/1996	7/9/1996	38,000	-	47.38	3COM CORP
1J0003	8/31/1996	7/9/1996	200	-	35.75	EARTHGRAINS COMPANY
1J0003	8/31/1996	7/9/1996	20,000	-	49.63	COCA COLA CO
1J0003	8/31/1996	7/16/1996	10,800	-	73.88	PFIZER INC
1J0003	8/31/1996	7/16/1996	5,000	-	79.75	DOW CHEMICAL CO
1J0003	5/31/1997	4/17/1997	5,640,000	-	97.70	U S TREASURY BILL
1J0003	12/31/2001	11/26/2001	-	45,000	12.20	JDS UNIPHASE CORP
1J0003	12/31/2001	11/26/2001	-	85,000	12.22	JDS UNIPHASE CORP
1J0003	12/31/2001	11/26/2001	-	35,000	12.27	JDS UNIPHASE CORP
1J0003	12/31/2001	11/29/2001	-	63,000	67.85	MERCK & CO
1J0003	12/31/2001	11/29/2001	-	100,000	67.00	AMGEN INC
1J0003	12/31/2001	11/29/2001	-	25,000	66.80	AMGEN INC
1J0003	12/31/2001	11/29/2001	-	50,000	66.84	AMGEN INC
1J0003	12/31/2001	11/29/2001	-	100,000	66.91	AMGEN INC
1J0003	12/31/2001	11/29/2001	-	56,000	67.85	MERCK & CO
1J0003	12/31/2002	1/8/2002	6,000	-	55.58	MORGAN STANLEY
1J0003	12/31/2002	1/8/2002	-	6,000	55.58	MORGAN STANLEY
1J0003	12/31/2002	1/11/2002	-	40,000	5.60	PRICELINE.COM INC
1J0003	12/31/2002	1/11/2002	40,000	-	5.60	PRICELINE.COM INC
1J0003	12/31/2002	1/17/2002	15,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1J0003	12/31/2002	1/17/2002	-	15,000	24.00	GEORGIA PACIFIC CORP COMMON
1J0003	12/31/2002	1/22/2002	10,000	-	25.99	TEXAS INSTRUMENTS INC
1J0003	12/31/2002	1/22/2002	-	10,000	25.99	TEXAS INSTRUMENTS INC
1J0004	8/31/1996	7/1/1996	4,000	-	55.13	HOME DEPOT INC
1J0004	8/31/1996	7/2/1996	28,200	-	49.13	SEARS ROEBUCK & CO
1J0004	8/31/1996	7/3/1996	24,600	-	35.63	PEPSICO INC
1J0004	8/31/1996	7/3/1996	3,000	-	45.25	AMERICAN EXPRESS COMPANY
1J0004	8/31/1996	7/3/1996	5,400	-	98.88	AMERICAN INTL GROUP INC
1J0004	8/31/1996	7/3/1996	13,400	-	44.75	GTE CORP
1J0004	8/31/1996	7/3/1996	600	-	25.38	LEHMAN BROS HOLDING INC
1J0004	8/31/1996	7/3/1996	12,000	-	49.50	JOHNSON & JOHNSON
1J0004	8/31/1996	7/3/1996	4,000	-	22.38	WOOLWORTH CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1J0004	8/31/1996	7/5/1996	7,493	-	57.50	DEAN WITTER DISCOVER & CO
1J0004	8/31/1996	7/5/1996	26,142	-	46.50	ALLSTATE
1J0004	8/31/1996	7/5/1996	3,000	-	29.00	BROWNING FERRIS IND INC
1J0004	8/31/1996	7/5/1996	3,000	-	75.00	ANHEUSER BUSCH COS INC
1J0004	8/31/1996	7/8/1996	29,000	-	75.75	INTEL CORP
1J0004	8/31/1996	7/8/1996	10,374	-	47.00	THE TRAVELERS GROUP INC
1J0004	8/31/1996	7/9/1996	13,200	-	122.63	MICROSOFT CORP
1J0004	8/31/1996	7/9/1996	8,000	-	63.75	BELL ATLANTIC CORP
1J0004	8/31/1996	7/9/1996	12,000	-	49.63	COCA COLA CO
1J0004	8/31/1996	7/9/1996	120	-	35.75	EARTHGRAINS COMPANY
1J0004	8/31/1996	7/9/1996	17,000	-	43.13	BELLSOUTH CORP
1J0004	8/31/1996	7/9/1996	24,000	-	66.63	MERCK & CO
1J0004	8/31/1996	7/9/1996	42,060	-	71.38	THE CHASE MANHATTAN CORP NEW
1J0004	8/31/1996	7/9/1996	11,400	-	59.38	AMERITECH CORP
1J0004	8/31/1996	7/10/1996	10,700	-	47.75	NYNEX CORP
1J0004	8/31/1996	7/12/1996	6,000	-	110.25	NIKE INC
1J0004	8/31/1996	7/16/1996	6,650	-	79.75	DOW CHEMICAL CO
1J0004	12/31/2001	11/26/2001	-	45,000	12.20	JDS UNIPHASE CORP
1J0004	12/31/2001	11/26/2001	-	85,000	12.22	JDS UNIPHASE CORP
1J0004	12/31/2001	11/26/2001	-	35,000	12.27	JDS UNIPHASE CORP
1J0004	12/31/2001	11/29/2001	-	100,000	67.00	AMGEN INC
1J0004	12/31/2001	11/29/2001	-	63,000	67.85	MERCK & CO
1J0004	12/31/2001	11/29/2001	-	100,000	66.91	AMGEN INC
1J0004	12/31/2001	11/29/2001	-	25,000	66.80	AMGEN INC
1J0004	12/31/2001	11/29/2001	-	50,000	66.84	AMGEN INC
1J0004	12/31/2002	1/8/2002	6,000	-	55.58	MORGAN STANLEY
1J0004	12/31/2002	1/8/2002	-	6,000	55.58	MORGAN STANLEY
1J0004	12/31/2002	1/11/2002	-	20,000	5.60	PRICELINE.COM INC
1J0004	12/31/2002	1/11/2002	20,000	-	5.60	PRICELINE.COM INC
1J0004	12/31/2002	1/17/2002	15,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1J0004	12/31/2002	1/17/2002	-	15,000	24.00	GEORGIA PACIFIC CORP COMMON
1J0004	12/31/2002	1/18/2002	4,000	-	15.03	FOOT LOCKER INC
1J0004	12/31/2002	1/18/2002	-	4,000	15.03	FOOT LOCKER INC
1J0004	12/31/2002	1/22/2002	-	10,000	25.99	TEXAS INSTRUMENTS INC
1J0004	12/31/2002	1/22/2002	10,000	-	25.99	TEXAS INSTRUMENTS INC
1J0008	8/31/1996	7/2/1996	67,500	-	39.13	ORACLE CORPORATION
1J0008	8/31/1996	7/2/1996	33,700	-	83.13	CITICORP
1J0008	8/31/1996	7/3/1996	28,000	-	25.38	LEHMAN BROS HOLDING INC
1J0008	8/31/1996	7/3/1996	1,200	-	35.63	PEPSICO INC
1J0008	8/31/1996	7/3/1996	140,000	-	49.50	JOHNSON & JOHNSON
1J0008	8/31/1996	7/3/1996	50,000	-	49.88	CHUBB CORP
1J0008	8/31/1996	7/5/1996	90,000	-	54.00	XEROX CORP
1J0008	8/31/1996	7/5/1996	70,000	-	45.13	AMERICAN EXPRESS COMPANY
1J0008	8/31/1996	7/8/1996	70,000	-	45.00	AMERICAN EXPRESS COMPANY
1J0008	8/31/1996	7/8/1996	130,000	-	49.38	COCA COLA CO
1J0008	8/31/1996	7/8/1996	78,000	-	53.88	XEROX CORP
1J0008	8/31/1996	7/8/1996	107,000	-	75.75	INTEL CORP
1J0008	8/31/1996	7/9/1996	30,000	-	49.50	COCA COLA CO
1J0008	8/31/1996	7/9/1996	61,600	-	122.63	MICROSOFT CORP
1J0008	8/31/1996	7/9/1996	6,200	-	70.63	CATERPILLAR INC
1J0008	8/31/1996	7/9/1996	78,000	-	71.38	THE CHASE MANHATTAN CORP NEW
1J0008	8/31/1996	7/9/1996	20,000	-	49.50	JOHNSON & JOHNSON
1J0008	8/31/1996	7/11/1996	10,000	-	35.38	ADOBE SYS INC
1J0008	8/31/1996	7/16/1996	6,200	-	73.88	PFIZER INC
1J0008	12/31/2001	11/26/2001	-	100,000	12.20	JDS UNIPHASE CORP
1J0008	12/31/2001	11/26/2001	-	150,000	12.27	JDS UNIPHASE CORP
1J0008	12/31/2001	11/26/2001	-	45,000	12.22	JDS UNIPHASE CORP
1J0008	12/31/2001	11/26/2001	-	90,000	12.10	JDS UNIPHASE CORP
1J0008	12/31/2001	11/29/2001	-	125,000	66.91	AMGEN INC
1J0008	12/31/2001	11/29/2001	-	95,000	67.80	MERCK & CO
1J0008	12/31/2001	11/29/2001	-	50,000	66.80	AMGEN INC
1J0008	12/31/2001	11/29/2001	-	90,000	66.84	AMGEN INC
1J0008	12/31/2001	11/29/2001	-	112,000	67.74	MERCK & CO
1J0008	12/31/2001	11/29/2001	-	150,000	67.00	AMGEN INC
1J0008	12/31/2001	11/29/2001	-	150,000	67.85	MERCK & CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1J0008	12/31/2001	11/30/2001	-	95,000	66.92	AMGEN INC
1J0008	12/31/2002	1/8/2002	21,000	-	55.58	MORGAN STANLEY
1J0008	12/31/2002	1/8/2002	-	21,000	55.58	MORGAN STANLEY
1J0008	12/31/2002	1/11/2002	69,900	-	5.60	PRICELINE.COM INC
1J0008	12/31/2002	1/11/2002	-	69,900	5.60	PRICELINE.COM INC
1J0008	12/31/2002	1/14/2002	800	-	5.63	PRICELINE.COM INC
1J0008	12/31/2002	1/14/2002	-	800	5.63	PRICELINE.COM INC
1J0008	12/31/2002	1/15/2002	10,200	-	50.10	GENERAL MOTORS CORP
1J0008	12/31/2002	1/15/2002	-	10,200	50.10	GENERAL MOTORS CORP
1J0008	12/31/2002	1/17/2002	52,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1J0008	12/31/2002	1/17/2002	-	52,000	24.00	GEORGIA PACIFIC CORP COMMON
1J0008	12/31/2002	1/22/2002	36,000	-	25.99	TEXAS INSTRUMENTS INC
1J0008	12/31/2002	1/22/2002	-	36,000	25.99	TEXAS INSTRUMENTS INC
1J0021	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1J0021	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1J0024	8/31/1996	7/11/1996	125,000	-	13.50	ADVANCED MICRO DEVICES
1J0024	8/31/1996	7/23/1996	135,000	-	82.13	CITICORP
1J0024	8/31/1996	7/25/1996	15,000	-	82.13	CITICORP
1J0024	5/31/1997	4/17/1997	85,675,000	-	97.70	U S TREASURY BILL
1J0024	12/31/2002	1/8/2002	65,000	-	55.58	MORGAN STANLEY
1J0024	12/31/2002	1/8/2002	-	65,000	55.58	MORGAN STANLEY
1J0024	12/31/2002	1/14/2002	76,000	-	5.63	PRICELINE.COM INC
1J0024	12/31/2002	1/14/2002	-	76,000	5.63	PRICELINE.COM INC
1J0024	12/31/2002	1/17/2002	-	50,000	24.00	GEORGIA PACIFIC CORP COMMON
1J0024	12/31/2002	1/17/2002	50,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1J0024	12/31/2002	1/22/2002	-	70,000	25.99	TEXAS INSTRUMENTS INC
1J0024	12/31/2002	1/22/2002	70,000	-	25.99	TEXAS INSTRUMENTS INC
1J0025	8/31/2002	7/31/2002	-	1,675,000	99.54	U S TREASURY BILL
1J0025	8/31/2002	7/31/2002	1,675,000	-	99.48	U S TREASURY BILL
1J0025	8/31/2002	7/31/2002	-	1,675,000	99.48	U S TREASURY BILL
1J0025	8/31/2002	7/31/2002	1,675,000	-	99.54	U S TREASURY BILL
1J0028	2/29/2000	1/27/2000	-	18,800	115.50	CISCO SYSTEMS INC
1J0029	2/29/2000	1/12/2000	-	3,195	96.69	JOHNSON & JOHNSON
1J0029	2/29/2000	1/19/2000	-	20,000	43.38	MCDONALDS CORP
1J0029	2/29/2000	1/20/2000	-	15,600	106.13	INTEL CORP
1J0029	6/30/2002	5/31/2002	-	75,000	13.00	ADAPTEC INC
1J0033	11/30/2008	10/3/2008	2,000	-	116.70	SPDR TRUST SER 1 UNITS
1J0033	11/30/2008	10/3/2008	-	2,000	116.70	SPDR TRUST SER 1 UNITS
1J0044	6/30/1999	5/26/1999	-	2,150,000	98.66	U S TREASURY BILL
1J0044	6/30/1999	5/26/1999	2,150,000	-	99.66	U S TREASURY BILL
1J0044	8/31/2002	7/31/2002	-	1,575,000	99.54	U S TREASURY BILL
1J0044	8/31/2002	7/31/2002	1,575,000	-	99.54	U S TREASURY BILL
1J0044	8/31/2002	7/31/2002	-	1,575,000	99.48	U S TREASURY BILL
1J0044	8/31/2002	7/31/2002	1,575,000	-	99.48	U S TREASURY BILL
1J0045	6/30/1999	5/26/1999	-	2,000,000	98.66	U S TREASURY BILL
1J0045	6/30/1999	5/26/1999	2,000,000	-	99.66	U S TREASURY BILL
1J0045	8/31/2002	7/31/2002	1,875,000	-	99.48	U S TREASURY BILL
1J0045	8/31/2002	7/31/2002	-	1,875,000	99.54	U S TREASURY BILL
1J0045	8/31/2002	7/31/2002	-	1,875,000	99.48	U S TREASURY BILL
1J0045	8/31/2002	7/31/2002	1,875,000	-	99.54	U S TREASURY BILL
1J0046	2/28/2006	11/22/2006	475,000	-	98.52	U S TREASURY BILL
1J0046	2/28/2006	11/22/2006	-	475,000	99.52	U S TREASURY BILL
1K0007	6/30/1999	5/26/1999	2,475,000	-	99.66	U S TREASURY BILL
1K0007	6/30/1999	5/26/1999	-	2,475,000	98.66	U S TREASURY BILL
1K0007	8/31/2002	7/31/2002	-	2,175,000	99.54	U S TREASURY BILL
1K0007	8/31/2002	7/31/2002	-	2,175,000	99.48	U S TREASURY BILL
1K0007	8/31/2002	7/31/2002	2,175,000	-	99.54	U S TREASURY BILL
1K0007	8/31/2002	7/31/2002	2,175,000	-	99.48	U S TREASURY BILL
1K0034	10/31/1998	9/1/1998	-	4,400	81.25	MERRILL LYNCH & CO INC
1K0036	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1K0036	8/31/1998	6/1/1998	-	5,000	148.00	AMR CORP
1K0036	8/31/1998	6/1/1998	10,000	-	74.00	AMR CORP
1K0036	2/29/2000	1/19/2000	-	9,300	27.25	BURLINGTON NORTHERN SANTA FE
1K0037	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1K0037	8/31/1998	6/1/1998	-	5,000	148.00	AMR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1K0037	8/31/1998	6/1/1998	10,000	-	74.00	AMR CORP
1K0037	10/31/1998	9/1/1998	4,400	-	81.25	MERRILL LYNCH & CO INC
1K0037	6/30/2003	5/6/2003	-	15,000	15.65	ALTERA CORP
1K0037	6/30/2003	5/6/2003	26,000	-	15.65	ALTERA CORP
1K0052	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1K0052	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1K0053	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1K0053	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1K0060	10/31/1996	9/6/1996	16,000	-	78.75	INTEL CORP
1K0060	10/31/1996	9/10/1996	16,000	-	54.75	COMPAQ COMPUTER CORP
1K0060	10/31/1996	9/11/1996	-	75,000	20.63	MICRON TECHNOLOGY INC
1K0060	10/31/1996	9/11/1996	16,000	-	55.88	THE WALT DISNEY CO
1K0060	10/31/1996	9/12/1996	5,000	-	13.25	IOMEGA CORP
1K0060	10/31/1996	9/13/1996	-	75,000	20.75	MICRON TECHNOLOGY INC
1K0060	12/31/1996	11/1/1996	6,684	-	104.00	INTEL CORP
1K0060	12/31/1996	11/1/1996	100,000	-	104.00	INTEL CORP
1K0060	12/31/1996	11/1/1996	100,000	-	103.88	INTEL CORP
1K0060	12/31/1996	11/1/1996	100,000	-	104.00	INTEL CORP
1K0060	12/31/1996	11/1/1996	100,000	-	104.13	INTEL CORP
1K0060	12/31/1996	11/5/1996	100,000	-	69.00	INTEL CORP
1K0060	12/31/1996	11/5/1996	100,000	-	69.25	INTEL CORP
1K0060	12/31/1996	11/5/1996	100,000	-	68.75	INTEL CORP
1K0060	8/31/1997	7/7/1997	-	59,084	139.00	INTEL CORP
1K0060	8/31/1997	7/7/1997	-	100,000	138.88	INTEL CORP
1K0060	8/31/1997	7/7/1997	-	100,000	139.00	INTEL CORP
1K0060	6/30/1999	5/11/1999	-	200,000	63.06	INTEL CORP
1K0060	6/30/1999	5/11/1999	-	63,168	63.31	INTEL CORP
1K0060	6/30/1999	5/11/1999	-	175,000	63.13	INTEL CORP
1K0060	6/30/1999	5/11/1999	-	200,000	63.25	INTEL CORP
1K0060	6/30/1999	5/11/1999	-	180,000	63.19	INTEL CORP
1K0060	2/29/2000	1/10/2000	-	60,000	58.00	COLGATE PALMOLIVE CO
1K0060	2/29/2000	1/10/2000	-	100,000	51.50	GATEWAY INC
1K0060	2/29/2000	1/10/2000	-	21,000	40.00	SEAGATE TECHNOLOGY INC
1K0060	2/29/2000	1/10/2000	60,000	-	58.00	COLGATE PALMOLIVE CO
1K0060	2/29/2000	1/10/2000	-	50,000	58.00	COLGATE PALMOLIVE CO
1K0060	2/29/2000	1/14/2000	-	75,000	69.50	THE CHASE MANHATTAN CORP NEW
1K0060	12/31/2001	1/18/2001	-	50,000	53.00	JDS UNIPHASE CORP
1K0060	12/31/2001	1/18/2001	-	55,000	53.13	JDS UNIPHASE CORP
1K0060	12/31/2001	1/18/2001	-	30,000	52.75	JDS UNIPHASE CORP
1K0060	12/31/2001	1/22/2001	-	70,000	34.88	NORTEL NETWORKS CORP HLDS CO
1K0060	12/31/2001	1/22/2001	-	100,000	19.00	LUCENT TECHNOLOGIES INC
1K0060	12/31/2001	1/22/2001	-	80,000	18.75	LUCENT TECHNOLOGIES INC
1K0060	12/31/2001	1/22/2001	-	75,000	34.75	NORTEL NETWORKS CORP HLDS CO
1K0060	12/31/2001	1/26/2001	-	50,000	38.63	YAHOO INC
1K0060	12/31/2001	1/26/2001	-	50,000	38.50	YAHOO INC
1K0060	12/31/2001	1/26/2001	-	67,000	38.75	YAHOO INC
1K0060	12/31/2001	7/16/2001	-	30,000	39.57	AUTOZONE INC
1K0060	12/31/2001	7/16/2001	-	100,000	39.82	AUTOZONE INC
1K0060	12/31/2001	7/16/2001	-	10,000	39.57	AUTOZONE INC
1K0060	12/31/2001	8/28/2001	-	33,000	10.62	ADAPTEC INC
1K0060	12/31/2001	8/28/2001	-	95,000	10.55	ADAPTEC INC
1K0060	12/31/2001	8/29/2001	-	92,000	10.70	ADAPTEC INC
1K0060	12/31/2001	9/25/2001	-	55,000	56.70	JOHNSON CTLS INC
1K0060	12/31/2001	9/25/2001	-	25,000	56.85	JOHNSON CTLS INC
1K0060	12/31/2001	9/26/2001	-	50,000	55.10	JOHNSON CTLS INC
1K0060	12/31/2001	10/1/2001	-	75,000	34.10	SYMANTEC CORP
1K0060	12/31/2001	10/2/2001	-	90,000	33.90	SYMANTEC CORP
1K0060	12/31/2001	11/16/2001	50,000	-	15.13	ADAPTEC INC
1K0060	12/31/2001	11/16/2001	79,000	-	61.33	SYMANTEC CORP
1K0060	12/31/2001	11/19/2001	75,000	-	15.00	ADAPTEC INC
1K0060	12/31/2001	11/19/2001	85,000	-	67.50	AUTOZONE INC
1K0060	12/31/2001	11/19/2001	95,000	-	15.09	ADAPTEC INC
1K0060	12/31/2001	11/19/2001	86,000	-	61.52	SYMANTEC CORP
1K0060	12/31/2001	11/20/2001	40,000	-	81.60	JOHNSON CTLS INC
1K0060	12/31/2001	11/21/2001	25,000	-	80.70	JOHNSON CTLS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1K0060	12/31/2001	11/21/2001	55,000	-	66.22	AUTOZONE INC
1K0060	12/31/2001	11/21/2001	65,000	-	80.75	JOHNSON CTLS INC
1K0060	6/30/2002	5/31/2002	-	75,000	13.00	ADAPTEC INC
1K0060	11/30/2002	10/3/2002	-	75,000	12.88	HALLIBURTON CO
1K0060	11/30/2002	10/4/2002	-	20,000	42.32	MICROSOFT CORP
1K0060	11/30/2002	10/4/2002	-	35,000	28.06	QUALCOMM INC
1K0060	11/30/2002	10/4/2002	-	43,000	28.26	IMATION CORP
1K0060	11/30/2002	10/4/2002	-	53,000	18.62	BELLSOUTH CORP
1K0060	11/30/2002	10/4/2002	-	48,000	20.02	SBC COMMUNICATIONS INC
1K0060	11/30/2002	10/4/2002	-	75,000	27.99	VERIZON COMMUNICATIONS
1K0060	11/30/2002	10/4/2002	-	35,000	26.88	EASTMAN KODAK CO
1K0060	11/30/2002	10/4/2002	-	35,000	27.99	VERIZON COMMUNICATIONS
1K0060	11/30/2002	10/4/2002	-	50,000	9.59	COMPUTER ASSOC INTL INC
1K0060	11/30/2002	10/4/2002	-	34,000	29.07	BIOGEN INC
1K0060	11/30/2002	10/4/2002	-	40,000	23.81	DELL COMPUTER CORP
1K0060	11/30/2002	10/4/2002	-	175,000	9.59	COMPUTER ASSOC INTL INC
1K0060	11/30/2002	10/4/2002	-	155,000	27.86	VERIZON COMMUNICATIONS
1K0060	11/30/2002	10/7/2002	-	54,000	29.02	IMATION CORP
1K0060	11/30/2002	10/10/2002	-	250,000	7.95	ORACLE CORPORATION
1K0060	11/30/2002	10/10/2002	-	200,000	7.89	ORACLE CORPORATION
1K0060	11/30/2002	10/11/2002	-	88,000	11.31	HEWLETT PACKARD CO
1K0060	11/30/2002	10/11/2002	-	50,000	27.89	IMATION CORP
1K0060	11/30/2002	10/11/2002	-	40,000	10.55	APPLIED MATERIALS INC
1K0060	11/30/2002	10/11/2002	-	220,000	7.10	NEXTEL COMMUNICATIONS
1K0060	11/30/2002	10/11/2002	-	150,000	7.00	NEXTEL COMMUNICATIONS
1K0060	11/30/2002	10/15/2002	-	80,000	55.57	INTERNATIONAL BUSINESS MACHS
1K0060	11/30/2002	10/15/2002	-	60,000	8.28	EMULEX CORP
1K0060	11/30/2002	10/15/2002	-	125,000	8.29	EMULEX CORP
1K0060	11/30/2002	10/15/2002	-	150,000	8.33	EMULEX CORP
1K0060	11/30/2002	10/15/2002	-	18,000	55.57	INTERNATIONAL BUSINESS MACHS
1K0060	11/30/2002	10/15/2002	-	50,000	27.90	IMATION CORP
1K0060	11/30/2002	10/16/2002	-	90,000	11.00	AOL TIME WARNER INC
1K0060	11/30/2002	10/16/2002	-	100,000	8.63	SPRINT CORP FON GROUP
1K0060	11/30/2002	10/16/2002	-	75,000	54.38	INTERNATIONAL BUSINESS MACHS
1K0060	11/30/2002	10/16/2002	-	125,000	8.75	SPRINT CORP FON GROUP
1K0060	12/31/2003	8/8/2003	-	130,000	20.22	BROADCOM CORP CL A
1K0060	12/31/2003	8/8/2003	-	130,000	20.22	BROADCOM CORP CL A
1K0060	12/31/2003	8/11/2003	130,000	-	20.22	BROADCOM CORP CL A
1K0060	12/31/2003	8/11/2003	-	115,000	20.01	BROADCOM CORP CL A
1K0060	12/31/2003	8/11/2003	-	115,000	20.10	BROADCOM CORP CL A
1K0060	12/31/2003	8/11/2003	115,000	-	20.10	BROADCOM CORP CL A
1K0060	11/30/2005	10/4/2005	-	50,000	60.58	EXPRESS SCRIPTS INC CL A
1K0060	11/30/2005	10/4/2005	-	70,000	60.62	EXPRESS SCRIPTS INC CL A
1K0060	11/30/2005	10/7/2005	-	200,000	46.15	ACE LTD
1K0060	11/30/2005	10/7/2005	-	100,000	46.02	ACE LTD
1K0060	11/30/2005	10/7/2005	-	75,000	46.12	ACE LTD
1K0060	11/30/2005	10/17/2005	-	110,000	28.51	ADOBE SYS INC
1K0060	11/30/2005	10/17/2005	-	90,000	28.32	ADOBE SYS INC
1K0060	11/30/2006	10/2/2006	-	50,000	77.01	APPLE COMPUTER INC
1K0060	11/30/2006	10/2/2006	-	70,000	77.06	APPLE COMPUTER INC
1K0060	11/30/2006	10/2/2006	-	85,000	77.03	APPLE COMPUTER INC
1K0060	11/30/2006	10/2/2006	-	90,000	77.00	APPLE COMPUTER INC
1K0060	11/30/2006	10/3/2006	110,000	-	28.09	TOLL BROTHERS INC
1K0060	11/30/2006	10/3/2006	-	70,000	28.31	EBAY INC
1K0060	11/30/2006	10/3/2006	-	70,000	28.39	EBAY INC
1K0060	11/30/2006	10/3/2006	-	35,000	28.30	EBAY INC
1K0060	11/30/2006	10/3/2006	-	90,000	28.11	TOLL BROTHERS INC
1K0060	11/30/2006	10/3/2006	-	110,000	28.09	TOLL BROTHERS INC
1K0060	11/30/2006	10/3/2006	-	500	34.75	AUTODESK INC
1K0060	11/30/2006	10/3/2006	-	31,250	23.15	AMR CORP
1K0060	11/30/2006	10/3/2006	90,000	-	28.11	TOLL BROTHERS INC
1K0060	11/30/2006	10/3/2006	-	55,000	23.13	AMR CORP
1K0060	11/30/2006	10/4/2006	-	60,000	62.11	REYNOLDS AMERICAN INC
1K0060	11/30/2006	10/4/2006	-	75,000	84.75	PHELPS DODGE CORP
1K0060	11/30/2006	10/4/2006	59,500	-	28.10	TOLL BROTHERS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1K0060	11/30/2006	10/4/2006	90,000	-	28.17	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	55,000	-	2.18	JDSU UNIPHASE CORP
1K0060	11/30/2006	10/4/2006	-	50,000	33.25	GENERAL MOTORS CORP
1K0060	11/30/2006	10/4/2006	40,000	-	28.15	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	-	59,500	28.10	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	-	60,000	62.05	REYNOLDS AMERICAN INC
1K0060	11/30/2006	10/4/2006	-	65,000	84.81	PHELPS DODGE CORP
1K0060	11/30/2006	10/4/2006	-	67,500	62.00	REYNOLDS AMERICAN INC
1K0060	11/30/2006	10/4/2006	62,000	-	28.22	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	-	40,000	28.15	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	-	53,000	33.27	GENERAL MOTORS CORP
1K0060	11/30/2006	10/4/2006	-	35,000	36.86	HEWLETT PACKARD CO
1K0060	11/30/2006	10/4/2006	-	85,000	36.90	HEWLETT PACKARD CO
1K0060	11/30/2006	10/4/2006	-	90,000	28.17	TOLL BROTHERS INC
1K0060	11/30/2006	10/4/2006	46,250	-	2.19	JDSU UNIPHASE CORP
1K0060	11/30/2006	10/4/2006	-	75,000	41.69	CARMAX INC
1K0060	11/30/2006	10/4/2006	-	17,500	84.83	PHELPS DODGE CORP
1K0060	11/30/2006	10/4/2006	-	62,000	28.22	TOLL BROTHERS INC
1K0060	11/30/2006	10/5/2006	-	10,000	33.29	GENERAL MOTORS CORP
1K0060	11/30/2006	10/5/2006	-	200,000	20.57	INTEL CORP
1K0060	11/30/2006	10/5/2006	-	25,000	67.51	GENZYME CORP
1K0060	11/30/2006	10/5/2006	-	43,750	68.18	BAKER HUGHES INC
1K0060	11/30/2006	10/5/2006	-	87,000	33.31	GENERAL MOTORS CORP
1K0060	11/30/2006	10/5/2006	-	55,000	52.18	YUM BRANDS INC
1K0060	11/30/2006	10/5/2006	-	80,000	52.11	YUM BRANDS INC
1K0060	11/30/2006	10/5/2006	56,250	-	4.40	ADAPTEC INC
1K0060	11/30/2006	10/5/2006	-	68,750	67.42	GENZYME CORP
1K0060	11/30/2006	10/5/2006	-	95,000	33.20	GENERAL MOTORS CORP
1K0060	11/30/2006	10/5/2006	-	18,750	68.41	J C PENNEY CO INC
1K0060	11/30/2006	10/5/2006	-	66,250	68.24	BAKER HUGHES INC
1K0060	11/30/2006	10/5/2006	-	120,000	20.50	INTEL CORP
1K0060	11/30/2006	10/5/2006	-	31,250	29.38	ANALOG DEVICES
1K0060	11/30/2006	10/5/2006	-	150,000	20.51	INTEL CORP
1K0060	11/30/2006	10/5/2006	-	40,000	29.41	ANALOG DEVICES
1K0060	11/30/2006	10/5/2006	-	50,000	401.95	GOOGLE
1K0060	11/30/2006	10/5/2006	-	28,500	74.49	3M COMPANY
1K0060	11/30/2006	10/5/2006	-	75,000	68.45	J C PENNEY CO INC
1K0060	11/30/2006	10/5/2006	-	110,000	20.63	INTEL CORP
1K0060	11/30/2006	10/5/2006	-	120,000	20.69	INTEL CORP
1K0060	11/30/2006	10/6/2006	-	52	20.43	INTEL CORP
1K0060	11/30/2006	10/6/2006	-	32,500	57.12	LILLY ELI & CO
1K0060	11/30/2006	10/6/2006	-	168,000	20.43	INTEL CORP
1K0060	11/30/2006	10/6/2006	-	30,000	20.71	INTEL CORP
1K0060	11/30/2006	10/6/2006	-	80,000	57.10	LILLY ELI & CO
1K0060	11/30/2006	10/6/2006	-	200,000	20.70	INTEL CORP
1K0060	11/30/2006	10/6/2006	-	98,000	20.55	INTEL CORP
1K0060	11/30/2006	10/23/2006	-	40,000	32.68	AGILENT TECHNOLOGIES
1K0060	11/30/2006	10/23/2006	-	52,500	32.69	AGILENT TECHNOLOGIES
1K0060	11/30/2006	10/23/2006	-	50,000	32.71	AGILENT TECHNOLOGIES
1K0060	12/31/2007	11/13/2007	-	70,000	92.50	PRICELINE COM INC
1K0060	9/30/2008	8/29/2008	95,000	-	28.73	WELLS FARGO & CO NEW
1K0060	9/30/2008	8/29/2008	105,000	-	29.00	WELLS FARGO & CO NEW
1K0060	10/31/2008	9/22/2008	91,000	-	38.20	AETNA INC
1K0060	10/31/2008	9/22/2008	-	91,000	38.20	AETNA INC
1K0060	10/31/2008	9/22/2008	-	55,000	38.00	AETNA INC
1K0060	10/31/2008	9/22/2008	55,000	-	38.00	AETNA INC
1K0060	10/31/2008	9/23/2008	-	75,000	38.07	AETNA INC
1K0060	10/31/2008	9/23/2008	125,000	-	38.12	AETNA INC
1K0060	10/31/2008	9/23/2008	-	125,000	38.12	AETNA INC
1K0060	10/31/2008	9/23/2008	75,000	-	38.07	AETNA INC
1K0060	10/31/2008	9/24/2008	85,000	-	38.65	AETNA INC
1K0060	10/31/2008	9/24/2008	-	85,000	38.65	AETNA INC
1K0060	11/30/2008	10/1/2008	95,000	-	120.42	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/1/2008	-	90,000	120.98	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/1/2008	-	95,000	120.42	SPDR TRUST SER 1 UNITS

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1K0060	11/30/2008	10/1/2008	-	98,000	120.75	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/1/2008	90,000	-	120.98	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/1/2008	98,000	-	120.75	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	-	132,000	120.71	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	-	110,000	118.46	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	110,000	-	118.46	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	125,000	-	118.90	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	132,000	-	120.71	SPDR TRUST SER 1 UNITS
1K0060	11/30/2008	10/2/2008	-	125,000	118.90	SPDR TRUST SER 1 UNITS
1K0066	9/30/1997	7/31/1997	-	66,180	1.00	FIDELITY SPARTAN
1K0066	9/30/1997	8/29/1997	-	66,180	1.00	FIDELITY SPARTAN
1K0066	1/31/2000	12/28/2000	5,000	-	63.25	ADOBE SYS INC
1K0066	1/31/2000	12/28/2000	-	5,000	63.25	ADOBE SYS INC
1K0066	5/31/2008	3/7/2008	-	70,000	121.00	APPLE INC
1K0066	5/31/2008	3/7/2008	25,000	-	120.89	APPLE INC
1K0066	5/31/2008	3/7/2008	-	75,000	120.89	APPLE INC
1K0066	11/30/2008	10/1/2008	-	75,000	120.98	SPDR TRUST SER 1 UNITS
1K0066	11/30/2008	10/1/2008	75,000	-	120.98	SPDR TRUST SER 1 UNITS
1K0066	11/30/2008	10/2/2008	120,000	-	118.46	SPDR TRUST SER 1 UNITS
1K0066	11/30/2008	10/2/2008	-	120,000	118.46	SPDR TRUST SER 1 UNITS
1K0080	4/30/2002	3/28/2002	-	262,900	1.00	FIDELITY SPARTAN
1K0081	6/30/1999	5/26/1999	-	3,550,000	98.66	U S TREASURY BILL
1K0081	6/30/1999	5/26/1999	3,550,000	-	99.66	U S TREASURY BILL
1K0081	8/31/2002	7/31/2002	-	4,450,000	99.48	U S TREASURY BILL
1K0081	8/31/2002	7/31/2002	-	4,450,000	99.54	U S TREASURY BILL
1K0081	8/31/2002	7/31/2002	4,450,000	-	99.48	U S TREASURY BILL
1K0081	8/31/2002	7/31/2002	4,450,000	-	99.54	U S TREASURY BILL
1K0085	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1K0085	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1K0085	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1K0085	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1K0086	8/31/2003	2/17/2003	67,593	-	1.00	FIDELITY SPARTAN
1K0092	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1K0092	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1K0092	8/31/2002	7/31/2002	1,675,000	-	99.54	U S TREASURY BILL
1K0092	8/31/2002	7/31/2002	-	1,675,000	99.48	U S TREASURY BILL
1K0092	8/31/2002	7/31/2002	-	1,675,000	99.54	U S TREASURY BILL
1K0092	8/31/2002	7/31/2002	1,675,000	-	99.48	U S TREASURY BILL
1K0093	11/30/2008	10/1/2008	-	10,000	120.98	SPDR TRUST SER 1 UNITS
1K0093	11/30/2008	10/1/2008	10,000	-	120.98	SPDR TRUST SER 1 UNITS
1K0120	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1K0120	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1K0124	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1K0124	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1K0144	11/30/2008	10/1/2008	8,000	-	120.98	SPDR TRUST SER 1 UNITS
1K0144	11/30/2008	10/1/2008	-	8,000	120.98	SPDR TRUST SER 1 UNITS
1K0146	6/30/1999	5/26/1999	-	300,000	98.66	U S TREASURY BILL
1K0146	6/30/1999	5/26/1999	300,000	-	99.66	U S TREASURY BILL
1K0162	8/31/2002	7/31/2002	1,750,000	-	99.48	U S TREASURY BILL
1K0162	8/31/2002	7/31/2002	1,750,000	-	99.54	U S TREASURY BILL
1K0162	8/31/2002	7/31/2002	-	1,750,000	99.48	U S TREASURY BILL
1K0162	8/31/2002	7/31/2002	-	1,750,000	99.54	U S TREASURY BILL
1K0167	8/31/2002	7/31/2002	-	4,225,000	99.54	U S TREASURY BILL
1K0167	8/31/2002	7/31/2002	4,225,000	-	99.48	U S TREASURY BILL
1K0167	8/31/2002	7/31/2002	4,225,000	-	99.54	U S TREASURY BILL
1K0167	8/31/2002	7/31/2002	-	4,225,000	99.48	U S TREASURY BILL
1KW013	1/31/2006	12/19/2006	-	100,000	98.92	U S TREASURY BILL
1KW013	1/31/2006	12/19/2006	100,000	-	98.82	U S TREASURY BILL
1KW014	6/30/1999	5/26/1999	-	2,525,000	98.66	U S TREASURY BILL
1KW014	6/30/1999	5/26/1999	2,525,000	-	99.66	U S TREASURY BILL
1KW014	8/31/2002	7/31/2002	-	3,075,000	99.48	U S TREASURY BILL
1KW014	8/31/2002	7/31/2002	-	3,075,000	99.54	U S TREASURY BILL
1KW014	8/31/2002	7/31/2002	3,075,000	-	99.48	U S TREASURY BILL
1KW014	8/31/2002	7/31/2002	3,075,000	-	99.54	U S TREASURY BILL
1KW077	6/30/1999	5/26/1999	-	2,550,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1KW077	6/30/1999	5/26/1999	2,550,000	-	99.66	U S TREASURY BILL
1KW077	8/31/2002	7/31/2002	-	3,050,000	99.48	U S TREASURY BILL
1KW077	8/31/2002	7/31/2002	-	3,050,000	99.54	U S TREASURY BILL
1KW077	8/31/2002	7/31/2002	3,050,000	-	99.54	U S TREASURY BILL
1KW077	8/31/2002	7/31/2002	3,050,000	-	99.48	U S TREASURY BILL
1KW108	1/31/2006	12/19/2006	-	25,000	98.92	U S TREASURY BILL
1KW108	1/31/2006	12/19/2006	25,000	-	98.82	U S TREASURY BILL
1KW109	1/31/2006	12/19/2006	50,000	-	98.82	U S TREASURY BILL
1KW109	1/31/2006	12/19/2006	-	50,000	98.92	U S TREASURY BILL
1KW110	1/31/2006	12/19/2006	50,000	-	98.82	U S TREASURY BILL
1KW110	1/31/2006	12/19/2006	-	50,000	98.92	U S TREASURY BILL
1KW113	6/30/1999	5/26/1999	1,650,000	-	99.66	U S TREASURY BILL
1KW113	6/30/1999	5/26/1999	-	1,650,000	98.66	U S TREASURY BILL
1KW113	8/31/2002	7/31/2002	-	1,575,000	99.48	U S TREASURY BILL
1KW113	8/31/2002	7/31/2002	1,575,000	-	99.54	U S TREASURY BILL
1KW113	8/31/2002	7/31/2002	-	1,575,000	99.54	U S TREASURY BILL
1KW129	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1KW129	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1KW156	6/30/1999	5/26/1999	-	3,025,000	98.66	U S TREASURY BILL
1KW156	6/30/1999	5/26/1999	3,025,000	-	99.66	U S TREASURY BILL
1KW156	8/31/2002	7/31/2002	2,300,000	-	99.48	U S TREASURY BILL
1KW156	8/31/2002	7/31/2002	2,300,000	-	99.54	U S TREASURY BILL
1KW156	8/31/2002	7/31/2002	-	2,300,000	99.54	U S TREASURY BILL
1KW156	8/31/2002	7/31/2002	-	2,300,000	99.48	U S TREASURY BILL
1KW161	6/30/1999	5/26/1999	-	1,925,000	98.66	U S TREASURY BILL
1KW161	6/30/1999	5/26/1999	1,925,000	-	99.66	U S TREASURY BILL
1KW162	8/31/2002	7/31/2002	-	1,700,000	99.54	U S TREASURY BILL
1KW162	8/31/2002	7/31/2002	1,700,000	-	99.54	U S TREASURY BILL
1KW162	8/31/2002	7/31/2002	-	1,700,000	99.48	U S TREASURY BILL
1KW162	8/31/2002	7/31/2002	1,700,000	-	99.48	U S TREASURY BILL
1KW166	8/31/2002	7/31/2002	-	1,400,000	99.54	U S TREASURY BILL
1KW166	8/31/2002	7/31/2002	1,400,000	-	99.48	U S TREASURY BILL
1KW166	8/31/2002	7/31/2002	-	1,400,000	99.48	U S TREASURY BILL
1KW166	8/31/2002	7/31/2002	1,400,000	-	99.54	U S TREASURY BILL
1KW172	6/30/1999	5/26/1999	5,025,000	-	99.66	U S TREASURY BILL
1KW172	6/30/1999	5/26/1999	-	5,025,000	98.66	U S TREASURY BILL
1KW172	8/31/2002	7/31/2002	6,800,000	-	99.54	U S TREASURY BILL
1KW172	8/31/2002	7/31/2002	-	6,800,000	99.48	U S TREASURY BILL
1KW172	8/31/2002	7/31/2002	-	6,800,000	99.54	U S TREASURY BILL
1KW172	8/31/2002	7/31/2002	6,800,000	-	99.48	U S TREASURY BILL
1KW175	6/30/1999	5/26/1999	5,000,000	-	99.66	U S TREASURY BILL
1KW175	6/30/1999	5/26/1999	-	5,000,000	98.66	U S TREASURY BILL
1KW182	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1KW182	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1KW192	6/30/1999	5/26/1999	-	3,975,000	98.66	U S TREASURY BILL
1KW192	6/30/1999	5/26/1999	3,975,000	-	99.66	U S TREASURY BILL
1KW192	8/31/2002	7/31/2002	3,125,000	-	99.48	U S TREASURY BILL
1KW192	8/31/2002	7/31/2002	-	3,125,000	99.54	U S TREASURY BILL
1KW192	8/31/2002	7/31/2002	-	3,125,000	99.48	U S TREASURY BILL
1KW192	8/31/2002	7/31/2002	3,125,000	-	99.54	U S TREASURY BILL
1KW218	6/30/1999	5/26/1999	-	5,675,000	98.66	U S TREASURY BILL
1KW218	6/30/1999	5/26/1999	5,675,000	-	99.66	U S TREASURY BILL
1KW218	8/31/2002	7/31/2002	6,025,000	-	99.54	U S TREASURY BILL
1KW218	8/31/2002	7/31/2002	-	6,025,000	99.54	U S TREASURY BILL
1KW218	8/31/2002	7/31/2002	6,025,000	-	99.48	U S TREASURY BILL
1KW218	8/31/2002	7/31/2002	-	6,025,000	99.48	U S TREASURY BILL
1KW221	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1KW221	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1KW223	6/30/1999	5/26/1999	-	1,225,000	98.66	U S TREASURY BILL
1KW223	6/30/1999	5/26/1999	1,225,000	-	99.66	U S TREASURY BILL
1KW242	8/31/2002	7/31/2002	1,475,000	-	99.48	U S TREASURY BILL
1KW242	8/31/2002	7/31/2002	-	1,475,000	99.54	U S TREASURY BILL
1KW242	8/31/2002	7/31/2002	1,475,000	-	99.54	U S TREASURY BILL
1KW242	8/31/2002	7/31/2002	-	1,475,000	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1KW247	8/31/2002	7/31/2002	4,550,000	-	99.48	U S TREASURY BILL
1KW247	8/31/2002	7/31/2002	-	4,550,000	99.54	U S TREASURY BILL
1KW247	8/31/2002	7/31/2002	-	4,550,000	99.48	U S TREASURY BILL
1KW247	8/31/2002	7/31/2002	4,550,000	-	99.54	U S TREASURY BILL
1KW248	1/31/2006	12/19/2006	-	50,000	98.92	U S TREASURY BILL
1KW248	1/31/2006	12/19/2006	50,000	-	98.82	U S TREASURY BILL
1KW252	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1KW252	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1KW254	6/30/1999	5/26/1999	375,000	-	99.66	U S TREASURY BILL
1KW254	6/30/1999	5/26/1999	-	375,000	98.66	U S TREASURY BILL
1KW255	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1KW255	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1KW257	6/30/1999	5/26/1999	-	1,675,000	98.66	U S TREASURY BILL
1KW257	6/30/1999	5/26/1999	1,675,000	-	99.66	U S TREASURY BILL
1KW258	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1KW258	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1KW259	6/30/1999	5/26/1999	-	550,000	98.66	U S TREASURY BILL
1KW259	6/30/1999	5/26/1999	550,000	-	99.66	U S TREASURY BILL
1KW260	8/31/2002	7/31/2002	1,550,000	-	99.54	U S TREASURY BILL
1KW260	8/31/2002	7/31/2002	-	1,550,000	99.54	U S TREASURY BILL
1KW260	8/31/2002	7/31/2002	1,550,000	-	99.48	U S TREASURY BILL
1KW260	8/31/2002	7/31/2002	-	1,550,000	99.48	U S TREASURY BILL
1KW303	1/31/2006	12/19/2006	25,000	-	98.82	U S TREASURY BILL
1KW303	1/31/2006	12/19/2006	-	25,000	98.92	U S TREASURY BILL
1KW313	8/31/2002	7/31/2002	1,550,000	-	99.48	U S TREASURY BILL
1KW313	8/31/2002	7/31/2002	-	1,550,000	99.54	U S TREASURY BILL
1KW313	8/31/2002	7/31/2002	1,550,000	-	99.54	U S TREASURY BILL
1KW313	8/31/2002	7/31/2002	-	1,550,000	99.48	U S TREASURY BILL
1KW314	8/31/2002	7/31/2002	-	1,925,000	99.54	U S TREASURY BILL
1KW314	8/31/2002	7/31/2002	-	1,925,000	99.48	U S TREASURY BILL
1KW314	8/31/2002	7/31/2002	1,925,000	-	99.48	U S TREASURY BILL
1KW314	8/31/2002	7/31/2002	1,925,000	-	99.54	U S TREASURY BILL
1KW315	8/31/2002	7/31/2002	4,050,000	-	99.54	U S TREASURY BILL
1KW315	8/31/2002	7/31/2002	-	4,050,000	99.54	U S TREASURY BILL
1KW315	8/31/2002	7/31/2002	-	4,050,000	99.48	U S TREASURY BILL
1KW315	8/31/2002	7/31/2002	4,050,000	-	99.48	U S TREASURY BILL
1KW358	8/31/2002	7/31/2002	5,025,000	-	99.48	U S TREASURY BILL
1KW358	8/31/2002	7/31/2002	-	5,025,000	99.48	U S TREASURY BILL
1KW358	8/31/2002	7/31/2002	-	5,025,000	99.54	U S TREASURY BILL
1KW358	8/31/2002	7/31/2002	5,025,000	-	99.54	U S TREASURY BILL
1KW413	5/31/2007	4/30/2007	-	2,464	76.97	3M COMPANY
1KW413	5/31/2007	4/30/2007	2,664	-	76.97	3M COMPANY
1L0002	9/30/1996	7/2/1996	-	96,202	25.75	IOMEGA CORP
1L0002	9/30/1996	7/15/1996	-	97,405	29.38	IOMEGA CORP
1L0002	9/30/1996	7/15/1996	-	95,000	29.38	IOMEGA CORP
1L0002	12/31/1997	9/30/1997	44,770,000	-	99.02	U S TREASURY BILL
1L0002	12/31/1997	9/30/1997	-	44,770,000	99.12	U S TREASURY BILL
1L0002	6/30/1998	5/21/1998	-	108,700	63.88	WARNER LAMBERT CO
1L0002	6/30/1998	5/21/1998	7,125,000	-	99.03	U S TREASURY BILL
1L0002	6/30/1998	5/21/1998	9,612	-	1.00	FIDELITY SPARTAN
1L0002	12/31/1999	11/29/1999	-	121,600	79.25	AMERICA ONLINE CORP
1L0002	12/31/1999	11/29/1999	121,600	-	78.25	AMERICA ONLINE CORP
1L0002	12/31/1999	11/29/1999	-	121,600	78.25	AMERICA ONLINE CORP
1L0002	1/31/2002	12/31/2002	223,300,000	-	99.59	U S TREASURY BILL
1L0002	1/31/2002	12/31/2002	-	222,387,545	1.00	FIDELITY SPARTAN
1L0002	1/31/2002	12/31/2002	3,075	-	1.00	FIDELITY SPARTAN
1L0002	8/31/2008	7/31/2008	-	125,000	99.76	U S TREASURY BILL
1L0002	8/31/2008	7/31/2008	-	21,030	1.00	FIDELITY SPARTAN
1L0013	6/30/1999	5/26/1999	-	5,425,000	98.66	U S TREASURY BILL
1L0013	6/30/1999	5/26/1999	5,425,000	-	99.66	U S TREASURY BILL
1L0013	8/31/2002	7/31/2002	4,900,000	-	99.54	U S TREASURY BILL
1L0013	8/31/2002	7/31/2002	-	4,900,000	99.48	U S TREASURY BILL
1L0013	8/31/2002	7/31/2002	-	4,900,000	99.54	U S TREASURY BILL
1L0013	8/31/2002	7/31/2002	4,900,000	-	99.48	U S TREASURY BILL
1L0023	6/30/1999	5/26/1999	-	3,675,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0023	6/30/1999	5/26/1999	3,675,000	-	99.66	U S TREASURY BILL
1L0023	8/31/2002	7/31/2002	-	3,900,000	99.48	U S TREASURY BILL
1L0023	8/31/2002	7/31/2002	3,900,000	-	99.54	U S TREASURY BILL
1L0023	8/31/2002	7/31/2002	4,000,000	-	99.48	U S TREASURY BILL
1L0023	8/31/2002	7/31/2002	-	4,000,000	99.54	U S TREASURY BILL
1L0024	1/31/1998	12/24/1998	25,863	-	103.13	CPC INTL INC
1L0024	1/31/1998	12/24/1998	-	25,863	103.13	CORN PRODUCT INTERNATIONAL INC
1L0024	3/31/1999	1/11/1999	17,940	-	36.25	BOEING CO
1L0024	3/31/1999	1/19/1999	60,000	-	47.06	APPLE COMPUTER INC
1L0024	3/31/1999	1/19/1999	57,650	-	47.13	APPLE COMPUTER INC
1L0024	9/30/2001	1/5/2001	-	150,000	29.56	YAHOO INC
1L0024	9/30/2001	1/5/2001	-	215,000	30.00	YAHOO INC
1L0024	9/30/2001	1/5/2001	-	250,000	28.44	PALM INC
1L0024	9/30/2001	1/5/2001	-	290,000	28.25	PALM INC
1L0024	9/30/2001	1/8/2001	-	260,000	31.50	YAHOO INC
1L0024	9/30/2001	1/8/2001	-	250,000	32.06	YAHOO INC
1L0024	9/30/2001	1/8/2001	-	215,000	27.44	PALM INC
1L0024	9/30/2001	1/8/2001	-	215,000	31.81	YAHOO INC
1L0024	9/30/2001	1/8/2001	-	265,000	27.13	PALM INC
1L0024	9/30/2001	1/8/2001	-	296,000	27.75	PALM INC
1L0024	9/30/2001	1/9/2001	-	215,000	31.75	YAHOO INC
1L0024	9/30/2001	1/9/2001	-	284,590	31.63	PALM INC
1L0024	9/30/2001	1/9/2001	-	230,000	31.00	PALM INC
1L0024	9/30/2001	1/9/2001	-	245,000	31.56	YAHOO INC
1L0024	9/30/2001	1/9/2001	-	215,000	31.38	PALM INC
1L0024	9/30/2001	1/10/2001	-	285,000	30.87	YAHOO INC
1L0024	9/30/2001	1/10/2001	-	205,000	15.20	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/10/2001	-	280,000	28.81	PALM INC
1L0024	9/30/2001	1/10/2001	-	265,000	29.06	PALM INC
1L0024	9/30/2001	1/10/2001	-	286,830	15.40	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/10/2001	-	202,000	15.76	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/10/2001	-	235,000	15.60	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/10/2001	-	243,370	31.05	YAHOO INC
1L0024	9/30/2001	1/11/2001	-	298,000	15.10	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/11/2001	-	145,000	25.00	PALM INC
1L0024	9/30/2001	1/11/2001	-	235,000	15.00	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/11/2001	-	250,000	27.12	YAHOO INC
1L0024	9/30/2001	1/11/2001	-	200,000	24.63	PALM INC
1L0024	9/30/2001	1/11/2001	-	305,000	14.95	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/11/2001	-	200,000	26.75	YAHOO INC
1L0024	9/30/2001	1/12/2001	-	168,000	25.13	PALM INC
1L0024	9/30/2001	1/12/2001	-	305,000	16.53	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/12/2001	-	305,000	29.68	YAHOO INC
1L0024	9/30/2001	1/12/2001	-	282,000	30.00	YAHOO INC
1L0024	9/30/2001	1/12/2001	-	138,000	24.75	PALM INC
1L0024	9/30/2001	1/12/2001	-	255,000	16.90	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/12/2001	-	285,000	30.18	YAHOO INC
1L0024	9/30/2001	1/12/2001	-	215,000	16.79	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/12/2001	-	298,000	16.61	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/12/2001	-	325,000	16.48	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/16/2001	-	250,000	17.32	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/16/2001	-	290,000	24.25	PALM INC
1L0024	9/30/2001	1/16/2001	-	240,000	17.19	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/16/2001	-	115,000	24.00	PALM INC
1L0024	9/30/2001	1/16/2001	-	297,000	17.24	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/16/2001	-	256,000	17.23	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/17/2001	-	200,000	18.34	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/17/2001	-	205,000	18.19	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/17/2001	-	290,000	26.63	PALM INC
1L0024	9/30/2001	1/17/2001	-	202,000	18.22	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/17/2001	-	243,000	26.31	PALM INC
1L0024	9/30/2001	1/17/2001	-	230,440	18.50	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/18/2001	-	202,000	18.37	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/18/2001	-	200,000	27.50	PALM INC
1L0024	9/30/2001	1/18/2001	-	205,000	18.50	LUCENT TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	9/30/2001	1/18/2001	-	210,000	18.76	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/18/2001	-	290,000	26.88	PALM INC
1L0024	9/30/2001	1/18/2001	-	297,550	18.85	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/19/2001	-	295,000	18.60	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/19/2001	-	250,000	28.00	PALM INC
1L0024	9/30/2001	1/19/2001	-	340,000	27.69	PALM INC
1L0024	9/30/2001	1/19/2001	-	200,000	18.47	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/19/2001	-	240,000	18.70	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/22/2001	-	250,000	76.56	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/22/2001	-	333,670	34.75	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/22/2001	-	177,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/22/2001	-	285,000	18.87	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/22/2001	-	230,000	76.25	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/22/2001	-	235,000	18.70	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/22/2001	-	290,000	18.84	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/22/2001	-	245,000	136.19	BROADCOM CORP CL A
1L0024	9/30/2001	1/22/2001	-	265,000	34.94	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/22/2001	-	290,000	28.00	PALM INC
1L0024	9/30/2001	1/22/2001	-	282,000	34.19	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/22/2001	-	295,000	136.38	BROADCOM CORP CL A
1L0024	9/30/2001	1/22/2001	-	225,000	34.50	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	202,000	19.10	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/23/2001	-	245,000	130.38	BROADCOM CORP CL A
1L0024	9/30/2001	1/23/2001	-	260,000	75.00	EMC CORPORATION MASS
1L0024	9/30/2001	1/23/2001	-	236,000	18.00	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/23/2001	-	306,830	35.75	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	320,000	36.25	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	250,000	75.83	EMC CORPORATION MASS
1L0024	9/30/2001	1/23/2001	-	255,000	19.74	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/23/2001	-	290,000	36.63	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	295,000	77.75	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/23/2001	-	172,830	28.25	PALM INC
1L0024	9/30/2001	1/23/2001	-	265,000	36.63	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	350,000	36.25	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/23/2001	-	165,000	27.75	PALM INC
1L0024	9/30/2001	1/23/2001	-	200,000	130.56	BROADCOM CORP CL A
1L0024	9/30/2001	1/23/2001	-	215,000	19.54	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	210,000	134.94	BROADCOM CORP CL A
1L0024	9/30/2001	1/24/2001	-	65,000	77.12	EMC CORPORATION MASS
1L0024	9/30/2001	1/24/2001	-	211,000	20.89	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	380,000	39.69	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	500,000	64.69	JDS UNIPHASE CORP
1L0024	9/30/2001	1/24/2001	-	115,000	134.56	BROADCOM CORP CL A
1L0024	9/30/2001	1/24/2001	-	298,000	39.94	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	312,000	39.06	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	205,000	20.80	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	255,000	20.97	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	260,000	77.38	EMC CORPORATION MASS
1L0024	9/30/2001	1/24/2001	-	435,000	64.13	JDS UNIPHASE CORP
1L0024	9/30/2001	1/24/2001	-	185,000	79.38	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/24/2001	-	200,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	236,000	20.86	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	280,000	39.88	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	300,000	39.13	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/24/2001	-	250,000	77.75	EMC CORPORATION MASS
1L0024	9/30/2001	1/24/2001	-	510,000	64.50	JDS UNIPHASE CORP
1L0024	9/30/2001	1/24/2001	-	198,000	79.69	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/24/2001	-	298,000	20.50	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/24/2001	-	525,000	64.31	JDS UNIPHASE CORP
1L0024	9/30/2001	1/25/2001	-	240,000	20.20	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/25/2001	-	127,000	75.90	EMC CORPORATION MASS
1L0024	9/30/2001	1/25/2001	-	262,000	82.63	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/25/2001	-	110,000	132.25	BROADCOM CORP CL A
1L0024	9/30/2001	1/25/2001	-	225,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/25/2001	-	280,000	39.88	NORTEL NETWORKS CORP HLDS CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	9/30/2001	1/25/2001	-	100,000	132.06	BROADCOM CORP CL A
1L0024	9/30/2001	1/25/2001	-	264,000	20.10	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/25/2001	-	435,360	60.00	JDS UNIPHASE CORP
1L0024	9/30/2001	1/25/2001	-	167,000	82.13	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/25/2001	-	200,000	20.30	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/25/2001	-	269,880	20.40	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/25/2001	-	526,000	59.56	JDS UNIPHASE CORP
1L0024	9/30/2001	1/25/2001	-	187,000	76.10	EMC CORPORATION MASS
1L0024	9/30/2001	1/25/2001	-	190,000	76.35	EMC CORPORATION MASS
1L0024	9/30/2001	1/25/2001	-	325,000	59.81	JDS UNIPHASE CORP
1L0024	9/30/2001	1/26/2001	-	295,000	38.62	YAHOO INC
1L0024	9/30/2001	1/26/2001	-	250,000	84.06	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/26/2001	-	265,000	18.98	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/26/2001	-	236,000	18.80	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/26/2001	-	373,000	38.31	YAHOO INC
1L0024	9/30/2001	1/26/2001	-	450,000	64.69	JDS UNIPHASE CORP
1L0024	9/30/2001	1/26/2001	-	265,000	83.75	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/26/2001	-	574,000	63.94	JDS UNIPHASE CORP
1L0024	9/30/2001	1/26/2001	-	245,000	19.09	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/26/2001	-	250,000	134.13	BROADCOM CORP CL A
1L0024	9/30/2001	1/26/2001	-	265,000	39.63	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/26/2001	-	268,000	78.03	EMC CORPORATION MASS
1L0024	9/30/2001	1/26/2001	-	210,000	133.63	BROADCOM CORP CL A
1L0024	9/30/2001	1/26/2001	-	200,000	77.75	EMC CORPORATION MASS
1L0024	9/30/2001	1/26/2001	-	215,000	18.84	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/26/2001	-	245,000	78.75	EMC CORPORATION MASS
1L0024	9/30/2001	1/26/2001	-	250,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/26/2001	-	482,000	64.50	JDS UNIPHASE CORP
1L0024	9/30/2001	1/29/2001	-	185,000	42.25	YAHOO INC
1L0024	9/30/2001	1/29/2001	-	195,000	26.25	PALM INC
1L0024	9/30/2001	1/29/2001	-	515,000	64.25	JDS UNIPHASE CORP
1L0024	9/30/2001	1/29/2001	-	213,850	83.38	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/29/2001	-	285,000	83.00	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/29/2001	-	290,000	42.50	YAHOO INC
1L0024	9/30/2001	1/29/2001	-	236,000	19.77	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	263,000	42.68	YAHOO INC
1L0024	9/30/2001	1/29/2001	-	290,000	80.50	EMC CORPORATION MASS
1L0024	9/30/2001	1/29/2001	-	305,000	40.25	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/29/2001	-	162,000	63.50	JDS UNIPHASE CORP
1L0024	9/30/2001	1/29/2001	-	186,660	26.00	PALM INC
1L0024	9/30/2001	1/29/2001	-	207,000	19.70	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	245,490	139.25	BROADCOM CORP CL A
1L0024	9/30/2001	1/29/2001	-	255,000	20.09	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	255,000	80.95	EMC CORPORATION MASS
1L0024	9/30/2001	1/29/2001	-	295,000	39.81	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/29/2001	-	205,000	19.63	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	205,000	82.88	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/29/2001	-	265,000	43.00	YAHOO INC
1L0024	9/30/2001	1/29/2001	-	290,000	138.50	BROADCOM CORP CL A
1L0024	9/30/2001	1/29/2001	-	490,000	63.75	JDS UNIPHASE CORP
1L0024	9/30/2001	1/29/2001	-	205,000	138.69	BROADCOM CORP CL A
1L0024	9/30/2001	1/29/2001	-	280,000	40.00	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/29/2001	-	298,000	19.84	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	205,000	19.97	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/29/2001	-	275,000	82.19	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/29/2001	-	285,000	139.00	BROADCOM CORP CL A
1L0024	9/30/2001	1/30/2001	-	200,000	19.14	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/30/2001	-	250,000	80.94	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/30/2001	-	262,000	80.50	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/30/2001	-	300,000	18.81	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/30/2001	-	249,940	19.22	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/30/2001	-	278,940	28.25	PALM INC
1L0024	9/30/2001	1/30/2001	-	205,000	41.93	YAHOO INC
1L0024	9/30/2001	1/30/2001	-	215,000	18.99	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/30/2001	-	296,000	78.62	EMC CORPORATION MASS

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	9/30/2001	1/30/2001	-	141,320	78.35	EMC CORPORATION MASS
1L0024	9/30/2001	1/30/2001	-	215,000	42.12	YAHOO INC
1L0024	9/30/2001	1/30/2001	-	235,000	28.06	PALM INC
1L0024	9/30/2001	1/30/2001	-	265,000	38.88	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/30/2001	-	250,000	42.43	YAHOO INC
1L0024	9/30/2001	1/30/2001	-	290,000	38.56	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/31/2001	-	298,000	74.00	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/31/2001	-	285,000	17.82	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/31/2001	-	100,000	27.00	PALM INC
1L0024	9/30/2001	1/31/2001	-	150,000	27.19	PALM INC
1L0024	9/30/2001	1/31/2001	-	245,000	17.96	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/31/2001	-	265,000	37.81	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	1/31/2001	-	295,000	17.80	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	1/31/2001	-	155,000	78.15	EMC CORPORATION MASS
1L0024	9/30/2001	1/31/2001	-	215,190	78.42	EMC CORPORATION MASS
1L0024	9/30/2001	1/31/2001	-	277,480	74.25	SIEBEL SYSTEMS INC
1L0024	9/30/2001	1/31/2001	-	235,000	38.25	YAHOO INC
1L0024	9/30/2001	1/31/2001	-	290,000	37.56	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	2/1/2001	-	155,000	39.87	YAHOO INC
1L0024	9/30/2001	2/1/2001	-	245,000	17.72	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/1/2001	-	295,000	17.60	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/1/2001	-	158,600	77.50	SIEBEL SYSTEMS INC
1L0024	9/30/2001	2/1/2001	-	210,000	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	2/1/2001	-	140,950	40.12	YAHOO INC
1L0024	9/30/2001	2/1/2001	-	155,000	79.70	EMC CORPORATION MASS
1L0024	9/30/2001	2/1/2001	-	152,000	76.88	SIEBEL SYSTEMS INC
1L0024	9/30/2001	2/1/2001	-	192,000	28.50	PALM INC
1L0024	9/30/2001	2/1/2001	-	215,000	39.17	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	2/2/2001	-	75,000	38.68	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	2/2/2001	-	138,800	41.50	YAHOO INC
1L0024	9/30/2001	2/2/2001	-	165,000	41.31	YAHOO INC
1L0024	9/30/2001	2/2/2001	-	188,000	78.32	EMC CORPORATION MASS
1L0024	9/30/2001	2/2/2001	-	283,190	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	9/30/2001	2/2/2001	-	120,000	73.75	SIEBEL SYSTEMS INC
1L0024	9/30/2001	2/2/2001	-	145,000	79.00	EMC CORPORATION MASS
1L0024	9/30/2001	2/2/2001	-	250,000	74.63	SIEBEL SYSTEMS INC
1L0024	9/30/2001	2/2/2001	-	200,000	28.25	PALM INC
1L0024	9/30/2001	2/2/2001	-	250,000	18.55	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/2/2001	-	285,000	18.45	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/2/2001	-	155,040	28.75	PALM INC
1L0024	9/30/2001	2/2/2001	-	216,230	18.65	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/5/2001	-	157,000	28.13	PALM INC
1L0024	9/30/2001	2/5/2001	-	222,930	40.75	YAHOO INC
1L0024	9/30/2001	2/5/2001	-	245,000	19.00	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/5/2001	-	265,000	18.57	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/5/2001	-	100,000	78.57	EMC CORPORATION MASS
1L0024	9/30/2001	2/5/2001	-	235,000	18.88	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/5/2001	-	185,000	40.37	YAHOO INC
1L0024	9/30/2001	2/5/2001	-	210,000	79.12	EMC CORPORATION MASS
1L0024	9/30/2001	2/6/2001	-	250,000	37.75	YAHOO INC
1L0024	9/30/2001	2/6/2001	-	138,000	18.77	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/6/2001	-	255,000	18.82	LUCENT TECHNOLOGIES INC
1L0024	9/30/2001	2/7/2001	-	195,000	36.12	YAHOO INC
1L0024	9/30/2001	2/7/2001	-	240,810	36.37	YAHOO INC
1L0024	9/30/2001	2/8/2001	-	245,000	35.62	YAHOO INC
1L0024	9/30/2001	2/9/2001	-	265,000	36.06	YAHOO INC
1L0024	9/30/2001	2/9/2001	-	237,600	36.25	YAHOO INC
1L0024	11/30/2001	3/13/2001	-	43,000	27.28	AUTOZONE INC
1L0024	11/30/2001	3/13/2001	-	38,000	27.39	AUTOZONE INC
1L0024	11/30/2001	3/13/2001	-	41,000	27.40	AUTOZONE INC
1L0024	11/30/2001	3/14/2001	-	32,000	27.32	AUTOZONE INC
1L0024	11/30/2001	3/15/2001	-	46,000	27.18	AUTOZONE INC
1L0024	11/30/2001	3/15/2001	-	52,000	27.37	AUTOZONE INC
1L0024	11/30/2001	3/15/2001	-	48,000	27.22	AUTOZONE INC
1L0024	11/30/2001	3/16/2001	-	50,000	26.82	AUTOZONE INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	3/16/2001	-	47,500	26.70	AUTOZONE INC
1L0024	11/30/2001	3/19/2001	-	42,000	26.54	AUTOZONE INC
1L0024	11/30/2001	3/20/2001	-	55,000	26.79	AUTOZONE INC
1L0024	11/30/2001	3/20/2001	-	40,000	36.15	NIKE INC
1L0024	11/30/2001	3/20/2001	-	41,000	36.00	NIKE INC
1L0024	11/30/2001	3/21/2001	-	45,500	26.52	AUTOZONE INC
1L0024	11/30/2001	3/21/2001	-	44,000	24.57	MCKESSON CORP
1L0024	11/30/2001	3/21/2001	-	34,000	35.75	NIKE INC
1L0024	11/30/2001	3/21/2001	-	42,000	36.22	NIKE INC
1L0024	11/30/2001	3/21/2001	-	26,000	35.90	NIKE INC
1L0024	11/30/2001	3/21/2001	-	32,000	36.00	NIKE INC
1L0024	11/30/2001	3/21/2001	-	50,000	24.25	MCKESSON CORP
1L0024	11/30/2001	3/22/2001	-	50,000	2.13	PRICELINE.COM INC
1L0024	11/30/2001	3/22/2001	-	40,000	35.87	NIKE INC
1L0024	11/30/2001	3/22/2001	-	40,000	25.70	MCKESSON CORP
1L0024	11/30/2001	3/22/2001	-	44,000	25.50	MCKESSON CORP
1L0024	11/30/2001	3/22/2001	-	52,000	2.06	PRICELINE.COM INC
1L0024	11/30/2001	3/22/2001	-	30,000	35.64	NIKE INC
1L0024	11/30/2001	3/23/2001	-	32,000	26.15	MCKESSON CORP
1L0024	11/30/2001	3/23/2001	-	38,000	36.90	NIKE INC
1L0024	11/30/2001	3/23/2001	-	40,000	37.05	NIKE INC
1L0024	11/30/2001	3/23/2001	-	36,000	36.85	NIKE INC
1L0024	11/30/2001	3/23/2001	-	55,000	2.19	PRICELINE.COM INC
1L0024	11/30/2001	3/23/2001	-	39,000	26.00	MCKESSON CORP
1L0024	11/30/2001	3/23/2001	-	50,000	2.13	PRICELINE.COM INC
1L0024	11/30/2001	3/26/2001	-	45,500	2.13	PRICELINE.COM INC
1L0024	11/30/2001	3/26/2001	-	25,000	25.57	MCKESSON CORP
1L0024	11/30/2001	3/26/2001	-	40,000	2.25	PRICELINE.COM INC
1L0024	11/30/2001	3/26/2001	-	34,000	25.37	MCKESSON CORP
1L0024	11/30/2001	3/27/2001	-	56,000	15.12	PENNEY J C INC
1L0024	11/30/2001	3/27/2001	-	60,000	2.13	PRICELINE.COM INC
1L0024	11/30/2001	3/27/2001	-	65,000	15.06	PENNEY J C INC
1L0024	11/30/2001	3/27/2001	-	25,000	23.75	MCKESSON CORP
1L0024	11/30/2001	3/27/2001	-	52,500	2.06	PRICELINE.COM INC
1L0024	11/30/2001	3/27/2001	-	34,000	23.55	MCKESSON CORP
1L0024	11/30/2001	3/27/2001	-	66,000	15.00	PENNEY J C INC
1L0024	11/30/2001	3/27/2001	-	68,000	15.17	PENNEY J C INC
1L0024	11/30/2001	3/27/2001	-	69,000	15.15	PENNEY J C INC
1L0024	11/30/2001	3/27/2001	-	70,000	2.19	PRICELINE.COM INC
1L0024	11/30/2001	3/27/2001	-	76,000	15.19	PENNEY J C INC
1L0024	11/30/2001	3/28/2001	-	33,330	24.75	MCKESSON CORP
1L0024	11/30/2001	3/28/2001	-	44,000	24.80	MCKESSON CORP
1L0024	11/30/2001	3/28/2001	-	56,000	14.90	PENNEY J C INC
1L0024	11/30/2001	3/28/2001	-	65,000	14.97	PENNEY J C INC
1L0024	11/30/2001	3/28/2001	-	59,000	15.00	PENNEY J C INC
1L0024	11/30/2001	3/28/2001	-	70,000	15.10	PENNEY J C INC
1L0024	11/30/2001	3/29/2001	-	24,000	25.99	MCKESSON CORP
1L0024	11/30/2001	3/29/2001	-	32,000	26.20	MCKESSON CORP
1L0024	11/30/2001	3/29/2001	-	23,000	26.15	MCKESSON CORP
1L0024	11/30/2001	3/29/2001	-	25,000	26.02	MCKESSON CORP
1L0024	11/30/2001	4/2/2001	-	128,000	36.06	EBAY INC
1L0024	11/30/2001	4/2/2001	-	172,000	36.19	EBAY INC
1L0024	11/30/2001	4/2/2001	-	116,000	35.88	EBAY INC
1L0024	11/30/2001	4/3/2001	-	120,000	34.00	EBAY INC
1L0024	11/30/2001	4/3/2001	-	151,000	33.81	EBAY INC
1L0024	11/30/2001	4/4/2001	-	130,000	34.94	EBAY INC
1L0024	11/30/2001	4/4/2001	-	141,000	34.81	EBAY INC
1L0024	11/30/2001	4/5/2001	-	138,000	35.50	EBAY INC
1L0024	11/30/2001	4/5/2001	-	142,000	34.94	EBAY INC
1L0024	11/30/2001	4/5/2001	-	162,000	35.13	EBAY INC
1L0024	11/30/2001	4/6/2001	-	61,000	31.69	EBAY INC
1L0024	11/30/2001	4/6/2001	-	80,000	30.69	EBAY INC
1L0024	11/30/2001	4/6/2001	-	67,000	30.81	EBAY INC
1L0024	11/30/2001	4/6/2001	-	77,000	30.25	EBAY INC
1L0024	11/30/2001	4/6/2001	-	81,000	31.75	EBAY INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	4/6/2001	-	59,000	31.31	EBAY INC
1L0024	11/30/2001	4/6/2001	-	75,000	30.63	EBAY INC
1L0024	11/30/2001	4/9/2001	-	67,000	29.94	EBAY INC
1L0024	11/30/2001	4/9/2001	-	61,000	30.06	EBAY INC
1L0024	11/30/2001	4/9/2001	-	77,000	29.50	EBAY INC
1L0024	11/30/2001	4/9/2001	-	59,000	30.00	EBAY INC
1L0024	11/30/2001	4/9/2001	-	80,000	29.81	EBAY INC
1L0024	11/30/2001	4/9/2001	-	81,000	30.25	EBAY INC
1L0024	11/30/2001	4/9/2001	-	75,000	29.63	EBAY INC
1L0024	11/30/2001	4/10/2001	-	165,000	33.81	EBAY INC
1L0024	11/30/2001	4/10/2001	-	173,000	33.75	EBAY INC
1L0024	11/30/2001	4/10/2001	-	150,000	34.13	EBAY INC
1L0024	11/30/2001	4/10/2001	-	185,000	34.00	EBAY INC
1L0024	11/30/2001	4/11/2001	-	200,000	11.50	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	130,000	12.05	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	245,000	11.62	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	255,000	11.75	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	225,000	11.43	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	260,000	11.59	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	100,000	33.00	EBAY INC
1L0024	11/30/2001	4/11/2001	-	172,000	32.94	EBAY INC
1L0024	11/30/2001	4/11/2001	-	215,000	11.45	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	128,000	32.88	EBAY INC
1L0024	11/30/2001	4/11/2001	-	177,000	32.75	EBAY INC
1L0024	11/30/2001	4/11/2001	-	232,000	11.91	MOTOROLA INC
1L0024	11/30/2001	4/11/2001	-	238,000	12.00	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	135,000	11.62	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	100,000	12.07	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	110,000	11.80	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	155,000	11.59	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	190,000	12.00	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	128,000	11.75	MOTOROLA INC
1L0024	11/30/2001	4/12/2001	-	182,000	11.70	MOTOROLA INC
1L0024	11/30/2001	6/26/2001	63,500	-	69.35	EBAY INC
1L0024	11/30/2001	6/26/2001	120,000	-	69.82	EBAY INC
1L0024	11/30/2001	6/26/2001	130,000	-	70.00	EBAY INC
1L0024	11/30/2001	6/26/2001	116,000	-	69.75	EBAY INC
1L0024	11/30/2001	6/26/2001	151,000	-	69.90	EBAY INC
1L0024	11/30/2001	6/26/2001	172,000	-	69.50	EBAY INC
1L0024	11/30/2001	6/26/2001	128,000	-	69.62	EBAY INC
1L0024	11/30/2001	6/27/2001	77,500	-	69.88	EBAY INC
1L0024	11/30/2001	6/27/2001	162,000	-	70.10	EBAY INC
1L0024	11/30/2001	6/27/2001	138,000	-	70.00	EBAY INC
1L0024	11/30/2001	6/27/2001	142,000	-	70.25	EBAY INC
1L0024	11/30/2001	6/28/2001	67,000	-	70.89	EBAY INC
1L0024	11/30/2001	6/28/2001	81,000	-	70.50	EBAY INC
1L0024	11/30/2001	6/28/2001	80,000	-	71.00	EBAY INC
1L0024	11/30/2001	6/28/2001	59,000	-	70.78	EBAY INC
1L0024	11/30/2001	6/28/2001	61,000	-	70.65	EBAY INC
1L0024	11/30/2001	6/29/2001	81,000	-	70.55	EBAY INC
1L0024	11/30/2001	6/29/2001	75,000	-	70.25	EBAY INC
1L0024	11/30/2001	6/29/2001	77,000	-	70.41	EBAY INC
1L0024	11/30/2001	6/29/2001	61,000	-	70.64	EBAY INC
1L0024	11/30/2001	7/2/2001	77,000	-	69.55	EBAY INC
1L0024	11/30/2001	7/2/2001	67,000	-	69.15	EBAY INC
1L0024	11/30/2001	7/2/2001	59,000	-	69.00	EBAY INC
1L0024	11/30/2001	7/2/2001	75,000	-	69.47	EBAY INC
1L0024	11/30/2001	7/2/2001	80,000	-	69.32	EBAY INC
1L0024	11/30/2001	7/3/2001	185,000	-	70.25	EBAY INC
1L0024	11/30/2001	7/3/2001	150,000	-	70.08	EBAY INC
1L0024	11/30/2001	7/5/2001	165,000	-	69.78	EBAY INC
1L0024	11/30/2001	7/5/2001	107,000	-	70.25	EBAY INC
1L0024	11/30/2001	7/5/2001	173,000	-	69.97	EBAY INC
1L0024	11/30/2001	7/5/2001	100,000	-	70.05	EBAY INC
1L0024	11/30/2001	7/6/2001	65,000	-	69.80	EBAY INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	7/6/2001	52,000	-	10.00	PRICELINE.COM INC
1L0024	11/30/2001	7/6/2001	50,000	-	9.80	PRICELINE.COM INC
1L0024	11/30/2001	7/6/2001	55,000	-	10.05	PRICELINE.COM INC
1L0024	11/30/2001	7/6/2001	128,000	-	69.92	EBAY INC
1L0024	11/30/2001	7/6/2001	177,000	-	70.00	EBAY INC
1L0024	11/30/2001	7/9/2001	50,000	-	9.29	PRICELINE.COM INC
1L0024	11/30/2001	7/9/2001	45,500	-	9.55	PRICELINE.COM INC
1L0024	11/30/2001	7/9/2001	52,500	-	9.71	PRICELINE.COM INC
1L0024	11/30/2001	7/9/2001	60,000	-	9.68	PRICELINE.COM INC
1L0024	11/30/2001	7/9/2001	70,000	-	9.59	PRICELINE.COM INC
1L0024	11/30/2001	7/9/2001	40,000	-	9.50	PRICELINE.COM INC
1L0024	11/30/2001	8/2/2001	56,000	-	28.25	PENNEY J C INC
1L0024	11/30/2001	8/2/2001	68,000	-	28.00	PENNEY J C INC
1L0024	11/30/2001	8/2/2001	76,000	-	27.90	PENNEY J C INC
1L0024	11/30/2001	8/3/2001	69,000	-	28.50	PENNEY J C INC
1L0024	11/30/2001	8/3/2001	65,000	-	28.70	PENNEY J C INC
1L0024	11/30/2001	8/3/2001	66,000	-	28.42	PENNEY J C INC
1L0024	11/30/2001	8/6/2001	59,000	-	28.22	PENNEY J C INC
1L0024	11/30/2001	8/6/2001	56,000	-	28.30	PENNEY J C INC
1L0024	11/30/2001	8/6/2001	65,000	-	28.10	PENNEY J C INC
1L0024	11/30/2001	8/6/2001	70,000	-	28.00	PENNEY J C INC
1L0024	11/30/2001	8/24/2001	-	58,000	10.72	ADAPTEC INC
1L0024	11/30/2001	8/27/2001	-	63,500	10.55	ADAPTEC INC
1L0024	11/30/2001	8/28/2001	-	56,000	10.62	ADAPTEC INC
1L0024	11/30/2001	8/29/2001	-	42,000	10.83	ADAPTEC INC
1L0024	11/30/2001	8/29/2001	-	40,500	10.70	ADAPTEC INC
1L0024	11/30/2001	8/29/2001	-	40,000	11.00	ADAPTEC INC
1L0024	11/30/2001	9/24/2001	-	52,000	17.58	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/24/2001	-	62,000	17.75	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/24/2001	-	95,000	27.93	LOWES COS INC
1L0024	11/30/2001	9/24/2001	-	100,000	27.78	LOWES COS INC
1L0024	11/30/2001	9/24/2001	-	180,000	28.00	LOWES COS INC
1L0024	11/30/2001	9/24/2001	-	51,000	17.69	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/24/2001	-	125,000	27.85	LOWES COS INC
1L0024	11/30/2001	9/24/2001	-	19,000	22.13	DELTA AIRLINES INC
1L0024	11/30/2001	9/24/2001	-	44,000	56.95	JOHNSON CTLS INC
1L0024	11/30/2001	9/25/2001	-	63,000	22.00	DELTA AIRLINES INC
1L0024	11/30/2001	9/25/2001	-	78,000	21.84	DELTA AIRLINES INC
1L0024	11/30/2001	9/25/2001	-	175,000	11.65	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/25/2001	-	190,000	18.00	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/25/2001	-	87,500	21.69	DELTA AIRLINES INC
1L0024	11/30/2001	9/25/2001	-	125,000	11.75	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/25/2001	-	50,000	23.47	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/25/2001	-	51,000	17.59	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/25/2001	-	53,000	17.72	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/25/2001	-	89,000	21.78	DELTA AIRLINES INC
1L0024	11/30/2001	9/25/2001	-	155,000	26.87	LOWES COS INC
1L0024	11/30/2001	9/25/2001	-	42,000	23.65	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/25/2001	-	52,000	56.70	JOHNSON CTLS INC
1L0024	11/30/2001	9/25/2001	-	128,000	17.88	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/25/2001	-	135,000	12.00	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/25/2001	-	145,000	27.10	LOWES COS INC
1L0024	11/30/2001	9/25/2001	-	55,500	17.50	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/25/2001	-	115,000	11.80	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/25/2001	-	23,000	18.00	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/25/2001	-	58,000	23.35	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/25/2001	-	120,500	27.00	LOWES COS INC
1L0024	11/30/2001	9/25/2001	-	40,000	17.90	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/25/2001	-	56,000	23.20	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/25/2001	-	182,000	17.75	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/26/2001	-	93,500	21.00	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	105,000	11.75	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/26/2001	-	125,000	11.49	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/26/2001	-	229,500	25.10	LOWES COS INC
1L0024	11/30/2001	9/26/2001	-	58,000	17.62	BOSTON SCIENTIFIC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	9/26/2001	-	215,000	25.42	LOWES COS INC
1L0024	11/30/2001	9/26/2001	-	59,000	18.05	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/26/2001	-	62,500	17.40	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/26/2001	-	165,000	17.36	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/26/2001	-	60,000	22.00	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	61,000	18.00	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/26/2001	-	185,000	26.25	LOWES COS INC
1L0024	11/30/2001	9/26/2001	-	50,000	55.10	JOHNSON CTLS INC
1L0024	11/30/2001	9/26/2001	-	65,000	17.55	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/26/2001	-	110,000	22.50	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/26/2001	-	125,000	11.82	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/26/2001	-	60,000	22.75	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/26/2001	-	150,000	17.50	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/26/2001	-	54,000	55.27	JOHNSON CTLS INC
1L0024	11/30/2001	9/26/2001	-	55,000	21.75	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	57,000	17.79	BOSTON SCIENTIFIC
1L0024	11/30/2001	9/26/2001	-	81,000	21.37	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	85,000	21.56	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	145,000	11.55	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/26/2001	-	200,000	26.00	LOWES COS INC
1L0024	11/30/2001	9/26/2001	-	89,000	21.25	DELTA AIRLINES INC
1L0024	11/30/2001	9/26/2001	-	96,000	22.60	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/26/2001	-	105,000	22.55	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/26/2001	-	175,000	11.37	STAPLES INC (RTL & DEL)
1L0024	11/30/2001	9/26/2001	-	185,000	17.24	STARWOOD HOTEL RESORTS WLDWIDE
1L0024	11/30/2001	9/27/2001	-	49,000	23.25	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/27/2001	-	51,000	23.10	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/27/2001	-	53,000	23.00	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	9/27/2001	-	70,000	22.97	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/1/2001	-	49,000	34.10	SYMANTEC CORP
1L0024	11/30/2001	10/1/2001	-	59,000	9.39	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	47,000	34.15	SYMANTEC CORP
1L0024	11/30/2001	10/1/2001	-	51,000	9.49	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	36,000	33.90	SYMANTEC CORP
1L0024	11/30/2001	10/1/2001	-	53,000	34.25	SYMANTEC CORP
1L0024	11/30/2001	10/1/2001	-	55,000	9.31	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	26,000	34.00	SYMANTEC CORP
1L0024	11/30/2001	10/1/2001	-	57,000	9.40	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	49,000	9.55	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	74,000	22.85	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/1/2001	-	54,000	9.44	THE LIMITED INC
1L0024	11/30/2001	10/1/2001	-	150,000	23.00	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/1/2001	-	25,000	33.95	SYMANTEC CORP
1L0024	11/30/2001	10/2/2001	-	53,000	20.25	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/2/2001	-	30,000	9.39	THE LIMITED INC
1L0024	11/30/2001	10/2/2001	-	54,000	20.13	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/2/2001	-	53,500	19.83	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/2/2001	-	83,000	32.00	SYMANTEC CORP
1L0024	11/30/2001	10/2/2001	-	94,000	31.77	SYMANTEC CORP
1L0024	11/30/2001	10/2/2001	-	277,000	17.70	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	290,000	17.51	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	39,000	9.41	THE LIMITED INC
1L0024	11/30/2001	10/2/2001	-	45,000	9.40	THE LIMITED INC
1L0024	11/30/2001	10/2/2001	-	47,000	32.25	SYMANTEC CORP
1L0024	11/30/2001	10/2/2001	-	189,000	17.89	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	251,000	17.75	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	265,000	17.35	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	90,000	31.89	SYMANTEC CORP
1L0024	11/30/2001	10/2/2001	-	52,000	20.29	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/2/2001	-	56,000	19.85	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/2/2001	-	160,000	18.00	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	46,000	9.38	THE LIMITED INC
1L0024	11/30/2001	10/2/2001	-	48,000	9.35	THE LIMITED INC
1L0024	11/30/2001	10/2/2001	-	285,000	17.47	DELL COMPUTER CORP
1L0024	11/30/2001	10/2/2001	-	55,000	20.00	NATIONAL SEMICONDUCTOR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	10/2/2001	-	283,000	17.62	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	53,000	21.22	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	61,000	5.97	AMAZON COM INC
1L0024	11/30/2001	10/3/2001	-	69,000	9.35	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	150,000	8.15	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/3/2001	-	238,000	18.70	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	292,000	18.72	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	65,000	33.30	SONY CORP
1L0024	11/30/2001	10/3/2001	-	100,000	8.05	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/3/2001	-	262,000	18.65	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	43,000	21.48	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	64,500	9.30	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	68,000	9.32	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	40,000	21.37	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	55,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	58,000	21.25	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	60,000	9.40	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	200,000	8.16	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/3/2001	-	70,000	5.94	AMAZON COM INC
1L0024	11/30/2001	10/3/2001	-	210,000	18.44	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	56,000	20.71	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	59,000	20.85	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	233,000	18.55	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	57,000	20.97	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/3/2001	-	75,000	8.20	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/3/2001	-	85,000	33.10	SONY CORP
1L0024	11/30/2001	10/3/2001	-	125,000	8.25	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/3/2001	-	245,000	18.87	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	265,000	18.49	DELL COMPUTER CORP
1L0024	11/30/2001	10/3/2001	-	66,000	9.29	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	67,000	9.36	THE LIMITED INC
1L0024	11/30/2001	10/3/2001	-	255,000	18.90	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	24,000	37.92	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/4/2001	-	83,000	8.00	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/4/2001	-	105,000	18.32	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	125,000	17.90	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	35,000	9.41	THE LIMITED INC
1L0024	11/30/2001	10/4/2001	-	84,000	7.96	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/4/2001	-	115,000	18.49	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	138,000	5.80	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	140,000	5.85	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	151,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/4/2001	-	45,000	9.32	THE LIMITED INC
1L0024	11/30/2001	10/4/2001	-	50,000	33.25	SONY CORP
1L0024	11/30/2001	10/4/2001	-	100,500	5.69	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	124,000	17.95	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	125,000	20.90	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/4/2001	-	86,000	7.87	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/4/2001	-	100,000	18.07	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	125,000	5.74	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	150,000	5.60	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	81,000	7.91	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/4/2001	-	22,000	9.39	THE LIMITED INC
1L0024	11/30/2001	10/4/2001	-	32,000	37.98	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/4/2001	-	77,000	8.05	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/4/2001	-	126,000	18.12	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	154,000	18.25	DELL COMPUTER CORP
1L0024	11/30/2001	10/4/2001	-	34,000	38.02	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/4/2001	-	37,000	38.00	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/4/2001	-	48,000	9.35	THE LIMITED INC
1L0024	11/30/2001	10/4/2001	-	147,000	5.97	AMAZON COM INC
1L0024	11/30/2001	10/4/2001	-	151,000	18.00	DELL COMPUTER CORP
1L0024	11/30/2001	10/5/2001	-	43,000	21.20	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	168,500	5.97	AMAZON COM INC
1L0024	11/30/2001	10/5/2001	-	42,000	20.39	NATIONAL SEMICONDUCTOR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	10/5/2001	-	47,000	21.05	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	56,000	9.50	THE LIMITED INC
1L0024	11/30/2001	10/5/2001	-	63,000	4.80	GATEWAY INC
1L0024	11/30/2001	10/5/2001	-	87,000	4.82	GATEWAY INC
1L0024	11/30/2001	10/5/2001	-	35,000	37.72	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/5/2001	-	83,000	7.85	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/5/2001	-	88,000	7.99	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/5/2001	-	51,000	20.53	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	140,000	6.03	AMAZON COM INC
1L0024	11/30/2001	10/5/2001	-	157,000	5.99	AMAZON COM INC
1L0024	11/30/2001	10/5/2001	-	38,000	37.70	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/5/2001	-	54,000	9.53	THE LIMITED INC
1L0024	11/30/2001	10/5/2001	-	56,000	20.61	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	60,000	8.00	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/5/2001	-	49,000	20.42	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	52,000	9.59	THE LIMITED INC
1L0024	11/30/2001	10/5/2001	-	54,000	20.74	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/5/2001	-	86,500	7.90	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/5/2001	-	89,000	7.97	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/5/2001	-	103,000	6.10	AMAZON COM INC
1L0024	11/30/2001	10/5/2001	-	30,000	38.00	TRICON GLOBAL RESTAURANTS INC
1L0024	11/30/2001	10/5/2001	-	52,500	9.45	THE LIMITED INC
1L0024	11/30/2001	10/5/2001	-	58,000	9.46	THE LIMITED INC
1L0024	11/30/2001	10/5/2001	-	78,000	4.75	GATEWAY INC
1L0024	11/30/2001	10/9/2001	-	49,000	20.56	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	55,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	115,000	8.00	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/9/2001	-	110,000	8.24	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/9/2001	-	200,000	0.86	CMGI INC
1L0024	11/30/2001	10/9/2001	85,000	-	29.62	DELTA AIRLINES INC
1L0024	11/30/2001	10/9/2001	-	34,000	21.41	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	125,000	6.70	AMAZON COM INC
1L0024	11/30/2001	10/9/2001	-	165,000	4.53	GATEWAY INC
1L0024	11/30/2001	10/9/2001	-	172,000	4.30	GATEWAY INC
1L0024	11/30/2001	10/9/2001	-	59,000	20.45	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	110,000	4.75	GATEWAY INC
1L0024	11/30/2001	10/9/2001	-	45,000	21.18	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	120,000	7.95	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/9/2001	-	130,000	6.20	AMAZON COM INC
1L0024	11/30/2001	10/9/2001	-	145,000	6.53	AMAZON COM INC
1L0024	11/30/2001	10/9/2001	-	100,000	6.74	AMAZON COM INC
1L0024	11/30/2001	10/9/2001	-	182,500	7.89	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/9/2001	60,000	-	29.15	DELTA AIRLINES INC
1L0024	11/30/2001	10/9/2001	87,500	-	29.00	DELTA AIRLINES INC
1L0024	11/30/2001	10/9/2001	-	56,000	21.07	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	100,000	0.87	CMGI INC
1L0024	11/30/2001	10/9/2001	-	155,000	8.08	ADVANCED MICRO DEVICES
1L0024	11/30/2001	10/9/2001	55,000	-	29.50	DELTA AIRLINES INC
1L0024	11/30/2001	10/9/2001	-	53,500	20.40	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	62,000	20.50	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2001	10/9/2001	-	125,000	4.65	GATEWAY INC
1L0024	11/30/2001	10/10/2001	-	129,000	7.09	AMAZON COM INC
1L0024	11/30/2001	10/10/2001	93,500	-	29.14	DELTA AIRLINES INC
1L0024	11/30/2001	10/10/2001	89,000	-	29.00	DELTA AIRLINES INC
1L0024	11/30/2001	10/10/2001	-	135,000	6.92	AMAZON COM INC
1L0024	11/30/2001	10/10/2001	-	150,000	0.84	CMGI INC
1L0024	11/30/2001	10/10/2001	-	110,000	6.80	AMAZON COM INC
1L0024	11/30/2001	10/10/2001	-	150,000	0.83	CMGI INC
1L0024	11/30/2001	10/10/2001	-	126,000	7.00	AMAZON COM INC
1L0024	11/30/2001	10/10/2001	81,000	-	28.83	DELTA AIRLINES INC
1L0024	11/30/2001	10/11/2001	-	52,000	0.65	CMGI INC
1L0024	11/30/2001	10/11/2001	-	52,500	0.64	CMGI INC
1L0024	11/30/2001	10/11/2001	-	57,000	0.73	CMGI INC
1L0024	11/30/2001	10/11/2001	-	52,000	0.77	CMGI INC
1L0024	11/30/2001	10/11/2001	-	56,000	0.71	CMGI INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2001	10/11/2001	-	43,000	0.79	CMGI INC
1L0024	11/30/2001	10/11/2001	-	40,000	0.75	CMGI INC
1L0024	11/30/2001	10/11/2001	89,000	-	28.00	DELTA AIRLINES INC
1L0024	11/30/2001	10/11/2001	19,000	-	28.35	DELTA AIRLINES INC
1L0024	11/30/2001	10/11/2001	-	59,000	0.72	CMGI INC
1L0024	11/30/2001	10/11/2001	63,000	-	28.50	DELTA AIRLINES INC
1L0024	11/30/2001	10/11/2001	-	53,000	0.66	CMGI INC
1L0024	11/30/2001	10/11/2001	-	57,000	0.67	CMGI INC
1L0024	11/30/2001	10/11/2001	78,000	-	27.79	DELTA AIRLINES INC
1L0024	11/30/2001	10/11/2001	-	53,000	0.74	CMGI INC
1L0024	11/30/2001	10/11/2001	-	55,000	0.75	CMGI INC
1L0024	11/30/2001	10/11/2001	-	55,500	0.70	CMGI INC
1L0024	11/30/2001	10/11/2001	-	58,000	0.64	CMGI INC
1L0024	11/30/2001	10/12/2001	-	55,000	0.71	CMGI INC
1L0024	11/30/2001	10/12/2001	-	58,000	0.67	CMGI INC
1L0024	11/30/2001	10/12/2001	-	85,000	0.73	CMGI INC
1L0024	11/30/2001	10/12/2001	-	98,000	0.70	CMGI INC
1L0024	11/30/2001	10/12/2001	-	63,000	0.68	CMGI INC
1L0024	11/30/2001	10/12/2001	-	96,000	0.69	CMGI INC
1L0024	11/30/2001	10/12/2001	-	42,000	0.72	CMGI INC
1L0024	12/31/2001	10/15/2001	-	220,000	8.90	ADVANCED MICRO DEVICES
1L0024	12/31/2001	10/15/2001	-	150,000	9.02	ADVANCED MICRO DEVICES
1L0024	12/31/2001	10/15/2001	-	75,000	8.95	ADVANCED MICRO DEVICES
1L0024	12/31/2001	10/16/2001	-	75,000	8.05	AMAZON COM INC
1L0024	12/31/2001	10/16/2001	-	200,000	8.00	AMAZON COM INC
1L0024	12/31/2001	10/16/2001	-	90,000	7.80	AMAZON COM INC
1L0024	12/31/2001	10/16/2001	-	110,000	7.90	AMAZON COM INC
1L0024	11/30/2001	10/18/2001	125,000	-	9.86	AMAZON COM INC
1L0024	11/30/2001	10/18/2001	130,000	-	9.00	AMAZON COM INC
1L0024	11/30/2001	10/18/2001	145,000	-	9.92	AMAZON COM INC
1L0024	11/30/2001	10/18/2001	100,000	-	9.49	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	100,500	-	9.50	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	138,000	-	9.38	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	147,000	-	9.24	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	125,000	-	9.44	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	140,000	-	9.30	AMAZON COM INC
1L0024	11/30/2001	10/19/2001	150,000	-	9.55	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	61,000	-	9.37	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	70,000	-	9.42	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	157,000	-	9.60	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	168,500	-	9.88	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	103,000	-	9.75	AMAZON COM INC
1L0024	11/30/2001	10/22/2001	140,000	-	9.50	AMAZON COM INC
1L0024	11/30/2001	10/23/2001	129,000	-	8.70	AMAZON COM INC
1L0024	11/30/2001	10/23/2001	110,000	-	9.00	AMAZON COM INC
1L0024	11/30/2001	10/23/2001	135,000	-	8.95	AMAZON COM INC
1L0024	11/30/2001	10/23/2001	126,000	-	8.78	AMAZON COM INC
1L0024	11/30/2001	10/26/2001	44,000	-	39.25	MCKESSON CORP
1L0024	11/30/2001	10/26/2001	40,000	-	39.15	MCKESSON CORP
1L0024	11/30/2001	10/26/2001	44,000	-	38.78	MCKESSON CORP
1L0024	11/30/2001	10/26/2001	50,000	-	39.00	MCKESSON CORP
1L0024	11/30/2001	10/29/2001	32,000	-	39.12	MCKESSON CORP
1L0024	11/30/2001	10/29/2001	39,000	-	39.25	MCKESSON CORP
1L0024	11/30/2001	10/29/2001	58,000	-	32.07	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/29/2001	25,000	-	39.31	MCKESSON CORP
1L0024	11/30/2001	10/29/2001	42,000	-	31.79	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/29/2001	50,000	-	32.00	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/30/2001	34,000	-	38.78	MCKESSON CORP
1L0024	11/30/2001	10/30/2001	60,000	-	32.13	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/30/2001	56,000	-	32.00	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/30/2001	96,000	-	32.38	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/31/2001	25,000	-	39.52	MCKESSON CORP
1L0024	11/30/2001	10/31/2001	34,000	-	39.75	MCKESSON CORP
1L0024	11/30/2001	10/31/2001	105,000	-	33.50	COMPUTER ASSOC INTL INC
1L0024	11/30/2001	10/31/2001	110,000	-	33.70	COMPUTER ASSOC INTL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	12/31/2001	11/9/2001	-	100,000	38.15	LOWES COS INC
1L0024	12/31/2001	11/9/2001	-	125,000	38.00	LOWES COS INC
1L0024	2/28/2002	1/5/2002	-	290,000	28.25	PALM INC
1L0024	2/28/2002	1/5/2002	-	150,000	29.56	YAHOO INC
1L0024	2/28/2002	1/5/2002	-	250,000	28.44	PALM INC
1L0024	2/28/2002	1/5/2002	-	215,000	30.00	YAHOO INC
1L0024	2/28/2002	1/8/2002	-	215,000	27.44	PALM INC
1L0024	2/28/2002	1/8/2002	-	215,000	31.81	YAHOO INC
1L0024	2/28/2002	1/8/2002	-	250,000	32.06	YAHOO INC
1L0024	2/28/2002	1/8/2002	-	265,000	27.13	PALM INC
1L0024	2/28/2002	1/8/2002	-	260,000	31.50	YAHOO INC
1L0024	2/28/2002	1/8/2002	-	296,000	27.75	PALM INC
1L0024	2/28/2002	1/9/2002	-	215,000	31.75	YAHOO INC
1L0024	2/28/2002	1/9/2002	-	230,000	31.00	PALM INC
1L0024	2/28/2002	1/9/2002	-	245,000	31.56	YAHOO INC
1L0024	2/28/2002	1/9/2002	-	284,590	31.63	PALM INC
1L0024	2/28/2002	1/9/2002	-	215,000	31.38	PALM INC
1L0024	2/28/2002	1/10/2002	-	265,000	29.06	PALM INC
1L0024	2/28/2002	1/10/2002	-	285,000	30.87	YAHOO INC
1L0024	2/28/2002	1/10/2002	-	280,000	28.81	PALM INC
1L0024	2/28/2002	1/10/2002	-	243,370	31.05	YAHOO INC
1L0024	2/28/2002	1/10/2002	-	202,000	15.76	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/10/2002	-	205,000	15.20	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/10/2002	-	235,000	15.60	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/10/2002	-	286,830	15.40	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/11/2002	-	200,000	26.75	YAHOO INC
1L0024	2/28/2002	1/11/2002	-	235,000	15.00	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/11/2002	-	145,000	25.00	PALM INC
1L0024	2/28/2002	1/11/2002	-	200,000	24.63	PALM INC
1L0024	2/28/2002	1/11/2002	-	305,000	14.95	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/11/2002	-	250,000	27.12	YAHOO INC
1L0024	2/28/2002	1/11/2002	-	298,000	15.10	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	255,000	16.90	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	138,000	24.75	PALM INC
1L0024	2/28/2002	1/12/2002	-	325,000	16.48	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	282,000	30.00	YAHOO INC
1L0024	2/28/2002	1/12/2002	-	168,000	25.13	PALM INC
1L0024	2/28/2002	1/12/2002	-	215,000	16.79	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	298,000	16.61	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	285,000	30.18	YAHOO INC
1L0024	2/28/2002	1/12/2002	-	305,000	16.53	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/12/2002	-	305,000	29.68	YAHOO INC
1L0024	2/28/2002	1/16/2002	-	240,000	17.19	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/16/2002	-	297,000	17.24	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/16/2002	-	250,000	17.32	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/16/2002	-	115,000	24.00	PALM INC
1L0024	2/28/2002	1/16/2002	-	256,000	17.23	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/16/2002	-	290,000	24.25	PALM INC
1L0024	2/28/2002	1/17/2002	-	230,440	18.50	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/17/2002	-	290,000	26.63	PALM INC
1L0024	2/28/2002	1/17/2002	-	202,000	18.22	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/17/2002	-	205,000	18.19	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/17/2002	-	243,000	26.31	PALM INC
1L0024	2/28/2002	1/17/2002	-	200,000	18.34	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/18/2002	-	200,000	27.50	PALM INC
1L0024	2/28/2002	1/18/2002	-	297,550	18.85	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/18/2002	-	202,000	18.37	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/18/2002	-	210,000	18.76	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/18/2002	-	290,000	26.88	PALM INC
1L0024	2/28/2002	1/18/2002	-	205,000	18.50	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/19/2002	-	240,000	18.70	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/19/2002	-	250,000	28.00	PALM INC
1L0024	2/28/2002	1/19/2002	-	200,000	18.47	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/19/2002	-	340,000	27.69	PALM INC
1L0024	2/28/2002	1/19/2002	-	295,000	18.60	LUCENT TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	2/28/2002	1/22/2002	-	235,000	18.70	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/22/2002	-	282,000	34.19	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/22/2002	-	290,000	18.84	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/22/2002	-	225,000	34.50	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/22/2002	-	333,670	34.75	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/22/2002	-	177,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/22/2002	-	265,000	34.94	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/22/2002	-	285,000	18.87	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/22/2002	-	290,000	28.00	PALM INC
1L0024	2/28/2002	1/23/2002	-	165,000	27.75	PALM INC
1L0024	2/28/2002	1/23/2002	-	202,000	19.10	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/23/2002	-	320,000	36.25	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/23/2002	-	172,830	28.25	PALM INC
1L0024	2/28/2002	1/23/2002	-	255,000	19.74	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/23/2002	-	265,000	36.63	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/23/2002	-	306,830	35.75	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/23/2002	-	215,000	19.54	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/23/2002	-	290,000	36.63	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/23/2002	-	350,000	36.25	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/23/2002	-	236,000	18.00	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/24/2002	-	380,000	39.69	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	510,000	64.50	JDS UNIPHASE CORP
1L0024	2/28/2002	1/24/2002	-	200,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	255,000	20.97	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/24/2002	-	280,000	39.88	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	298,000	39.94	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	312,000	39.06	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	211,000	20.89	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/24/2002	-	205,000	20.80	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/24/2002	-	500,000	64.69	JDS UNIPHASE CORP
1L0024	2/28/2002	1/24/2002	-	525,000	64.31	JDS UNIPHASE CORP
1L0024	2/28/2002	1/24/2002	-	236,000	20.86	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/24/2002	-	300,000	39.13	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/24/2002	-	435,000	64.13	JDS UNIPHASE CORP
1L0024	2/28/2002	1/24/2002	-	298,000	20.50	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/25/2002	-	264,000	20.10	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/25/2002	-	240,000	20.20	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/25/2002	-	526,000	59.56	JDS UNIPHASE CORP
1L0024	2/28/2002	1/25/2002	-	269,880	20.40	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/25/2002	-	280,000	39.88	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/25/2002	-	200,000	20.30	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/25/2002	-	225,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/26/2002	-	265,000	39.63	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/26/2002	-	450,000	64.69	JDS UNIPHASE CORP
1L0024	2/28/2002	1/26/2002	-	236,000	18.80	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/26/2002	-	265,000	18.98	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/26/2002	-	245,000	19.09	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/26/2002	-	574,000	63.94	JDS UNIPHASE CORP
1L0024	2/28/2002	1/26/2002	-	215,000	18.84	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/26/2002	-	250,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/26/2002	-	295,000	38.62	YAHOO INC
1L0024	2/28/2002	1/26/2002	-	373,000	38.31	YAHOO INC
1L0024	2/28/2002	1/26/2002	-	482,000	64.50	JDS UNIPHASE CORP
1L0024	2/28/2002	1/29/2002	-	236,000	19.77	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/29/2002	-	298,000	19.84	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/29/2002	-	186,660	26.00	PALM INC
1L0024	2/28/2002	1/29/2002	-	205,000	19.63	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/29/2002	-	255,000	20.09	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/29/2002	-	515,000	64.25	JDS UNIPHASE CORP
1L0024	2/28/2002	1/29/2002	-	205,000	19.97	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/29/2002	-	263,000	42.68	YAHOO INC
1L0024	2/28/2002	1/29/2002	-	265,000	43.00	YAHOO INC
1L0024	2/28/2002	1/29/2002	-	490,000	63.75	JDS UNIPHASE CORP
1L0024	2/28/2002	1/29/2002	-	195,000	26.25	PALM INC
1L0024	2/28/2002	1/29/2002	-	290,000	42.50	YAHOO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	2/28/2002	1/29/2002	-	295,000	39.81	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/29/2002	-	185,000	42.25	YAHOO INC
1L0024	2/28/2002	1/29/2002	-	280,000	40.00	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/29/2002	-	305,000	40.25	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/29/2002	-	207,000	19.70	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/30/2002	-	300,000	18.81	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/30/2002	-	205,000	41.93	YAHOO INC
1L0024	2/28/2002	1/30/2002	-	235,000	28.06	PALM INC
1L0024	2/28/2002	1/30/2002	-	200,000	19.14	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/30/2002	-	215,000	42.12	YAHOO INC
1L0024	2/28/2002	1/30/2002	-	249,940	19.22	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/30/2002	-	250,000	42.43	YAHOO INC
1L0024	2/28/2002	1/30/2002	-	265,000	38.88	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/30/2002	-	278,940	28.25	PALM INC
1L0024	2/28/2002	1/30/2002	-	290,000	38.56	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/30/2002	-	215,000	18.99	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/31/2002	-	100,000	27.00	PALM INC
1L0024	2/28/2002	1/31/2002	-	245,000	17.96	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/31/2002	-	290,000	37.56	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/31/2002	-	235,000	38.25	YAHOO INC
1L0024	2/28/2002	1/31/2002	-	295,000	17.80	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/31/2002	-	265,000	37.81	NORTEL NETWORKS CORP HLDS CO
1L0024	2/28/2002	1/31/2002	-	285,000	17.82	LUCENT TECHNOLOGIES INC
1L0024	2/28/2002	1/31/2002	-	150,000	27.19	PALM INC
1L0024	1/31/2002	2/1/2002	155,000	-	39.87	YAHOO INC
1L0024	1/31/2002	2/1/2002	152,000	-	76.88	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/1/2002	192,000	-	28.50	PALM INC
1L0024	1/31/2002	2/1/2002	210,000	-	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/1/2002	140,950	-	40.12	YAHOO INC
1L0024	1/31/2002	2/1/2002	245,000	-	17.72	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/1/2002	295,000	-	17.60	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/1/2002	-	210,000	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/1/2002	158,600	-	77.50	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/1/2002	215,000	-	39.17	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/1/2002	-	192,000	28.50	PALM INC
1L0024	1/31/2002	2/1/2002	155,000	-	79.70	EMC CORPORATION MASS
1L0024	1/31/2002	2/2/2002	-	283,190	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/2/2002	155,040	-	28.75	PALM INC
1L0024	1/31/2002	2/2/2002	145,000	-	79.00	EMC CORPORATION MASS
1L0024	1/31/2002	2/2/2002	165,000	-	41.31	YAHOO INC
1L0024	1/31/2002	2/2/2002	250,000	-	74.63	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/2/2002	283,190	-	38.75	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/2/2002	-	120,000	73.75	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/2/2002	-	250,000	74.63	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/2/2002	120,000	-	73.75	SIEBEL SYSTEMS INC
1L0024	1/31/2002	2/2/2002	200,000	-	28.25	PALM INC
1L0024	1/31/2002	2/2/2002	250,000	-	18.55	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/2/2002	285,000	-	18.45	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/2/2002	-	200,000	28.25	PALM INC
1L0024	1/31/2002	2/2/2002	188,000	-	78.32	EMC CORPORATION MASS
1L0024	1/31/2002	2/2/2002	216,230	-	18.65	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/2/2002	75,000	-	38.68	NORTEL NETWORKS CORP HLDS CO
1L0024	1/31/2002	2/2/2002	138,800	-	41.50	YAHOO INC
1L0024	1/31/2002	2/5/2002	100,000	-	78.57	EMC CORPORATION MASS
1L0024	1/31/2002	2/5/2002	210,000	-	79.12	EMC CORPORATION MASS
1L0024	1/31/2002	2/5/2002	222,930	-	40.75	YAHOO INC
1L0024	1/31/2002	2/5/2002	-	245,000	19.00	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/5/2002	157,000	-	28.13	PALM INC
1L0024	1/31/2002	2/5/2002	245,000	-	19.00	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/5/2002	185,000	-	40.37	YAHOO INC
1L0024	1/31/2002	2/5/2002	265,000	-	18.57	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/5/2002	235,000	-	18.88	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/6/2002	-	138,000	18.77	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/6/2002	-	255,000	18.82	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/6/2002	250,000	-	37.75	YAHOO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	1/31/2002	2/6/2002	255,000	-	18.82	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/6/2002	138,000	-	18.77	LUCENT TECHNOLOGIES INC
1L0024	1/31/2002	2/7/2002	195,000	-	36.12	YAHOO INC
1L0024	1/31/2002	2/7/2002	240,810	-	36.37	YAHOO INC
1L0024	1/31/2002	2/8/2002	245,000	-	35.62	YAHOO INC
1L0024	1/31/2002	2/9/2002	-	265,000	36.06	YAHOO INC
1L0024	1/31/2002	2/9/2002	265,000	-	36.06	YAHOO INC
1L0024	1/31/2002	2/9/2002	237,600	-	36.25	YAHOO INC
1L0024	1/31/2002	2/9/2002	-	237,600	36.25	YAHOO INC
1L0024	3/31/2002	12/27/2002	-	64,065,000	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	65,000,000	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	65,000,000	99.60	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	65,000,000	99.66	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	62,975,000	-	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	245,000,000	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	65,000,000	99.63	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	64,065,000	-	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	7,500,000	99.66	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	245,000,000	99.66	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	7,500,000	99.57	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	7,500,000	99.60	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	7,500,000	99.63	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	245,000,000	99.60	U S TREASURY BILL
1L0024	3/31/2002	12/27/2002	-	245,000,000	99.63	U S TREASURY BILL
1L0024	3/31/2002	12/31/2002	7,700	-	1.00	FIDELITY SPARTAN
1L0024	11/30/2003	2/6/2003	120,000	-	13.61	BROADCOM CORP CL A
1L0024	11/30/2003	2/6/2003	75,000	-	18.13	YAHOO INC
1L0024	11/30/2003	2/6/2003	100,000	-	12.01	APPLIED MATERIALS INC
1L0024	11/30/2003	2/6/2003	110,000	-	26.27	BEST BUY CO INC
1L0024	11/30/2003	2/6/2003	120,000	-	11.15	ALTERA CORP
1L0024	11/30/2003	2/6/2003	189,000	-	13.70	BROADCOM CORP CL A
1L0024	11/30/2003	2/6/2003	150,000	-	19.42	XILINX INC
1L0024	11/30/2003	2/6/2003	175,000	-	19.37	XILINX INC
1L0024	11/30/2003	2/6/2003	100,000	-	23.85	ANALOG DEVICES
1L0024	11/30/2003	2/6/2003	175,000	-	13.67	BROADCOM CORP CL A
1L0024	11/30/2003	2/6/2003	125,000	-	12.04	APPLIED MATERIALS INC
1L0024	11/30/2003	2/6/2003	37,000	-	18.17	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/6/2003	50,000	-	23.96	ANALOG DEVICES
1L0024	11/30/2003	2/6/2003	75,000	-	18.03	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/6/2003	150,000	-	11.01	ALTERA CORP
1L0024	11/30/2003	2/6/2003	260,000	-	12.11	APPLIED MATERIALS INC
1L0024	11/30/2003	2/6/2003	125,000	-	26.20	BEST BUY CO INC
1L0024	11/30/2003	2/6/2003	175,000	-	18.18	YAHOO INC
1L0024	11/30/2003	2/6/2003	50,000	-	18.21	YAHOO INC
1L0024	11/30/2003	2/7/2003	175,000	-	12.01	APPLIED MATERIALS INC
1L0024	11/30/2003	2/7/2003	33,000	-	17.90	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/7/2003	100,000	-	17.81	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/7/2003	110,000	-	26.07	BEST BUY CO INC
1L0024	11/30/2003	2/7/2003	130,000	-	13.60	BROADCOM CORP CL A
1L0024	11/30/2003	2/7/2003	100,000	-	11.08	ALTERA CORP
1L0024	11/30/2003	2/7/2003	155,000	-	11.00	ALTERA CORP
1L0024	11/30/2003	2/7/2003	80,000	-	23.61	ANALOG DEVICES
1L0024	11/30/2003	2/7/2003	110,000	-	11.12	ALTERA CORP
1L0024	11/30/2003	2/7/2003	120,000	-	17.68	YAHOO INC
1L0024	11/30/2003	2/7/2003	150,000	-	17.74	YAHOO INC
1L0024	11/30/2003	2/7/2003	95,000	-	13.53	BROADCOM CORP CL A
1L0024	11/30/2003	2/7/2003	110,000	-	18.99	XILINX INC
1L0024	11/30/2003	2/7/2003	125,000	-	18.83	XILINX INC
1L0024	11/30/2003	2/7/2003	180,000	-	12.03	APPLIED MATERIALS INC
1L0024	11/30/2003	2/7/2003	100,000	-	23.52	ANALOG DEVICES
1L0024	11/30/2003	2/7/2003	175,000	-	13.57	BROADCOM CORP CL A
1L0024	11/30/2003	2/10/2003	40,000	-	27.32	BEST BUY CO INC
1L0024	11/30/2003	2/10/2003	100,000	-	13.82	BROADCOM CORP CL A
1L0024	11/30/2003	2/10/2003	120,000	-	27.29	BEST BUY CO INC
1L0024	11/30/2003	2/10/2003	180,000	-	19.50	XILINX INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2003	2/10/2003	190,000	-	19.42	XILINX INC
1L0024	11/30/2003	2/10/2003	185,000	-	23.43	ANALOG DEVICES
1L0024	11/30/2003	2/10/2003	78,000	-	18.40	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/10/2003	120,000	-	17.82	YAHOO INC
1L0024	11/30/2003	2/10/2003	130,000	-	18.53	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/10/2003	60,000	-	23.51	ANALOG DEVICES
1L0024	11/30/2003	2/10/2003	75,000	-	17.89	YAHOO INC
1L0024	11/30/2003	2/10/2003	125,000	-	18.32	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/10/2003	155,000	-	13.74	BROADCOM CORP CL A
1L0024	11/30/2003	2/10/2003	195,000	-	12.29	APPLIED MATERIALS INC
1L0024	11/30/2003	2/10/2003	110,000	-	11.33	ALTERA CORP
1L0024	11/30/2003	2/10/2003	150,000	-	12.39	APPLIED MATERIALS INC
1L0024	11/30/2003	2/10/2003	165,000	-	11.41	ALTERA CORP
1L0024	11/30/2003	2/10/2003	170,000	-	13.71	BROADCOM CORP CL A
1L0024	11/30/2003	2/10/2003	195,000	-	12.29	APPLIED MATERIALS INC
1L0024	11/30/2003	2/11/2003	115,000	-	23.23	ANALOG DEVICES
1L0024	11/30/2003	2/11/2003	175,000	-	12.11	APPLIED MATERIALS INC
1L0024	11/30/2003	2/11/2003	220,000	-	12.09	APPLIED MATERIALS INC
1L0024	11/30/2003	2/11/2003	125,000	-	13.40	BROADCOM CORP CL A
1L0024	11/30/2003	2/11/2003	100,000	-	27.07	BEST BUY CO INC
1L0024	11/30/2003	2/11/2003	175,000	-	12.05	APPLIED MATERIALS INC
1L0024	11/30/2003	2/11/2003	75,000	-	13.50	BROADCOM CORP CL A
1L0024	11/30/2003	2/11/2003	75,000	-	18.19	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/11/2003	75,000	-	27.12	BEST BUY CO INC
1L0024	11/30/2003	2/11/2003	85,000	-	23.13	ANALOG DEVICES
1L0024	11/30/2003	2/11/2003	55,000	-	11.23	ALTERA CORP
1L0024	11/30/2003	2/11/2003	100,000	-	11.21	ALTERA CORP
1L0024	11/30/2003	2/11/2003	105,000	-	12.14	APPLIED MATERIALS INC
1L0024	11/30/2003	2/12/2003	95,000	-	26.40	BEST BUY CO INC
1L0024	11/30/2003	2/12/2003	110,000	-	11.12	ALTERA CORP
1L0024	11/30/2003	2/12/2003	125,000	-	21.40	AMAZON COM INC
1L0024	11/30/2003	2/12/2003	200,000	-	11.91	APPLIED MATERIALS INC
1L0024	11/30/2003	2/12/2003	80,000	-	17.71	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/12/2003	100,000	-	21.59	AMAZON COM INC
1L0024	11/30/2003	2/12/2003	120,000	-	21.50	AMAZON COM INC
1L0024	11/30/2003	2/12/2003	40,000	-	17.77	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/12/2003	165,000	-	12.06	APPLIED MATERIALS INC
1L0024	11/30/2003	2/12/2003	175,000	-	11.97	APPLIED MATERIALS INC
1L0024	11/30/2003	2/12/2003	60,000	-	13.29	BROADCOM CORP CL A
1L0024	11/30/2003	2/12/2003	70,000	-	13.31	BROADCOM CORP CL A
1L0024	11/30/2003	2/12/2003	85,000	-	26.48	BEST BUY CO INC
1L0024	11/30/2003	2/12/2003	110,000	-	21.43	AMAZON COM INC
1L0024	11/30/2003	2/12/2003	150,000	-	13.23	BROADCOM CORP CL A
1L0024	11/30/2003	2/12/2003	170,000	-	13.20	BROADCOM CORP CL A
1L0024	11/30/2003	2/12/2003	100,000	-	23.11	ANALOG DEVICES
1L0024	11/30/2003	2/12/2003	120,000	-	22.99	ANALOG DEVICES
1L0024	11/30/2003	2/12/2003	120,000	-	11.07	ALTERA CORP
1L0024	11/30/2003	2/13/2003	95,000	-	26.20	BEST BUY CO INC
1L0024	11/30/2003	2/13/2003	100,000	-	13.00	BROADCOM CORP CL A
1L0024	11/30/2003	2/13/2003	130,000	-	14.99	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/13/2003	100,000	-	20.78	AMAZON COM INC
1L0024	11/30/2003	2/13/2003	150,000	-	14.93	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/13/2003	25,000	-	18.11	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/13/2003	70,000	-	23.13	ANALOG DEVICES
1L0024	11/30/2003	2/13/2003	70,000	-	26.27	BEST BUY CO INC
1L0024	11/30/2003	2/13/2003	200,000	-	20.83	AMAZON COM INC
1L0024	11/30/2003	2/13/2003	96,000	-	23.10	ANALOG DEVICES
1L0024	11/30/2003	2/13/2003	75,000	-	18.03	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/13/2003	100,000	-	12.97	BROADCOM CORP CL A
1L0024	11/30/2003	2/13/2003	175,000	-	14.90	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/14/2003	56,000	-	18.89	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/14/2003	110,000	-	21.00	AMAZON COM INC
1L0024	11/30/2003	2/14/2003	130,000	-	20.89	AMAZON COM INC
1L0024	11/30/2003	2/14/2003	50,000	-	18.82	MARVELL TECHNOLOGY GRP LTD
1L0024	11/30/2003	2/14/2003	110,000	-	26.82	BEST BUY CO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2003	2/14/2003	150,000	-	26.71	BEST BUY CO INC
1L0024	11/30/2003	2/14/2003	175,000	-	15.33	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/14/2003	125,000	-	15.40	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/14/2003	160,000	-	20.93	AMAZON COM INC
1L0024	11/30/2003	2/14/2003	200,000	-	15.29	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/18/2003	100,000	-	15.33	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/18/2003	150,000	-	15.30	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/18/2003	155,000	-	15.41	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/18/2003	160,000	-	20.85	AMAZON COM INC
1L0024	11/30/2003	2/19/2003	175,000	-	14.57	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/19/2003	190,000	-	19.89	AMAZON COM INC
1L0024	11/30/2003	2/19/2003	180,000	-	14.73	TEXAS INSTRUMENTS INC
1L0024	11/30/2003	2/19/2003	175,000	-	19.84	AMAZON COM INC
1L0024	11/30/2003	2/19/2003	100,000	-	19.99	AMAZON COM INC
1L0024	11/30/2003	2/20/2003	100,000	-	19.96	AMAZON COM INC
1L0024	11/30/2003	2/20/2003	190,000	-	19.90	AMAZON COM INC
1L0024	11/30/2003	2/20/2003	95,000	-	20.07	AMAZON COM INC
1L0024	11/30/2003	2/21/2003	70,000	-	14.60	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/21/2003	150,000	-	14.47	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/24/2003	170,000	-	14.25	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/24/2003	60,000	-	14.39	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/25/2003	50,000	-	14.83	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/26/2003	65,000	-	15.55	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/26/2003	100,000	-	15.49	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/26/2003	175,000	-	15.38	NATIONAL SEMICONDUCTOR CORP
1L0024	11/30/2003	2/27/2003	100,000	-	11.00	NETWORK APPLIANCE INC
1L0024	11/30/2003	2/27/2003	150,000	-	10.98	NETWORK APPLIANCE INC
1L0024	11/30/2003	2/28/2003	120,000	-	10.40	NETWORK APPLIANCE INC
1L0024	11/30/2003	2/28/2003	200,000	-	10.28	NETWORK APPLIANCE INC
1L0024	11/30/2003	2/28/2003	100,000	-	10.32	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/3/2003	70,000	-	10.35	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/3/2003	90,000	-	10.49	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/3/2003	140,000	-	10.42	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/4/2003	85,000	-	10.42	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/4/2003	75,000	-	10.36	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/4/2003	150,000	-	10.30	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/5/2003	95,000	-	10.71	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/5/2003	80,000	-	10.65	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/5/2003	75,000	-	10.62	NETWORK APPLIANCE INC
1L0024	11/30/2003	3/6/2003	35,000	-	19.41	TOLL BROTHERS INC
1L0024	11/30/2003	3/7/2003	125,000	-	18.70	TOLL BROTHERS INC
1L0024	11/30/2003	3/10/2003	90,000	-	18.43	TOLL BROTHERS INC
1L0024	11/30/2003	3/11/2003	95,000	-	18.18	TOLL BROTHERS INC
1L0024	11/30/2003	3/12/2003	50,000	-	17.91	TOLL BROTHERS INC
1L0024	11/30/2003	3/20/2003	75,000	-	12.98	CARMAX INC
1L0024	11/30/2003	3/20/2003	100,000	-	18.54	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/20/2003	25,000	-	13.03	CARMAX INC
1L0024	11/30/2003	3/20/2003	35,000	-	31.90	GENENTECH INC
1L0024	11/30/2003	3/20/2003	125,000	-	18.45	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/20/2003	190,000	-	17.37	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/20/2003	150,000	-	18.51	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/21/2003	140,000	-	20.29	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/21/2003	175,000	-	18.09	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/21/2003	55,000	-	14.14	CARMAX INC
1L0024	11/30/2003	3/21/2003	65,000	-	10.78	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/21/2003	110,000	-	20.36	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/21/2003	120,000	-	18.01	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/21/2003	120,000	-	20.21	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/21/2003	100,000	-	10.70	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/21/2003	125,000	-	34.50	GENENTECH INC
1L0024	11/30/2003	3/21/2003	70,000	-	18.20	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/21/2003	125,000	-	18.15	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/21/2003	125,000	-	34.29	GENENTECH INC
1L0024	11/30/2003	3/21/2003	150,000	-	18.22	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/21/2003	150,000	-	34.64	GENENTECH INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	11/30/2003	3/24/2003	78,000	-	20.55	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/24/2003	100,000	-	20.52	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/24/2003	190,000	-	17.26	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/24/2003	37,000	-	13.82	CARMAX INC
1L0024	11/30/2003	3/24/2003	100,000	-	35.56	GENENTECH INC
1L0024	11/30/2003	3/24/2003	110,000	-	17.41	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/24/2003	95,000	-	35.51	GENENTECH INC
1L0024	11/30/2003	3/24/2003	100,000	-	17.30	VERITAS SOFTWARE CORP
1L0024	11/30/2003	3/24/2003	200,000	-	20.45	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/25/2003	35,000	-	13.94	CARMAX INC
1L0024	11/30/2003	3/25/2003	40,000	-	34.47	GENENTECH INC
1L0024	11/30/2003	3/25/2003	100,000	-	10.42	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/25/2003	155,000	-	20.35	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/26/2003	50,000	-	10.86	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/26/2003	100,000	-	35.06	GENENTECH INC
1L0024	11/30/2003	3/26/2003	112,000	-	34.99	GENENTECH INC
1L0024	11/30/2003	3/26/2003	170,000	-	21.10	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/26/2003	45,000	-	14.77	CARMAX INC
1L0024	11/30/2003	3/26/2003	50,000	-	14.70	CARMAX INC
1L0024	11/30/2003	3/26/2003	85,000	-	21.22	SEARS ROEBUCK & CO
1L0024	11/30/2003	3/26/2003	80,000	-	10.80	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/27/2003	100,000	-	10.45	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/27/2003	50,000	-	10.49	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/27/2003	65,000	-	14.79	CARMAX INC
1L0024	11/30/2003	3/28/2003	75,000	-	10.40	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/28/2003	25,000	-	10.43	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/31/2003	30,000	-	10.29	UNITED STATES STEEL CORP
1L0024	11/30/2003	3/31/2003	80,000	-	10.22	UNITED STATES STEEL CORP
1L0024	11/30/2003	4/3/2003	80,000	-	15.36	NORDSTROM INC
1L0024	11/30/2003	4/3/2003	100,000	-	15.21	NORDSTROM INC
1L0024	11/30/2003	4/4/2003	75,000	-	15.99	NORDSTROM INC
1L0024	11/30/2003	4/7/2003	70,000	-	16.30	NORDSTROM INC
1L0024	11/30/2003	4/8/2003	49,000	-	16.42	NORDSTROM INC
1L0024	11/30/2003	4/9/2003	50,000	-	16.45	NORDSTROM INC
1L0024	12/31/2004	11/1/2004	24,500	-	28.17	PALMONE INC
1L0024	12/31/2004	11/1/2004	17,000	-	28.20	PALMONE INC
1L0024	12/31/2004	11/1/2004	26,650	-	28.22	PALMONE INC
1L0024	12/31/2004	11/2/2004	60,000	-	28.82	PALMONE INC
1L0024	12/31/2004	11/2/2004	75,000	-	28.77	PALMONE INC
1L0024	12/31/2004	11/3/2004	28,250	-	29.11	PALMONE INC
1L0024	12/31/2004	11/3/2004	19,079	-	29.07	PALMONE INC
1L0024	12/31/2004	11/4/2004	58,225	-	28.93	PALMONE INC
1L0024	12/31/2004	11/4/2004	35,185	-	29.02	PALMONE INC
1L0024	12/31/2004	11/22/2004	-	90,000	17.09	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/22/2004	-	60,000	17.24	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/22/2004	-	80,000	17.12	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/22/2004	-	70,000	17.19	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/23/2004	-	90,000	16.69	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/23/2004	-	120,000	16.71	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/23/2004	-	130,000	16.74	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2004	11/23/2004	-	140,000	16.80	NATIONAL SEMICONDUCTOR CORP
1L0024	12/31/2006	9/1/2006	90,000	-	65.55	APPLE COMPUTER INC
1L0024	12/31/2006	9/1/2006	70,000	-	65.49	APPLE COMPUTER INC
1L0024	12/31/2006	9/1/2006	85,000	-	66.07	APPLE COMPUTER INC
1L0024	12/31/2006	9/1/2006	110,000	-	65.63	APPLE COMPUTER INC
1L0024	12/31/2006	9/1/2006	125,000	-	65.90	APPLE COMPUTER INC
1L0024	12/31/2006	9/12/2006	48,000	-	78.03	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/12/2006	72,000	-	77.79	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/12/2006	80,000	-	78.16	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/13/2006	110,000	-	78.33	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/13/2006	100,000	-	78.38	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/13/2006	75,000	-	78.24	RESEARCH IN MOTION LTD
1L0024	12/31/2006	9/19/2006	70,000	-	160.37	GOLDMAN SACHS GROUP INC
1L0024	12/31/2006	9/19/2006	100,000	-	160.21	GOLDMAN SACHS GROUP INC
1L0024	12/31/2006	9/19/2006	80,000	-	160.49	GOLDMAN SACHS GROUP INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0024	12/31/2006	9/20/2006	97,000	-	161.81	GOLDMAN SACHS GROUP INC
1L0024	12/31/2006	9/20/2006	125,000	-	161.77	GOLDMAN SACHS GROUP INC
1L0024	12/31/2006	9/20/2006	103,000	-	161.98	GOLDMAN SACHS GROUP INC
1L0024	12/31/2006	9/21/2006	30,000	-	41.68	LAM RESEARCH CORP
1L0024	12/31/2006	9/21/2006	50,000	-	41.50	LAM RESEARCH CORP
1L0024	12/31/2006	9/21/2006	65,000	-	41.37	LAM RESEARCH CORP
1L0024	12/31/2006	9/21/2006	45,000	-	41.42	LAM RESEARCH CORP
1L0024	12/31/2006	9/25/2006	85,000	-	81.58	PHELPS DODGE CORP
1L0024	12/31/2006	9/25/2006	75,000	-	81.99	PHELPS DODGE CORP
1L0024	12/31/2006	9/25/2006	90,000	-	81.67	PHELPS DODGE CORP
1L0024	12/31/2006	9/28/2006	75,000	-	31.96	JOY GLOBAL INC
1L0024	12/31/2006	9/28/2006	95,000	-	31.80	JOY GLOBAL INC
1L0024	12/31/2006	9/28/2006	50,000	-	32.07	JOY GLOBAL INC
1L0024	12/31/2006	10/10/2006	50,000	-	60.07	ALLEGHENY TECHNOLOGIES INC
1L0024	12/31/2006	10/10/2006	60,000	-	60.11	ALLEGHENY TECHNOLOGIES INC
1L0024	12/31/2006	10/10/2006	90,000	-	59.98	ALLEGHENY TECHNOLOGIES INC
1L0024	1/31/2007	12/29/2007	-	51,725	18.45	APPLIED MATERIALS INC
1L0024	1/31/2007	12/29/2007	304	-	1.00	FIDELITY SPARTAN
1L0025	3/31/1999	1/8/1999	18,000	-	50.75	ADOBE SYS INC
1L0025	3/31/1999	1/12/1999	2,000	-	55.38	LEHMAN BROS HOLDING INC
1L0025	3/31/1999	1/13/1999	4,800	-	112.00	AMGEN INC
1L0025	3/31/1999	1/14/1999	2,400	-	11.25	HOMESTAKE MNG CO
1L0025	3/31/1999	1/15/1999	180	-	71.00	NETSCAPE COMMUNICATIONS CORP
1L0025	3/31/1999	1/21/1999	120	-	39.13	SIEBEL SYSTEMS INC
1L0026	7/31/1998	6/15/1998	-	700,000	98.29	U S TREASURY BILL
1L0026	3/31/1999	1/11/1999	51,400	-	36.13	BOEING CO
1L0026	3/31/1999	1/11/1999	50,000	-	36.25	BOEING CO
1L0026	3/31/1999	1/19/1999	57,650	-	47.13	APPLE COMPUTER INC
1L0026	3/31/1999	1/19/1999	60,000	-	47.06	APPLE COMPUTER INC
1L0026	9/30/2001	1/5/2001	-	195,000	28.00	PALM INC
1L0026	9/30/2001	1/5/2001	-	245,000	29.94	YAHOO INC
1L0026	9/30/2001	1/5/2001	-	245,000	28.38	PALM INC
1L0026	9/30/2001	1/8/2001	-	240,000	31.87	YAHOO INC
1L0026	9/30/2001	1/8/2001	-	296,890	32.25	YAHOO INC
1L0026	9/30/2001	1/8/2001	-	290,000	27.25	PALM INC
1L0026	9/30/2001	1/8/2001	-	154,000	27.00	PALM INC
1L0026	9/30/2001	1/8/2001	-	250,000	27.63	PALM INC
1L0026	9/30/2001	1/8/2001	-	278,000	31.62	YAHOO INC
1L0026	9/30/2001	1/8/2001	-	285,000	32.00	YAHOO INC
1L0026	9/30/2001	1/9/2001	-	250,000	31.56	PALM INC
1L0026	9/30/2001	1/9/2001	-	265,000	31.19	PALM INC
1L0026	9/30/2001	1/9/2001	-	263,000	31.50	YAHOO INC
1L0026	9/30/2001	1/9/2001	-	265,000	31.68	YAHOO INC
1L0026	9/30/2001	1/10/2001	-	255,000	15.19	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/10/2001	-	265,000	31.00	YAHOO INC
1L0026	9/30/2001	1/10/2001	-	298,000	15.70	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/10/2001	-	215,000	15.55	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/10/2001	-	259,440	29.25	PALM INC
1L0026	9/30/2001	1/10/2001	-	285,000	29.00	PALM INC
1L0026	9/30/2001	1/10/2001	-	315,000	30.75	YAHOO INC
1L0026	9/30/2001	1/11/2001	-	190,000	26.62	YAHOO INC
1L0026	9/30/2001	1/11/2001	-	246,000	27.06	YAHOO INC
1L0026	9/30/2001	1/11/2001	-	168,000	24.94	PALM INC
1L0026	9/30/2001	1/11/2001	-	256,180	15.17	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/11/2001	-	189,430	25.19	PALM INC
1L0026	9/30/2001	1/11/2001	-	202,000	15.12	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/11/2001	-	215,000	14.98	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/12/2001	-	202,000	16.60	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/12/2001	-	147,000	25.00	PALM INC
1L0026	9/30/2001	1/12/2001	-	235,000	16.74	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/12/2001	-	262,090	17.00	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/12/2001	-	253,000	29.87	YAHOO INC
1L0026	9/30/2001	1/12/2001	-	320,000	16.42	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/12/2001	-	215,000	30.12	YAHOO INC
1L0026	9/30/2001	1/12/2001	-	205,000	16.85	LUCENT TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	9/30/2001	1/12/2001	-	231,660	25.25	PALM INC
1L0026	9/30/2001	1/16/2001	-	288,320	17.50	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/16/2001	-	298,000	17.30	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/16/2001	-	260,000	17.10	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/16/2001	-	285,000	24.13	PALM INC
1L0026	9/30/2001	1/16/2001	-	285,000	17.15	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/16/2001	-	298,090	24.50	PALM INC
1L0026	9/30/2001	1/16/2001	-	195,000	17.09	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/17/2001	-	257,000	26.25	PALM INC
1L0026	9/30/2001	1/17/2001	-	285,000	18.20	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/17/2001	-	245,000	18.43	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/17/2001	-	285,000	26.50	PALM INC
1L0026	9/30/2001	1/17/2001	-	295,000	18.37	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/17/2001	-	255,780	27.00	PALM INC
1L0026	9/30/2001	1/17/2001	-	235,000	18.10	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/18/2001	-	245,000	27.38	PALM INC
1L0026	9/30/2001	1/18/2001	-	285,000	18.45	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/18/2001	-	200,000	18.25	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/18/2001	-	295,000	18.67	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/18/2001	-	240,000	18.80	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/18/2001	-	210,000	27.00	PALM INC
1L0026	9/30/2001	1/19/2001	-	250,000	18.65	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/19/2001	-	245,000	27.88	PALM INC
1L0026	9/30/2001	1/19/2001	-	215,000	18.55	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/22/2001	-	223,210	28.25	PALM INC
1L0026	9/30/2001	1/22/2001	-	235,000	27.94	PALM INC
1L0026	9/30/2001	1/22/2001	-	177,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/22/2001	-	225,000	76.50	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/22/2001	-	265,000	136.31	BROADCOM CORP CL A
1L0026	9/30/2001	1/22/2001	-	265,000	18.77	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/22/2001	-	245,000	18.80	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/22/2001	-	280,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/22/2001	-	214,380	136.13	BROADCOM CORP CL A
1L0026	9/30/2001	1/22/2001	-	350,000	34.63	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/22/2001	-	235,090	19.00	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/22/2001	-	290,000	34.38	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/22/2001	-	320,000	34.13	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/23/2001	-	280,000	36.50	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/23/2001	-	298,000	36.50	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/23/2001	-	150,000	28.00	PALM INC
1L0026	9/30/2001	1/23/2001	-	215,000	130.50	BROADCOM CORP CL A
1L0026	9/30/2001	1/23/2001	-	170,000	27.63	PALM INC
1L0026	9/30/2001	1/23/2001	-	255,000	77.50	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/23/2001	-	380,000	36.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/23/2001	-	205,000	19.38	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/23/2001	-	200,000	19.63	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/23/2001	-	200,000	36.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/23/2001	-	215,000	75.75	EMC CORPORATION MASS
1L0026	9/30/2001	1/23/2001	-	298,000	19.07	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/23/2001	-	214,940	19.87	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/23/2001	-	227,630	77.94	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/23/2001	-	240,000	74.75	EMC CORPORATION MASS
1L0026	9/30/2001	1/23/2001	-	255,000	130.31	BROADCOM CORP CL A
1L0026	9/30/2001	1/24/2001	-	200,000	20.88	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	225,000	39.13	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	250,000	40.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	300,000	20.72	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	65,000	77.12	EMC CORPORATION MASS
1L0026	9/30/2001	1/24/2001	-	152,000	79.63	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/24/2001	-	215,000	20.75	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	305,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	120,000	79.25	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/24/2001	-	455,000	64.25	JDS UNIPHASE CORP
1L0026	9/30/2001	1/24/2001	-	242,260	21.00	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	418,000	63.88	JDS UNIPHASE CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	9/30/2001	1/24/2001	-	190,000	134.88	BROADCOM CORP CL A
1L0026	9/30/2001	1/24/2001	-	215,000	77.60	EMC CORPORATION MASS
1L0026	9/30/2001	1/24/2001	-	218,000	20.80	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	490,000	64.44	JDS UNIPHASE CORP
1L0026	9/30/2001	1/24/2001	-	325,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	202,000	20.59	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/24/2001	-	205,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	240,000	77.25	EMC CORPORATION MASS
1L0026	9/30/2001	1/24/2001	-	282,000	39.88	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/24/2001	-	515,000	64.63	JDS UNIPHASE CORP
1L0026	9/30/2001	1/25/2001	-	265,000	20.26	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/25/2001	-	474,000	59.50	JDS UNIPHASE CORP
1L0026	9/30/2001	1/25/2001	-	76,100	131.88	BROADCOM CORP CL A
1L0026	9/30/2001	1/25/2001	-	167,000	82.13	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/25/2001	-	257,980	39.69	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/25/2001	-	177,000	132.44	BROADCOM CORP CL A
1L0026	9/30/2001	1/25/2001	-	250,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/25/2001	-	450,000	59.94	JDS UNIPHASE CORP
1L0026	9/30/2001	1/25/2001	-	185,000	76.25	EMC CORPORATION MASS
1L0026	9/30/2001	1/25/2001	-	175,000	132.19	BROADCOM CORP CL A
1L0026	9/30/2001	1/25/2001	-	213,000	76.00	EMC CORPORATION MASS
1L0026	9/30/2001	1/25/2001	-	238,000	82.50	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/25/2001	-	336,000	19.00	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/25/2001	-	215,000	20.19	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/25/2001	-	482,000	59.75	JDS UNIPHASE CORP
1L0026	9/30/2001	1/25/2001	-	245,000	20.37	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/25/2001	-	290,000	39.25	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/26/2001	-	285,000	133.50	BROADCOM CORP CL A
1L0026	9/30/2001	1/26/2001	-	225,000	84.00	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/26/2001	-	239,410	38.75	YAHOO INC
1L0026	9/30/2001	1/26/2001	-	255,000	19.05	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/26/2001	-	425,000	64.63	JDS UNIPHASE CORP
1L0026	9/30/2001	1/26/2001	-	215,000	134.00	BROADCOM CORP CL A
1L0026	9/30/2001	1/26/2001	-	257,000	78.00	EMC CORPORATION MASS
1L0026	9/30/2001	1/26/2001	-	260,000	38.50	YAHOO INC
1L0026	9/30/2001	1/26/2001	-	518,000	64.25	JDS UNIPHASE CORP
1L0026	9/30/2001	1/26/2001	-	210,000	83.50	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/26/2001	-	242,440	79.00	EMC CORPORATION MASS
1L0026	9/30/2001	1/26/2001	-	255,000	78.50	EMC CORPORATION MASS
1L0026	9/30/2001	1/26/2001	-	265,000	39.69	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/26/2001	-	285,000	18.86	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/26/2001	-	295,000	18.95	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/26/2001	-	340,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/29/2001	-	195,000	82.06	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/29/2001	-	200,000	39.94	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/29/2001	-	202,000	19.86	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	213,000	138.63	BROADCOM CORP CL A
1L0026	9/30/2001	1/29/2001	-	289,000	19.69	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	150,000	26.50	PALM INC
1L0026	9/30/2001	1/29/2001	-	215,000	138.88	BROADCOM CORP CL A
1L0026	9/30/2001	1/29/2001	-	245,000	42.37	YAHOO INC
1L0026	9/30/2001	1/29/2001	-	250,000	40.13	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/29/2001	-	265,000	80.70	EMC CORPORATION MASS
1L0026	9/30/2001	1/29/2001	-	151,000	26.19	PALM INC
1L0026	9/30/2001	1/29/2001	-	206,000	19.61	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	245,000	83.25	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/29/2001	-	485,000	64.19	JDS UNIPHASE CORP
1L0026	9/30/2001	1/29/2001	-	211,000	19.74	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	237,000	42.62	YAHOO INC
1L0026	9/30/2001	1/29/2001	-	265,000	139.13	BROADCOM CORP CL A
1L0026	9/30/2001	1/29/2001	-	200,000	20.05	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	215,000	19.92	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	240,000	138.38	BROADCOM CORP CL A
1L0026	9/30/2001	1/29/2001	-	185,000	42.25	YAHOO INC
1L0026	9/30/2001	1/29/2001	-	238,000	82.13	SIEBEL SYSTEMS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	9/30/2001	1/29/2001	-	296,000	81.21	EMC CORPORATION MASS
1L0026	9/30/2001	1/29/2001	-	512,000	63.88	JDS UNIPHASE CORP
1L0026	9/30/2001	1/29/2001	-	162,000	63.50	JDS UNIPHASE CORP
1L0026	9/30/2001	1/29/2001	-	210,000	80.49	EMC CORPORATION MASS
1L0026	9/30/2001	1/29/2001	-	260,000	82.75	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/29/2001	-	566,660	64.38	JDS UNIPHASE CORP
1L0026	9/30/2001	1/29/2001	-	260,450	20.18	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/29/2001	-	285,000	42.87	YAHOO INC
1L0026	9/30/2001	1/30/2001	-	245,000	19.20	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/30/2001	-	250,000	28.19	PALM INC
1L0026	9/30/2001	1/30/2001	-	100,000	28.00	PALM INC
1L0026	9/30/2001	1/30/2001	-	285,000	78.53	EMC CORPORATION MASS
1L0026	9/30/2001	1/30/2001	-	213,070	42.56	YAHOO INC
1L0026	9/30/2001	1/30/2001	-	238,000	80.25	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/30/2001	-	236,000	18.79	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/30/2001	-	285,000	38.75	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/30/2001	-	289,000	42.06	YAHOO INC
1L0026	9/30/2001	1/30/2001	-	234,290	39.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/30/2001	-	245,000	80.75	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/30/2001	-	285,000	18.95	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/30/2001	-	285,000	42.37	YAHOO INC
1L0026	9/30/2001	1/30/2001	-	295,000	19.10	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/31/2001	-	165,000	27.13	PALM INC
1L0026	9/30/2001	1/31/2001	-	240,000	38.18	YAHOO INC
1L0026	9/30/2001	1/31/2001	-	222,130	38.37	YAHOO INC
1L0026	9/30/2001	1/31/2001	-	245,000	78.55	EMC CORPORATION MASS
1L0026	9/30/2001	1/31/2001	-	262,000	73.75	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/31/2001	-	235,000	37.69	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/31/2001	-	255,000	17.91	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/31/2001	-	300,000	17.89	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/31/2001	-	340,000	38.00	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/31/2001	-	205,000	17.75	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	1/31/2001	-	260,000	37.25	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	1/31/2001	-	265,000	74.13	SIEBEL SYSTEMS INC
1L0026	9/30/2001	1/31/2001	-	205,000	78.37	EMC CORPORATION MASS
1L0026	9/30/2001	2/1/2001	-	190,000	38.50	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	2/1/2001	-	255,000	17.70	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/1/2001	-	122,270	28.75	PALM INC
1L0026	9/30/2001	2/1/2001	-	180,200	79.80	EMC CORPORATION MASS
1L0026	9/30/2001	2/1/2001	-	183,000	28.19	PALM INC
1L0026	9/30/2001	2/1/2001	-	145,000	39.75	YAHOO INC
1L0026	9/30/2001	2/1/2001	-	200,000	76.50	SIEBEL SYSTEMS INC
1L0026	9/30/2001	2/1/2001	-	245,000	39.10	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	2/1/2001	-	125,000	40.00	YAHOO INC
1L0026	9/30/2001	2/1/2001	-	165,000	76.25	SIEBEL SYSTEMS INC
1L0026	9/30/2001	2/1/2001	-	200,000	79.52	EMC CORPORATION MASS
1L0026	9/30/2001	2/1/2001	-	215,000	17.69	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/2/2001	-	178,000	41.43	YAHOO INC
1L0026	9/30/2001	2/2/2001	-	245,000	18.61	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/2/2001	-	155,000	78.75	EMC CORPORATION MASS
1L0026	9/30/2001	2/2/2001	-	165,000	28.63	PALM INC
1L0026	9/30/2001	2/2/2001	-	245,000	38.62	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	2/2/2001	-	75,000	38.68	NORTEL NETWORKS CORP HLDS CO
1L0026	9/30/2001	2/2/2001	-	230,000	74.25	SIEBEL SYSTEMS INC
1L0026	9/30/2001	2/2/2001	-	240,000	18.52	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/2/2001	-	100,000	73.75	SIEBEL SYSTEMS INC
1L0026	9/30/2001	2/2/2001	-	195,000	41.25	YAHOO INC
1L0026	9/30/2001	2/2/2001	-	260,000	18.30	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/5/2001	-	255,000	18.95	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/5/2001	-	190,000	79.00	EMC CORPORATION MASS
1L0026	9/30/2001	2/5/2001	-	198,420	79.65	EMC CORPORATION MASS
1L0026	9/30/2001	2/5/2001	-	100,000	78.57	EMC CORPORATION MASS
1L0026	9/30/2001	2/5/2001	-	215,000	18.74	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/5/2001	-	215,000	40.31	YAHOO INC
1L0026	9/30/2001	2/5/2001	-	160,000	28.00	PALM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	9/30/2001	2/5/2001	-	179,710	28.63	PALM INC
1L0026	9/30/2001	2/5/2001	-	225,000	40.68	YAHOO INC
1L0026	9/30/2001	2/6/2001	-	138,000	18.77	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/6/2001	-	235,000	37.68	YAHOO INC
1L0026	9/30/2001	2/6/2001	-	185,000	18.78	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/6/2001	-	297,920	18.85	LUCENT TECHNOLOGIES INC
1L0026	9/30/2001	2/7/2001	-	200,000	36.00	YAHOO INC
1L0026	9/30/2001	2/7/2001	-	250,000	36.31	YAHOO INC
1L0026	9/30/2001	2/8/2001	-	295,000	35.56	YAHOO INC
1L0026	9/30/2001	2/9/2001	-	225,000	36.18	YAHOO INC
1L0026	9/30/2001	2/9/2001	-	115,000	36.00	YAHOO INC
1L0026	11/30/2001	3/13/2001	-	41,000	27.40	AUTOZONE INC
1L0026	11/30/2001	3/13/2001	-	43,000	27.28	AUTOZONE INC
1L0026	11/30/2001	3/13/2001	-	38,000	27.39	AUTOZONE INC
1L0026	11/30/2001	3/14/2001	-	32,000	27.32	AUTOZONE INC
1L0026	11/30/2001	3/15/2001	-	46,000	27.18	AUTOZONE INC
1L0026	11/30/2001	3/15/2001	-	52,000	27.37	AUTOZONE INC
1L0026	11/30/2001	3/15/2001	-	48,000	27.22	AUTOZONE INC
1L0026	11/30/2001	3/16/2001	-	47,500	26.70	AUTOZONE INC
1L0026	11/30/2001	3/16/2001	-	50,000	26.82	AUTOZONE INC
1L0026	11/30/2001	3/19/2001	-	42,000	26.54	AUTOZONE INC
1L0026	11/30/2001	3/20/2001	-	40,000	36.15	NIKE INC
1L0026	11/30/2001	3/20/2001	-	41,000	36.00	NIKE INC
1L0026	11/30/2001	3/20/2001	-	55,000	26.79	AUTOZONE INC
1L0026	11/30/2001	3/21/2001	-	44,000	24.57	MCKESSON CORP
1L0026	11/30/2001	3/21/2001	-	34,000	35.75	NIKE INC
1L0026	11/30/2001	3/21/2001	-	42,000	36.22	NIKE INC
1L0026	11/30/2001	3/21/2001	-	50,000	24.25	MCKESSON CORP
1L0026	11/30/2001	3/21/2001	-	45,500	26.52	AUTOZONE INC
1L0026	11/30/2001	3/21/2001	-	26,000	35.90	NIKE INC
1L0026	11/30/2001	3/21/2001	-	32,000	36.00	NIKE INC
1L0026	11/30/2001	3/22/2001	-	44,000	25.50	MCKESSON CORP
1L0026	11/30/2001	3/22/2001	-	30,000	35.64	NIKE INC
1L0026	11/30/2001	3/22/2001	-	40,000	25.70	MCKESSON CORP
1L0026	11/30/2001	3/22/2001	-	52,000	2.06	PRICELINE.COM INC
1L0026	11/30/2001	3/22/2001	-	40,000	35.87	NIKE INC
1L0026	11/30/2001	3/22/2001	-	50,000	2.13	PRICELINE.COM INC
1L0026	11/30/2001	3/23/2001	-	32,000	26.15	MCKESSON CORP
1L0026	11/30/2001	3/23/2001	-	36,000	36.85	NIKE INC
1L0026	11/30/2001	3/23/2001	-	50,000	2.13	PRICELINE.COM INC
1L0026	11/30/2001	3/23/2001	-	55,000	2.19	PRICELINE.COM INC
1L0026	11/30/2001	3/23/2001	-	38,000	36.90	NIKE INC
1L0026	11/30/2001	3/23/2001	-	40,000	37.05	NIKE INC
1L0026	11/30/2001	3/23/2001	-	39,000	26.00	MCKESSON CORP
1L0026	11/30/2001	3/26/2001	-	40,000	2.25	PRICELINE.COM INC
1L0026	11/30/2001	3/26/2001	-	34,000	25.37	MCKESSON CORP
1L0026	11/30/2001	3/26/2001	-	25,000	25.57	MCKESSON CORP
1L0026	11/30/2001	3/26/2001	-	45,500	2.13	PRICELINE.COM INC
1L0026	11/30/2001	3/27/2001	-	34,000	23.55	MCKESSON CORP
1L0026	11/30/2001	3/27/2001	-	68,000	15.17	PENNEY J C INC
1L0026	11/30/2001	3/27/2001	-	70,000	2.19	PRICELINE.COM INC
1L0026	11/30/2001	3/27/2001	-	76,000	15.19	PENNEY J C INC
1L0026	11/30/2001	3/27/2001	-	56,000	15.12	PENNEY J C INC
1L0026	11/30/2001	3/27/2001	-	25,000	23.75	MCKESSON CORP
1L0026	11/30/2001	3/27/2001	-	52,500	2.06	PRICELINE.COM INC
1L0026	11/30/2001	3/27/2001	-	65,000	15.06	PENNEY J C INC
1L0026	11/30/2001	3/27/2001	-	60,000	2.13	PRICELINE.COM INC
1L0026	11/30/2001	3/27/2001	-	66,000	15.00	PENNEY J C INC
1L0026	11/30/2001	3/27/2001	-	69,000	15.15	PENNEY J C INC
1L0026	11/30/2001	3/28/2001	-	65,000	14.97	PENNEY J C INC
1L0026	11/30/2001	3/28/2001	-	33,330	24.75	MCKESSON CORP
1L0026	11/30/2001	3/28/2001	-	56,000	14.90	PENNEY J C INC
1L0026	11/30/2001	3/28/2001	-	44,000	24.80	MCKESSON CORP
1L0026	11/30/2001	3/28/2001	-	59,000	15.00	PENNEY J C INC
1L0026	11/30/2001	3/28/2001	-	70,000	15.10	PENNEY J C INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	3/29/2001	-	24,000	25.99	MCKESSON CORP
1L0026	11/30/2001	3/29/2001	-	32,000	26.20	MCKESSON CORP
1L0026	11/30/2001	3/29/2001	-	23,000	26.15	MCKESSON CORP
1L0026	11/30/2001	3/29/2001	-	25,000	26.02	MCKESSON CORP
1L0026	11/30/2001	4/2/2001	-	128,000	36.06	EBAY INC
1L0026	11/30/2001	4/2/2001	-	172,000	36.19	EBAY INC
1L0026	11/30/2001	4/2/2001	-	116,000	35.88	EBAY INC
1L0026	11/30/2001	4/3/2001	-	120,000	34.00	EBAY INC
1L0026	11/30/2001	4/3/2001	-	151,000	33.81	EBAY INC
1L0026	11/30/2001	4/4/2001	-	141,000	34.81	EBAY INC
1L0026	11/30/2001	4/4/2001	-	130,000	34.94	EBAY INC
1L0026	11/30/2001	4/5/2001	-	142,000	34.94	EBAY INC
1L0026	11/30/2001	4/5/2001	-	138,000	35.50	EBAY INC
1L0026	11/30/2001	4/5/2001	-	162,000	35.13	EBAY INC
1L0026	11/30/2001	4/6/2001	-	61,000	31.69	EBAY INC
1L0026	11/30/2001	4/6/2001	-	75,000	30.63	EBAY INC
1L0026	11/30/2001	4/6/2001	-	77,000	30.25	EBAY INC
1L0026	11/30/2001	4/6/2001	-	67,000	30.81	EBAY INC
1L0026	11/30/2001	4/6/2001	-	81,000	31.75	EBAY INC
1L0026	11/30/2001	4/6/2001	-	59,000	31.31	EBAY INC
1L0026	11/30/2001	4/6/2001	-	80,000	30.69	EBAY INC
1L0026	11/30/2001	4/9/2001	-	61,000	30.06	EBAY INC
1L0026	11/30/2001	4/9/2001	-	59,000	30.00	EBAY INC
1L0026	11/30/2001	4/9/2001	-	77,000	29.50	EBAY INC
1L0026	11/30/2001	4/9/2001	-	80,000	29.81	EBAY INC
1L0026	11/30/2001	4/9/2001	-	81,000	30.25	EBAY INC
1L0026	11/30/2001	4/9/2001	-	67,000	29.94	EBAY INC
1L0026	11/30/2001	4/9/2001	-	75,000	29.63	EBAY INC
1L0026	11/30/2001	4/10/2001	-	165,000	33.81	EBAY INC
1L0026	11/30/2001	4/10/2001	-	173,000	33.75	EBAY INC
1L0026	11/30/2001	4/10/2001	-	185,000	34.00	EBAY INC
1L0026	11/30/2001	4/10/2001	-	150,000	34.13	EBAY INC
1L0026	11/30/2001	4/11/2001	-	128,000	32.88	EBAY INC
1L0026	11/30/2001	4/11/2001	-	177,000	32.75	EBAY INC
1L0026	11/30/2001	4/11/2001	-	100,000	33.00	EBAY INC
1L0026	11/30/2001	4/11/2001	-	215,000	11.45	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	255,000	11.75	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	225,000	11.43	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	260,000	11.59	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	232,000	11.91	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	130,000	12.05	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	238,000	12.00	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	245,000	11.62	MOTOROLA INC
1L0026	11/30/2001	4/11/2001	-	172,000	32.94	EBAY INC
1L0026	11/30/2001	4/11/2001	-	200,000	11.50	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	135,000	11.62	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	128,000	11.75	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	190,000	12.00	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	182,000	11.70	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	100,000	12.07	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	110,000	11.80	MOTOROLA INC
1L0026	11/30/2001	4/12/2001	-	155,000	11.59	MOTOROLA INC
1L0026	11/30/2001	6/26/2001	63,500	-	69.35	EBAY INC
1L0026	11/30/2001	6/26/2001	151,000	-	69.90	EBAY INC
1L0026	11/30/2001	6/26/2001	130,000	-	70.00	EBAY INC
1L0026	11/30/2001	6/26/2001	120,000	-	69.82	EBAY INC
1L0026	11/30/2001	6/26/2001	128,000	-	69.62	EBAY INC
1L0026	11/30/2001	6/26/2001	116,000	-	69.75	EBAY INC
1L0026	11/30/2001	6/26/2001	172,000	-	69.50	EBAY INC
1L0026	11/30/2001	6/27/2001	77,500	-	69.88	EBAY INC
1L0026	11/30/2001	6/27/2001	162,000	-	70.10	EBAY INC
1L0026	11/30/2001	6/27/2001	138,000	-	70.00	EBAY INC
1L0026	11/30/2001	6/27/2001	142,000	-	70.25	EBAY INC
1L0026	11/30/2001	6/28/2001	80,000	-	71.00	EBAY INC
1L0026	11/30/2001	6/28/2001	59,000	-	70.78	EBAY INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	6/28/2001	61,000	-	70.65	EBAY INC
1L0026	11/30/2001	6/28/2001	67,000	-	70.89	EBAY INC
1L0026	11/30/2001	6/28/2001	81,000	-	70.50	EBAY INC
1L0026	11/30/2001	6/29/2001	75,000	-	70.25	EBAY INC
1L0026	11/30/2001	6/29/2001	81,000	-	70.55	EBAY INC
1L0026	11/30/2001	6/29/2001	61,000	-	70.64	EBAY INC
1L0026	11/30/2001	6/29/2001	77,000	-	70.41	EBAY INC
1L0026	11/30/2001	7/2/2001	77,000	-	69.55	EBAY INC
1L0026	11/30/2001	7/2/2001	80,000	-	69.32	EBAY INC
1L0026	11/30/2001	7/2/2001	59,000	-	69.00	EBAY INC
1L0026	11/30/2001	7/2/2001	75,000	-	69.47	EBAY INC
1L0026	11/30/2001	7/2/2001	67,000	-	69.15	EBAY INC
1L0026	11/30/2001	7/3/2001	185,000	-	70.25	EBAY INC
1L0026	11/30/2001	7/3/2001	150,000	-	70.08	EBAY INC
1L0026	11/30/2001	7/5/2001	165,000	-	69.78	EBAY INC
1L0026	11/30/2001	7/5/2001	173,000	-	69.97	EBAY INC
1L0026	11/30/2001	7/5/2001	100,000	-	70.05	EBAY INC
1L0026	11/30/2001	7/5/2001	107,000	-	70.25	EBAY INC
1L0026	11/30/2001	7/6/2001	52,000	-	10.00	PRICELINE.COM INC
1L0026	11/30/2001	7/6/2001	65,000	-	69.80	EBAY INC
1L0026	11/30/2001	7/6/2001	128,000	-	69.92	EBAY INC
1L0026	11/30/2001	7/6/2001	50,000	-	9.80	PRICELINE.COM INC
1L0026	11/30/2001	7/6/2001	55,000	-	10.05	PRICELINE.COM INC
1L0026	11/30/2001	7/6/2001	177,000	-	70.00	EBAY INC
1L0026	11/30/2001	7/9/2001	50,000	-	9.29	PRICELINE.COM INC
1L0026	11/30/2001	7/9/2001	70,000	-	9.59	PRICELINE.COM INC
1L0026	11/30/2001	7/9/2001	45,500	-	9.55	PRICELINE.COM INC
1L0026	11/30/2001	7/9/2001	60,000	-	9.68	PRICELINE.COM INC
1L0026	11/30/2001	7/9/2001	40,000	-	9.50	PRICELINE.COM INC
1L0026	11/30/2001	7/9/2001	52,500	-	9.71	PRICELINE.COM INC
1L0026	11/30/2001	8/2/2001	56,000	-	28.25	PENNEY J C INC
1L0026	11/30/2001	8/2/2001	68,000	-	28.00	PENNEY J C INC
1L0026	11/30/2001	8/2/2001	76,000	-	27.90	PENNEY J C INC
1L0026	11/30/2001	8/3/2001	69,000	-	28.50	PENNEY J C INC
1L0026	11/30/2001	8/3/2001	65,000	-	28.70	PENNEY J C INC
1L0026	11/30/2001	8/3/2001	66,000	-	28.42	PENNEY J C INC
1L0026	11/30/2001	8/6/2001	59,000	-	28.22	PENNEY J C INC
1L0026	11/30/2001	8/6/2001	65,000	-	28.10	PENNEY J C INC
1L0026	11/30/2001	8/6/2001	70,000	-	28.00	PENNEY J C INC
1L0026	11/30/2001	8/6/2001	56,000	-	28.30	PENNEY J C INC
1L0026	11/30/2001	8/24/2001	-	58,000	10.72	ADAPTEC INC
1L0026	11/30/2001	8/27/2001	-	63,500	10.55	ADAPTEC INC
1L0026	11/30/2001	8/28/2001	-	56,000	10.62	ADAPTEC INC
1L0026	11/30/2001	8/29/2001	-	40,000	11.00	ADAPTEC INC
1L0026	11/30/2001	8/29/2001	-	40,500	10.70	ADAPTEC INC
1L0026	11/30/2001	8/29/2001	-	42,000	10.83	ADAPTEC INC
1L0026	11/30/2001	9/24/2001	-	19,000	22.13	DELTA AIRLINES INC
1L0026	11/30/2001	9/24/2001	-	44,000	56.95	JOHNSON CTLS INC
1L0026	11/30/2001	9/24/2001	-	52,000	17.58	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/24/2001	-	62,000	17.75	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/24/2001	-	51,000	17.69	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/24/2001	-	95,000	27.93	LOWES COS INC
1L0026	11/30/2001	9/24/2001	-	180,000	28.00	LOWES COS INC
1L0026	11/30/2001	9/24/2001	-	125,000	27.85	LOWES COS INC
1L0026	11/30/2001	9/24/2001	-	100,000	27.78	LOWES COS INC
1L0026	11/30/2001	9/25/2001	-	56,000	23.20	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/25/2001	-	125,000	11.75	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/25/2001	-	55,500	17.50	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/25/2001	-	78,000	21.84	DELTA AIRLINES INC
1L0026	11/30/2001	9/25/2001	-	175,000	11.65	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/25/2001	-	155,000	26.87	LOWES COS INC
1L0026	11/30/2001	9/25/2001	-	58,000	23.35	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/25/2001	-	115,000	11.80	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/25/2001	-	128,000	17.88	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/25/2001	-	135,000	12.00	STAPLES INC (RTL & DEL)

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	9/25/2001	-	63,000	22.00	DELTA AIRLINES INC
1L0026	11/30/2001	9/25/2001	-	145,000	27.10	LOWES COS INC
1L0026	11/30/2001	9/25/2001	-	190,000	18.00	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/25/2001	-	23,000	18.00	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/25/2001	-	51,000	17.59	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/25/2001	-	89,000	21.78	DELTA AIRLINES INC
1L0026	11/30/2001	9/25/2001	-	42,000	23.65	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/25/2001	-	52,000	56.70	JOHNSON CTLS INC
1L0026	11/30/2001	9/25/2001	-	87,500	21.69	DELTA AIRLINES INC
1L0026	11/30/2001	9/25/2001	-	120,500	27.00	LOWES COS INC
1L0026	11/30/2001	9/25/2001	-	40,000	17.90	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/25/2001	-	50,000	23.47	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/25/2001	-	53,000	17.72	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/25/2001	-	182,000	17.75	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/26/2001	-	50,000	55.10	JOHNSON CTLS INC
1L0026	11/30/2001	9/26/2001	-	93,500	21.00	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	96,000	22.60	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/26/2001	-	105,000	11.75	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/26/2001	-	110,000	22.50	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/26/2001	-	200,000	26.00	LOWES COS INC
1L0026	11/30/2001	9/26/2001	-	215,000	25.42	LOWES COS INC
1L0026	11/30/2001	9/26/2001	-	54,000	55.27	JOHNSON CTLS INC
1L0026	11/30/2001	9/26/2001	-	60,000	22.00	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	65,000	17.55	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	81,000	21.37	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	105,000	22.55	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/26/2001	-	185,000	26.25	LOWES COS INC
1L0026	11/30/2001	9/26/2001	-	62,500	17.40	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	125,000	11.82	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/26/2001	-	165,000	17.36	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/26/2001	-	60,000	22.75	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/26/2001	-	61,000	18.00	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	150,000	17.50	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/26/2001	-	59,000	18.05	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	89,000	21.25	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	125,000	11.49	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/26/2001	-	175,000	11.37	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/26/2001	-	185,000	17.24	STARWOOD HOTEL RESORTS WLDWIDE
1L0026	11/30/2001	9/26/2001	-	55,000	21.75	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	57,000	17.79	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	58,000	17.62	BOSTON SCIENTIFIC
1L0026	11/30/2001	9/26/2001	-	85,000	21.56	DELTA AIRLINES INC
1L0026	11/30/2001	9/26/2001	-	145,000	11.55	STAPLES INC (RTL & DEL)
1L0026	11/30/2001	9/26/2001	-	229,500	25.10	LOWES COS INC
1L0026	11/30/2001	9/27/2001	-	70,000	22.97	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/27/2001	-	49,000	23.25	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/27/2001	-	51,000	23.10	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	9/27/2001	-	53,000	23.00	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/1/2001	-	49,000	34.10	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	36,000	33.90	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	49,000	9.55	THE LIMITED INC
1L0026	11/30/2001	10/1/2001	-	53,000	34.25	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	54,000	9.44	THE LIMITED INC
1L0026	11/30/2001	10/1/2001	-	57,000	9.40	THE LIMITED INC
1L0026	11/30/2001	10/1/2001	-	59,000	9.39	THE LIMITED INC
1L0026	11/30/2001	10/1/2001	-	74,000	22.85	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/1/2001	-	25,000	33.95	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	150,000	23.00	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/1/2001	-	55,000	9.31	THE LIMITED INC
1L0026	11/30/2001	10/1/2001	-	26,000	34.00	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	47,000	34.15	SYMANTEC CORP
1L0026	11/30/2001	10/1/2001	-	51,000	9.49	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	46,000	9.38	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	94,000	31.77	SYMANTEC CORP
1L0026	11/30/2001	10/2/2001	-	277,000	17.70	DELL COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	10/2/2001	-	47,000	32.25	SYMANTEC CORP
1L0026	11/30/2001	10/2/2001	-	189,000	17.89	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	45,000	9.40	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	48,000	9.35	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	160,000	18.00	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	55,000	20.00	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	90,000	31.89	SYMANTEC CORP
1L0026	11/30/2001	10/2/2001	-	265,000	17.35	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	39,000	9.41	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	53,000	20.25	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	53,500	19.83	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	54,000	20.13	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	83,000	32.00	SYMANTEC CORP
1L0026	11/30/2001	10/2/2001	-	251,000	17.75	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	283,000	17.62	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	290,000	17.51	DELL COMPUTER CORP
1L0026	11/30/2001	10/2/2001	-	30,000	9.39	THE LIMITED INC
1L0026	11/30/2001	10/2/2001	-	52,000	20.29	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	56,000	19.85	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/2/2001	-	285,000	17.47	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	59,000	20.85	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	64,500	9.30	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	67,000	9.36	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	100,000	8.05	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/3/2001	-	125,000	8.25	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/3/2001	-	40,000	21.37	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	57,000	20.97	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	61,000	5.97	AMAZON COM INC
1L0026	11/30/2001	10/3/2001	-	85,000	33.10	SONY CORP
1L0026	11/30/2001	10/3/2001	-	210,000	18.44	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	53,000	21.22	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	69,000	9.35	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	75,000	8.20	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/3/2001	-	200,000	8.16	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/3/2001	-	233,000	18.55	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	245,000	18.87	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	255,000	18.90	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	55,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	65,000	33.30	SONY CORP
1L0026	11/30/2001	10/3/2001	-	262,000	18.65	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	43,000	21.48	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	56,000	20.71	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	68,000	9.32	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	265,000	18.49	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	292,000	18.72	DELL COMPUTER CORP
1L0026	11/30/2001	10/3/2001	-	66,000	9.29	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	70,000	5.94	AMAZON COM INC
1L0026	11/30/2001	10/3/2001	-	58,000	21.25	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/3/2001	-	60,000	9.40	THE LIMITED INC
1L0026	11/30/2001	10/3/2001	-	150,000	8.15	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/3/2001	-	238,000	18.70	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	37,000	38.00	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/4/2001	-	50,000	33.25	SONY CORP
1L0026	11/30/2001	10/4/2001	-	77,000	8.05	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/4/2001	-	124,000	17.95	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	125,000	20.90	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/4/2001	-	151,000	18.00	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	48,000	9.35	THE LIMITED INC
1L0026	11/30/2001	10/4/2001	-	86,000	7.87	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/4/2001	-	100,000	18.07	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	147,000	5.97	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	115,000	18.49	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	140,000	5.85	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	151,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/4/2001	-	154,000	18.25	DELL COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	10/4/2001	-	24,000	37.92	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/4/2001	-	125,000	17.90	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	34,000	38.02	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/4/2001	-	35,000	9.41	THE LIMITED INC
1L0026	11/30/2001	10/4/2001	-	81,000	7.91	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/4/2001	-	22,000	9.39	THE LIMITED INC
1L0026	11/30/2001	10/4/2001	-	125,000	5.74	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	150,000	5.60	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	84,000	7.96	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/4/2001	-	138,000	5.80	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	32,000	37.98	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/4/2001	-	45,000	9.32	THE LIMITED INC
1L0026	11/30/2001	10/4/2001	-	83,000	8.00	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/4/2001	-	100,500	5.69	AMAZON COM INC
1L0026	11/30/2001	10/4/2001	-	105,000	18.32	DELL COMPUTER CORP
1L0026	11/30/2001	10/4/2001	-	126,000	18.12	DELL COMPUTER CORP
1L0026	11/30/2001	10/5/2001	-	52,500	9.45	THE LIMITED INC
1L0026	11/30/2001	10/5/2001	-	86,500	7.90	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/5/2001	-	54,000	9.53	THE LIMITED INC
1L0026	11/30/2001	10/5/2001	-	52,000	9.59	THE LIMITED INC
1L0026	11/30/2001	10/5/2001	-	54,000	20.74	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	56,000	9.50	THE LIMITED INC
1L0026	11/30/2001	10/5/2001	-	103,000	6.10	AMAZON COM INC
1L0026	11/30/2001	10/5/2001	-	140,000	6.03	AMAZON COM INC
1L0026	11/30/2001	10/5/2001	-	43,000	21.20	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	78,000	4.75	GATEWAY INC
1L0026	11/30/2001	10/5/2001	-	83,000	7.85	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/5/2001	-	89,000	7.97	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/5/2001	-	30,000	38.00	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/5/2001	-	42,000	20.39	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	47,000	21.05	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	56,000	20.61	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	51,000	20.53	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	58,000	9.46	THE LIMITED INC
1L0026	11/30/2001	10/5/2001	-	87,000	4.82	GATEWAY INC
1L0026	11/30/2001	10/5/2001	-	88,000	7.99	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/5/2001	-	35,000	37.72	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/5/2001	-	38,000	37.70	TRICON GLOBAL RESTAURANTS INC
1L0026	11/30/2001	10/5/2001	-	49,000	20.42	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/5/2001	-	60,000	8.00	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/5/2001	-	63,000	4.80	GATEWAY INC
1L0026	11/30/2001	10/5/2001	-	157,000	5.99	AMAZON COM INC
1L0026	11/30/2001	10/5/2001	-	168,500	5.97	AMAZON COM INC
1L0026	11/30/2001	10/9/2001	-	125,000	4.65	GATEWAY INC
1L0026	11/30/2001	10/9/2001	-	145,000	6.53	AMAZON COM INC
1L0026	11/30/2001	10/9/2001	-	165,000	4.53	GATEWAY INC
1L0026	11/30/2001	10/9/2001	-	53,500	20.40	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	55,000	21.00	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	110,000	4.75	GATEWAY INC
1L0026	11/30/2001	10/9/2001	-	155,000	8.08	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/9/2001	87,500	-	29.00	DELTA AIRLINES INC
1L0026	11/30/2001	10/9/2001	-	56,000	21.07	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	62,000	20.50	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	110,000	8.24	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/9/2001	-	45,000	21.18	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	100,000	0.87	CMGI INC
1L0026	11/30/2001	10/9/2001	-	100,000	6.74	AMAZON COM INC
1L0026	11/30/2001	10/9/2001	-	182,500	7.89	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/9/2001	-	172,000	4.30	GATEWAY INC
1L0026	11/30/2001	10/9/2001	60,000	-	29.15	DELTA AIRLINES INC
1L0026	11/30/2001	10/9/2001	85,000	-	29.62	DELTA AIRLINES INC
1L0026	11/30/2001	10/9/2001	-	120,000	7.95	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/9/2001	-	130,000	6.20	AMAZON COM INC
1L0026	11/30/2001	10/9/2001	-	200,000	0.86	CMGI INC
1L0026	11/30/2001	10/9/2001	-	34,000	21.41	NATIONAL SEMICONDUCTOR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	10/9/2001	-	49,000	20.56	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	125,000	6.70	AMAZON COM INC
1L0026	11/30/2001	10/9/2001	-	59,000	20.45	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2001	10/9/2001	-	115,000	8.00	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/9/2001	55,000	-	29.50	DELTA AIRLINES INC
1L0026	11/30/2001	10/10/2001	93,500	-	29.14	DELTA AIRLINES INC
1L0026	11/30/2001	10/10/2001	-	150,000	0.83	CMGI INC
1L0026	11/30/2001	10/10/2001	-	135,000	6.92	AMAZON COM INC
1L0026	11/30/2001	10/10/2001	81,000	-	28.83	DELTA AIRLINES INC
1L0026	11/30/2001	10/10/2001	89,000	-	29.00	DELTA AIRLINES INC
1L0026	11/30/2001	10/10/2001	-	126,000	7.00	AMAZON COM INC
1L0026	11/30/2001	10/10/2001	-	150,000	0.84	CMGI INC
1L0026	11/30/2001	10/10/2001	-	110,000	6.80	AMAZON COM INC
1L0026	11/30/2001	10/10/2001	-	129,000	7.09	AMAZON COM INC
1L0026	11/30/2001	10/11/2001	-	52,000	0.77	CMGI INC
1L0026	11/30/2001	10/11/2001	-	53,000	0.74	CMGI INC
1L0026	11/30/2001	10/11/2001	-	55,000	0.75	CMGI INC
1L0026	11/30/2001	10/11/2001	-	55,500	0.70	CMGI INC
1L0026	11/30/2001	10/11/2001	-	59,000	0.72	CMGI INC
1L0026	11/30/2001	10/11/2001	-	56,000	0.71	CMGI INC
1L0026	11/30/2001	10/11/2001	19,000	-	28.35	DELTA AIRLINES INC
1L0026	11/30/2001	10/11/2001	78,000	-	27.79	DELTA AIRLINES INC
1L0026	12/31/2001	10/11/2001	-	110,000	7.00	AMAZON COM INC
1L0026	12/31/2001	10/11/2001	-	95,000	7.24	AMAZON COM INC
1L0026	12/31/2001	10/11/2001	-	120,000	7.15	AMAZON COM INC
1L0026	11/30/2001	10/11/2001	-	40,000	0.75	CMGI INC
1L0026	11/30/2001	10/11/2001	-	58,000	0.64	CMGI INC
1L0026	11/30/2001	10/11/2001	63,000	-	28.50	DELTA AIRLINES INC
1L0026	11/30/2001	10/11/2001	-	53,000	0.66	CMGI INC
1L0026	11/30/2001	10/11/2001	-	57,000	0.67	CMGI INC
1L0026	11/30/2001	10/11/2001	-	57,000	0.73	CMGI INC
1L0026	11/30/2001	10/11/2001	89,000	-	28.00	DELTA AIRLINES INC
1L0026	11/30/2001	10/11/2001	-	43,000	0.79	CMGI INC
1L0026	11/30/2001	10/11/2001	-	52,000	0.65	CMGI INC
1L0026	12/31/2001	10/11/2001	-	105,000	6.92	AMAZON COM INC
1L0026	11/30/2001	10/11/2001	-	52,500	0.64	CMGI INC
1L0026	11/30/2001	10/12/2001	-	42,000	0.72	CMGI INC
1L0026	11/30/2001	10/12/2001	-	85,000	0.73	CMGI INC
1L0026	11/30/2001	10/12/2001	-	96,000	0.69	CMGI INC
1L0026	11/30/2001	10/12/2001	-	98,000	0.70	CMGI INC
1L0026	11/30/2001	10/12/2001	-	55,000	0.71	CMGI INC
1L0026	11/30/2001	10/12/2001	-	58,000	0.67	CMGI INC
1L0026	11/30/2001	10/12/2001	-	63,000	0.68	CMGI INC
1L0026	12/31/2001	10/15/2001	-	150,000	9.02	ADVANCED MICRO DEVICES
1L0026	12/31/2001	10/15/2001	-	220,000	8.90	ADVANCED MICRO DEVICES
1L0026	12/31/2001	10/15/2001	-	75,000	8.95	ADVANCED MICRO DEVICES
1L0026	12/31/2001	10/16/2001	-	200,000	10.15	ADVANCED MICRO DEVICES
1L0026	12/31/2001	10/16/2001	-	120,000	10.25	ADVANCED MICRO DEVICES
1L0026	12/31/2001	10/16/2001	-	170,000	10.00	ADVANCED MICRO DEVICES
1L0026	11/30/2001	10/18/2001	125,000	-	9.86	AMAZON COM INC
1L0026	11/30/2001	10/18/2001	100,000	-	9.49	AMAZON COM INC
1L0026	11/30/2001	10/18/2001	130,000	-	9.00	AMAZON COM INC
1L0026	11/30/2001	10/18/2001	145,000	-	9.92	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	150,000	-	9.55	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	125,000	-	9.44	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	147,000	-	9.24	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	100,500	-	9.50	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	138,000	-	9.38	AMAZON COM INC
1L0026	11/30/2001	10/19/2001	140,000	-	9.30	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	70,000	-	9.42	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	140,000	-	9.50	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	103,000	-	9.75	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	168,500	-	9.88	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	157,000	-	9.60	AMAZON COM INC
1L0026	11/30/2001	10/22/2001	61,000	-	9.37	AMAZON COM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2001	10/23/2001	110,000	-	9.00	AMAZON COM INC
1L0026	11/30/2001	10/23/2001	135,000	-	8.95	AMAZON COM INC
1L0026	11/30/2001	10/23/2001	129,000	-	8.70	AMAZON COM INC
1L0026	11/30/2001	10/23/2001	126,000	-	8.78	AMAZON COM INC
1L0026	11/30/2001	10/26/2001	44,000	-	38.78	MCKESSON CORP
1L0026	11/30/2001	10/26/2001	40,000	-	39.15	MCKESSON CORP
1L0026	11/30/2001	10/26/2001	44,000	-	39.25	MCKESSON CORP
1L0026	11/30/2001	10/26/2001	50,000	-	39.00	MCKESSON CORP
1L0026	11/30/2001	10/29/2001	25,000	-	39.31	MCKESSON CORP
1L0026	11/30/2001	10/29/2001	42,000	-	31.79	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/29/2001	39,000	-	39.25	MCKESSON CORP
1L0026	11/30/2001	10/29/2001	58,000	-	32.07	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/29/2001	32,000	-	39.12	MCKESSON CORP
1L0026	11/30/2001	10/29/2001	50,000	-	32.00	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/30/2001	60,000	-	32.13	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/30/2001	56,000	-	32.00	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/30/2001	96,000	-	32.38	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/30/2001	34,000	-	38.78	MCKESSON CORP
1L0026	11/30/2001	10/31/2001	110,000	-	33.70	COMPUTER ASSOC INTL INC
1L0026	11/30/2001	10/31/2001	34,000	-	39.75	MCKESSON CORP
1L0026	11/30/2001	10/31/2001	25,000	-	39.52	MCKESSON CORP
1L0026	11/30/2001	10/31/2001	105,000	-	33.50	COMPUTER ASSOC INTL INC
1L0026	12/31/2001	11/9/2001	-	125,000	38.00	LOWES COS INC
1L0026	12/31/2001	11/9/2001	-	100,000	38.15	LOWES COS INC
1L0026	12/31/2001	11/9/2001	-	225,000	38.50	LOWES COS INC
1L0026	2/28/2002	1/5/2002	-	245,000	29.94	YAHOO INC
1L0026	2/28/2002	1/5/2002	-	195,000	28.00	PALM INC
1L0026	2/28/2002	1/5/2002	-	245,000	28.38	PALM INC
1L0026	2/28/2002	1/8/2002	-	290,000	27.25	PALM INC
1L0026	2/28/2002	1/8/2002	-	250,000	27.63	PALM INC
1L0026	2/28/2002	1/8/2002	-	285,000	32.00	YAHOO INC
1L0026	2/28/2002	1/8/2002	-	154,000	27.00	PALM INC
1L0026	2/28/2002	1/8/2002	-	240,000	31.87	YAHOO INC
1L0026	2/28/2002	1/8/2002	-	296,890	32.25	YAHOO INC
1L0026	2/28/2002	1/8/2002	-	278,000	31.62	YAHOO INC
1L0026	2/28/2002	1/9/2002	-	263,000	31.50	YAHOO INC
1L0026	2/28/2002	1/9/2002	-	265,000	31.68	YAHOO INC
1L0026	2/28/2002	1/9/2002	-	250,000	31.56	PALM INC
1L0026	2/28/2002	1/9/2002	-	265,000	31.19	PALM INC
1L0026	2/28/2002	1/10/2002	-	315,000	30.75	YAHOO INC
1L0026	2/28/2002	1/10/2002	-	255,000	15.19	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/10/2002	-	285,000	29.00	PALM INC
1L0026	2/28/2002	1/10/2002	-	298,000	15.70	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/10/2002	-	265,000	31.00	YAHOO INC
1L0026	2/28/2002	1/10/2002	-	215,000	15.55	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/10/2002	-	259,440	29.25	PALM INC
1L0026	2/28/2002	1/11/2002	-	246,000	27.06	YAHOO INC
1L0026	2/28/2002	1/11/2002	-	202,000	15.12	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/11/2002	-	168,000	24.94	PALM INC
1L0026	2/28/2002	1/11/2002	-	189,430	25.19	PALM INC
1L0026	2/28/2002	1/11/2002	-	190,000	26.62	YAHOO INC
1L0026	2/28/2002	1/11/2002	-	256,180	15.17	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/11/2002	-	215,000	14.98	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/12/2002	-	231,660	25.25	PALM INC
1L0026	2/28/2002	1/12/2002	-	215,000	30.12	YAHOO INC
1L0026	2/28/2002	1/12/2002	-	147,000	25.00	PALM INC
1L0026	2/28/2002	1/12/2002	-	202,000	16.60	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/12/2002	-	235,000	16.74	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/12/2002	-	253,000	29.87	YAHOO INC
1L0026	2/28/2002	1/12/2002	-	320,000	16.42	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/12/2002	-	262,090	17.00	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/12/2002	-	205,000	16.85	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/16/2002	-	195,000	17.09	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/16/2002	-	285,000	24.13	PALM INC
1L0026	2/28/2002	1/16/2002	-	285,000	17.15	LUCENT TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	2/28/2002	1/16/2002	-	298,000	17.30	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/16/2002	-	260,000	17.10	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/16/2002	-	288,320	17.50	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/16/2002	-	298,090	24.50	PALM INC
1L0026	2/28/2002	1/17/2002	-	285,000	18.20	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/17/2002	-	295,000	18.37	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/17/2002	-	255,780	27.00	PALM INC
1L0026	2/28/2002	1/17/2002	-	245,000	18.43	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/17/2002	-	257,000	26.25	PALM INC
1L0026	2/28/2002	1/17/2002	-	235,000	18.10	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/17/2002	-	285,000	26.50	PALM INC
1L0026	2/28/2002	1/18/2002	-	200,000	18.25	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/18/2002	-	285,000	18.45	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/18/2002	-	240,000	18.80	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/18/2002	-	245,000	27.38	PALM INC
1L0026	2/28/2002	1/18/2002	-	295,000	18.67	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/18/2002	-	210,000	27.00	PALM INC
1L0026	2/28/2002	1/19/2002	-	215,000	18.55	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/19/2002	-	245,000	27.88	PALM INC
1L0026	2/28/2002	1/19/2002	-	250,000	18.65	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/22/2002	-	223,210	28.25	PALM INC
1L0026	2/28/2002	1/22/2002	-	177,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/22/2002	-	235,000	27.94	PALM INC
1L0026	2/28/2002	1/22/2002	-	290,000	34.38	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/22/2002	-	245,000	18.80	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/22/2002	-	265,000	18.77	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/22/2002	-	280,000	34.88	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/22/2002	-	320,000	34.13	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/22/2002	-	235,090	19.00	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/22/2002	-	350,000	34.63	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/23/2002	-	205,000	19.38	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/23/2002	-	214,940	19.87	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/23/2002	-	298,000	36.50	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/23/2002	-	298,000	19.07	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/23/2002	-	150,000	28.00	PALM INC
1L0026	2/28/2002	1/23/2002	-	200,000	36.00	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/23/2002	-	380,000	36.00	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/23/2002	-	170,000	27.63	PALM INC
1L0026	2/28/2002	1/23/2002	-	200,000	19.63	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/23/2002	-	280,000	36.50	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	225,000	39.13	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	242,260	21.00	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	300,000	20.72	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	455,000	64.25	JDS UNIPHASE CORP
1L0026	2/28/2002	1/24/2002	-	200,000	20.88	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	218,000	20.80	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	282,000	39.88	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	515,000	64.63	JDS UNIPHASE CORP
1L0026	2/28/2002	1/24/2002	-	490,000	64.44	JDS UNIPHASE CORP
1L0026	2/28/2002	1/24/2002	-	202,000	20.59	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	250,000	40.00	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	325,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	215,000	20.75	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/24/2002	-	205,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	305,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/24/2002	-	418,000	63.88	JDS UNIPHASE CORP
1L0026	2/28/2002	1/25/2002	-	265,000	20.26	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/25/2002	-	482,000	59.75	JDS UNIPHASE CORP
1L0026	2/28/2002	1/25/2002	-	215,000	20.19	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/25/2002	-	336,000	19.00	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/25/2002	-	450,000	59.94	JDS UNIPHASE CORP
1L0026	2/28/2002	1/25/2002	-	474,000	59.50	JDS UNIPHASE CORP
1L0026	2/28/2002	1/25/2002	-	245,000	20.37	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/25/2002	-	290,000	39.25	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/25/2002	-	257,980	39.69	NORTEL NETWORKS CORP HLDS CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	2/28/2002	1/25/2002	-	250,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/26/2002	-	255,000	19.05	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/26/2002	-	340,000	39.50	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/26/2002	-	425,000	64.63	JDS UNIPHASE CORP
1L0026	2/28/2002	1/26/2002	-	260,000	38.50	YAHOO INC
1L0026	2/28/2002	1/26/2002	-	295,000	18.95	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/26/2002	-	518,000	64.25	JDS UNIPHASE CORP
1L0026	2/28/2002	1/26/2002	-	239,410	38.75	YAHOO INC
1L0026	2/28/2002	1/26/2002	-	265,000	39.69	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/26/2002	-	285,000	18.86	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	215,000	19.92	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	485,000	64.19	JDS UNIPHASE CORP
1L0026	2/28/2002	1/29/2002	-	237,000	42.62	YAHOO INC
1L0026	2/28/2002	1/29/2002	-	250,000	40.13	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/29/2002	-	150,000	26.50	PALM INC
1L0026	2/28/2002	1/29/2002	-	185,000	42.25	YAHOO INC
1L0026	2/28/2002	1/29/2002	-	202,000	19.86	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	512,000	63.88	JDS UNIPHASE CORP
1L0026	2/28/2002	1/29/2002	-	200,000	39.94	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/29/2002	-	206,000	19.61	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	245,000	42.37	YAHOO INC
1L0026	2/28/2002	1/29/2002	-	289,000	19.69	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	211,000	19.74	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	285,000	42.87	YAHOO INC
1L0026	2/28/2002	1/29/2002	-	566,660	64.38	JDS UNIPHASE CORP
1L0026	2/28/2002	1/29/2002	-	151,000	26.19	PALM INC
1L0026	2/28/2002	1/29/2002	-	200,000	20.05	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/29/2002	-	260,450	20.18	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/30/2002	-	245,000	19.20	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/30/2002	-	250,000	28.19	PALM INC
1L0026	2/28/2002	1/30/2002	-	285,000	38.75	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/30/2002	-	234,290	39.00	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/30/2002	-	100,000	28.00	PALM INC
1L0026	2/28/2002	1/30/2002	-	236,000	18.79	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/30/2002	-	285,000	18.95	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/30/2002	-	295,000	19.10	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/30/2002	-	213,070	42.56	YAHOO INC
1L0026	2/28/2002	1/30/2002	-	285,000	42.37	YAHOO INC
1L0026	2/28/2002	1/30/2002	-	289,000	42.06	YAHOO INC
1L0026	2/28/2002	1/31/2002	-	300,000	17.89	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/31/2002	-	240,000	38.18	YAHOO INC
1L0026	2/28/2002	1/31/2002	-	205,000	17.75	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/31/2002	-	255,000	17.91	LUCENT TECHNOLOGIES INC
1L0026	2/28/2002	1/31/2002	-	165,000	27.13	PALM INC
1L0026	2/28/2002	1/31/2002	-	222,130	38.37	YAHOO INC
1L0026	2/28/2002	1/31/2002	-	235,000	37.69	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/31/2002	-	260,000	37.25	NORTEL NETWORKS CORP HLDS CO
1L0026	2/28/2002	1/31/2002	-	340,000	38.00	NORTEL NETWORKS CORP HLDS CO
1L0026	1/31/2002	2/1/2002	125,000	-	40.00	YAHOO INC
1L0026	1/31/2002	2/1/2002	190,000	-	38.50	NORTEL NETWORKS CORP HLDS CO
1L0026	1/31/2002	2/1/2002	145,000	-	39.75	YAHOO INC
1L0026	1/31/2002	2/1/2002	165,000	-	76.25	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/1/2002	183,000	-	28.19	PALM INC
1L0026	1/31/2002	2/1/2002	245,000	-	39.10	NORTEL NETWORKS CORP HLDS CO
1L0026	1/31/2002	2/1/2002	122,270	-	28.75	PALM INC
1L0026	1/31/2002	2/1/2002	180,200	-	79.80	EMC CORPORATION MASS
1L0026	1/31/2002	2/1/2002	255,000	-	17.70	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/1/2002	-	165,000	76.25	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/1/2002	200,000	-	76.50	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/1/2002	200,000	-	79.52	EMC CORPORATION MASS
1L0026	1/31/2002	2/1/2002	215,000	-	17.69	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/2/2002	240,000	-	18.52	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/2/2002	245,000	-	18.61	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/2/2002	-	230,000	74.25	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/2/2002	245,000	-	38.62	NORTEL NETWORKS CORP HLDS CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	1/31/2002	2/2/2002	75,000	-	38.68	NORTEL NETWORKS CORP HLDS CO
1L0026	1/31/2002	2/2/2002	260,000	-	18.30	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/2/2002	-	245,000	38.62	NORTEL NETWORKS CORP HLDS CO
1L0026	1/31/2002	2/2/2002	195,000	-	41.25	YAHOO INC
1L0026	1/31/2002	2/2/2002	230,000	-	74.25	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/2/2002	100,000	-	73.75	SIEBEL SYSTEMS INC
1L0026	1/31/2002	2/2/2002	178,000	-	41.43	YAHOO INC
1L0026	1/31/2002	2/2/2002	155,000	-	78.75	EMC CORPORATION MASS
1L0026	1/31/2002	2/2/2002	165,000	-	28.63	PALM INC
1L0026	1/31/2002	2/5/2002	215,000	-	40.31	YAHOO INC
1L0026	1/31/2002	2/5/2002	255,000	-	18.95	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/5/2002	225,000	-	40.68	YAHOO INC
1L0026	1/31/2002	2/5/2002	215,000	-	18.74	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/5/2002	-	160,000	28.00	PALM INC
1L0026	1/31/2002	2/5/2002	160,000	-	28.00	PALM INC
1L0026	1/31/2002	2/5/2002	198,420	-	79.65	EMC CORPORATION MASS
1L0026	1/31/2002	2/5/2002	-	179,710	28.63	PALM INC
1L0026	1/31/2002	2/5/2002	100,000	-	78.57	EMC CORPORATION MASS
1L0026	1/31/2002	2/5/2002	179,710	-	28.63	PALM INC
1L0026	1/31/2002	2/5/2002	190,000	-	79.00	EMC CORPORATION MASS
1L0026	1/31/2002	2/6/2002	185,000	-	18.78	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/6/2002	-	138,000	18.77	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/6/2002	-	185,000	18.78	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/6/2002	235,000	-	37.68	YAHOO INC
1L0026	1/31/2002	2/6/2002	138,000	-	18.77	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/6/2002	-	297,920	18.85	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/6/2002	297,920	-	18.85	LUCENT TECHNOLOGIES INC
1L0026	1/31/2002	2/7/2002	-	200,000	36.00	YAHOO INC
1L0026	1/31/2002	2/7/2002	200,000	-	36.00	YAHOO INC
1L0026	1/31/2002	2/7/2002	250,000	-	36.31	YAHOO INC
1L0026	1/31/2002	2/8/2002	295,000	-	35.56	YAHOO INC
1L0026	1/31/2002	2/9/2002	225,000	-	36.18	YAHOO INC
1L0026	1/31/2002	2/9/2002	115,000	-	36.00	YAHOO INC
1L0026	1/31/2002	2/9/2002	-	225,000	36.18	YAHOO INC
1L0026	3/31/2002	12/27/2002	-	65,000,000	99.63	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	65,000,000	99.66	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	235,000,000	99.60	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	10,200,000	99.60	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	235,000,000	99.66	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	235,000,000	99.63	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	65,000,000	99.60	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	83,225,000	-	99.57	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	65,000,000	99.57	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	10,200,000	99.63	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	10,200,000	99.66	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	10,200,000	99.57	U S TREASURY BILL
1L0026	3/31/2002	12/27/2002	-	235,000,000	99.57	U S TREASURY BILL
1L0026	3/31/2002	12/31/2002	752	-	1.00	FIDELITY SPARTAN
1L0026	11/30/2003	2/6/2003	120,000	-	12.04	APPLIED MATERIALS INC
1L0026	11/30/2003	2/6/2003	255,000	-	12.11	APPLIED MATERIALS INC
1L0026	11/30/2003	2/6/2003	184,000	-	13.70	BROADCOM CORP CL A
1L0026	11/30/2003	2/6/2003	45,000	-	18.21	YAHOO INC
1L0026	11/30/2003	2/6/2003	145,000	-	19.42	XILINX INC
1L0026	11/30/2003	2/6/2003	170,000	-	19.37	XILINX INC
1L0026	11/30/2003	2/6/2003	32,000	-	18.17	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/6/2003	120,000	-	26.20	BEST BUY CO INC
1L0026	11/30/2003	2/6/2003	170,000	-	13.67	BROADCOM CORP CL A
1L0026	11/30/2003	2/6/2003	70,000	-	18.13	YAHOO INC
1L0026	11/30/2003	2/6/2003	95,000	-	12.01	APPLIED MATERIALS INC
1L0026	11/30/2003	2/6/2003	70,000	-	18.03	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/6/2003	115,000	-	11.15	ALTERA CORP
1L0026	11/30/2003	2/6/2003	145,000	-	11.01	ALTERA CORP
1L0026	11/30/2003	2/6/2003	45,000	-	23.96	ANALOG DEVICES
1L0026	11/30/2003	2/6/2003	105,000	-	26.27	BEST BUY CO INC
1L0026	11/30/2003	2/6/2003	115,000	-	13.61	BROADCOM CORP CL A

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2003	2/6/2003	170,000	-	18.18	YAHOO INC
1L0026	11/30/2003	2/6/2003	95,000	-	23.85	ANALOG DEVICES
1L0026	11/30/2003	2/7/2003	75,000	-	23.61	ANALOG DEVICES
1L0026	11/30/2003	2/7/2003	28,000	-	17.90	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/7/2003	95,000	-	23.52	ANALOG DEVICES
1L0026	11/30/2003	2/7/2003	105,000	-	18.99	XILINX INC
1L0026	11/30/2003	2/7/2003	105,000	-	26.07	BEST BUY CO INC
1L0026	11/30/2003	2/7/2003	90,000	-	13.53	BROADCOM CORP CL A
1L0026	11/30/2003	2/7/2003	175,000	-	12.03	APPLIED MATERIALS INC
1L0026	11/30/2003	2/7/2003	115,000	-	17.68	YAHOO INC
1L0026	11/30/2003	2/7/2003	95,000	-	17.81	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/7/2003	120,000	-	18.83	XILINX INC
1L0026	11/30/2003	2/7/2003	125,000	-	13.60	BROADCOM CORP CL A
1L0026	11/30/2003	2/7/2003	150,000	-	11.00	ALTERA CORP
1L0026	11/30/2003	2/7/2003	170,000	-	12.01	APPLIED MATERIALS INC
1L0026	11/30/2003	2/7/2003	170,000	-	13.57	BROADCOM CORP CL A
1L0026	11/30/2003	2/7/2003	95,000	-	11.08	ALTERA CORP
1L0026	11/30/2003	2/7/2003	105,000	-	11.12	ALTERA CORP
1L0026	11/30/2003	2/7/2003	145,000	-	17.74	YAHOO INC
1L0026	11/30/2003	2/10/2003	180,000	-	23.43	ANALOG DEVICES
1L0026	11/30/2003	2/10/2003	190,000	-	12.29	APPLIED MATERIALS INC
1L0026	11/30/2003	2/10/2003	73,000	-	18.40	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/10/2003	115,000	-	17.82	YAHOO INC
1L0026	11/30/2003	2/10/2003	125,000	-	18.53	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/10/2003	55,000	-	23.51	ANALOG DEVICES
1L0026	11/30/2003	2/10/2003	150,000	-	13.74	BROADCOM CORP CL A
1L0026	11/30/2003	2/10/2003	190,000	-	12.29	APPLIED MATERIALS INC
1L0026	11/30/2003	2/10/2003	35,000	-	27.32	BEST BUY CO INC
1L0026	11/30/2003	2/10/2003	70,000	-	17.89	YAHOO INC
1L0026	11/30/2003	2/10/2003	105,000	-	11.33	ALTERA CORP
1L0026	11/30/2003	2/10/2003	115,000	-	27.29	BEST BUY CO INC
1L0026	11/30/2003	2/10/2003	175,000	-	27.11	BEST BUY CO INC
1L0026	11/30/2003	2/10/2003	145,000	-	12.39	APPLIED MATERIALS INC
1L0026	11/30/2003	2/10/2003	160,000	-	11.41	ALTERA CORP
1L0026	11/30/2003	2/10/2003	165,000	-	13.71	BROADCOM CORP CL A
1L0026	11/30/2003	2/10/2003	175,000	-	19.50	XILINX INC
1L0026	11/30/2003	2/10/2003	95,000	-	13.82	BROADCOM CORP CL A
1L0026	11/30/2003	2/10/2003	120,000	-	18.32	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/10/2003	185,000	-	19.42	XILINX INC
1L0026	11/30/2003	2/11/2003	50,000	-	11.23	ALTERA CORP
1L0026	11/30/2003	2/11/2003	70,000	-	27.12	BEST BUY CO INC
1L0026	11/30/2003	2/11/2003	70,000	-	18.19	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/11/2003	100,000	-	12.14	APPLIED MATERIALS INC
1L0026	11/30/2003	2/11/2003	170,000	-	12.11	APPLIED MATERIALS INC
1L0026	11/30/2003	2/11/2003	70,000	-	13.50	BROADCOM CORP CL A
1L0026	11/30/2003	2/11/2003	80,000	-	23.13	ANALOG DEVICES
1L0026	11/30/2003	2/11/2003	110,000	-	23.23	ANALOG DEVICES
1L0026	11/30/2003	2/11/2003	95,000	-	27.07	BEST BUY CO INC
1L0026	11/30/2003	2/11/2003	120,000	-	13.40	BROADCOM CORP CL A
1L0026	11/30/2003	2/11/2003	170,000	-	12.05	APPLIED MATERIALS INC
1L0026	11/30/2003	2/11/2003	95,000	-	11.21	ALTERA CORP
1L0026	11/30/2003	2/11/2003	215,000	-	12.09	APPLIED MATERIALS INC
1L0026	11/30/2003	2/12/2003	55,000	-	13.29	BROADCOM CORP CL A
1L0026	11/30/2003	2/12/2003	65,000	-	13.31	BROADCOM CORP CL A
1L0026	11/30/2003	2/12/2003	95,000	-	23.11	ANALOG DEVICES
1L0026	11/30/2003	2/12/2003	35,000	-	17.77	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/12/2003	75,000	-	17.71	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/12/2003	105,000	-	21.43	AMAZON COM INC
1L0026	11/30/2003	2/12/2003	165,000	-	13.20	BROADCOM CORP CL A
1L0026	11/30/2003	2/12/2003	170,000	-	11.97	APPLIED MATERIALS INC
1L0026	11/30/2003	2/12/2003	195,000	-	11.91	APPLIED MATERIALS INC
1L0026	11/30/2003	2/12/2003	115,000	-	11.07	ALTERA CORP
1L0026	11/30/2003	2/12/2003	115,000	-	21.50	AMAZON COM INC
1L0026	11/30/2003	2/12/2003	115,000	-	22.99	ANALOG DEVICES
1L0026	11/30/2003	2/12/2003	145,000	-	13.23	BROADCOM CORP CL A

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2003	2/12/2003	95,000	-	21.59	AMAZON COM INC
1L0026	11/30/2003	2/12/2003	160,000	-	12.06	APPLIED MATERIALS INC
1L0026	11/30/2003	2/12/2003	80,000	-	26.48	BEST BUY CO INC
1L0026	11/30/2003	2/12/2003	105,000	-	11.12	ALTERA CORP
1L0026	11/30/2003	2/12/2003	90,000	-	26.40	BEST BUY CO INC
1L0026	11/30/2003	2/12/2003	120,000	-	21.40	AMAZON COM INC
1L0026	11/30/2003	2/13/2003	65,000	-	23.13	ANALOG DEVICES
1L0026	11/30/2003	2/13/2003	195,000	-	20.83	AMAZON COM INC
1L0026	11/30/2003	2/13/2003	65,000	-	26.27	BEST BUY CO INC
1L0026	11/30/2003	2/13/2003	125,000	-	14.99	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/13/2003	170,000	-	14.90	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/13/2003	90,000	-	26.20	BEST BUY CO INC
1L0026	11/30/2003	2/13/2003	95,000	-	13.00	BROADCOM CORP CL A
1L0026	11/30/2003	2/13/2003	145,000	-	14.93	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/13/2003	95,000	-	12.97	BROADCOM CORP CL A
1L0026	11/30/2003	2/13/2003	20,000	-	18.11	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/13/2003	57,000	-	10.40	FOOT LOCKER INC
1L0026	11/30/2003	2/13/2003	70,000	-	18.03	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/13/2003	91,000	-	23.10	ANALOG DEVICES
1L0026	11/30/2003	2/13/2003	95,000	-	20.78	AMAZON COM INC
1L0026	11/30/2003	2/14/2003	50,000	-	10.27	FOOT LOCKER INC
1L0026	11/30/2003	2/14/2003	51,000	-	18.89	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/14/2003	45,000	-	18.82	MARVELL TECHNOLOGY GRP LTD
1L0026	11/30/2003	2/14/2003	195,000	-	15.29	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/14/2003	105,000	-	26.82	BEST BUY CO INC
1L0026	11/30/2003	2/14/2003	170,000	-	15.33	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/14/2003	120,000	-	15.40	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/14/2003	125,000	-	20.89	AMAZON COM INC
1L0026	11/30/2003	2/14/2003	145,000	-	26.71	BEST BUY CO INC
1L0026	11/30/2003	2/14/2003	155,000	-	20.93	AMAZON COM INC
1L0026	11/30/2003	2/14/2003	105,000	-	21.00	AMAZON COM INC
1L0026	11/30/2003	2/18/2003	145,000	-	15.30	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/18/2003	155,000	-	20.85	AMAZON COM INC
1L0026	11/30/2003	2/18/2003	95,000	-	15.33	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/18/2003	60,000	-	10.21	FOOT LOCKER INC
1L0026	11/30/2003	2/18/2003	150,000	-	15.41	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/19/2003	95,000	-	19.99	AMAZON COM INC
1L0026	11/30/2003	2/19/2003	170,000	-	14.57	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/19/2003	175,000	-	14.73	TEXAS INSTRUMENTS INC
1L0026	11/30/2003	2/19/2003	185,000	-	19.89	AMAZON COM INC
1L0026	11/30/2003	2/19/2003	170,000	-	19.84	AMAZON COM INC
1L0026	11/30/2003	2/19/2003	85,000	-	10.00	FOOT LOCKER INC
1L0026	11/30/2003	2/20/2003	95,000	-	19.96	AMAZON COM INC
1L0026	11/30/2003	2/20/2003	40,000	-	10.10	FOOT LOCKER INC
1L0026	11/30/2003	2/20/2003	50,000	-	10.04	FOOT LOCKER INC
1L0026	11/30/2003	2/20/2003	185,000	-	19.90	AMAZON COM INC
1L0026	11/30/2003	2/20/2003	90,000	-	20.07	AMAZON COM INC
1L0026	11/30/2003	2/21/2003	145,000	-	14.47	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/21/2003	65,000	-	14.60	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/24/2003	55,000	-	14.39	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/24/2003	165,000	-	14.25	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/25/2003	200,000	-	14.75	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/25/2003	45,000	-	14.83	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/26/2003	60,000	-	15.55	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/26/2003	170,000	-	15.38	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/26/2003	95,000	-	15.49	NATIONAL SEMICONDUCTOR CORP
1L0026	11/30/2003	2/27/2003	95,000	-	11.00	NETWORK APPLIANCE INC
1L0026	11/30/2003	2/27/2003	145,000	-	10.98	NETWORK APPLIANCE INC
1L0026	11/30/2003	2/28/2003	115,000	-	10.40	NETWORK APPLIANCE INC
1L0026	11/30/2003	2/28/2003	195,000	-	10.28	NETWORK APPLIANCE INC
1L0026	11/30/2003	2/28/2003	95,000	-	10.32	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/3/2003	65,000	-	10.35	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/3/2003	85,000	-	10.49	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/3/2003	135,000	-	10.42	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/4/2003	145,000	-	10.30	NETWORK APPLIANCE INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2003	3/4/2003	80,000	-	10.42	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/4/2003	70,000	-	10.36	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/5/2003	75,000	-	10.65	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/5/2003	90,000	-	10.71	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/5/2003	70,000	-	10.62	NETWORK APPLIANCE INC
1L0026	11/30/2003	3/6/2003	30,000	-	19.41	TOLL BROTHERS INC
1L0026	11/30/2003	3/7/2003	120,000	-	18.70	TOLL BROTHERS INC
1L0026	11/30/2003	3/10/2003	85,000	-	18.43	TOLL BROTHERS INC
1L0026	11/30/2003	3/11/2003	90,000	-	18.18	TOLL BROTHERS INC
1L0026	11/30/2003	3/12/2003	45,000	-	17.91	TOLL BROTHERS INC
1L0026	11/30/2003	3/20/2003	70,000	-	12.98	CARMAX INC
1L0026	11/30/2003	3/20/2003	20,000	-	13.03	CARMAX INC
1L0026	11/30/2003	3/20/2003	185,000	-	17.37	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/20/2003	95,000	-	18.54	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/20/2003	30,000	-	31.90	GENENTECH INC
1L0026	11/30/2003	3/20/2003	145,000	-	18.51	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/20/2003	120,000	-	18.45	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/20/2003	200,000	-	17.42	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	95,000	-	10.70	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/21/2003	115,000	-	20.21	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/21/2003	50,000	-	14.14	CARMAX INC
1L0026	11/30/2003	3/21/2003	120,000	-	34.29	GENENTECH INC
1L0026	11/30/2003	3/21/2003	145,000	-	34.64	GENENTECH INC
1L0026	11/30/2003	3/21/2003	65,000	-	18.20	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	120,000	-	18.15	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	145,000	-	18.22	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	60,000	-	10.78	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/21/2003	115,000	-	18.01	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	170,000	-	18.09	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/21/2003	135,000	-	20.29	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/21/2003	105,000	-	20.36	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/21/2003	120,000	-	34.50	GENENTECH INC
1L0026	11/30/2003	3/24/2003	95,000	-	35.56	GENENTECH INC
1L0026	11/30/2003	3/24/2003	73,000	-	20.55	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/24/2003	195,000	-	20.45	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/24/2003	32,000	-	13.82	CARMAX INC
1L0026	11/30/2003	3/24/2003	185,000	-	17.26	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/24/2003	95,000	-	20.52	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/24/2003	90,000	-	35.51	GENENTECH INC
1L0026	11/30/2003	3/24/2003	95,000	-	17.30	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/24/2003	105,000	-	17.41	VERITAS SOFTWARE CORP
1L0026	11/30/2003	3/25/2003	95,000	-	10.42	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/25/2003	35,000	-	34.47	GENENTECH INC
1L0026	11/30/2003	3/25/2003	30,000	-	13.94	CARMAX INC
1L0026	11/30/2003	3/25/2003	150,000	-	20.35	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/26/2003	95,000	-	35.06	GENENTECH INC
1L0026	11/30/2003	3/26/2003	45,000	-	14.70	CARMAX INC
1L0026	11/30/2003	3/26/2003	165,000	-	21.10	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/26/2003	45,000	-	10.86	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/26/2003	107,000	-	34.99	GENENTECH INC
1L0026	11/30/2003	3/26/2003	40,000	-	14.77	CARMAX INC
1L0026	11/30/2003	3/26/2003	80,000	-	21.22	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/26/2003	225,000	-	20.98	SEARS ROEBUCK & CO
1L0026	11/30/2003	3/26/2003	75,000	-	10.80	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/27/2003	45,000	-	10.49	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/27/2003	95,000	-	10.45	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/27/2003	60,000	-	14.79	CARMAX INC
1L0026	11/30/2003	3/28/2003	20,000	-	10.43	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/28/2003	70,000	-	10.40	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/31/2003	75,000	-	10.22	UNITED STATES STEEL CORP
1L0026	11/30/2003	3/31/2003	25,000	-	10.29	UNITED STATES STEEL CORP
1L0026	11/30/2003	4/3/2003	75,000	-	15.36	NORDSTROM INC
1L0026	11/30/2003	4/3/2003	95,000	-	15.21	NORDSTROM INC
1L0026	11/30/2003	4/4/2003	70,000	-	15.99	NORDSTROM INC
1L0026	11/30/2003	4/7/2003	65,000	-	16.30	NORDSTROM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0026	11/30/2003	4/8/2003	44,000	-	16.42	NORDSTROM INC
1L0026	11/30/2003	4/9/2003	45,000	-	16.45	NORDSTROM INC
1L0026	12/31/2004	11/1/2004	31,000	-	28.21	PALMONE INC
1L0026	12/31/2004	11/1/2004	27,000	-	28.16	PALMONE INC
1L0026	12/31/2004	11/1/2004	36,973	-	28.20	PALMONE INC
1L0026	12/31/2004	11/2/2004	96,000	-	28.77	PALMONE INC
1L0026	12/31/2004	11/2/2004	38,000	-	28.90	PALMONE INC
1L0026	12/31/2004	11/3/2004	67,000	-	29.07	PALMONE INC
1L0026	12/31/2004	11/3/2004	49,520	-	29.11	PALMONE INC
1L0026	12/31/2004	11/4/2004	25,236	-	28.93	PALMONE INC
1L0026	12/31/2004	11/19/2004	-	130,000	16.53	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/19/2004	-	125,000	16.60	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/19/2004	-	90,000	16.59	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/19/2004	-	140,000	16.58	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	95,000	17.09	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	100,000	17.12	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	95,000	17.20	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	150,000	17.24	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	75,000	17.19	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/22/2004	-	160,000	17.18	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/23/2004	-	160,000	16.77	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/23/2004	-	170,000	16.81	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/24/2004	-	110,000	16.70	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/24/2004	-	125,000	17.09	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/24/2004	-	100,000	17.29	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2004	11/24/2004	-	175,000	17.18	NATIONAL SEMICONDUCTOR CORP
1L0026	12/31/2006	9/8/2006	120,000	-	68.69	APPLE COMPUTER INC
1L0026	12/31/2006	9/8/2006	110,000	-	69.04	APPLE COMPUTER INC
1L0026	12/31/2006	9/14/2006	115,000	-	78.90	RESEARCH IN MOTION LTD
1L0026	12/31/2006	9/14/2006	25,000	-	79.07	RESEARCH IN MOTION LTD
1L0026	12/31/2006	9/14/2006	80,000	-	78.88	RESEARCH IN MOTION LTD
1L0026	12/31/2006	9/14/2006	95,000	-	78.94	RESEARCH IN MOTION LTD
1L0026	12/31/2006	9/20/2006	130,000	-	161.77	GOLDMAN SACHS GROUP INC
1L0026	12/31/2006	9/20/2006	98,000	-	161.81	GOLDMAN SACHS GROUP INC
1L0026	12/31/2006	9/20/2006	105,000	-	161.98	GOLDMAN SACHS GROUP INC
1L0026	12/31/2006	11/30/2006	30,000	-	44.96	CAREMARK RX INC
1L0026	12/31/2006	11/30/2006	60,000	-	44.81	CAREMARK RX INC
1L0026	12/31/2006	11/30/2006	90,000	-	44.75	CAREMARK RX INC
1L0026	12/31/2006	11/30/2006	33,000	-	44.75	CAREMARK RX INC
1L0026	1/31/2007	12/29/2007	80	-	1.00	FIDELITY SPARTAN
1L0026	1/31/2007	12/29/2007	-	66,710	18.45	APPLIED MATERIALS INC
1L0027	4/30/1996	1/16/1996	1,300,000	-	100.07	NEW YORK N Y SER E
1L0027	3/31/1996	2/26/1996	5,000	-	6.63	ELECTRIC FUEL CORP
1L0027	10/31/1997	8/13/1997	-	160,000	41.00	FORD MOTOR COMPANY
1L0027	10/31/1997	8/13/1997	-	120,000	41.13	FORD MOTOR COMPANY
1L0027	10/31/1997	8/13/1997	-	125,000	41.06	FORD MOTOR COMPANY
1L0027	10/31/1997	8/13/1997	-	155,000	41.19	FORD MOTOR COMPANY
1L0027	10/31/1997	8/14/1997	-	200,000	41.31	FORD MOTOR COMPANY
1L0027	10/31/1997	8/14/1997	-	200,000	41.13	FORD MOTOR COMPANY
1L0027	10/31/1997	8/14/1997	-	129,000	41.19	FORD MOTOR COMPANY
1L0027	10/31/1997	8/14/1997	-	240,000	41.25	FORD MOTOR COMPANY
1L0027	10/31/1997	8/14/1997	-	33,000	56.38	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/14/1997	-	100,000	56.44	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/14/1997	-	157,750	56.38	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	196,000	57.63	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	160,000	57.81	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	185,000	40.63	FORD MOTOR COMPANY
1L0027	10/31/1997	8/15/1997	-	175,000	40.75	FORD MOTOR COMPANY
1L0027	10/31/1997	8/15/1997	-	180,000	57.94	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	300,000	57.69	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	220,000	57.69	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	250,000	57.81	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	350,000	57.75	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	200,000	57.63	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	230,000	57.75	COMPAQ COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	10/31/1997	8/15/1997	-	150,000	57.88	COMPAQ COMPUTER CORP
1L0027	10/31/1997	8/15/1997	-	125,000	40.69	FORD MOTOR COMPANY
1L0027	10/31/1997	8/18/1997	-	100,000	40.50	FORD MOTOR COMPANY
1L0027	10/31/1997	8/18/1997	-	125,000	40.63	FORD MOTOR COMPANY
1L0027	10/31/1997	8/18/1997	-	170,000	40.69	FORD MOTOR COMPANY
1L0027	10/31/1997	8/18/1997	-	53,000	40.75	FORD MOTOR COMPANY
1L0027	10/31/1997	8/18/1997	-	150,000	40.56	FORD MOTOR COMPANY
1L0027	10/31/1997	8/19/1997	-	150,000	40.56	FORD MOTOR COMPANY
1L0027	10/31/1997	8/19/1997	-	81,000	40.44	FORD MOTOR COMPANY
1L0027	10/31/1997	8/19/1997	-	150,000	40.50	FORD MOTOR COMPANY
1L0027	10/31/1997	8/20/1997	-	50,000	40.19	FORD MOTOR COMPANY
1L0027	10/31/1997	8/20/1997	-	175,000	55.50	BOEING CO
1L0027	10/31/1997	8/20/1997	-	173,000	40.00	FORD MOTOR COMPANY
1L0027	10/31/1997	8/20/1997	-	200,000	40.13	FORD MOTOR COMPANY
1L0027	10/31/1997	8/20/1997	-	150,000	40.06	FORD MOTOR COMPANY
1L0027	10/31/1997	8/20/1997	-	150,000	55.56	BOEING CO
1L0027	10/31/1997	8/20/1997	-	200,000	55.63	BOEING CO
1L0027	10/31/1997	8/20/1997	-	250,000	55.69	BOEING CO
1L0027	10/31/1997	8/21/1997	-	152,694	55.38	BOEING CO
1L0027	10/31/1997	8/22/1997	-	225,000	56.38	BOEING CO
1L0027	10/31/1997	8/22/1997	-	150,000	56.31	BOEING CO
1L0027	10/31/1997	8/22/1997	-	372,000	78.25	THE WALT DISNEY CO
1L0027	10/31/1997	8/22/1997	-	200,000	56.25	BOEING CO
1L0027	10/31/1997	8/22/1997	-	206,000	56.44	BOEING CO
1L0027	10/31/1997	8/25/1997	-	33,700	56.81	BOEING CO
1L0027	10/31/1997	8/25/1997	-	244,000	56.81	BOEING CO
1L0027	10/31/1997	8/25/1997	-	150,000	57.00	BOEING CO
1L0027	10/31/1997	8/25/1997	-	150,000	57.06	BOEING CO
1L0027	10/31/1997	8/25/1997	-	105,000	73.25	BOSTON SCIENTIFIC
1L0027	10/31/1997	8/26/1997	-	96,000	72.75	BOSTON SCIENTIFIC
1L0027	10/31/1997	8/26/1997	-	363,000	35.13	CHRYSLER CORP
1L0027	10/31/1997	8/26/1997	-	200,000	79.00	THE WALT DISNEY CO
1L0027	10/31/1997	8/26/1997	-	140,000	56.44	BOEING CO
1L0027	10/31/1997	8/26/1997	-	228,000	56.38	BOEING CO
1L0027	10/31/1997	8/26/1997	-	200,000	56.50	BOEING CO
1L0027	10/31/1997	8/27/1997	-	171,800	34.88	CHRYSLER CORP
1L0027	10/31/1997	8/27/1997	-	200,000	44.00	FANNIE MAE
1L0027	10/31/1997	8/27/1997	-	250,000	55.06	BOEING CO
1L0027	10/31/1997	8/27/1997	-	125	92.00	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	260	92.13	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	161,000	55.13	BOEING CO
1L0027	10/31/1997	8/27/1997	-	160	92.06	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	250	91.81	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	350	92.25	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	150,000	70.44	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	150,000	70.50	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	200	91.75	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	300	92.19	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	106,998	80.63	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	8/27/1997	-	120,000	70.69	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	220,000	43.88	FANNIE MAE
1L0027	10/31/1997	8/27/1997	-	225	91.94	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	145,000	82.75	ALUMINUM COMPANY OF AMERICA
1L0027	10/31/1997	8/27/1997	-	200,000	54.88	BOEING CO
1L0027	10/31/1997	8/27/1997	-	125,000	70.50	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	300,000	70.63	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	81,000	71.75	BOSTON SCIENTIFIC
1L0027	10/31/1997	8/27/1997	-	200,000	55.00	BOEING CO
1L0027	10/31/1997	8/27/1997	-	220	91.75	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	175,000	70.44	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	200,000	70.38	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	250,000	70.56	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	300	91.88	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	97,000	62.38	HEWLETT PACKARD CO
1L0027	10/31/1997	8/27/1997	-	175	91.88	INTEL CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	10/31/1997	8/27/1997	-	214	91.81	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	233,000	70.38	INTEL CORP
1L0027	10/31/1997	8/27/1997	-	235,000	77.38	THE WALT DISNEY CO
1L0027	10/31/1997	8/28/1997	-	185,000	57.81	JOHNSON & JOHNSON
1L0027	10/31/1997	8/28/1997	-	145,000	80.94	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	8/28/1997	-	178,000	78.13	THE WALT DISNEY CO
1L0027	10/31/1997	8/28/1997	-	200,000	73.25	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	236,000	94.13	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	300,000	94.00	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	100,000	57.94	JOHNSON & JOHNSON
1L0027	10/31/1997	8/28/1997	-	210,000	94.00	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	125,000	73.44	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	150,000	93.75	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	150,000	93.81	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	67,000	73.19	BOSTON SCIENTIFIC
1L0027	10/31/1997	8/28/1997	-	100,000	57.88	JOHNSON & JOHNSON
1L0027	10/31/1997	8/28/1997	-	195,000	93.94	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	250,000	93.75	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	300,000	55.25	BOEING CO
1L0027	10/31/1997	8/28/1997	-	330,000	35.25	CHRYSLER CORP
1L0027	10/31/1997	8/28/1997	-	125,000	94.13	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	250,000	94.31	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	300,000	93.75	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	176,000	57.75	JOHNSON & JOHNSON
1L0027	10/31/1997	8/28/1997	-	184,000	94.06	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	150,000	73.31	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	375,000	55.19	BOEING CO
1L0027	10/31/1997	8/28/1997	-	175,000	93.88	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	175,000	94.06	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	250,000	93.88	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	275,000	93.81	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	275,000	94.25	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	125,000	93.94	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	150,000	94.00	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	225,000	93.81	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	66,000	57.63	DEERE & CO
1L0027	10/31/1997	8/28/1997	-	147,000	73.38	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	150,000	93.94	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	300,000	94.19	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	200,000	93.88	INTEL CORP
1L0027	10/31/1997	8/28/1997	-	275,000	94.06	INTEL CORP
1L0027	10/31/1997	8/29/1997	-	66,000	44.06	GAP INC
1L0027	10/31/1997	8/29/1997	-	100,000	44.13	GAP INC
1L0027	10/31/1997	8/29/1997	-	77,000	54.25	BOEING CO
1L0027	10/31/1997	8/29/1997	-	100,000	82.94	DELL COMPUTER CORP
1L0027	10/31/1997	8/29/1997	-	200,000	54.31	BOEING CO
1L0027	10/31/1997	8/29/1997	-	204,000	82.88	DELL COMPUTER CORP
1L0027	10/31/1997	8/29/1997	-	217,000	91.94	MERCK & CO
1L0027	10/31/1997	8/29/1997	-	127,000	56.88	DEERE & CO
1L0027	10/31/1997	8/29/1997	-	227,000	62.50	HEWLETT PACKARD CO
1L0027	10/31/1997	8/29/1997	-	46,000	73.00	BOSTON SCIENTIFIC
1L0027	10/31/1997	8/29/1997	-	250,000	54.31	BOEING CO
1L0027	10/31/1997	8/29/1997	-	200,000	72.38	INTEL CORP
1L0027	10/31/1997	8/29/1997	-	85,000	84.75	ALUMINUM COMPANY OF AMERICA
1L0027	10/31/1997	8/29/1997	-	190,000	77.75	THE WALT DISNEY CO
1L0027	10/31/1997	8/29/1997	-	194,000	72.25	INTEL CORP
1L0027	10/31/1997	8/29/1997	-	220,000	62.38	HEWLETT PACKARD CO
1L0027	10/31/1997	8/29/1997	-	150,000	57.44	JOHNSON & JOHNSON
1L0027	10/31/1997	8/29/1997	-	130,000	57.25	JOHNSON & JOHNSON
1L0027	10/31/1997	8/29/1997	-	326,728	63.50	GENERAL ELECTRIC CO
1L0027	10/31/1997	8/29/1997	-	150,000	82.75	DELL COMPUTER CORP
1L0027	10/31/1997	8/29/1997	-	200,000	54.38	BOEING CO
1L0027	10/31/1997	8/29/1997	-	310,000	63.56	GENERAL ELECTRIC CO
1L0027	10/31/1997	8/29/1997	-	150,000	57.38	JOHNSON & JOHNSON
1L0027	10/31/1997	8/29/1997	-	200,000	54.38	BOEING CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	10/31/1997	8/29/1997	-	200,000	82.81	DELL COMPUTER CORP
1L0027	10/31/1997	8/29/1997	-	100,000	79.25	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	8/29/1997	-	215,000	57.31	JOHNSON & JOHNSON
1L0027	10/31/1997	8/29/1997	-	90,000	79.38	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	8/29/1997	-	290,000	63.63	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/2/1997	-	233,000	62.50	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/2/1997	-	74,000	43.50	GAP INC
1L0027	10/31/1997	9/2/1997	-	100,000	56.88	DEERE & CO
1L0027	10/31/1997	9/2/1997	-	104,000	49.00	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/2/1997	-	335,000	50.00	3COM CORP
1L0027	10/31/1997	9/2/1997	-	170,436	83.25	GILLETTE CO
1L0027	10/31/1997	9/2/1997	-	200,000	82.25	DELL COMPUTER CORP
1L0027	10/31/1997	9/2/1997	-	60,000	57.50	JOHNSON & JOHNSON
1L0027	10/31/1997	9/2/1997	-	200,000	57.25	JOHNSON & JOHNSON
1L0027	10/31/1997	9/2/1997	-	65,000	72.25	BOSTON SCIENTIFIC
1L0027	10/31/1997	9/2/1997	-	375,000	49.56	AMGEN INC
1L0027	10/31/1997	9/2/1997	-	100,000	71.88	INTEL CORP
1L0027	10/31/1997	9/2/1997	-	183,000	71.75	INTEL CORP
1L0027	10/31/1997	9/2/1997	-	158,000	82.50	DELL COMPUTER CORP
1L0027	10/31/1997	9/2/1997	-	175,000	82.31	DELL COMPUTER CORP
1L0027	10/31/1997	9/2/1997	-	300,000	49.63	AMGEN INC
1L0027	10/31/1997	9/2/1997	-	300,000	62.44	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/2/1997	-	211,000	92.13	MERCK & CO
1L0027	10/31/1997	9/2/1997	-	250,000	82.44	DELL COMPUTER CORP
1L0027	10/31/1997	9/2/1997	-	225,000	57.31	JOHNSON & JOHNSON
1L0027	10/31/1997	9/2/1997	-	251,000	57.38	COCA COLA CO
1L0027	10/31/1997	9/2/1997	-	270,000	57.31	COCA COLA CO
1L0027	10/31/1997	9/2/1997	-	350,000	49.94	3COM CORP
1L0027	10/31/1997	9/2/1997	-	125,000	78.63	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/2/1997	-	150,000	82.38	DELL COMPUTER CORP
1L0027	10/31/1997	9/2/1997	-	8,800	49.56	AMGEN INC
1L0027	10/31/1997	9/2/1997	-	174,000	57.44	JOHNSON & JOHNSON
1L0027	10/31/1997	9/2/1997	-	127,000	78.56	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/2/1997	-	225,000	77.13	THE WALT DISNEY CO
1L0027	10/31/1997	9/2/1997	-	150,000	57.38	JOHNSON & JOHNSON
1L0027	10/31/1997	9/2/1997	-	300,000	62.56	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/2/1997	-	312,000	61.31	HEWLETT PACKARD CO
1L0027	10/31/1997	9/2/1997	-	300,000	62.56	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/2/1997	-	300,000	92.00	MERCK & CO
1L0027	10/31/1997	9/2/1997	-	50,000	43.56	GAP INC
1L0027	10/31/1997	9/2/1997	-	62,000	56.75	DEERE & CO
1L0027	10/31/1997	9/2/1997	-	105,000	83.88	ALUMINUM COMPANY OF AMERICA
1L0027	10/31/1997	9/2/1997	-	200,000	71.81	INTEL CORP
1L0027	10/31/1997	9/2/1997	-	260,000	62.50	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/3/1997	-	150,000	80.44	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	141,000	55.69	DEERE & CO
1L0027	10/31/1997	9/3/1997	-	160,000	71.13	INTEL CORP
1L0027	10/31/1997	9/3/1997	-	220,000	53.31	NIKE INC
1L0027	10/31/1997	9/3/1997	-	246,000	71.00	INTEL CORP
1L0027	10/31/1997	9/3/1997	-	237,000	82.88	GILLETTE CO
1L0027	10/31/1997	9/3/1997	-	250,000	80.56	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	48,000	43.38	GAP INC
1L0027	10/31/1997	9/3/1997	-	122,000	56.69	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	140,000	39.50	AT & T CORP
1L0027	10/31/1997	9/3/1997	-	144,000	61.38	HEWLETT PACKARD CO
1L0027	10/31/1997	9/3/1997	-	150,000	48.25	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	150,000	56.81	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	175,000	56.88	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	185,000	56.75	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	200,000	48.19	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	200,000	53.38	NIKE INC
1L0027	10/31/1997	9/3/1997	-	250,000	57.38	COCA COLA CO
1L0027	10/31/1997	9/3/1997	-	380,000	62.50	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/3/1997	-	123,000	78.50	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/3/1997	-	200,000	78.81	CHEVRON CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	10/31/1997	9/3/1997	-	82,000	48.06	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	175,000	80.50	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	270,000	44.00	FANNIE MAE
1L0027	10/31/1997	9/3/1997	-	376,000	62.56	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/3/1997	-	60,000	43.44	GAP INC
1L0027	10/31/1997	9/3/1997	-	160,000	56.94	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	200,000	48.00	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	275,000	77.38	THE WALT DISNEY CO
1L0027	10/31/1997	9/3/1997	-	196,000	80.50	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	225,000	80.44	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	140,000	71.06	INTEL CORP
1L0027	10/31/1997	9/3/1997	-	281,000	48.25	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	94,981	71.63	BOSTON SCIENTIFIC
1L0027	10/31/1997	9/3/1997	-	300,000	49.56	AMGEN INC
1L0027	10/31/1997	9/3/1997	-	360,496	62.44	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/3/1997	-	116,000	39.63	AT & T CORP
1L0027	10/31/1997	9/3/1997	-	120,000	39.44	AT & T CORP
1L0027	10/31/1997	9/3/1997	-	186,000	91.81	MERCK & CO
1L0027	10/31/1997	9/3/1997	-	200,000	56.63	JOHNSON & JOHNSON
1L0027	10/31/1997	9/3/1997	-	250,000	48.06	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	210,000	80.38	DELL COMPUTER CORP
1L0027	10/31/1997	9/3/1997	-	281,000	61.50	HEWLETT PACKARD CO
1L0027	10/31/1997	9/3/1997	-	300,000	48.00	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	125,000	48.13	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	135,000	39.56	AT & T CORP
1L0027	10/31/1997	9/3/1997	-	150,000	48.00	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/3/1997	-	200,000	82.75	GILLETTE CO
1L0027	10/31/1997	9/3/1997	-	367,000	91.63	MERCK & CO
1L0027	10/31/1997	9/3/1997	-	90,000	83.50	ALUMINUM COMPANY OF AMERICA
1L0027	10/31/1997	9/3/1997	-	100,000	78.63	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/3/1997	-	285,000	57.50	COCA COLA CO
1L0027	10/31/1997	9/4/1997	-	225,000	81.19	DELL COMPUTER CORP
1L0027	10/31/1997	9/4/1997	-	267,000	35.13	CHRYSLER CORP
1L0027	10/31/1997	9/4/1997	-	300,000	47.94	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/4/1997	-	325,000	57.63	COCA COLA CO
1L0027	10/31/1997	9/4/1997	-	175,000	48.06	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/4/1997	-	184,000	77.00	THE WALT DISNEY CO
1L0027	10/31/1997	9/4/1997	-	40,800	35.13	CHRYSLER CORP
1L0027	10/31/1997	9/4/1997	-	185,000	81.25	DELL COMPUTER CORP
1L0027	10/31/1997	9/4/1997	-	275,000	61.50	HEWLETT PACKARD CO
1L0027	10/31/1997	9/4/1997	-	300,000	62.81	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/4/1997	-	304,000	82.88	GILLETTE CO
1L0027	10/31/1997	9/4/1997	-	135,000	38.94	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	50,000	39.50	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	175,000	81.13	DELL COMPUTER CORP
1L0027	10/31/1997	9/4/1997	-	309,000	48.13	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/4/1997	-	75,000	56.75	JOHNSON & JOHNSON
1L0027	10/31/1997	9/4/1997	-	150,000	56.56	JOHNSON & JOHNSON
1L0027	10/31/1997	9/4/1997	-	70,000	70.50	BOSTON SCIENTIFIC
1L0027	10/31/1997	9/4/1997	-	103,000	78.00	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/4/1997	-	200,000	81.00	DELL COMPUTER CORP
1L0027	10/31/1997	9/4/1997	-	225,000	57.56	COCA COLA CO
1L0027	10/31/1997	9/4/1997	-	58,000	44.13	GAP INC
1L0027	10/31/1997	9/4/1997	-	100,000	77.44	CHEVRON CORP
1L0027	10/31/1997	9/4/1997	-	125,000	56.69	JOHNSON & JOHNSON
1L0027	10/31/1997	9/4/1997	-	189,000	91.81	MERCK & CO
1L0027	10/31/1997	9/4/1997	-	200,000	71.25	INTEL CORP
1L0027	10/31/1997	9/4/1997	-	100,000	77.63	CHEVRON CORP
1L0027	10/31/1997	9/4/1997	-	110,000	44.25	FANNIE MAE
1L0027	10/31/1997	9/4/1997	-	150,000	81.06	DELL COMPUTER CORP
1L0027	10/31/1997	9/4/1997	-	103,000	78.06	AMERICAN EXPRESS COMPANY
1L0027	10/31/1997	9/4/1997	-	119,000	56.50	JOHNSON & JOHNSON
1L0027	10/31/1997	9/4/1997	-	250,000	57.50	COCA COLA CO
1L0027	10/31/1997	9/4/1997	-	340,000	62.75	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/4/1997	-	50,000	44.19	GAP INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	10/31/1997	9/4/1997	-	75,000	82.75	ALUMINUM COMPANY OF AMERICA
1L0027	10/31/1997	9/4/1997	-	105,000	38.75	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	125,000	38.88	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	375,000	49.88	3COM CORP
1L0027	10/31/1997	9/4/1997	-	200,000	47.88	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/4/1997	-	250,000	48.00	SUN MICROSYSTEMS INC
1L0027	10/31/1997	9/4/1997	-	330,000	62.69	GENERAL ELECTRIC CO
1L0027	10/31/1997	9/4/1997	-	131,000	55.25	DEERE & CO
1L0027	10/31/1997	9/4/1997	-	140,000	38.81	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	150,000	38.75	AT & T CORP
1L0027	10/31/1997	9/4/1997	-	169,000	71.38	INTEL CORP
1L0027	10/31/1997	9/4/1997	-	200,000	56.63	JOHNSON & JOHNSON
1L0027	10/31/1997	9/4/1997	-	380,000	49.94	3COM CORP
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.34	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	79,475,000	-	97.95	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.70	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	3,774	-	1.00	FIDELITY SPARTAN
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.45	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.53	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.03	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.14	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.23	U S TREASURY BILL
1L0027	10/31/1997	9/30/1997	85,000,000	-	98.61	U S TREASURY BILL
1L0027	11/30/1997	10/31/1997	-	281,247,392	1.00	FIDELITY SPARTAN
1L0027	11/30/1997	10/31/1997	4,513	-	1.00	FIDELITY SPARTAN
1L0027	11/30/1997	10/31/1997	1,730,000	-	98.35	U S TREASURY BILL
1L0027	3/31/1998	2/17/1998	5,900,000	-	100.66	U S TREASURY NOTE
1L0027	3/31/1998	2/17/1998	-	5,900,000	100.66	U S TREASURY NOTE
1L0027	3/31/1998	2/17/1998	5,900,000	-	100.66	U S TREASURY NOTE
1L0027	9/30/1998	8/3/1998	20,000,000	-	99.42	U S TREASURY NOTES
1L0027	9/30/1998	8/17/1998	6,450,000	-	100.06	U S TREASURY NOTES
1L0027	5/31/1999	4/30/1999	-	25,660	1.00	FIDELITY SPARTAN
1L0027	6/30/1999	5/24/1999	5,000	-	1.38	COMMUNICATION INTELLIGENCE
1L0027	6/30/1999	5/24/1999	5,000	-	1.38	COMMUNICATION INTELLIGENCE
1L0027	3/31/1999	12/11/1999	94,400	-	56.00	GENERAL DYNAMICS
1L0027	3/31/1999	12/15/1999	-	184,000	38.00	PEPSICO INC
1L0027	3/31/1999	12/31/1999	25,660	-	1.00	FIDELITY SPARTAN
1L0027	3/31/1999	12/31/1999	1,700,000	-	98.82	U S TREASURY BILL
1L0027	11/30/2000	1/3/2000	4,081,000	-	98.39	U S TREASURY BILL
1L0027	2/29/2000	1/6/2000	-	13,014	28.44	ABERCROMBIE & FITCH CO
1L0027	2/29/2000	1/6/2000	-	49,000	60.00	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	51,000	39.25	HEINZ H J CO
1L0027	2/29/2000	1/6/2000	-	100,000	118.38	MICROSOFT CORP
1L0027	2/29/2000	1/6/2000	-	50,000	39.19	HEINZ H J CO
1L0027	2/29/2000	1/6/2000	-	54,000	61.06	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	52,000	61.25	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	56,000	43.44	THE LIMITED INC
1L0027	2/29/2000	1/6/2000	-	175,000	24.63	XEROX CORP
1L0027	2/29/2000	1/6/2000	-	41,000	61.31	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	48,000	61.31	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	52,000	85.63	ATLANTIC RICHFIELD CO
1L0027	2/29/2000	1/6/2000	-	55,000	42.06	NOVELL INC
1L0027	2/29/2000	1/6/2000	-	56,000	39.06	HEINZ H J CO
1L0027	2/29/2000	1/6/2000	-	70,000	43.38	THE LIMITED INC
1L0027	2/29/2000	1/6/2000	85,000	-	51.38	TEXACO INC
1L0027	2/29/2000	1/6/2000	-	48,000	85.38	ATLANTIC RICHFIELD CO
1L0027	2/29/2000	1/6/2000	-	55,000	61.19	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	56,000	61.13	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	105,000	118.25	MICROSOFT CORP
1L0027	2/29/2000	1/6/2000	206,507	-	51.25	TEXACO INC
1L0027	2/29/2000	1/6/2000	-	27,008	61.19	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	60,840	38.25	TRICON GLOBAL RESTAURANTS INC
1L0027	2/29/2000	1/6/2000	-	85,000	42.13	NOVELL INC
1L0027	2/29/2000	1/6/2000	-	104,000	24.50	XEROX CORP
1L0027	2/29/2000	1/6/2000	-	200,000	24.44	XEROX CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	2/29/2000	1/6/2000	-	44,000	39.13	HEINZ H J CO
1L0027	2/29/2000	1/6/2000	-	50,000	43.31	THE LIMITED INC
1L0027	2/29/2000	1/6/2000	-	95,000	118.19	MICROSOFT CORP
1L0027	2/29/2000	1/6/2000	-	105,600	118.31	MICROSOFT CORP
1L0027	2/29/2000	1/6/2000	-	110,000	24.31	XEROX CORP
1L0027	2/29/2000	1/6/2000	-	150,000	24.56	XEROX CORP
1L0027	2/29/2000	1/6/2000	-	42,505	7.88	SANTA FE SNYDER CORP
1L0027	2/29/2000	1/6/2000	-	45,000	61.25	BELL ATLANTIC CORP
1L0027	2/29/2000	1/6/2000	-	215,000	24.38	XEROX CORP
1L0027	2/29/2000	1/6/2000	225,000	-	51.31	TEXACO INC
1L0027	2/29/2000	1/7/2000	90,000	-	51.75	TEXACO INC
1L0027	2/29/2000	1/7/2000	100,000	-	76.81	EXXON MOBIL CORP
1L0027	2/29/2000	1/7/2000	209,000	-	65.44	GTE CORP
1L0027	2/29/2000	1/7/2000	-	60,000	40.75	THE LIMITED INC
1L0027	2/29/2000	1/7/2000	-	40,000	40.69	THE LIMITED INC
1L0027	2/29/2000	1/7/2000	-	55,000	40.63	THE LIMITED INC
1L0027	2/29/2000	1/7/2000	180,000	-	76.94	EXXON MOBIL CORP
1L0027	2/29/2000	1/7/2000	100,000	-	65.56	GTE CORP
1L0027	2/29/2000	1/7/2000	-	42,000	7.56	HOMESTAKE MNG CO
1L0027	2/29/2000	1/7/2000	120,000	-	76.88	EXXON MOBIL CORP
1L0027	2/29/2000	1/7/2000	154,463	-	76.75	EXXON MOBIL CORP
1L0027	2/29/2000	1/7/2000	-	46,000	83.13	ATLANTIC RICHFIELD CO
1L0027	2/29/2000	1/7/2000	-	52,000	7.50	HOMESTAKE MNG CO
1L0027	2/29/2000	1/7/2000	-	42,000	40.50	THE LIMITED INC
1L0027	2/29/2000	1/7/2000	-	43,000	40.56	THE LIMITED INC
1L0027	2/29/2000	1/7/2000	100,000	-	51.69	TEXACO INC
1L0027	2/29/2000	1/7/2000	125,000	-	43.75	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/7/2000	34,152	-	23.06	STARWOOD HOTEL RESORTS WLDWIDE
1L0027	2/29/2000	1/7/2000	96,000	-	23.00	STARWOOD HOTEL RESORTS WLDWIDE
1L0027	2/29/2000	1/7/2000	225,000	-	65.38	GTE CORP
1L0027	2/29/2000	1/10/2000	44,000	-	39.63	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	54,000	-	29.38	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	56,000	-	39.56	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	85,000	-	32.25	NOVELL INC
1L0027	2/29/2000	1/10/2000	161,100	-	43.00	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/10/2000	175,000	-	43.06	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/10/2000	-	50,000	7.44	HOMESTAKE MNG CO
1L0027	2/29/2000	1/10/2000	-	56,000	7.38	HOMESTAKE MNG CO
1L0027	2/29/2000	1/10/2000	-	87,500	7.56	HOMESTAKE MNG CO
1L0027	2/29/2000	1/10/2000	10,000	-	8.44	HIGHLANDS INSURANCE GROUP
1L0027	2/29/2000	1/10/2000	44,000	-	37.13	HEINZ H J CO
1L0027	2/29/2000	1/10/2000	52,000	-	29.31	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	57,199	-	54.50	AETNA INC
1L0027	2/29/2000	1/10/2000	-	54,400	7.50	HOMESTAKE MNG CO
1L0027	2/29/2000	1/10/2000	40,000	-	39.75	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	42,505	-	7.00	SANTA FE SNYDER CORP
1L0027	2/29/2000	1/10/2000	57,000	-	29.13	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	58,000	-	29.13	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	60,000	-	39.69	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	65,000	-	9.13	SILICON GRAPHICS INC
1L0027	2/29/2000	1/10/2000	41,000	-	29.25	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	48,000	-	37.00	HALLIBURTON CO
1L0027	2/29/2000	1/10/2000	51,000	-	37.00	HEINZ H J CO
1L0027	2/29/2000	1/10/2000	55,000	-	32.31	NOVELL INC
1L0027	2/29/2000	1/10/2000	46,000	-	36.88	HALLIBURTON CO
1L0027	2/29/2000	1/10/2000	49,000	-	29.44	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	53,000	-	29.19	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	55,000	-	29.25	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	85,000	-	9.25	SILICON GRAPHICS INC
1L0027	2/29/2000	1/10/2000	42,000	-	29.19	LSI LOGIC CORP
1L0027	2/29/2000	1/10/2000	54,000	-	36.81	HALLIBURTON CO
1L0027	2/29/2000	1/10/2000	56,000	-	37.19	HEINZ H J CO
1L0027	2/29/2000	1/10/2000	75,000	-	39.44	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	25,000	-	39.50	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/10/2000	50,000	-	37.06	HEINZ H J CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	2/29/2000	1/10/2000	52,000	-	36.94	HALLIBURTON CO
1L0027	2/29/2000	1/10/2000	59,000	-	29.31	LSI LOGIC CORP
1L0027	2/29/2000	1/11/2000	-	60,000	39.75	THE LIMITED INC
1L0027	2/29/2000	1/11/2000	-	26,000	18.00	TOO INC
1L0027	2/29/2000	1/12/2000	-	53,000	70.44	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	52,000	70.56	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	58,000	70.13	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	61,000	70.31	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	124,400	38.38	PEPSICO INC
1L0027	2/29/2000	1/12/2000	-	42,000	70.19	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	95,000	38.19	PEPSICO INC
1L0027	2/29/2000	1/12/2000	-	100,000	38.25	PEPSICO INC
1L0027	2/29/2000	1/12/2000	-	55,000	70.50	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	62,000	70.69	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	68,000	70.63	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	29,000	17.75	TOO INC
1L0027	2/29/2000	1/12/2000	-	49,000	70.38	LILLY ELI & CO
1L0027	2/29/2000	1/12/2000	-	161,100	49.75	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/12/2000	-	105,000	38.31	PEPSICO INC
1L0027	2/29/2000	1/12/2000	-	60,000	70.25	LILLY ELI & CO
1L0027	2/29/2000	1/13/2000	-	10,000	10.13	HIGHLANDS INSURANCE GROUP
1L0027	2/29/2000	1/14/2000	54,000	-	68.56	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/14/2000	63,000	-	90.81	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	-	57,199	58.38	AETNA INC
1L0027	2/29/2000	1/14/2000	38,000	-	68.50	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/14/2000	46,000	-	68.75	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/14/2000	47,000	-	90.88	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	55,000	-	90.38	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	60,000	-	90.94	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	88,000	-	91.19	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	-	55,000	91.50	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	43,000	-	91.25	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	72,000	-	91.00	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	80,000	-	91.06	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	-	13,000	17.63	TOO INC
1L0027	2/29/2000	1/14/2000	48,000	-	68.63	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/14/2000	55,000	-	90.75	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	61,000	-	91.13	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	56,000	-	90.75	APPLE COMPUTER INC
1L0027	3/31/2000	1/14/2000	55,000	-	90.38	APPLE COMPUTER INC
1L0027	2/29/2000	1/14/2000	52,000	-	68.69	THE CHASE MANHATTAN CORP NEW
1L0027	3/31/2000	1/14/2000	-	55,000	90.38	APPLE COMPUTER INC
1L0027	2/29/2000	1/18/2000	-	52,000	117.94	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/18/2000	-	68,000	118.00	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/18/2000	-	82,000	118.06	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/18/2000	-	98,000	118.13	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/19/2000	56,000	-	56.00	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	45,000	-	56.25	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	49,000	-	56.13	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	27,008	-	55.94	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	48,000	-	55.81	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	41,000	-	56.19	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	52,000	-	55.88	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	54,000	-	56.06	BELL ATLANTIC CORP
1L0027	2/29/2000	1/19/2000	55,000	-	56.31	BELL ATLANTIC CORP
1L0027	2/29/2000	1/20/2000	-	10,000	32.00	USX-U S STEEL GROUP
1L0027	2/29/2000	1/20/2000	200,000	-	65.38	GTE CORP
1L0027	2/29/2000	1/20/2000	128,000	-	65.25	GTE CORP
1L0027	2/29/2000	1/21/2000	-	225,000	60.50	TEXACO INC
1L0027	2/29/2000	1/21/2000	-	206,507	60.63	TEXACO INC
1L0027	2/29/2000	1/24/2000	-	44,000	9.94	K MART CORP
1L0027	2/29/2000	1/24/2000	48,000	-	75.38	ATLANTIC RICHFIELD CO
1L0027	2/29/2000	1/24/2000	-	48,000	10.00	K MART CORP
1L0027	2/29/2000	1/24/2000	-	61,000	10.19	K MART CORP
1L0027	2/29/2000	1/24/2000	46,000	-	75.50	ATLANTIC RICHFIELD CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	2/29/2000	1/24/2000	52,000	-	75.25	ATLANTIC RICHFIELD CO
1L0027	2/29/2000	1/24/2000	-	34,152	27.38	STARWOOD HOTEL RESORTS WLDWIDE
1L0027	2/29/2000	1/24/2000	-	39,000	9.88	K MART CORP
1L0027	2/29/2000	1/24/2000	-	56,000	10.13	K MART CORP
1L0027	2/29/2000	1/24/2000	-	85,000	11.75	SILICON GRAPHICS INC
1L0027	2/29/2000	1/24/2000	-	52,000	10.06	K MART CORP
1L0027	2/29/2000	1/24/2000	-	65,000	11.75	SILICON GRAPHICS INC
1L0027	2/29/2000	1/24/2000	-	125,000	49.94	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/24/2000	76,000	-	33.25	NCR CORP
1L0027	2/29/2000	1/24/2000	-	96,000	27.50	STARWOOD HOTEL RESORTS WLDWIDE
1L0027	2/29/2000	1/24/2000	-	175,000	50.00	PHARMACIA & UPJOHN INC
1L0027	2/29/2000	1/25/2000	-	43,000	121.13	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	48,000	75.50	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/25/2000	-	55,000	121.00	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	47,000	120.88	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	60,000	120.75	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	13,014	-	20.13	ABERCROMBIE & FITCH CO
1L0027	2/29/2000	1/25/2000	-	38,000	75.63	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/25/2000	-	46,000	75.38	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/25/2000	12,000	-	17.00	TOO INC
1L0027	2/29/2000	1/25/2000	54,400	-	7.00	HOMESTAKE MNG CO
1L0027	2/29/2000	1/25/2000	-	54,000	75.56	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/25/2000	-	63,000	120.94	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	52,000	75.44	THE CHASE MANHATTAN CORP NEW
1L0027	2/29/2000	1/25/2000	-	61,000	121.25	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	80,000	120.63	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	88,000	121.19	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	50,000	-	7.00	HOMESTAKE MNG CO
1L0027	2/29/2000	1/25/2000	-	56,000	121.06	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	-	72,000	120.69	APPLE COMPUTER INC
1L0027	2/29/2000	1/25/2000	55,000	-	33.00	THE LIMITED INC
1L0027	2/29/2000	1/25/2000	60,000	-	33.06	THE LIMITED INC
1L0027	2/29/2000	1/26/2000	-	52,000	43.13	HALLIBURTON CO
1L0027	2/29/2000	1/26/2000	-	225,000	71.25	GTE CORP
1L0027	2/29/2000	1/26/2000	25,000	-	17.00	TOO INC
1L0027	2/29/2000	1/26/2000	-	76,000	39.00	NCR CORP
1L0027	2/29/2000	1/26/2000	-	209,000	71.19	GTE CORP
1L0027	2/29/2000	1/26/2000	-	48,000	43.06	HALLIBURTON CO
1L0027	2/29/2000	1/26/2000	-	46,000	43.19	HALLIBURTON CO
1L0027	2/29/2000	1/26/2000	-	54,000	43.25	HALLIBURTON CO
1L0027	2/29/2000	1/27/2000	-	100,000	60.38	TEXACO INC
1L0027	2/29/2000	1/27/2000	-	180,000	85.94	EXXON MOBIL CORP
1L0027	2/29/2000	1/27/2000	-	154,463	86.13	EXXON MOBIL CORP
1L0027	2/29/2000	1/27/2000	-	200,000	71.31	GTE CORP
1L0027	2/29/2000	1/27/2000	4,000	-	16.06	TOO INC
1L0027	2/29/2000	1/27/2000	52,000	-	6.63	HOMESTAKE MNG CO
1L0027	2/29/2000	1/27/2000	52,000	-	95.44	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/27/2000	82,000	-	95.31	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/27/2000	87,500	-	6.63	HOMESTAKE MNG CO
1L0027	2/29/2000	1/27/2000	-	120,000	86.00	EXXON MOBIL CORP
1L0027	2/29/2000	1/27/2000	-	175,000	60.50	TEXACO INC
1L0027	2/29/2000	1/27/2000	-	100,000	71.38	GTE CORP
1L0027	2/29/2000	1/27/2000	13,000	-	16.13	TOO INC
1L0027	2/29/2000	1/27/2000	-	100,000	86.06	EXXON MOBIL CORP
1L0027	2/29/2000	1/27/2000	14,000	-	16.00	TOO INC
1L0027	2/29/2000	1/27/2000	42,000	-	6.63	HOMESTAKE MNG CO
1L0027	2/29/2000	1/27/2000	98,000	-	95.25	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/27/2000	68,000	-	95.38	PROCTER & GAMBLE CO
1L0027	2/29/2000	1/27/2000	-	128,000	71.44	GTE CORP
1L0027	2/29/2000	1/27/2000	50,000	-	32.38	THE LIMITED INC
1L0027	2/29/2000	1/27/2000	56,000	-	6.63	HOMESTAKE MNG CO
1L0027	2/29/2000	1/27/2000	56,000	-	32.25	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	-	40,000	53.19	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/28/2000	-	42,000	87.31	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	44,000	53.31	NATIONAL SEMICONDUCTOR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	2/29/2000	1/28/2000	68,000	-	62.06	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	95,000	-	99.94	MICROSOFT CORP
1L0027	2/29/2000	1/28/2000	-	25,000	53.44	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/28/2000	58,000	-	62.13	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	60,000	-	62.00	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	175,000	-	21.25	XEROX CORP
1L0027	2/29/2000	1/28/2000	-	55,000	87.63	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	58,000	87.38	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	75,000	53.50	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/28/2000	52,000	-	62.13	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	53,000	-	62.25	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	60,000	-	32.56	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	60,840	-	30.00	TRICON GLOBAL RESTAURANTS INC
1L0027	2/29/2000	1/28/2000	61,000	-	9.25	K MART CORP
1L0027	2/29/2000	1/28/2000	105,600	-	99.88	MICROSOFT CORP
1L0027	2/29/2000	1/28/2000	200,000	-	21.44	XEROX CORP
1L0027	2/29/2000	1/28/2000	-	53,000	87.75	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	48,000	-	9.44	K MART CORP
1L0027	2/29/2000	1/28/2000	49,000	-	62.94	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	55,000	-	62.19	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	56,000	-	9.31	K MART CORP
1L0027	2/29/2000	1/28/2000	-	52,000	87.56	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	54,000	87.50	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	56,000	53.38	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/28/2000	42,000	-	62.06	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	52,000	-	9.38	K MART CORP
1L0027	2/29/2000	1/28/2000	70,000	-	32.50	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	100,000	-	99.75	MICROSOFT CORP
1L0027	2/29/2000	1/28/2000	104,000	-	21.38	XEROX CORP
1L0027	2/29/2000	1/28/2000	215,000	-	21.50	XEROX CORP
1L0027	2/29/2000	1/28/2000	-	41,000	87.25	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	49,000	87.44	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	-	57,000	87.69	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	43,000	-	32.69	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	95,000	-	34.31	PEPSICO INC
1L0027	2/29/2000	1/28/2000	105,000	-	99.81	MICROSOFT CORP
1L0027	2/29/2000	1/28/2000	-	59,000	87.19	LSI LOGIC CORP
1L0027	2/29/2000	1/28/2000	61,000	-	62.38	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	110,000	-	21.56	XEROX CORP
1L0027	2/29/2000	1/28/2000	150,000	-	21.31	XEROX CORP
1L0027	2/29/2000	1/28/2000	-	60,000	53.25	NATIONAL SEMICONDUCTOR CORP
1L0027	2/29/2000	1/28/2000	39,000	-	9.50	K MART CORP
1L0027	2/29/2000	1/28/2000	40,000	-	32.63	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	42,000	-	32.75	THE LIMITED INC
1L0027	2/29/2000	1/28/2000	44,000	-	9.50	K MART CORP
1L0027	2/29/2000	1/28/2000	62,000	-	62.00	LILLY ELI & CO
1L0027	2/29/2000	1/28/2000	100,000	-	34.25	PEPSICO INC
1L0027	2/29/2000	1/28/2000	105,000	-	34.19	PEPSICO INC
1L0027	2/29/2000	1/28/2000	124,400	-	34.13	PEPSICO INC
1L0027	2/29/2000	1/31/2000	10,000	-	25.50	USX-U S STEEL GROUP
1L0027	5/31/2000	4/4/2000	10,000	-	5.31	COMMUNICATION INTELLIGENCE
1L0027	1/31/2000	12/31/2000	26,820	-	1.00	FIDELITY SPARTAN
1L0027	1/31/2000	12/31/2000	76,200,000	-	98.39	U S TREASURY BILL
1L0027	11/30/2000	12/31/2000	72,119,000	-	98.39	U S TREASURY BILL
1L0027	11/30/2000	12/31/2000	-	76,200,000	98.39	U S TREASURY BILL
1L0027	9/30/2001	1/5/2001	-	215,000	28.31	PALM INC
1L0027	9/30/2001	1/5/2001	-	205,000	27.75	PALM INC
1L0027	9/30/2001	1/5/2001	-	190,000	29.75	YAHOO INC
1L0027	9/30/2001	1/5/2001	-	205,020	28.50	PALM INC
1L0027	9/30/2001	1/5/2001	-	296,960	30.06	YAHOO INC
1L0027	9/30/2001	1/8/2001	-	207,000	31.56	YAHOO INC
1L0027	9/30/2001	1/8/2001	-	245,000	27.50	PALM INC
1L0027	9/30/2001	1/8/2001	-	265,000	31.75	YAHOO INC
1L0027	9/30/2001	1/8/2001	-	200,000	32.18	YAHOO INC
1L0027	9/30/2001	1/8/2001	-	235,000	27.19	PALM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	9/30/2001	1/8/2001	-	146,000	26.88	PALM INC
1L0027	9/30/2001	1/9/2001	-	295,000	31.13	PALM INC
1L0027	9/30/2001	1/9/2001	-	232,000	31.37	YAHOO INC
1L0027	9/30/2001	1/9/2001	-	252,580	31.87	YAHOO INC
1L0027	9/30/2001	1/9/2001	-	280,000	31.62	YAHOO INC
1L0027	9/30/2001	1/9/2001	-	245,000	31.50	PALM INC
1L0027	9/30/2001	1/10/2001	-	200,000	15.79	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/10/2001	-	245,000	15.18	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/10/2001	-	295,000	15.25	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/10/2001	-	250,000	29.13	PALM INC
1L0027	9/30/2001	1/10/2001	-	220,000	28.94	PALM INC
1L0027	9/30/2001	1/10/2001	-	235,000	30.93	YAHOO INC
1L0027	9/30/2001	1/10/2001	-	250,000	15.65	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/11/2001	-	132,000	24.75	PALM INC
1L0027	9/30/2001	1/11/2001	-	200,000	15.15	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/11/2001	-	205,530	27.25	YAHOO INC
1L0027	9/30/2001	1/11/2001	-	250,000	15.10	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/11/2001	-	295,000	14.90	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/11/2001	-	155,000	25.13	PALM INC
1L0027	9/30/2001	1/11/2001	-	214,000	27.00	YAHOO INC
1L0027	9/30/2001	1/12/2001	-	254,860	30.25	YAHOO INC
1L0027	9/30/2001	1/12/2001	-	245,000	16.98	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/12/2001	-	265,000	30.06	YAHOO INC
1L0027	9/30/2001	1/12/2001	-	162,000	24.88	PALM INC
1L0027	9/30/2001	1/12/2001	-	250,000	16.65	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/12/2001	-	295,000	16.80	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/12/2001	-	315,000	16.50	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/12/2001	-	295,000	29.75	YAHOO INC
1L0027	9/30/2001	1/12/2001	-	335,000	16.47	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/12/2001	-	185,000	25.19	PALM INC
1L0027	9/30/2001	1/16/2001	-	205,000	17.00	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/16/2001	-	200,000	17.44	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/16/2001	-	244,000	17.20	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/16/2001	-	255,000	17.28	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/16/2001	-	200,000	24.06	PALM INC
1L0027	9/30/2001	1/16/2001	-	210,000	24.44	PALM INC
1L0027	9/30/2001	1/16/2001	-	215,000	17.17	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/17/2001	-	215,000	18.25	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/17/2001	-	215,000	26.44	PALM INC
1L0027	9/30/2001	1/17/2001	-	255,000	18.40	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/17/2001	-	265,000	18.12	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/17/2001	-	210,000	26.75	PALM INC
1L0027	9/30/2001	1/17/2001	-	298,000	18.26	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/18/2001	-	216,980	27.63	PALM INC
1L0027	9/30/2001	1/18/2001	-	215,000	18.63	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/18/2001	-	250,000	18.79	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/18/2001	-	255,000	27.25	PALM INC
1L0027	9/30/2001	1/18/2001	-	298,000	18.42	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/19/2001	-	211,930	18.75	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/19/2001	-	294,440	28.13	PALM INC
1L0027	9/30/2001	1/19/2001	-	210,000	18.67	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/19/2001	-	265,000	27.63	PALM INC
1L0027	9/30/2001	1/19/2001	-	290,000	18.50	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/22/2001	-	215,000	18.92	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/22/2001	-	298,000	34.25	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/22/2001	-	205,000	34.81	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/22/2001	-	260,210	76.63	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/22/2001	-	210,000	18.90	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/22/2001	-	210,000	28.06	PALM INC
1L0027	9/30/2001	1/22/2001	-	250,000	136.25	BROADCOM CORP CL A
1L0027	9/30/2001	1/22/2001	-	335,000	136.44	BROADCOM CORP CL A
1L0027	9/30/2001	1/22/2001	-	200,000	34.56	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/22/2001	-	255,000	18.75	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/22/2001	-	265,000	27.88	PALM INC
1L0027	9/30/2001	1/22/2001	-	177,000	34.88	NORTEL NETWORKS CORP HLDS CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	9/30/2001	1/22/2001	-	250,000	35.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/22/2001	-	295,000	76.38	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/23/2001	-	245,000	19.85	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/23/2001	-	268,380	130.63	BROADCOM CORP CL A
1L0027	9/30/2001	1/23/2001	-	325,000	35.88	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/23/2001	-	225,000	36.75	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/23/2001	-	285,000	130.44	BROADCOM CORP CL A
1L0027	9/30/2001	1/23/2001	-	295,000	19.59	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/23/2001	-	233,610	75.90	EMC CORPORATION MASS
1L0027	9/30/2001	1/23/2001	-	250,000	77.88	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/23/2001	-	125,000	27.88	PALM INC
1L0027	9/30/2001	1/23/2001	-	235,000	75.15	EMC CORPORATION MASS
1L0027	9/30/2001	1/23/2001	-	285,000	19.15	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/23/2001	-	190,000	27.50	PALM INC
1L0027	9/30/2001	1/23/2001	-	250,000	36.75	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/23/2001	-	264,000	19.05	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/23/2001	-	205,000	36.38	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/23/2001	-	282,000	36.38	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	145,000	79.44	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/24/2001	-	264,000	20.56	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	265,000	39.94	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	285,000	20.70	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	320,000	39.75	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	350,000	39.69	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	282,000	20.72	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	582,000	63.75	JDS UNIPHASE CORP
1L0027	9/30/2001	1/24/2001	-	235,000	77.50	EMC CORPORATION MASS
1L0027	9/30/2001	1/24/2001	-	259,050	135.00	BROADCOM CORP CL A
1L0027	9/30/2001	1/24/2001	-	285,000	134.75	BROADCOM CORP CL A
1L0027	9/30/2001	1/24/2001	-	289,000	20.90	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	65,000	77.12	EMC CORPORATION MASS
1L0027	9/30/2001	1/24/2001	-	300,240	39.25	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	520,000	64.38	JDS UNIPHASE CORP
1L0027	9/30/2001	1/24/2001	-	308,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	138,620	79.75	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/24/2001	-	245,000	20.99	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	485,000	64.56	JDS UNIPHASE CORP
1L0027	9/30/2001	1/24/2001	-	219,690	78.00	EMC CORPORATION MASS
1L0027	9/30/2001	1/24/2001	-	295,000	20.85	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/24/2001	-	460,000	64.75	JDS UNIPHASE CORP
1L0027	9/30/2001	1/24/2001	-	290,000	40.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/24/2001	-	565,000	64.19	JDS UNIPHASE CORP
1L0027	9/30/2001	1/25/2001	-	123,000	132.13	BROADCOM CORP CL A
1L0027	9/30/2001	1/25/2001	-	125,000	76.13	EMC CORPORATION MASS
1L0027	9/30/2001	1/25/2001	-	265,000	39.63	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/25/2001	-	518,000	59.63	JDS UNIPHASE CORP
1L0027	9/30/2001	1/25/2001	-	166,000	82.25	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/25/2001	-	255,000	20.32	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/25/2001	-	267,430	82.69	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/25/2001	-	285,000	20.15	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/25/2001	-	285,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/25/2001	-	115,000	132.38	BROADCOM CORP CL A
1L0027	9/30/2001	1/25/2001	-	201,880	76.50	EMC CORPORATION MASS
1L0027	9/30/2001	1/25/2001	-	425,000	59.88	JDS UNIPHASE CORP
1L0027	9/30/2001	1/25/2001	-	173,000	75.75	EMC CORPORATION MASS
1L0027	9/30/2001	1/25/2001	-	200,000	39.56	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/25/2001	-	295,000	20.25	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/26/2001	-	253,310	84.25	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/26/2001	-	215,000	83.88	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/26/2001	-	226,950	134.19	BROADCOM CORP CL A
1L0027	9/30/2001	1/26/2001	-	250,000	78.88	EMC CORPORATION MASS
1L0027	9/30/2001	1/26/2001	-	215,000	78.42	EMC CORPORATION MASS
1L0027	9/30/2001	1/26/2001	-	360,000	39.25	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/26/2001	-	210,000	77.95	EMC CORPORATION MASS
1L0027	9/30/2001	1/26/2001	-	285,000	38.68	YAHOO INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	9/30/2001	1/26/2001	-	323,950	19.11	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/26/2001	-	287,000	38.37	YAHOO INC
1L0027	9/30/2001	1/26/2001	-	305,000	133.56	BROADCOM CORP CL A
1L0027	9/30/2001	1/26/2001	-	280,000	39.63	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/26/2001	-	451,880	64.75	JDS UNIPHASE CORP
1L0027	9/30/2001	1/26/2001	-	200,000	19.00	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/26/2001	-	240,000	18.87	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/26/2001	-	325,000	64.56	JDS UNIPHASE CORP
1L0027	9/30/2001	1/26/2001	-	135,000	83.63	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/26/2001	-	235,000	133.75	BROADCOM CORP CL A
1L0027	9/30/2001	1/26/2001	-	264,000	18.90	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/26/2001	-	526,000	64.00	JDS UNIPHASE CORP
1L0027	9/30/2001	1/29/2001	-	162,000	63.50	JDS UNIPHASE CORP
1L0027	9/30/2001	1/29/2001	-	265,000	40.06	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/29/2001	-	295,000	20.00	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	500,000	64.31	JDS UNIPHASE CORP
1L0027	9/30/2001	1/29/2001	-	510,000	64.00	JDS UNIPHASE CORP
1L0027	9/30/2001	1/29/2001	-	250,000	42.75	YAHOO INC
1L0027	9/30/2001	1/29/2001	-	256,140	39.88	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/29/2001	-	262,000	82.63	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/29/2001	-	285,000	19.88	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	204,000	19.60	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	280,000	82.50	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/29/2001	-	245,000	20.15	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	255,000	83.13	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/29/2001	-	282,000	19.72	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	159,000	26.31	PALM INC
1L0027	9/30/2001	1/29/2001	-	185,000	42.31	YAHOO INC
1L0027	9/30/2001	1/29/2001	-	264,000	19.79	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	295,000	138.75	BROADCOM CORP CL A
1L0027	9/30/2001	1/29/2001	-	296,000	19.65	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/29/2001	-	335,000	139.06	BROADCOM CORP CL A
1L0027	9/30/2001	1/29/2001	-	210,000	42.56	YAHOO INC
1L0027	9/30/2001	1/29/2001	-	235,000	80.63	EMC CORPORATION MASS
1L0027	9/30/2001	1/29/2001	-	245,000	81.00	EMC CORPORATION MASS
1L0027	9/30/2001	1/29/2001	-	257,000	138.56	BROADCOM CORP CL A
1L0027	9/30/2001	1/29/2001	-	145,000	26.44	PALM INC
1L0027	9/30/2001	1/29/2001	-	264,750	43.06	YAHOO INC
1L0027	9/30/2001	1/30/2001	-	205,000	19.00	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/30/2001	-	250,000	38.94	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/30/2001	-	265,000	42.25	YAHOO INC
1L0027	9/30/2001	1/30/2001	-	100,000	80.00	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/30/2001	-	269,980	81.00	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/30/2001	-	296,000	42.50	YAHOO INC
1L0027	9/30/2001	1/30/2001	-	205,000	80.88	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/30/2001	-	215,000	78.49	EMC CORPORATION MASS
1L0027	9/30/2001	1/30/2001	-	255,000	19.17	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/30/2001	-	300,000	78.70	EMC CORPORATION MASS
1L0027	9/30/2001	1/30/2001	-	195,000	42.00	YAHOO INC
1L0027	9/30/2001	1/30/2001	-	210,000	38.63	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/30/2001	-	215,000	28.13	PALM INC
1L0027	9/30/2001	1/30/2001	-	264,000	18.86	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/31/2001	-	215,000	17.90	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/31/2001	-	250,000	78.50	EMC CORPORATION MASS
1L0027	9/30/2001	1/31/2001	-	300,000	38.00	YAHOO INC
1L0027	9/30/2001	1/31/2001	-	185,000	27.06	PALM INC
1L0027	9/30/2001	1/31/2001	-	216,800	37.94	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/31/2001	-	145,000	78.26	EMC CORPORATION MASS
1L0027	9/30/2001	1/31/2001	-	225,000	38.31	YAHOO INC
1L0027	9/30/2001	1/31/2001	-	200,000	17.84	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/31/2001	-	111,970	27.25	PALM INC
1L0027	9/30/2001	1/31/2001	-	210,000	37.69	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	1/31/2001	-	224,110	18.00	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	1/31/2001	-	235,000	74.06	SIEBEL SYSTEMS INC
1L0027	9/30/2001	1/31/2001	-	240,000	73.88	SIEBEL SYSTEMS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	9/30/2001	2/1/2001	-	145,000	79.75	EMC CORPORATION MASS
1L0027	9/30/2001	2/1/2001	-	140,000	28.13	PALM INC
1L0027	9/30/2001	2/1/2001	-	175,000	39.93	YAHOO INC
1L0027	9/30/2001	2/1/2001	-	185,000	28.63	PALM INC
1L0027	9/30/2001	2/1/2001	-	240,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	2/1/2001	-	190,000	17.62	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/1/2001	-	183,000	76.06	SIEBEL SYSTEMS INC
1L0027	9/30/2001	2/1/2001	-	200,000	39.50	YAHOO INC
1L0027	9/30/2001	2/1/2001	-	276,540	17.80	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/1/2001	-	200,000	17.75	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/1/2001	-	230,700	39.29	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	2/2/2001	-	187,090	79.16	EMC CORPORATION MASS
1L0027	9/30/2001	2/2/2001	-	255,000	18.59	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/2/2001	-	75,000	38.68	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	2/2/2001	-	299,670	74.75	SIEBEL SYSTEMS INC
1L0027	9/30/2001	2/2/2001	-	212,000	78.50	EMC CORPORATION MASS
1L0027	9/30/2001	2/2/2001	-	330,000	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	9/30/2001	2/2/2001	-	122,000	41.37	YAHOO INC
1L0027	9/30/2001	2/2/2001	-	210,000	18.48	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/2/2001	-	140,000	41.06	YAHOO INC
1L0027	9/30/2001	2/2/2001	-	100,000	74.00	SIEBEL SYSTEMS INC
1L0027	9/30/2001	2/2/2001	-	135,000	28.50	PALM INC
1L0027	9/30/2001	2/5/2001	-	183,000	28.25	PALM INC
1L0027	9/30/2001	2/5/2001	-	320,000	18.62	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/5/2001	-	100,000	78.70	EMC CORPORATION MASS
1L0027	9/30/2001	2/5/2001	-	253,980	19.05	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/5/2001	-	265,000	18.91	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/5/2001	-	275,000	40.50	YAHOO INC
1L0027	9/30/2001	2/5/2001	-	200,000	79.44	EMC CORPORATION MASS
1L0027	9/30/2001	2/6/2001	-	206,000	37.87	YAHOO INC
1L0027	9/30/2001	2/6/2001	-	138,000	18.77	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/6/2001	-	315,000	37.62	YAHOO INC
1L0027	9/30/2001	2/6/2001	-	245,000	18.80	LUCENT TECHNOLOGIES INC
1L0027	9/30/2001	2/7/2001	-	205,000	36.25	YAHOO INC
1L0027	9/30/2001	2/8/2001	-	260,000	35.43	YAHOO INC
1L0027	9/30/2001	2/8/2001	-	286,830	35.75	YAHOO INC
1L0027	9/30/2001	2/9/2001	-	195,000	36.12	YAHOO INC
1L0027	4/30/2001	3/1/2001	520,000	-	98.73	U S TREASURY BILL
1L0027	11/30/2001	3/20/2001	-	40,000	36.15	NIKE INC
1L0027	11/30/2001	3/20/2001	-	41,000	36.00	NIKE INC
1L0027	11/30/2001	3/21/2001	-	42,000	36.22	NIKE INC
1L0027	11/30/2001	3/21/2001	-	44,000	24.57	MCKESSON CORP
1L0027	11/30/2001	3/21/2001	-	26,000	35.90	NIKE INC
1L0027	11/30/2001	3/21/2001	-	34,000	35.75	NIKE INC
1L0027	11/30/2001	3/21/2001	-	50,000	24.25	MCKESSON CORP
1L0027	11/30/2001	3/21/2001	-	32,000	36.00	NIKE INC
1L0027	11/30/2001	3/22/2001	-	44,000	25.50	MCKESSON CORP
1L0027	11/30/2001	3/22/2001	-	30,000	35.64	NIKE INC
1L0027	11/30/2001	3/22/2001	-	40,000	35.87	NIKE INC
1L0027	11/30/2001	3/22/2001	-	40,000	25.70	MCKESSON CORP
1L0027	11/30/2001	3/23/2001	-	39,000	26.00	MCKESSON CORP
1L0027	11/30/2001	3/23/2001	-	38,000	36.90	NIKE INC
1L0027	11/30/2001	3/23/2001	-	32,000	26.15	MCKESSON CORP
1L0027	11/30/2001	3/23/2001	-	36,000	36.85	NIKE INC
1L0027	11/30/2001	3/23/2001	-	40,000	37.05	NIKE INC
1L0027	11/30/2001	3/26/2001	-	25,000	25.57	MCKESSON CORP
1L0027	11/30/2001	3/26/2001	-	34,000	25.37	MCKESSON CORP
1L0027	11/30/2001	3/27/2001	-	25,000	23.75	MCKESSON CORP
1L0027	11/30/2001	3/27/2001	-	34,000	23.55	MCKESSON CORP
1L0027	11/30/2001	3/28/2001	-	33,330	24.75	MCKESSON CORP
1L0027	11/30/2001	3/28/2001	-	44,000	24.80	MCKESSON CORP
1L0027	11/30/2001	3/29/2001	-	25,000	26.02	MCKESSON CORP
1L0027	11/30/2001	3/29/2001	-	24,000	25.99	MCKESSON CORP
1L0027	11/30/2001	3/29/2001	-	23,000	26.15	MCKESSON CORP
1L0027	11/30/2001	3/29/2001	-	32,000	26.20	MCKESSON CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	11/30/2001	4/6/2001	-	77,000	30.25	EBAY INC
1L0027	11/30/2001	4/6/2001	-	67,000	30.81	EBAY INC
1L0027	11/30/2001	4/6/2001	-	75,000	30.63	EBAY INC
1L0027	11/30/2001	4/6/2001	-	61,000	31.69	EBAY INC
1L0027	11/30/2001	4/6/2001	-	81,000	31.75	EBAY INC
1L0027	11/30/2001	4/6/2001	-	80,000	30.69	EBAY INC
1L0027	11/30/2001	4/6/2001	-	59,000	31.31	EBAY INC
1L0027	11/30/2001	4/9/2001	-	81,000	30.25	EBAY INC
1L0027	11/30/2001	4/9/2001	-	75,000	29.63	EBAY INC
1L0027	11/30/2001	4/9/2001	-	61,000	30.06	EBAY INC
1L0027	11/30/2001	4/9/2001	-	67,000	29.94	EBAY INC
1L0027	11/30/2001	4/9/2001	-	77,000	29.50	EBAY INC
1L0027	11/30/2001	4/9/2001	-	59,000	30.00	EBAY INC
1L0027	11/30/2001	4/9/2001	-	80,000	29.81	EBAY INC
1L0027	11/30/2001	4/11/2001	-	225,000	11.43	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	130,000	12.05	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	200,000	11.50	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	215,000	11.45	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	245,000	11.62	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	255,000	11.75	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	260,000	11.59	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	232,000	11.91	MOTOROLA INC
1L0027	11/30/2001	4/11/2001	-	238,000	12.00	MOTOROLA INC
1L0027	11/30/2001	6/28/2001	80,000	-	71.00	EBAY INC
1L0027	11/30/2001	6/28/2001	81,000	-	70.50	EBAY INC
1L0027	11/30/2001	6/28/2001	67,000	-	70.89	EBAY INC
1L0027	11/30/2001	6/28/2001	59,000	-	70.78	EBAY INC
1L0027	11/30/2001	6/28/2001	61,000	-	70.65	EBAY INC
1L0027	11/30/2001	6/29/2001	61,000	-	70.64	EBAY INC
1L0027	11/30/2001	6/29/2001	81,000	-	70.55	EBAY INC
1L0027	11/30/2001	6/29/2001	75,000	-	70.25	EBAY INC
1L0027	11/30/2001	6/29/2001	77,000	-	70.41	EBAY INC
1L0027	11/30/2001	7/2/2001	59,000	-	69.00	EBAY INC
1L0027	11/30/2001	7/2/2001	75,000	-	69.47	EBAY INC
1L0027	11/30/2001	7/2/2001	77,000	-	69.55	EBAY INC
1L0027	11/30/2001	7/2/2001	80,000	-	69.32	EBAY INC
1L0027	11/30/2001	7/2/2001	67,000	-	69.15	EBAY INC
1L0027	11/30/2001	10/4/2001	-	215,000	8.37	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	210,000	8.05	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	185,000	8.20	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	230,000	8.34	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	257,000	8.28	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	208,000	8.12	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	220,000	8.15	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	225,000	8.07	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/4/2001	-	250,000	8.23	SUN MICROSYSTEMS INC
1L0027	11/30/2001	10/5/2001	-	115,000	19.87	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	130,000	19.99	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	150,000	19.50	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	102,000	19.71	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	105,000	19.75	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	180,000	19.62	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	108,000	19.50	INTEL CORP
1L0027	11/30/2001	10/5/2001	-	110,000	19.80	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	148,000	20.25	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	260,000	20.00	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	205,000	19.27	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	215,000	19.45	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	230,000	19.50	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	240,000	19.88	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	152,000	20.15	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	185,000	19.20	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	210,000	19.32	INTEL CORP
1L0027	11/30/2001	10/9/2001	-	155,000	19.18	INTEL CORP
1L0027	11/30/2001	10/26/2001	50,000	-	39.00	MCKESSON CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	11/30/2001	10/26/2001	40,000	-	39.15	MCKESSON CORP
1L0027	11/30/2001	10/26/2001	44,000	-	38.78	MCKESSON CORP
1L0027	11/30/2001	10/26/2001	44,000	-	39.25	MCKESSON CORP
1L0027	11/30/2001	10/29/2001	25,000	-	39.31	MCKESSON CORP
1L0027	11/30/2001	10/29/2001	39,000	-	39.25	MCKESSON CORP
1L0027	11/30/2001	10/29/2001	32,000	-	39.12	MCKESSON CORP
1L0027	11/30/2001	10/30/2001	34,000	-	38.78	MCKESSON CORP
1L0027	11/30/2001	10/31/2001	25,000	-	39.52	MCKESSON CORP
1L0027	11/30/2001	10/31/2001	34,000	-	39.75	MCKESSON CORP
1L0027	2/28/2002	1/22/2002	-	250,000	136.25	BROADCOM CORP CL A
1L0027	2/28/2002	1/22/2002	-	295,000	76.38	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/22/2002	-	260,210	76.63	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/22/2002	-	335,000	136.44	BROADCOM CORP CL A
1L0027	2/28/2002	1/23/2002	-	285,000	130.44	BROADCOM CORP CL A
1L0027	2/28/2002	1/23/2002	-	268,380	130.63	BROADCOM CORP CL A
1L0027	2/28/2002	1/23/2002	-	250,000	77.88	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/24/2002	-	138,620	79.75	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/24/2002	-	145,000	79.44	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/25/2002	-	123,000	132.13	BROADCOM CORP CL A
1L0027	2/28/2002	1/25/2002	-	267,430	82.69	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/25/2002	-	115,000	132.38	BROADCOM CORP CL A
1L0027	2/28/2002	1/25/2002	-	166,000	82.25	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/26/2002	-	253,310	84.25	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/26/2002	-	215,000	83.88	SIEBEL SYSTEMS INC
1L0027	2/28/2002	1/26/2002	-	135,000	83.63	SIEBEL SYSTEMS INC
1L0027	1/31/2002	2/1/2002	200,000	-	17.75	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/1/2002	240,000	-	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	1/31/2002	2/1/2002	230,700	-	39.29	NORTEL NETWORKS CORP HLDS CO
1L0027	1/31/2002	2/1/2002	200,000	-	39.50	YAHOO INC
1L0027	1/31/2002	2/1/2002	276,540	-	17.80	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/1/2002	175,000	-	39.93	YAHOO INC
1L0027	1/31/2002	2/1/2002	183,000	-	76.06	SIEBEL SYSTEMS INC
1L0027	1/31/2002	2/1/2002	190,000	-	17.62	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/1/2002	140,000	-	28.13	PALM INC
1L0027	1/31/2002	2/1/2002	145,000	-	79.75	EMC CORPORATION MASS
1L0027	1/31/2002	2/1/2002	185,000	-	28.63	PALM INC
1L0027	1/31/2002	2/2/2002	140,000	-	41.06	YAHOO INC
1L0027	1/31/2002	2/2/2002	212,000	-	78.50	EMC CORPORATION MASS
1L0027	1/31/2002	2/2/2002	330,000	-	39.00	NORTEL NETWORKS CORP HLDS CO
1L0027	1/31/2002	2/2/2002	122,000	-	41.37	YAHOO INC
1L0027	1/31/2002	2/2/2002	135,000	-	28.50	PALM INC
1L0027	1/31/2002	2/2/2002	210,000	-	18.48	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/2/2002	100,000	-	74.00	SIEBEL SYSTEMS INC
1L0027	1/31/2002	2/2/2002	187,090	-	79.16	EMC CORPORATION MASS
1L0027	1/31/2002	2/2/2002	299,670	-	74.75	SIEBEL SYSTEMS INC
1L0027	1/31/2002	2/2/2002	75,000	-	38.68	NORTEL NETWORKS CORP HLDS CO
1L0027	1/31/2002	2/2/2002	255,000	-	18.59	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/5/2002	320,000	-	18.62	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/5/2002	100,000	-	78.70	EMC CORPORATION MASS
1L0027	1/31/2002	2/5/2002	275,000	-	40.50	YAHOO INC
1L0027	1/31/2002	2/5/2002	200,000	-	79.44	EMC CORPORATION MASS
1L0027	1/31/2002	2/5/2002	183,000	-	28.25	PALM INC
1L0027	1/31/2002	2/5/2002	265,000	-	18.91	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/5/2002	253,980	-	19.05	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/6/2002	315,000	-	37.62	YAHOO INC
1L0027	1/31/2002	2/6/2002	245,000	-	18.80	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/6/2002	138,000	-	18.77	LUCENT TECHNOLOGIES INC
1L0027	1/31/2002	2/6/2002	206,000	-	37.87	YAHOO INC
1L0027	1/31/2002	2/7/2002	205,000	-	36.25	YAHOO INC
1L0027	1/31/2002	2/8/2002	260,000	-	35.43	YAHOO INC
1L0027	1/31/2002	2/8/2002	286,830	-	35.75	YAHOO INC
1L0027	1/31/2002	2/9/2002	195,000	-	36.12	YAHOO INC
1L0027	3/31/2002	2/28/2002	59,450,000	-	99.28	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.43	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.57	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0027	3/31/2002	12/27/2002	-	46,460,000	99.46	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.50	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.66	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.50	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.66	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.46	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.54	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.60	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.60	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	245,800,000	99.43	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.63	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.63	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	35,000,000	99.54	U S TREASURY BILL
1L0027	3/31/2002	12/27/2002	-	240,000,000	99.57	U S TREASURY BILL
1L0027	3/31/2002	12/31/2002	-	1,185,000	99.66	U S TREASURY BILL
1L0027	11/30/2003	2/7/2003	95,000	-	13.53	BROADCOM CORP CL A
1L0027	11/30/2003	2/10/2003	150,000	-	12.39	APPLIED MATERIALS INC
1L0027	11/30/2003	2/11/2003	55,000	-	11.23	ALTERA CORP
1L0027	11/30/2003	3/4/2003	75,000	-	10.36	NETWORK APPLIANCE INC
1L0027	11/30/2003	3/6/2003	35,000	-	19.41	TOLL BROTHERS INC
1L0027	11/30/2003	3/24/2003	37,000	-	13.82	CARMAX INC
1L0027	11/30/2003	3/25/2003	35,000	-	13.94	CARMAX INC
1L0027	11/30/2003	3/28/2003	25,000	-	10.43	UNITED STATES STEEL CORP
1L0027	11/30/2003	3/31/2003	30,000	-	10.29	UNITED STATES STEEL CORP
1L0027	11/30/2003	4/8/2003	49,000	-	16.42	NORDSTROM INC
1L0027	11/30/2003	4/9/2003	50,000	-	16.45	NORDSTROM INC
1L0027	12/31/2003	10/23/2003	35,000	-	30.21	PRICELINE COM INC
1L0027	12/31/2003	10/23/2003	75,000	-	30.02	PRICELINE COM INC
1L0027	12/31/2004	11/3/2004	10,250	-	29.07	PALMONE INC
1L0027	12/31/2004	11/24/2004	-	155,000	16.71	NATIONAL SEMICONDUCTOR CORP
1L0027	12/31/2004	11/24/2004	-	125,000	16.79	NATIONAL SEMICONDUCTOR CORP
1L0027	12/31/2004	11/24/2004	-	170,000	16.76	NATIONAL SEMICONDUCTOR CORP
1L0027	12/31/2004	11/24/2004	-	150,000	16.83	NATIONAL SEMICONDUCTOR CORP
1L0028	5/31/1999	4/1/1999	7,650,000	-	97.87	U S TREASURY BILL
1L0028	5/31/1999	4/30/1999	-	57,770	1.00	FIDELITY SPARTAN
1L0028	5/31/1999	4/30/1999	-	7,493,689	1.00	FIDELITY SPARTAN
1L0028	5/31/1999	4/30/1999	64,404	-	1.00	FIDELITY SPARTAN
1L0028	6/30/1999	5/26/1999	12,425,000	-	99.66	U S TREASURY BILL
1L0028	6/30/1999	5/26/1999	-	12,425,000	98.66	U S TREASURY BILL
1L0028	8/31/2002	7/31/2002	15,400,000	-	99.48	U S TREASURY BILL
1L0028	8/31/2002	7/31/2002	-	15,400,000	99.48	U S TREASURY BILL
1L0028	8/31/2002	7/31/2002	-	15,400,000	99.54	U S TREASURY BILL
1L0028	8/31/2002	7/31/2002	15,400,000	-	99.54	U S TREASURY BILL
1L0030	1/31/1998	12/24/1998	-	13,145	103.13	CORN PRODUCT INTERNATIONAL INC
1L0030	1/31/1998	12/24/1998	13,145	-	103.13	CPC INTL INC
1L0035	11/30/2001	9/4/2001	20,000	-	51.05	KLA TENCOR CORPORATION
1L0035	12/31/2001	11/13/2001	7,000	-	59.80	JOHNSON & JOHNSON
1L0035	11/30/2008	10/3/2008	60,000	-	116.70	SPDR TRUST SER 1 UNITS
1L0035	11/30/2008	10/3/2008	-	60,000	116.70	SPDR TRUST SER 1 UNITS
1L0036	2/28/1999	1/19/1999	-	700	103.00	AMGEN INC
1L0036	8/31/1999	7/22/1999	-	6,400	100.00	MICROSOFT CORP
1L0036	11/30/2001	9/4/2001	10,000	-	51.05	KLA TENCOR CORPORATION
1L0036	12/31/2001	11/13/2001	15,000	-	59.80	JOHNSON & JOHNSON
1L0053	6/30/1999	5/26/1999	-	3,275,000	98.66	U S TREASURY BILL
1L0053	6/30/1999	5/26/1999	3,275,000	-	99.66	U S TREASURY BILL
1L0053	8/31/2002	7/31/2002	2,800,000	-	99.54	U S TREASURY BILL
1L0053	8/31/2002	7/31/2002	2,800,000	-	99.48	U S TREASURY BILL
1L0053	8/31/2002	7/31/2002	-	2,800,000	99.48	U S TREASURY BILL
1L0053	8/31/2002	7/31/2002	-	2,800,000	99.54	U S TREASURY BILL
1L0057	2/28/1997	1/7/1997	32,000	-	54.00	AMERICAN EXPRESS COMPANY
1L0057	2/28/1997	1/7/1997	38,000	-	108.25	PHILIP MORRIS COMPANIES INC
1L0057	2/28/1997	1/7/1997	11,500	-	56.00	GENERAL MOTORS CORP
1L0057	2/28/1997	1/7/1997	14,000	-	97.00	EXXON CORP
1L0057	2/28/1997	1/7/1997	19,000	-	32.63	MCI COMMUNICATIONS CORP
1L0057	2/28/1997	1/7/1997	28,800	-	40.00	INTERNATIONAL PAPER CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0057	2/28/1997	1/7/1997	9,800	-	33.25	CHRYSLER CORP
1L0057	2/28/1997	1/7/1997	38,400	-	96.25	GENERAL ELECTRIC CO
1L0057	2/28/1997	1/9/1997	19,000	-	22.25	WAL-MART STORES INC
1L0057	2/28/1997	1/10/1997	6,400	-	29.88	LEHMAN BROS HOLDING INC
1L0057	2/28/1997	1/14/1997	19,500	-	32.00	RJR NABISCO HOLDINGS CORP
1L0057	2/29/2000	1/6/2000	-	6,110	78.06	DAIMLER CHRYSLER AG
1L0057	2/29/2000	1/6/2000	-	35,451	54.75	MCI WORLDCOM INC
1L0057	2/29/2000	1/6/2000	-	50,000	124.50	ORACLE CORPORATION
1L0057	2/29/2000	1/6/2000	-	25,600	118.56	HEWLETT PACKARD CO
1L0057	2/29/2000	1/6/2000	-	32,000	164.00	AMERICAN EXPRESS COMPANY
1L0057	2/29/2000	1/6/2000	-	38,000	68.75	WAL-MART STORES INC
1L0057	2/29/2000	1/6/2000	-	6,400	84.50	LEHMAN BROS HOLDING INC
1L0057	2/29/2000	1/6/2000	-	19,500	10.63	NABISCO GRP HLDS
1L0057	2/29/2000	1/6/2000	-	41,125	124.50	ORACLE CORPORATION
1L0057	2/29/2000	1/7/2000	-	100,000	65.13	GTE CORP
1L0057	2/29/2000	1/10/2000	-	75,000	58.00	COLGATE PALMOLIVE CO
1L0057	2/29/2000	1/11/2000	-	1,575	141.25	DOW CHEMICAL CO
1L0057	2/29/2000	1/12/2000	-	41,600	96.69	JOHNSON & JOHNSON
1L0057	2/29/2000	1/12/2000	-	28,800	59.75	INTERNATIONAL PAPER CO
1L0057	2/29/2000	1/12/2000	-	40,000	96.63	JOHNSON & JOHNSON
1L0057	2/29/2000	1/13/2000	-	733	27.38	RAYTHEON COMPANY NEW
1L0057	2/29/2000	1/14/2000	-	38,000	24.31	PHILIP MORRIS COMPANIES INC
1L0057	2/29/2000	1/14/2000	-	76,000	24.38	PHILIP MORRIS COMPANIES INC
1L0057	2/29/2000	1/14/2000	-	29,000	68.50	AMR CORP
1L0057	2/29/2000	1/18/2000	-	40,000	49.81	GAP INC
1L0057	2/29/2000	1/18/2000	-	53,125	50.06	GAP INC
1L0057	2/29/2000	1/18/2000	-	58,000	49.88	GAP INC
1L0057	2/29/2000	1/18/2000	-	50,000	50.00	GAP INC
1L0057	2/29/2000	1/18/2000	-	52,000	49.94	GAP INC
1L0057	2/29/2000	1/19/2000	-	41,800	154.63	GENERAL ELECTRIC CO
1L0057	2/29/2000	1/19/2000	-	48,000	154.50	GENERAL ELECTRIC CO
1L0057	2/29/2000	1/19/2000	-	46,000	55.75	AT & T CORP
1L0057	2/29/2000	1/19/2000	-	52,000	154.56	GENERAL ELECTRIC CO
1L0057	2/29/2000	1/19/2000	-	44,000	55.63	AT & T CORP
1L0057	2/29/2000	1/20/2000	-	48,000	105.69	INTEL CORP
1L0057	2/29/2000	1/21/2000	-	11,500	85.50	GENERAL MOTORS CORP
1L0057	2/29/2000	1/21/2000	-	6,499	20.25	R J REYNOLDS TOBACCO HLDS
1L0057	2/29/2000	1/24/2000	-	8,037	18.56	DELPHI AUTOMOTIVE SYSTEMS
1L0057	2/29/2000	1/25/2000	-	46,000	124.13	INTERNATIONAL BUSINESS MACHS
1L0057	2/29/2000	1/25/2000	-	54,000	44.75	ADVANCED MICRO DEVICES
1L0057	2/29/2000	1/25/2000	-	38,000	75.75	THE CHASE MANHATTAN CORP NEW
1L0057	2/29/2000	1/25/2000	-	56,000	44.81	ADVANCED MICRO DEVICES
1L0057	2/29/2000	1/25/2000	-	65,000	44.88	ADVANCED MICRO DEVICES
1L0057	2/29/2000	1/27/2000	-	28,000	86.06	EXXON MOBIL CORP
1L0057	2/29/2000	1/28/2000	-	74,400	53.13	NATIONAL SEMICONDUCTOR CORP
1L0057	2/29/2000	1/28/2000	65,000	-	53.13	NATIONAL SEMICONDUCTOR CORP
1L0057	2/29/2000	1/28/2000	-	34,020	33.50	COMPAQ COMPUTER CORP
1L0057	7/31/2001	6/6/2001	-	40,000	38.77	AMR CORP
1L0057	7/31/2001	6/6/2001	50,000	-	38.77	AMR CORP
1L0057	11/30/2001	9/4/2001	50,000	-	45.40	APPLIED MATERIALS INC
1L0057	11/30/2001	9/4/2001	70,000	-	40.00	XILINX INC
1L0057	11/30/2001	9/4/2001	25,000	-	30.25	ALTERA CORP
1L0057	11/30/2001	9/4/2001	60,000	-	51.99	KLA TENCOR CORPORATION
1L0057	11/30/2001	9/4/2001	75,000	-	35.23	PEOPLESOFT INC
1L0057	11/30/2001	9/5/2001	70,000	-	38.26	XILINX INC
1L0057	11/30/2001	9/5/2001	75,000	-	33.00	PEOPLESOFT INC
1L0057	5/31/2003	1/3/2003	56,000	-	34.90	EXXON MOBIL CORP
1L0057	5/31/2003	1/3/2003	96,000	-	35.79	AMERICAN EXPRESS COMPANY
1L0057	5/31/2003	1/3/2003	12,800	-	54.22	LEHMAN BROS HOLDING INC
1L0057	5/31/2003	1/6/2003	46,000	-	78.21	INTERNATIONAL BUSINESS MACHS
1L0057	5/31/2003	1/6/2003	70,000	-	53.88	JOHNSON & JOHNSON
1L0057	5/31/2003	1/6/2003	93,200	-	53.80	JOHNSON & JOHNSON
1L0057	9/30/2004	7/1/2004	-	50,000	21.15	HEWLETT PACKARD CO
1L0057	9/30/2004	7/1/2004	-	80,000	21.06	HEWLETT PACKARD CO
1L0057	9/30/2004	7/1/2004	70,000	-	21.12	HEWLETT PACKARD CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0057	9/30/2004	7/1/2004	-	70,000	21.12	HEWLETT PACKARD CO
1L0057	9/30/2004	7/1/2004	80,000	-	21.06	HEWLETT PACKARD CO
1L0057	9/30/2004	7/1/2004	-	100,000	11.12	SIEBEL SYSTEMS INC
1L0057	9/30/2004	7/1/2004	50,000	-	21.15	HEWLETT PACKARD CO
1L0057	9/30/2004	7/1/2004	100,000	-	11.12	SIEBEL SYSTEMS INC
1L0057	9/30/2004	7/2/2004	53,000	-	10.70	SIEBEL SYSTEMS INC
1L0057	9/30/2004	7/2/2004	-	53,000	10.70	SIEBEL SYSTEMS INC
1L0057	9/30/2004	7/6/2004	11,000	-	46.84	BROADCOM CORP CL A
1L0057	9/30/2004	7/6/2004	11,500	-	46.79	GENERAL MOTORS CORP
1L0057	9/30/2004	7/6/2004	-	11,000	46.84	BROADCOM CORP CL A
1L0057	9/30/2004	7/6/2004	-	11,500	46.79	GENERAL MOTORS CORP
1L0057	9/30/2004	7/7/2004	-	75,000	7.43	LSI LOGIC CORP
1L0057	9/30/2004	7/7/2004	50,000	-	7.40	LSI LOGIC CORP
1L0057	9/30/2004	7/7/2004	89,000	-	46.59	BROADCOM CORP CL A
1L0057	9/30/2004	7/7/2004	-	50,000	7.40	LSI LOGIC CORP
1L0057	9/30/2004	7/7/2004	75,000	-	7.43	LSI LOGIC CORP
1L0057	9/30/2004	7/7/2004	-	89,000	46.59	BROADCOM CORP CL A
1L0075	6/30/1999	5/26/1999	8,725,000	-	99.66	U S TREASURY BILL
1L0075	6/30/1999	5/26/1999	-	8,725,000	98.66	U S TREASURY BILL
1L0075	8/31/2002	7/31/2002	10,275,000	-	99.54	U S TREASURY BILL
1L0075	8/31/2002	7/31/2002	10,275,000	-	99.48	U S TREASURY BILL
1L0075	8/31/2002	7/31/2002	-	10,275,000	99.54	U S TREASURY BILL
1L0075	8/31/2002	7/31/2002	-	10,275,000	99.48	U S TREASURY BILL
1L0078	6/30/1999	5/26/1999	-	6,650,000	98.66	U S TREASURY BILL
1L0078	6/30/1999	5/26/1999	6,650,000	-	99.66	U S TREASURY BILL
1L0078	8/31/2002	7/31/2002	6,750,000	-	99.48	U S TREASURY BILL
1L0078	8/31/2002	7/31/2002	-	6,750,000	99.48	U S TREASURY BILL
1L0078	8/31/2002	7/31/2002	-	6,750,000	99.54	U S TREASURY BILL
1L0078	8/31/2002	7/31/2002	6,750,000	-	99.54	U S TREASURY BILL
1L0086	10/31/1997	8/13/1997	-	150,000	59.06	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/13/1997	-	259,000	59.00	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/13/1997	-	150,000	59.13	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/13/1997	-	300,000	59.19	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	250,000	56.63	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	300,000	56.69	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	200,000	56.44	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	275,000	56.56	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	322,000	56.75	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	156,000	56.81	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	175,000	56.50	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	500	56.63	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	200,000	56.63	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	250,000	56.44	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	150,000	56.56	COMPAQ COMPUTER CORP
1L0086	10/31/1997	8/14/1997	-	350,000	56.50	COMPAQ COMPUTER CORP
1L0086	10/31/1997	9/30/1997	15,880,000	-	97.95	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.23	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.70	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.34	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	2,682	-	1.00	FIDELITY SPARTAN
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.03	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.61	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.45	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.14	U S TREASURY BILL
1L0086	10/31/1997	9/30/1997	21,000,000	-	98.53	U S TREASURY BILL
1L0086	5/31/1999	4/30/1999	85,000,000	-	98.81	U S TREASURY BILL
1L0086	2/29/2000	1/10/2000	125,000	-	39.56	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/10/2000	150,000	-	39.44	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/10/2000	105,000	-	39.50	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/11/2000	145,000	-	39.50	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/11/2000	100,000	-	39.63	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/14/2000	64,000	-	90.81	APPLE COMPUTER INC
1L0086	2/29/2000	1/14/2000	72,000	-	90.75	APPLE COMPUTER INC
1L0086	2/29/2000	1/18/2000	50,000	-	87.44	APPLE COMPUTER INC
1L0086	2/29/2000	1/18/2000	47,000	-	87.50	APPLE COMPUTER INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0086	2/29/2000	1/18/2000	48,000	-	87.13	APPLE COMPUTER INC
1L0086	2/29/2000	1/18/2000	52,000	-	87.38	APPLE COMPUTER INC
1L0086	2/29/2000	1/18/2000	56,000	-	87.25	APPLE COMPUTER INC
1L0086	2/29/2000	1/18/2000	58,000	-	87.00	APPLE COMPUTER INC
1L0086	2/29/2000	1/21/2000	-	150,000	53.50	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/21/2000	-	105,000	53.44	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/25/2000	-	47,000	121.19	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	50,000	121.25	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	52,000	120.63	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	48,000	120.75	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	72,000	121.00	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	56,000	120.69	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	58,000	120.88	APPLE COMPUTER INC
1L0086	2/29/2000	1/25/2000	-	64,000	120.94	APPLE COMPUTER INC
1L0086	2/29/2000	1/28/2000	-	125,000	53.06	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/28/2000	-	145,000	53.50	NATIONAL SEMICONDUCTOR CORP
1L0086	2/29/2000	1/28/2000	-	100,000	53.44	NATIONAL SEMICONDUCTOR CORP
1L0088	10/31/1997	8/13/1997	-	135,000	59.25	COMPAQ COMPUTER CORP
1L0088	10/31/1997	8/13/1997	-	125,000	59.13	COMPAQ COMPUTER CORP
1L0088	10/31/1997	8/13/1997	-	200,000	59.06	COMPAQ COMPUTER CORP
1L0088	10/31/1997	8/13/1997	-	140,000	59.19	COMPAQ COMPUTER CORP
1L0088	10/31/1997	8/13/1997	-	150,000	59.00	COMPAQ COMPUTER CORP
1L0088	10/31/1997	8/22/1997	-	186,000	65.63	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/25/1997	-	148,000	66.88	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/25/1997	-	78,600	50.06	KIMBERLY CLARK CORP
1L0088	10/31/1997	8/26/1997	-	338,000	49.94	KIMBERLY CLARK CORP
1L0088	10/31/1997	8/26/1997	-	154,000	66.88	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/27/1997	-	175,000	57.81	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	125,000	57.69	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	200,000	82.56	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	141,000	55.25	NIKE INC
1L0088	10/31/1997	8/27/1997	-	175,000	82.63	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	150,000	57.75	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	190,000	82.75	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	200,000	57.88	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	226,000	82.56	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	250,000	82.50	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	100,000	57.63	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	56,000	57.94	JOHNSON & JOHNSON
1L0088	10/31/1997	8/27/1997	-	132,500	65.25	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/27/1997	-	185,000	82.69	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	210,000	82.50	DELL COMPUTER CORP
1L0088	10/31/1997	8/27/1997	-	227,000	49.31	KIMBERLY CLARK CORP
1L0088	10/31/1997	8/28/1997	-	250,000	84.69	DELL COMPUTER CORP
1L0088	10/31/1997	8/28/1997	-	146,000	50.00	KIMBERLY CLARK CORP
1L0088	10/31/1997	8/28/1997	-	150,000	84.56	DELL COMPUTER CORP
1L0088	10/31/1997	8/28/1997	-	175,000	66.88	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/28/1997	-	200,000	84.50	DELL COMPUTER CORP
1L0088	10/31/1997	8/28/1997	-	15,000	57.81	JOHNSON & JOHNSON
1L0088	10/31/1997	8/28/1997	-	185,000	84.75	DELL COMPUTER CORP
1L0088	10/31/1997	8/28/1997	-	175,000	84.63	DELL COMPUTER CORP
1L0088	10/31/1997	8/29/1997	-	99,000	66.31	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	8/29/1997	-	160,000	82.88	DELL COMPUTER CORP
1L0088	10/31/1997	8/29/1997	-	150,000	82.81	DELL COMPUTER CORP
1L0088	10/31/1997	8/29/1997	-	239,000	50.00	KIMBERLY CLARK CORP
1L0088	10/31/1997	8/29/1997	-	200,000	55.00	NIKE INC
1L0088	10/31/1997	8/29/1997	-	200,000	82.75	DELL COMPUTER CORP
1L0088	10/31/1997	8/29/1997	-	54,000	82.94	DELL COMPUTER CORP
1L0088	10/31/1997	8/29/1997	-	200,000	54.94	NIKE INC
1L0088	10/31/1997	9/2/1997	-	195,000	49.25	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	175,000	49.13	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	183,000	48.75	KIMBERLY CLARK CORP
1L0088	10/31/1997	9/2/1997	-	150,000	49.19	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	215,000	53.75	NIKE INC
1L0088	10/31/1997	9/2/1997	-	300,000	49.19	SUN MICROSYSTEMS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0088	10/31/1997	9/2/1997	-	200,000	49.06	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	200,000	53.69	NIKE INC
1L0088	10/31/1997	9/2/1997	-	150,000	49.00	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	150,000	49.13	SUN MICROSYSTEMS INC
1L0088	10/31/1997	9/2/1997	-	217,000	61.50	HEWLETT PACKARD CO
1L0088	10/31/1997	9/3/1997	-	159,000	48.88	KIMBERLY CLARK CORP
1L0088	10/31/1997	9/3/1997	-	145,000	65.50	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	9/4/1997	-	198,000	66.88	COMPUTER ASSOC INTL INC
1L0088	10/31/1997	9/4/1997	-	250,000	49.63	AMGEN INC
1L0088	10/31/1997	9/4/1997	-	324,000	53.38	NIKE INC
1L0088	10/31/1997	9/4/1997	-	250,000	49.56	AMGEN INC
1L0088	10/31/1997	9/4/1997	-	300,000	91.81	MERCK & CO
1L0088	10/31/1997	9/4/1997	-	119,000	61.44	HEWLETT PACKARD CO
1L0088	10/31/1997	9/4/1997	-	205,000	47.56	KIMBERLY CLARK CORP
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.34	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.61	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	7,689	-	1.00	FIDELITY SPARTAN
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.70	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.03	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.23	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.53	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.14	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,000,000	-	98.45	U S TREASURY BILL
1L0088	10/31/1997	9/30/1997	50,450,000	-	97.95	U S TREASURY BILL
1L0088	5/31/1999	4/30/1999	85,000,000	-	98.81	U S TREASURY BILL
1L0088	2/29/2000	1/6/2000	-	44,000	32.69	USX-U S STEEL GROUP
1L0088	2/29/2000	1/6/2000	-	88,000	42.06	NOVELL INC
1L0088	2/29/2000	1/6/2000	-	92,000	42.13	NOVELL INC
1L0088	2/29/2000	1/6/2000	-	56,000	42.00	NOVELL INC
1L0088	2/29/2000	1/6/2000	-	64,000	41.94	NOVELL INC
1L0088	2/29/2000	1/10/2000	92,000	-	32.25	NOVELL INC
1L0088	2/29/2000	1/10/2000	40,000	-	54.63	AETNA INC
1L0088	2/29/2000	1/10/2000	64,000	-	32.44	NOVELL INC
1L0088	2/29/2000	1/10/2000	38,648	-	54.50	AETNA INC
1L0088	2/29/2000	1/10/2000	56,000	-	32.38	NOVELL INC
1L0088	2/29/2000	1/10/2000	88,000	-	32.31	NOVELL INC
1L0088	2/29/2000	1/12/2000	-	56,000	96.50	JOHNSON & JOHNSON
1L0088	2/29/2000	1/12/2000	-	59,000	96.56	JOHNSON & JOHNSON
1L0088	2/29/2000	1/12/2000	-	108,000	32.69	USX-U S STEEL GROUP
1L0088	2/29/2000	1/12/2000	-	63,000	96.63	JOHNSON & JOHNSON
1L0088	2/29/2000	1/12/2000	-	44,000	96.31	JOHNSON & JOHNSON
1L0088	2/29/2000	1/12/2000	-	50,000	96.38	JOHNSON & JOHNSON
1L0088	2/29/2000	1/12/2000	-	57,000	96.44	JOHNSON & JOHNSON
1L0088	2/29/2000	1/14/2000	-	38,648	58.38	AETNA INC
1L0088	2/29/2000	1/14/2000	-	40,000	58.31	AETNA INC
1L0088	2/29/2000	1/18/2000	52,000	-	87.81	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	125,000	-	32.44	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/18/2000	175,000	-	32.31	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/18/2000	131,298	-	32.38	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/18/2000	41,000	-	87.56	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	53,000	-	87.00	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	56,000	-	87.63	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	62,000	-	87.13	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	200,000	-	32.25	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/18/2000	-	61,000	43.75	BOISE CASCADE CORP
1L0088	2/29/2000	1/18/2000	49,000	-	87.25	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	48,000	-	87.75	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	59,000	-	87.50	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	44,000	-	87.69	APPLE COMPUTER INC
1L0088	2/29/2000	1/18/2000	-	100,000	43.69	BOISE CASCADE CORP
1L0088	2/29/2000	1/18/2000	61,000	-	87.38	APPLE COMPUTER INC
1L0088	2/29/2000	1/19/2000	-	138,000	32.06	USX-U S STEEL GROUP
1L0088	2/29/2000	1/20/2000	-	135,000	32.00	USX-U S STEEL GROUP
1L0088	2/29/2000	1/20/2000	-	13,000	43.50	BOISE CASCADE CORP
1L0088	2/29/2000	1/20/2000	-	47,000	43.50	BOISE CASCADE CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0088	2/29/2000	1/20/2000	-	29,000	43.50	BOISE CASCADE CORP
1L0088	2/29/2000	1/21/2000	-	175,000	39.69	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/21/2000	-	125,000	39.56	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/21/2000	-	200,000	39.75	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/21/2000	-	131,298	39.63	TYCO INTERNATIONAL LTD
1L0088	2/29/2000	1/25/2000	-	49,000	121.00	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	41,000	120.75	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	59,000	120.88	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	53,000	121.13	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	62,000	121.06	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	56,000	120.69	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	44,000	120.63	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	61,000	120.94	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	48,000	121.25	APPLE COMPUTER INC
1L0088	2/29/2000	1/25/2000	-	52,000	121.19	APPLE COMPUTER INC
1L0088	2/29/2000	1/27/2000	50,000	-	84.25	JOHNSON & JOHNSON
1L0088	2/29/2000	1/27/2000	105,000	-	26.25	USX-U S STEEL GROUP
1L0088	2/29/2000	1/27/2000	56,000	-	84.13	JOHNSON & JOHNSON
1L0088	2/29/2000	1/27/2000	57,000	-	84.19	JOHNSON & JOHNSON
1L0088	2/29/2000	1/27/2000	59,000	-	84.06	JOHNSON & JOHNSON
1L0088	2/29/2000	1/27/2000	63,000	-	84.00	JOHNSON & JOHNSON
1L0088	2/29/2000	1/27/2000	29,000	-	36.00	BOISE CASCADE CORP
1L0088	2/29/2000	1/27/2000	44,000	-	84.31	JOHNSON & JOHNSON
1L0088	2/29/2000	1/28/2000	100,000	-	35.50	BOISE CASCADE CORP
1L0088	2/29/2000	1/28/2000	138,000	-	26.00	USX-U S STEEL GROUP
1L0088	2/29/2000	1/31/2000	61,000	-	35.25	BOISE CASCADE CORP
1L0088	2/29/2000	1/31/2000	13,000	-	35.50	BOISE CASCADE CORP
1L0088	2/29/2000	1/31/2000	47,000	-	35.38	BOISE CASCADE CORP
1L0088	2/29/2000	1/31/2000	108,000	-	25.63	USX-U S STEEL GROUP
1L0088	2/29/2000	1/31/2000	30,000	-	25.50	USX-U S STEEL GROUP
1L0088	2/29/2000	1/31/2000	44,000	-	25.56	USX-U S STEEL GROUP
1L0092	2/28/1999	1/19/1999	-	192	103.00	AMGEN INC
1L0092	11/30/2001	9/4/2001	24,000	-	51.05	KLA TENCOR CORPORATION
1L0092	12/31/2007	3/2/2007	24,000	-	64.12	AMGEN INC
1L0092	12/31/2007	7/16/2007	25,000	-	37.51	GENERAL MOTORS CORP
1L0092	9/30/2008	1/30/2008	24,000	-	48.78	AMGEN INC
1L0092	9/30/2008	3/7/2008	-	10,000	120.62	APPLE INC
1L0092	11/30/2008	10/1/2008	30,000	-	120.98	SPDR TRUST SER 1 UNITS
1L0092	11/30/2008	10/1/2008	-	30,000	120.98	SPDR TRUST SER 1 UNITS
1L0092	11/30/2008	10/3/2008	-	13,000	116.70	SPDR TRUST SER 1 UNITS
1L0092	11/30/2008	10/3/2008	13,000	-	116.70	SPDR TRUST SER 1 UNITS
1L0093	2/28/1999	1/19/1999	-	192	103.00	AMGEN INC
1L0093	11/30/2001	9/4/2001	25,000	-	51.05	KLA TENCOR CORPORATION
1L0093	11/30/2008	10/1/2008	18,000	-	120.98	SPDR TRUST SER 1 UNITS
1L0093	11/30/2008	10/1/2008	-	18,000	120.98	SPDR TRUST SER 1 UNITS
1L0093	11/30/2008	10/3/2008	-	18,000	116.70	SPDR TRUST SER 1 UNITS
1L0093	11/30/2008	10/3/2008	18,000	-	116.70	SPDR TRUST SER 1 UNITS
1L0094	2/28/1999	1/19/1999	-	216	103.00	AMGEN INC
1L0094	11/30/2001	9/4/2001	22,000	-	51.05	KLA TENCOR CORPORATION
1L0094	12/31/2003	11/26/2003	9,000	-	40.10	NATIONAL SEMICONDUCTOR CORP
1L0094	11/30/2008	10/1/2008	-	8,000	120.98	SPDR TRUST SER 1 UNITS
1L0094	11/30/2008	10/1/2008	8,000	-	120.98	SPDR TRUST SER 1 UNITS
1L0094	11/30/2008	10/3/2008	4,000	-	116.70	SPDR TRUST SER 1 UNITS
1L0094	11/30/2008	10/3/2008	-	4,000	116.70	SPDR TRUST SER 1 UNITS
1L0095	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1L0095	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1L0099	10/31/2000	1/7/2000	73,000	-	55.00	QUALCOMM INC
1L0099	11/30/2000	1/29/2000	295	-	1.00	FIDELITY SPARTAN
1L0099	2/28/2001	1/6/2001	34,000	-	191.50	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	100,000	-	193.25	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	-	34,000	191.50	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	-	100,000	192.00	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	100,000	-	192.50	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	150,000	-	191.75	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	-	100,000	193.00	QUALCOMM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0099	2/28/2001	1/6/2001	-	100,000	192.50	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	-	100,000	193.25	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	-	150,000	191.75	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	100,000	-	193.00	QUALCOMM INC
1L0099	2/28/2001	1/6/2001	100,000	-	192.00	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	100,000	-	85.50	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	100,000	-	84.50	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	55,000	-	84.75	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	84,000	-	85.00	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	90,000	-	84.88	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	60,000	-	85.25	QUALCOMM INC
1L0099	2/28/2001	1/8/2001	95,000	-	85.13	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	100,000	160.00	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	100,000	160.50	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	34,000	160.25	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	100,000	161.25	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	100,000	159.50	QUALCOMM INC
1L0099	2/28/2001	1/13/2001	-	150,000	159.25	QUALCOMM INC
1L0100	6/30/1999	5/26/1999	-	1,425,000	98.66	U S TREASURY BILL
1L0100	6/30/1999	5/26/1999	1,425,000	-	99.66	U S TREASURY BILL
1L0100	8/31/2002	7/31/2002	2,050,000	-	99.48	U S TREASURY BILL
1L0100	8/31/2002	7/31/2002	2,050,000	-	99.54	U S TREASURY BILL
1L0100	8/31/2002	7/31/2002	-	2,050,000	99.48	U S TREASURY BILL
1L0100	8/31/2002	7/31/2002	-	2,050,000	99.54	U S TREASURY BILL
1L0101	4/30/1996	2/29/1996	-	2,073,609	-	FIDELITY CASH RESERVES SBI
1L0101	2/28/2001	1/3/2001	-	1,854	1.00	FIDELITY SPARTAN
1L0101	2/28/2001	1/3/2001	-	94,815,000	98.70	U S TREASURY BILL
1L0101	2/28/2001	12/29/2001	94,815,000	-	98.57	U S TREASURY BILL
1L0101	2/28/2001	12/29/2001	1,854	-	1.00	FIDELITY SPARTAN
1L0102	2/29/1996	1/10/1996	150,000	-	30.13	INFORMIX CORP
1L0102	2/29/1996	1/10/1996	13,000	-	3.00	QUARTERDECK CORP
1L0102	2/29/1996	1/11/1996	85,000	-	37.88	APPLIED MATERIALS INC
1L0102	2/29/1996	1/11/1996	175,000	-	37.63	APPLIED MATERIALS INC
1L0102	2/29/1996	1/11/1996	100,000	-	29.25	INFORMIX CORP
1L0102	2/29/1996	1/11/1996	175,000	-	37.75	APPLIED MATERIALS INC
1L0102	2/29/1996	1/12/1996	180,000	-	29.38	BAY NETWORKS INC
1L0102	2/29/1996	1/12/1996	100,000	-	29.50	BAY NETWORKS INC
1L0102	2/29/1996	1/12/1996	140,000	-	42.00	DELL COMPUTER
1L0102	2/29/1996	1/12/1996	58,000	-	14.00	INTEL CORP
1L0102	2/29/1996	1/12/1996	125,000	-	58.63	AMGEN INC
1L0102	2/29/1996	1/13/1996	170,000	-	44.00	DELL COMPUTER
1L0102	2/29/1996	1/13/1996	100,000	-	29.75	INFORMIX CORP
1L0102	2/29/1996	1/13/1996	62,000	-	14.25	INTEL CORP
1L0102	2/29/1996	1/13/1996	75,000	-	29.75	INFORMIX CORP
1L0102	2/29/1996	1/13/1996	100,000	-	58.00	AMGEN INC
1L0102	2/29/1996	1/13/1996	100,000	-	42.00	ORACLE CORPORATION
1L0102	2/29/1996	1/13/1996	139,000	-	29.63	BAY NETWORKS INC
1L0102	2/29/1996	1/13/1996	38,000	-	3.13	QUARTERDECK CORP
1L0102	2/29/1996	1/17/1996	120,000	-	45.75	DELL COMPUTER
1L0102	2/29/1996	1/17/1996	75,000	-	43.75	ORACLE CORPORATION
1L0102	2/29/1996	1/17/1996	16,000	-	14.38	INTEL CORP
1L0102	2/29/1996	1/18/1996	75,000	-	46.00	DELL COMPUTER
1L0102	2/29/1996	1/18/1996	31,000	-	3.13	QUARTERDECK CORP
1L0102	2/29/1996	1/18/1996	13,000	-	56.88	AMGEN INC
1L0102	2/29/1996	1/18/1996	50,000	-	46.00	DELL COMPUTER
1L0102	2/29/1996	1/19/1996	144,000	-	56.25	AMGEN INC
1L0102	2/29/1996	1/20/1996	93,000	-	56.63	AMGEN INC
1L0102	2/29/1996	1/20/1996	97,000	-	14.63	INTEL CORP
1L0102	2/29/1996	1/23/1996	75,000	-	42.25	ORACLE CORPORATION
1L0102	2/29/1996	1/23/1996	75,000	-	42.25	ORACLE CORPORATION
1L0102	2/29/1996	1/23/1996	9,000	-	3.13	QUARTERDECK CORP
1L0102	2/29/1996	1/23/1996	25,000	-	42.25	ORACLE CORPORATION
1L0102	2/29/1996	1/23/1996	140,000	-	57.00	AMGEN INC
1L0102	2/29/1996	1/23/1996	125,000	-	42.25	ORACLE CORPORATION
1L0102	2/29/1996	1/24/1996	100,000	-	42.13	ORACLE CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0102	2/29/1996	1/24/1996	17,000	-	14.88	INTEL CORP
1L0102	2/29/1996	1/25/1996	75,000	-	3.25	QUARTERDECK CORP
1L0102	2/29/1996	1/26/1996	84,000	-	3.50	QUARTERDECK CORP
1L0102	2/29/1996	1/27/1996	90,000	-	30.88	INFORMIX CORP
1L0102	2/29/1996	1/27/1996	200,000	-	29.75	BAY NETWORKS INC
1L0102	2/29/1996	1/27/1996	61,000	-	34.75	DIGITAL EQUIPMENT CORP
1L0102	2/29/1996	1/27/1996	50,000	-	30.88	INFORMIX CORP
1L0102	2/29/1996	1/27/1996	93,000	-	29.88	BAY NETWORKS INC
1L0102	2/29/1996	1/27/1996	60,000	-	34.88	DIGITAL EQUIPMENT CORP
1L0102	2/29/1996	1/30/1996	100,000	-	34.13	DIGITAL EQUIPMENT CORP
1L0102	2/29/1996	1/31/1996	75,000	-	29.75	INFORMIX CORP
1L0102	2/29/1996	1/31/1996	100,000	-	33.63	DIGITAL EQUIPMENT CORP
1L0102	2/29/1996	3/1/1996	50,000	-	33.25	CISCO SYSTEMS
1L0102	2/29/1996	3/1/1996	125,000	-	33.00	CISCO SYSTEMS
1L0102	2/29/1996	3/1/1996	100,000	-	33.13	CISCO SYSTEMS
1L0102	2/29/1996	3/1/1996	75,000	-	32.88	CISCO SYSTEMS
1L0102	2/29/1996	3/9/1996	250,000	-	30.00	SUN MICROSYSTEMS INC
1L0102	2/29/1996	3/9/1996	125,000	-	30.25	SUN MICROSYSTEMS INC
1L0102	2/29/1996	9/1/1996	105,000	-	46.88	NETSCAPE COMMUNICATIONS CORP
1L0102	2/29/1996	9/5/1996	61,000	-	46.13	NETSCAPE COMMUNICATIONS CORP
1L0102	2/29/1996	9/6/1996	84,000	-	45.88	NETSCAPE COMMUNICATIONS CORP
1L0102	2/29/1996	11/15/1996	-	200,000	52.63	3COM CORP
1L0102	2/29/1996	11/15/1996	-	200,000	52.75	3COM CORP
1L0102	2/29/1996	11/16/1996	-	37,000	88.00	CISCO SYSTEMS
1L0102	2/29/1996	12/7/1996	-	91,000	39.25	QUARTERDECK CORP
1L0102	3/31/1999	1/11/1999	103,000	-	48.81	3COM CORP
1L0102	3/31/1999	1/11/1999	225,000	-	48.94	3COM CORP
1L0102	3/31/1999	1/11/1999	175,000	-	48.88	3COM CORP
1L0102	11/30/1999	10/29/1999	-	146,184,989	1.00	FIDELITY SPARTAN
1L0102	12/31/1999	11/30/1999	-	129,033,713	1.00	FIDELITY SPARTAN
1L0102	12/31/2007	3/7/2007	90,000	-	37.88	AMAZON COM INC
1L0102	12/31/2007	3/7/2007	85,000	-	37.93	AMAZON COM INC
1L0102	12/31/2007	3/8/2007	75,000	-	37.34	AMAZON COM INC
1L0102	12/31/2007	3/8/2007	140,000	-	37.51	AMAZON COM INC
1L0102	12/31/2007	3/9/2007	80,000	-	37.60	AMAZON COM INC
1L0102	12/31/2007	3/9/2007	85,000	-	37.66	AMAZON COM INC
1L0102	12/31/2007	3/9/2007	100,000	-	37.53	AMAZON COM INC
1L0102	12/31/2007	3/12/2007	80,000	-	38.41	AMAZON COM INC
1L0102	12/31/2007	3/12/2007	25,000	-	454.49	GOOGLE
1L0102	12/31/2007	3/12/2007	75,000	-	38.44	AMAZON COM INC
1L0102	12/31/2007	3/12/2007	125,000	-	38.34	AMAZON COM INC
1L0102	12/31/2007	3/13/2007	70,000	-	38.12	AMAZON COM INC
1L0102	12/31/2007	3/13/2007	90,000	-	38.05	AMAZON COM INC
1L0102	12/31/2007	3/13/2007	50,000	-	454.25	GOOGLE
1L0102	12/31/2007	3/14/2007	55,000	-	450.30	GOOGLE
1L0102	12/31/2007	3/16/2007	110,000	-	88.58	APPLE INC
1L0102	12/31/2007	3/16/2007	75,000	-	88.62	APPLE INC
1L0102	12/31/2007	3/19/2007	125,000	-	87.99	APPLE INC
1L0102	12/31/2007	3/19/2007	90,000	-	88.08	APPLE INC
1L0102	12/31/2007	3/20/2007	88,000	-	89.57	APPLE INC
1L0102	12/31/2007	3/20/2007	100,000	-	89.49	APPLE INC
1L0103	2/29/1996	1/10/1996	150,000	-	30.00	INFORMIX CORP
1L0103	2/29/1996	1/10/1996	13,000	-	3.00	QUARTERDECK CORP
1L0103	2/29/1996	1/11/1996	85,000	-	37.88	APPLIED MATERIALS INC
1L0103	2/29/1996	1/11/1996	200,000	-	37.63	APPLIED MATERIALS INC
1L0103	2/29/1996	1/11/1996	150,000	-	37.75	APPLIED MATERIALS INC
1L0103	2/29/1996	1/12/1996	125,000	-	58.63	AMGEN INC
1L0103	2/29/1996	1/12/1996	150,000	-	42.00	DELL COMPUTER
1L0103	2/29/1996	1/12/1996	150,000	-	29.38	BAY NETWORKS INC
1L0103	2/29/1996	1/12/1996	58,000	-	14.00	INTEL CORP
1L0103	2/29/1996	1/12/1996	100,000	-	29.38	INFORMIX CORP
1L0103	2/29/1996	1/12/1996	130,000	-	29.50	BAY NETWORKS INC
1L0103	2/29/1996	1/13/1996	100,000	-	42.00	ORACLE CORPORATION
1L0103	2/29/1996	1/13/1996	150,000	-	44.00	DELL COMPUTER
1L0103	2/29/1996	1/13/1996	38,000	-	3.13	QUARTERDECK CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0103	2/29/1996	1/13/1996	100,000	-	29.75	INFORMIX CORP
1L0103	2/29/1996	1/13/1996	39,000	-	29.75	BAY NETWORKS INC
1L0103	2/29/1996	1/13/1996	100,000	-	58.00	AMGEN INC
1L0103	2/29/1996	1/13/1996	75,000	-	29.75	INFORMIX CORP
1L0103	2/29/1996	1/13/1996	20,000	-	44.13	DELL COMPUTER
1L0103	2/29/1996	1/13/1996	62,000	-	14.25	INTEL CORP
1L0103	2/29/1996	1/13/1996	100,000	-	29.63	BAY NETWORKS INC
1L0103	2/29/1996	1/17/1996	120,000	-	45.75	DELL COMPUTER
1L0103	2/29/1996	1/17/1996	16,000	-	14.38	INTEL CORP
1L0103	2/29/1996	1/17/1996	75,000	-	43.75	ORACLE CORPORATION
1L0103	2/29/1996	1/18/1996	31,000	-	3.13	QUARTERDECK CORP
1L0103	2/29/1996	1/18/1996	50,000	-	46.00	DELL COMPUTER
1L0103	2/29/1996	1/18/1996	75,000	-	46.00	DELL COMPUTER
1L0103	2/29/1996	1/18/1996	13,000	-	56.88	AMGEN INC
1L0103	2/29/1996	1/19/1996	70,000	-	56.38	AMGEN INC
1L0103	2/29/1996	1/19/1996	74,000	-	56.25	AMGEN INC
1L0103	2/29/1996	1/20/1996	93,000	-	56.63	AMGEN INC
1L0103	2/29/1996	1/20/1996	97,000	-	14.63	INTEL CORP
1L0103	2/29/1996	1/23/1996	9,000	-	3.13	QUARTERDECK CORP
1L0103	2/29/1996	1/23/1996	140,000	-	57.00	AMGEN INC
1L0103	2/29/1996	1/23/1996	125,000	-	42.25	ORACLE CORPORATION
1L0103	2/29/1996	1/23/1996	25,000	-	42.25	ORACLE CORPORATION
1L0103	2/29/1996	1/23/1996	50,000	-	42.25	ORACLE CORPORATION
1L0103	2/29/1996	1/23/1996	100,000	-	42.25	ORACLE CORPORATION
1L0103	2/29/1996	1/24/1996	100,000	-	42.13	ORACLE CORPORATION
1L0103	2/29/1996	1/24/1996	17,000	-	14.88	INTEL CORP
1L0103	2/29/1996	1/25/1996	75,000	-	3.25	QUARTERDECK CORP
1L0103	2/29/1996	1/26/1996	84,000	-	3.50	QUARTERDECK CORP
1L0103	2/29/1996	1/27/1996	175,000	-	29.75	BAY NETWORKS INC
1L0103	2/29/1996	1/27/1996	75,000	-	30.88	INFORMIX CORP
1L0103	2/29/1996	1/27/1996	65,000	-	30.88	INFORMIX CORP
1L0103	2/29/1996	1/27/1996	41,000	-	34.88	DIGITAL EQUIPMENT CORP
1L0103	2/29/1996	1/27/1996	80,000	-	34.75	DIGITAL EQUIPMENT CORP
1L0103	2/29/1996	1/27/1996	118,000	-	29.88	BAY NETWORKS INC
1L0103	2/29/1996	1/30/1996	100,000	-	34.13	DIGITAL EQUIPMENT CORP
1L0103	2/29/1996	1/31/1996	75,000	-	29.75	INFORMIX CORP
1L0103	2/29/1996	1/31/1996	100,000	-	33.63	DIGITAL EQUIPMENT CORP
1L0103	2/29/1996	3/1/1996	105,000	-	33.13	CISCO SYSTEMS
1L0103	2/29/1996	3/1/1996	150,000	-	32.88	CISCO SYSTEMS
1L0103	2/29/1996	3/1/1996	95,000	-	33.00	CISCO SYSTEMS
1L0103	2/29/1996	3/8/1996	110,000	-	30.63	SUN MICROSYSTEMS INC
1L0103	2/29/1996	3/8/1996	90,000	-	30.50	SUN MICROSYSTEMS INC
1L0103	2/29/1996	3/8/1996	100,000	-	30.38	SUN MICROSYSTEMS INC
1L0103	2/29/1996	3/9/1996	100,000	-	30.13	SUN MICROSYSTEMS INC
1L0103	2/29/1996	3/10/1996	100,000	-	30.50	SUN MICROSYSTEMS INC
1L0103	2/29/1996	3/10/1996	125,000	-	30.38	SUN MICROSYSTEMS INC
1L0103	2/29/1996	8/16/1996	150,000	-	49.00	NETSCAPE COMMUNICATIONS CORP
1L0103	2/29/1996	8/17/1996	100,000	-	50.38	NETSCAPE COMMUNICATIONS CORP
1L0103	2/29/1996	11/15/1996	-	190,000	52.75	3COM CORP
1L0103	2/29/1996	11/15/1996	-	210,000	52.63	3COM CORP
1L0103	2/29/1996	11/16/1996	-	37,000	88.00	CISCO SYSTEMS
1L0103	2/29/1996	12/8/1996	-	91,000	39.00	QUARTERDECK CORP
1L0103	3/31/1999	1/11/1999	175,000	-	48.88	3COM CORP
1L0103	3/31/1999	1/11/1999	160,000	-	48.94	3COM CORP
1L0103	3/31/1999	1/11/1999	125,000	-	48.81	3COM CORP
1L0103	11/30/1999	10/29/1999	-	243,910,921	1.00	FIDELITY SPARTAN
1L0103	12/31/1999	11/30/1999	-	418,273,512	1.00	FIDELITY SPARTAN
1L0103	12/31/2007	3/7/2007	85,000	-	37.93	AMAZON COM INC
1L0103	12/31/2007	3/7/2007	90,000	-	37.88	AMAZON COM INC
1L0103	12/31/2007	3/8/2007	140,000	-	37.51	AMAZON COM INC
1L0103	12/31/2007	3/8/2007	75,000	-	37.34	AMAZON COM INC
1L0103	12/31/2007	3/9/2007	100,000	-	37.53	AMAZON COM INC
1L0103	12/31/2007	3/9/2007	70,000	-	37.71	AMAZON COM INC
1L0103	12/31/2007	3/9/2007	85,000	-	37.66	AMAZON COM INC
1L0103	12/31/2007	3/9/2007	80,000	-	37.60	AMAZON COM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0103	12/31/2007	3/12/2007	125,000	-	38.34	AMAZON COM INC
1L0103	12/31/2007	3/12/2007	75,000	-	38.44	AMAZON COM INC
1L0103	12/31/2007	3/12/2007	75,000	-	454.51	GOOGLE
1L0103	12/31/2007	3/12/2007	80,000	-	38.49	AMAZON COM INC
1L0103	12/31/2007	3/12/2007	80,000	-	38.41	AMAZON COM INC
1L0103	12/31/2007	3/12/2007	25,000	-	454.49	GOOGLE
1L0103	12/31/2007	3/13/2007	50,000	-	454.37	GOOGLE
1L0103	12/31/2007	3/13/2007	90,000	-	38.05	AMAZON COM INC
1L0103	12/31/2007	3/13/2007	50,000	-	454.25	GOOGLE
1L0103	12/31/2007	3/13/2007	70,000	-	38.12	AMAZON COM INC
1L0103	12/31/2007	3/14/2007	55,000	-	450.30	GOOGLE
1L0103	12/31/2007	3/16/2007	110,000	-	88.58	APPLE INC
1L0103	12/31/2007	3/16/2007	75,000	-	88.62	APPLE INC
1L0103	12/31/2007	3/19/2007	78,000	-	88.10	APPLE INC
1L0103	12/31/2007	3/19/2007	95,000	-	88.08	APPLE INC
1L0103	12/31/2007	3/19/2007	125,000	-	87.99	APPLE INC
1L0103	12/31/2007	3/19/2007	45,000	-	88.22	APPLE INC
1L0103	12/31/2007	3/20/2007	88,000	-	89.57	APPLE INC
1L0103	12/31/2007	3/20/2007	100,000	-	89.49	APPLE INC
1L0124	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1L0124	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1L0124	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1L0124	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1L0135	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1L0135	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1L0135	8/31/2002	7/31/2002	-	575,000	99.54	U S TREASURY BILL
1L0135	8/31/2002	7/31/2002	575,000	-	99.48	U S TREASURY BILL
1L0156	6/30/1999	5/26/1999	525,000	-	99.66	U S TREASURY BILL
1L0156	6/30/1999	5/26/1999	-	525,000	98.66	U S TREASURY BILL
1L0168	3/31/1999	1/7/1999	10,000	-	26.75	GALLAHER GROUP PLC ADR
1L0168	3/31/1999	1/7/1999	10,000	-	31.25	FORTUNE BRANDS INC
1L0168	3/31/1999	1/7/1999	9,600	-	47.75	CATERPILLAR INC
1L0168	3/31/1999	1/7/1999	9,600	-	128.75	PFIZER INC
1L0168	3/31/1999	1/7/1999	40,000	-	48.75	ABBOTT LABORATORIES
1L0168	3/31/1999	1/8/1999	5,924	-	26.25	CHIRON CORP
1L0168	3/31/1999	1/12/1999	20,000	-	84.94	WAL-MART STORES INC
1L0168	3/31/1999	1/13/1999	4,800	-	61.00	PHELPS DODGE CORP
1L0168	3/31/1999	1/14/1999	20,800	-	77.50	THE CHASE MANHATTAN CORP NEW
1L0168	3/31/1999	1/25/1999	36,000	-	50.00	COMPAQ COMPUTER CORP
1L0168	3/31/1999	1/29/1999	28,800	-	171.50	MICROSOFT CORP
1L0168	1/31/1999	8/10/1999	-	20,000	57.50	WAL-MART STORES INC
1L0168	1/31/1999	8/10/1999	-	40,000	39.25	ABBOTT LABORATORIES
1L0168	1/31/1999	8/14/1999	-	10,000	23.50	GALLAHER GROUP PLC ADR
1L0168	1/31/1999	8/26/1999	-	20,800	60.25	THE CHASE MANHATTAN CORP NEW
1L0168	1/31/1999	8/26/1999	-	10,000	31.00	FORTUNE BRANDS INC
1L0168	1/31/1999	9/1/1999	-	5,924	14.75	CHIRON CORP
1L0168	1/31/1999	9/3/1999	-	9,600	93.06	PFIZER INC
1L0168	1/31/1999	9/3/1999	-	9,600	42.25	CATERPILLAR INC
1L0168	1/31/1999	9/3/1999	-	4,800	44.06	PHELPS DODGE CORP
1L0168	1/31/1999	9/3/1999	-	36,000	27.75	COMPAQ COMPUTER CORP
1L0168	1/31/1999	9/4/1999	-	28,800	95.00	MICROSOFT CORP
1L0169	3/31/1999	1/7/1999	41,000	-	186.25	INTERNATIONAL BUSINESS MACHS
1L0169	3/31/1999	1/7/1999	400,000	-	74.88	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	50,000	-	186.38	INTERNATIONAL BUSINESS MACHS
1L0169	3/31/1999	1/7/1999	2,400	-	61.00	AMERITECH CORP
1L0169	3/31/1999	1/7/1999	350,000	-	74.50	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	450,000	-	74.69	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	3,288	-	54.75	BELL ATLANTIC CORP
1L0169	3/31/1999	1/7/1999	1,668	-	39.06	ALLSTATE
1L0169	3/31/1999	1/7/1999	600,000	-	74.75	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	2,100	-	27.25	ALCAN ALUMINUM LIMITED NEW
1L0169	3/31/1999	1/7/1999	500,000	-	74.81	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	650,000	-	74.56	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	550,000	-	74.63	DELL COMPUTER CORP
1L0169	3/31/1999	1/7/1999	600,000	-	74.44	DELL COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0169	3/31/1999	1/8/1999	425,000	-	75.38	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	525,000	-	75.44	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	525,000	-	75.19	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	625,000	-	75.50	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	500,000	-	75.31	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	600,000	-	75.13	DELL COMPUTER CORP
1L0169	3/31/1999	1/8/1999	475,000	-	75.25	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	575,000	-	78.19	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	550,000	-	77.81	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	400,000	-	78.06	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	375,000	-	78.25	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	450,000	-	77.88	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	350,000	-	77.69	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	1,400	-	69.50	GTE CORP
1L0169	3/31/1999	1/11/1999	155,000	-	78.13	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	500,000	-	78.00	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	600,000	-	77.94	DELL COMPUTER CORP
1L0169	3/31/1999	1/11/1999	650,000	-	77.75	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	625,000	-	78.81	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	50,000	-	84.94	WAL-MART STORES INC
1L0169	3/31/1999	1/12/1999	475,000	-	78.56	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	375,000	-	78.44	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	412,000	-	78.38	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	500,000	-	78.63	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	525,000	-	78.50	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	27,000	-	84.88	WAL-MART STORES INC
1L0169	3/31/1999	1/12/1999	425,000	-	78.69	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	525,000	-	78.75	DELL COMPUTER CORP
1L0169	3/31/1999	1/12/1999	600,000	-	78.88	DELL COMPUTER CORP
1L0169	3/31/1999	1/13/1999	529,500	-	107.38	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	525,000	-	107.50	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	4,100	-	95.00	DOW CHEMICAL CO
1L0169	3/31/1999	1/13/1999	7,000	-	153.75	MERCK & CO
1L0169	3/31/1999	1/13/1999	188,000	-	79.81	DELL COMPUTER CORP
1L0169	3/31/1999	1/13/1999	425,000	-	57.38	APPLIED MATERIALS INC
1L0169	3/31/1999	1/13/1999	487,500	-	107.69	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	684,000	-	107.31	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	155,000	-	79.75	DELL COMPUTER CORP
1L0169	3/31/1999	1/13/1999	375,000	-	57.31	APPLIED MATERIALS INC
1L0169	3/31/1999	1/13/1999	525,000	-	107.63	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	3,500	-	71.50	GEORGIA PACIFIC GROUP
1L0169	3/31/1999	1/13/1999	637,500	-	107.44	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	250,000	-	57.44	APPLIED MATERIALS INC
1L0169	3/31/1999	1/13/1999	345,000	-	107.75	CISCO SYSTEMS INC
1L0169	3/31/1999	1/13/1999	575,000	-	79.88	DELL COMPUTER CORP
1L0169	3/31/1999	1/13/1999	600,000	-	107.56	CISCO SYSTEMS INC
1L0169	3/31/1999	1/14/1999	2,600	-	42.38	PEPSICO INC
1L0169	3/31/1999	1/14/1999	5,720	-	77.50	THE CHASE MANHATTAN CORP NEW
1L0169	3/31/1999	1/14/1999	260	-	50.75	TRICON GLOBAL RESTAURANTS INC
1L0169	3/31/1999	1/14/1999	3,600	-	48.00	BELLSOUTH CORP
1L0169	3/31/1999	1/15/1999	32,288	-	55.38	GILLETTE CO
1L0169	3/31/1999	1/15/1999	50,000	-	55.31	GILLETTE CO
1L0169	3/31/1999	1/15/1999	75,000	-	32.81	ADVANCED MICRO DEVICES
1L0169	3/31/1999	1/15/1999	300,000	-	142.19	INTEL CORP
1L0169	3/31/1999	1/15/1999	80,000	-	32.00	ADVANCED MICRO DEVICES
1L0169	3/31/1999	1/15/1999	100,000	-	32.69	ADVANCED MICRO DEVICES
1L0169	3/31/1999	1/15/1999	500,000	-	142.13	INTEL CORP
1L0169	3/31/1999	1/15/1999	3,200	-	142.25	INTEL CORP
1L0169	3/31/1999	1/15/1999	200,000	-	142.25	INTEL CORP
1L0169	3/31/1999	1/19/1999	398,000	-	56.38	APPLIED MATERIALS INC
1L0169	3/31/1999	1/21/1999	22,000	-	38.75	KELLOGG CO
1L0169	3/31/1999	1/21/1999	12,800	-	96.88	BIOGEN INC
1L0169	3/31/1999	1/22/1999	3,500	-	23.88	GEORGIA PACIFIC TIMBER GROUP
1L0169	3/31/1999	1/25/1999	100,000	-	53.69	ORACLE CORPORATION
1L0169	3/31/1999	1/25/1999	100,000	-	53.69	ORACLE CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0169	3/31/1999	1/25/1999	250,000	-	59.63	APPLIED MATERIALS INC
1L0169	3/31/1999	1/25/1999	500,000	-	115.44	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/25/1999	100,000	-	53.50	ORACLE CORPORATION
1L0169	3/31/1999	1/25/1999	150,000	-	49.88	COMPAQ COMPUTER CORP
1L0169	3/31/1999	1/25/1999	143,000	-	53.63	ORACLE CORPORATION
1L0169	3/31/1999	1/25/1999	155,000	-	115.13	AMGEN INC
1L0169	3/31/1999	1/25/1999	175,000	-	77.81	MICRON TECHNOLOGY INC
1L0169	3/31/1999	1/25/1999	200,000	-	115.25	AMGEN INC
1L0169	3/31/1999	1/25/1999	250,000	-	115.31	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/25/1999	100,000	-	77.75	MICRON TECHNOLOGY INC
1L0169	3/31/1999	1/25/1999	100,000	-	77.94	MICRON TECHNOLOGY INC
1L0169	3/31/1999	1/25/1999	114,000	-	59.50	APPLIED MATERIALS INC
1L0169	3/31/1999	1/25/1999	225,000	-	59.69	APPLIED MATERIALS INC
1L0169	3/31/1999	1/25/1999	350,000	-	59.56	APPLIED MATERIALS INC
1L0169	3/31/1999	1/25/1999	6,625	-	53.63	ORACLE CORPORATION
1L0169	3/31/1999	1/25/1999	150,000	-	77.88	MICRON TECHNOLOGY INC
1L0169	3/31/1999	1/25/1999	45,000	-	49.75	COMPAQ COMPUTER CORP
1L0169	3/31/1999	1/25/1999	200,000	-	49.81	COMPAQ COMPUTER CORP
1L0169	3/31/1999	1/25/1999	250,000	-	50.00	COMPAQ COMPUTER CORP
1L0169	3/31/1999	1/25/1999	525,000	-	115.38	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/25/1999	3,600	-	115.50	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/25/1999	200,000	-	78.00	MICRON TECHNOLOGY INC
1L0169	3/31/1999	1/25/1999	300,000	-	49.94	COMPAQ COMPUTER CORP
1L0169	3/31/1999	1/25/1999	500,000	-	115.50	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/25/1999	200,000	-	53.56	ORACLE CORPORATION
1L0169	3/31/1999	1/25/1999	200,000	-	59.75	APPLIED MATERIALS INC
1L0169	3/31/1999	1/26/1999	225,000	-	106.94	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	250,000	-	106.75	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	350,000	-	106.88	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	500,000	-	57.38	APPLIED MATERIALS INC
1L0169	3/31/1999	1/26/1999	265,000	-	57.31	APPLIED MATERIALS INC
1L0169	3/31/1999	1/26/1999	425,000	-	106.69	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	5,444	-	117.38	UNITED TECHNOLOGIES CORP
1L0169	3/31/1999	1/26/1999	400,000	-	106.81	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	200,000	-	107.00	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	375,000	-	106.63	SUN MICROSYSTEMS INC
1L0169	3/31/1999	1/26/1999	180,000	-	114.25	AMGEN INC
1L0169	3/31/1999	1/27/1999	120,000	-	114.31	AMGEN INC
1L0169	3/31/1999	1/27/1999	175,000	-	114.38	AMGEN INC
1L0169	3/31/1999	1/28/1999	180,000	-	114.69	AMGEN INC
1L0169	3/31/1999	1/28/1999	220,000	-	114.75	AMGEN INC
1L0169	3/31/1999	1/29/1999	5,600	-	171.50	MICROSOFT CORP
1L0169	3/31/1999	1/29/1999	400,000	-	58.38	APPLIED MATERIALS INC
1L0169	3/31/1999	1/29/1999	248,000	-	58.31	APPLIED MATERIALS INC
1L0169	1/31/1999	8/7/1999	-	1,668	37.88	ALLSTATE
1L0169	1/31/1999	8/10/1999	-	50,000	57.50	WAL-MART STORES INC
1L0169	1/31/1999	8/10/1999	-	375,000	45.06	SUN MICROSYSTEMS INC
1L0169	1/31/1999	8/10/1999	-	27,000	57.63	WAL-MART STORES INC
1L0169	1/31/1999	8/10/1999	-	525,000	45.13	SUN MICROSYSTEMS INC
1L0169	1/31/1999	8/10/1999	-	2,400	44.38	AMERITECH CORP
1L0169	1/31/1999	8/10/1999	-	250,000	45.00	SUN MICROSYSTEMS INC
1L0169	1/31/1999	8/11/1999	-	575,000	103.50	DELL COMPUTER CORP
1L0169	1/31/1999	8/14/1999	-	1,800	64.88	BELLSOUTH CORP
1L0169	1/31/1999	8/14/1999	-	3,288	40.88	BELL ATLANTIC CORP
1L0169	1/31/1999	8/19/1999	-	475,000	104.25	DELL COMPUTER CORP
1L0169	1/31/1999	8/19/1999	-	425,000	104.13	DELL COMPUTER CORP
1L0169	1/31/1999	8/19/1999	-	525,000	104.31	DELL COMPUTER CORP
1L0169	1/31/1999	8/19/1999	-	500,000	104.19	DELL COMPUTER CORP
1L0169	1/31/1999	8/19/1999	-	375,000	104.38	DELL COMPUTER CORP
1L0169	1/31/1999	8/20/1999	-	22,000	28.75	KELLOGG CO
1L0169	1/31/1999	8/20/1999	-	155,000	105.75	DELL COMPUTER CORP
1L0169	1/31/1999	8/20/1999	-	260	30.50	TRICON GLOBAL RESTAURANTS INC
1L0169	1/31/1999	8/20/1999	-	600,000	105.63	DELL COMPUTER CORP
1L0169	1/31/1999	8/20/1999	-	12,800	50.19	BIOGEN INC
1L0169	1/31/1999	8/26/1999	-	5,720	60.25	THE CHASE MANHATTAN CORP NEW

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0169	1/31/1999	8/26/1999	-	3,500	46.63	GEORGIA PACIFIC GROUP
1L0169	1/31/1999	8/26/1999	-	1,400	48.75	GTE CORP
1L0169	1/31/1999	8/31/1999	-	2,100	21.63	ALCAN ALUMINUM LIMITED NEW
1L0169	1/31/1999	8/31/1999	-	425,000	45.63	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/1/1999	-	32,288	40.25	GILLETTE CO
1L0169	1/31/1999	9/1/1999	-	3,500	20.13	GEORGIA PACIFIC TIMBER GROUP
1L0169	1/31/1999	9/1/1999	-	50,000	40.38	GILLETTE CO
1L0169	1/31/1999	9/1/1999	-	500,000	43.25	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/2/1999	-	500,000	42.00	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	180,000	60.25	AMGEN INC
1L0169	1/31/1999	9/3/1999	-	350,000	81.88	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	400,000	81.94	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	400,000	99.50	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	456,000	82.19	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	500,000	99.56	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	350,000	82.00	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	450,000	99.69	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	100,000	13.25	ADVANCED MICRO DEVICES
1L0169	1/31/1999	9/3/1999	-	180,000	60.38	AMGEN INC
1L0169	1/31/1999	9/3/1999	-	250,000	39.75	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	350,000	39.81	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	2,600	28.06	PEPSICO INC
1L0169	1/31/1999	9/3/1999	-	45,000	28.00	COMPAQ COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	80,000	13.13	ADVANCED MICRO DEVICES
1L0169	1/31/1999	9/3/1999	-	150,000	27.88	COMPAQ COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	625,000	100.00	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	75,000	13.00	ADVANCED MICRO DEVICES
1L0169	1/31/1999	9/3/1999	-	200,000	27.94	COMPAQ COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	250,000	27.75	COMPAQ COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	300,000	27.81	COMPAQ COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	325,000	81.81	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	350,000	99.88	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	600,000	99.63	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	650,000	99.81	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	230,000	81.75	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	525,000	100.06	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	550,000	99.75	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	600,000	99.94	DELL COMPUTER CORP
1L0169	1/31/1999	9/3/1999	-	353,000	82.13	CISCO SYSTEMS INC
1L0169	1/31/1999	9/3/1999	-	425,000	82.06	CISCO SYSTEMS INC
1L0169	1/31/1999	9/4/1999	-	5,600	95.00	MICROSOFT CORP
1L0169	1/31/1999	9/4/1999	-	6,625	18.44	ORACLE CORPORATION
1L0169	1/31/1999	9/4/1999	-	50,000	112.06	INTERNATIONAL BUSINESS MACHS
1L0169	1/31/1999	9/4/1999	-	41,000	112.00	INTERNATIONAL BUSINESS MACHS
1L0169	1/31/1999	9/4/1999	-	100,000	18.38	ORACLE CORPORATION
1L0169	1/31/1999	9/4/1999	-	200,000	18.50	ORACLE CORPORATION
1L0169	1/31/1999	9/4/1999	-	3,600	38.63	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/4/1999	-	7,000	115.25	MERCK & CO
1L0169	1/31/1999	9/4/1999	-	225,000	38.69	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/4/1999	-	100,000	21.25	MICRON TECHNOLOGY INC
1L0169	1/31/1999	9/4/1999	-	200,000	70.00	INTEL CORP
1L0169	1/31/1999	9/4/1999	-	4,100	77.00	DOW CHEMICAL CO
1L0169	1/31/1999	9/4/1999	-	120,000	58.25	AMGEN INC
1L0169	1/31/1999	9/4/1999	-	150,000	21.13	MICRON TECHNOLOGY INC
1L0169	1/31/1999	9/4/1999	-	220,000	58.13	AMGEN INC
1L0169	1/31/1999	9/4/1999	-	500,000	70.13	INTEL CORP
1L0169	1/31/1999	9/4/1999	-	200,000	21.00	MICRON TECHNOLOGY INC
1L0169	1/31/1999	9/4/1999	-	300,000	70.06	INTEL CORP
1L0169	1/31/1999	9/4/1999	-	3,200	70.00	INTEL CORP
1L0169	1/31/1999	9/4/1999	-	100,000	18.56	ORACLE CORPORATION
1L0169	1/31/1999	9/4/1999	-	175,000	21.19	MICRON TECHNOLOGY INC
1L0169	1/31/1999	9/4/1999	-	175,000	58.06	AMGEN INC
1L0169	1/31/1999	9/4/1999	-	200,000	38.63	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/4/1999	-	100,000	21.06	MICRON TECHNOLOGY INC
1L0169	1/31/1999	9/4/1999	-	200,000	23.63	APPLIED MATERIALS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0169	1/31/1999	9/4/1999	-	243,000	18.38	ORACLE CORPORATION
1L0169	1/31/1999	9/4/1999	-	525,000	23.63	APPLIED MATERIALS INC
1L0169	1/31/1999	9/8/1999	-	155,000	62.13	AMGEN INC
1L0169	1/31/1999	9/8/1999	-	200,000	62.00	AMGEN INC
1L0169	1/31/1999	9/8/1999	-	400,000	41.25	SUN MICROSYSTEMS INC
1L0169	1/31/1999	9/9/1999	-	350,000	23.75	APPLIED MATERIALS INC
1L0169	1/31/1999	9/9/1999	-	500,000	23.63	APPLIED MATERIALS INC
1L0169	1/31/1999	9/9/1999	-	5,444	75.00	UNITED TECHNOLOGIES CORP
1L0169	1/31/1999	9/10/1999	-	375,000	23.38	APPLIED MATERIALS INC
1L0169	1/31/1999	9/11/1999	-	250,000	25.25	APPLIED MATERIALS INC
1L0169	1/31/1999	9/11/1999	-	425,000	25.38	APPLIED MATERIALS INC
1L0169	1/31/1999	9/15/1999	-	500,000	22.88	APPLIED MATERIALS INC
1L0169	1/31/1999	9/15/1999	-	400,000	22.75	APPLIED MATERIALS INC
1L0169	1/31/1999	9/16/1999	-	225,000	24.00	APPLIED MATERIALS INC
1L0169	1/31/1999	9/16/1999	-	250,000	24.06	APPLIED MATERIALS INC
1L0170	3/31/1999	1/7/1999	20,400	-	61.00	AMERITECH CORP
1L0170	3/31/1999	1/7/1999	14,832	-	39.06	ALLSTATE
1L0170	3/31/1999	1/7/1999	29,144	-	54.75	BELL ATLANTIC CORP
1L0170	3/31/1999	1/7/1999	33,276	-	135.50	BRISTOL MYERS SQUIBB COMPANY
1L0170	3/31/1999	1/7/1999	40,000	-	186.19	INTERNATIONAL BUSINESS MACHS
1L0170	3/31/1999	1/7/1999	2,100	-	27.25	ALCAN ALUMINUM LIMITED NEW
1L0170	3/31/1999	1/7/1999	50,000	-	186.25	INTERNATIONAL BUSINESS MACHS
1L0170	3/31/1999	1/7/1999	6,000	-	88.75	LILLY ELI & CO
1L0170	3/31/1999	1/8/1999	48,000	-	33.25	STORAGE TECHNOLOGY CORP
1L0170	3/31/1999	1/8/1999	50,000	-	33.13	STORAGE TECHNOLOGY CORP
1L0170	3/31/1999	1/11/1999	12,000	-	69.50	GTE CORP
1L0170	3/31/1999	1/12/1999	40,000	-	84.88	WAL-MART STORES INC
1L0170	3/31/1999	1/12/1999	36,000	-	84.94	WAL-MART STORES INC
1L0170	3/31/1999	1/13/1999	600,000	-	79.56	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	19,000	-	71.50	GEORGIA PACIFIC GROUP
1L0170	3/31/1999	1/13/1999	400,000	-	79.69	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	425,000	-	57.38	APPLIED MATERIALS INC
1L0170	3/31/1999	1/13/1999	39,000	-	153.75	MERCK & CO
1L0170	3/31/1999	1/13/1999	23,000	-	95.00	DOW CHEMICAL CO
1L0170	3/31/1999	1/13/1999	126,000	-	112.13	AMGEN INC
1L0170	3/31/1999	1/13/1999	315,000	-	79.94	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	375,000	-	57.31	APPLIED MATERIALS INC
1L0170	3/31/1999	1/13/1999	500,000	-	79.63	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	550,000	-	79.44	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	650,000	-	79.38	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	450,000	-	79.50	DELL COMPUTER CORP
1L0170	3/31/1999	1/13/1999	250,000	-	57.44	APPLIED MATERIALS INC
1L0170	3/31/1999	1/14/1999	30,400	-	48.00	BELLSOUTH CORP
1L0170	3/31/1999	1/14/1999	500,000	-	81.69	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	475,000	-	81.63	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	2,200	-	50.75	TRICON GLOBAL RESTAURANTS INC
1L0170	3/31/1999	1/14/1999	422,000	-	81.56	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	22,000	-	42.38	PEPSICO INC
1L0170	3/31/1999	1/14/1999	47,400	-	77.50	THE CHASE MANHATTAN CORP NEW
1L0170	3/31/1999	1/14/1999	525,000	-	81.81	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	350,000	-	82.00	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	600,000	-	81.94	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	625,000	-	81.88	DELL COMPUTER CORP
1L0170	3/31/1999	1/14/1999	425,000	-	81.75	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	300,000	-	142.00	INTEL CORP
1L0170	3/31/1999	1/15/1999	400,000	-	81.81	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	100,000	-	32.69	ADVANCED MICRO DEVICES
1L0170	3/31/1999	1/15/1999	525,000	-	82.00	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	65,000	-	32.75	ADVANCED MICRO DEVICES
1L0170	3/31/1999	1/15/1999	500,000	-	81.75	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	600,000	-	81.69	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	27,600	-	141.94	INTEL CORP
1L0170	3/31/1999	1/15/1999	600,000	-	81.88	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	80,000	-	55.38	GILLETTE CO
1L0170	3/31/1999	1/15/1999	200,000	-	81.63	DELL COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0170	3/31/1999	1/15/1999	50,000	-	32.63	ADVANCED MICRO DEVICES
1L0170	3/31/1999	1/15/1999	200,000	-	142.06	INTEL CORP
1L0170	3/31/1999	1/15/1999	528,000	-	81.94	DELL COMPUTER CORP
1L0170	3/31/1999	1/15/1999	34,288	-	55.31	GILLETTE CO
1L0170	3/31/1999	1/15/1999	500,000	-	141.94	INTEL CORP
1L0170	3/31/1999	1/19/1999	525,000	-	80.88	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	350,000	-	81.06	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	398,000	-	56.38	APPLIED MATERIALS INC
1L0170	3/31/1999	1/19/1999	550,000	-	81.19	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	145,000	-	80.69	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	425,000	-	80.81	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	525,000	-	80.75	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	600,000	-	81.00	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	650,000	-	81.13	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	450,000	-	81.25	DELL COMPUTER CORP
1L0170	3/31/1999	1/19/1999	625,000	-	80.94	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	315,000	-	80.19	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	475,000	-	80.44	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	500,000	-	80.50	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	400,000	-	80.38	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	430,000	-	80.44	DELL COMPUTER CORP
1L0170	3/31/1999	1/20/1999	375,000	-	80.25	DELL COMPUTER CORP
1L0170	3/31/1999	1/21/1999	22,000	-	96.88	BIOGEN INC
1L0170	3/31/1999	1/21/1999	22,000	-	38.75	KELLOGG CO
1L0170	3/31/1999	1/21/1999	50,000	-	96.81	BIOGEN INC
1L0170	3/31/1999	1/22/1999	525,000	-	106.38	CISCO SYSTEMS INC
1L0170	3/31/1999	1/22/1999	19,000	-	23.88	GEORGIA PACIFIC TIMBER GROUP
1L0170	3/31/1999	1/22/1999	180,000	-	112.13	AMGEN INC
1L0170	3/31/1999	1/22/1999	72,000	-	112.19	AMGEN INC
1L0170	3/31/1999	1/22/1999	175,000	-	112.25	AMGEN INC
1L0170	3/31/1999	1/25/1999	80,000	-	53.56	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	150,000	-	49.88	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	500,000	-	115.50	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	130,000	-	78.00	MICRON TECHNOLOGY INC
1L0170	3/31/1999	1/25/1999	250,000	-	59.63	APPLIED MATERIALS INC
1L0170	3/31/1999	1/25/1999	250,000	-	115.31	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	345,000	-	107.75	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	55,125	-	53.50	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	78,000	-	115.50	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	80,000	-	115.38	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	525,000	-	115.38	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	63,000	-	53.63	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	156,000	-	49.94	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	225,000	-	59.69	APPLIED MATERIALS INC
1L0170	3/31/1999	1/25/1999	529,500	-	107.38	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	100,000	-	53.69	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	114,000	-	59.50	APPLIED MATERIALS INC
1L0170	3/31/1999	1/25/1999	150,500	-	49.88	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	200,000	-	59.75	APPLIED MATERIALS INC
1L0170	3/31/1999	1/25/1999	637,500	-	107.44	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	684,000	-	107.31	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	45,000	-	49.75	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	100,000	-	50.00	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	200,000	-	53.56	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	250,000	-	50.00	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	300,000	-	49.94	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	487,500	-	107.69	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	6,625	-	53.63	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	50,000	-	115.44	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/25/1999	200,000	-	49.81	COMPAQ COMPUTER CORP
1L0170	3/31/1999	1/25/1999	525,000	-	108.50	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	600,000	-	107.56	CISCO SYSTEMS INC
1L0170	3/31/1999	1/25/1999	100,000	-	53.69	ORACLE CORPORATION
1L0170	3/31/1999	1/25/1999	200,000	-	77.94	MICRON TECHNOLOGY INC
1L0170	3/31/1999	1/25/1999	350,000	-	59.56	APPLIED MATERIALS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0170	3/31/1999	1/25/1999	500,000	-	115.44	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	175,000	-	74.25	MICRON TECHNOLOGY INC
1L0170	3/31/1999	1/26/1999	375,000	-	106.63	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	350,000	-	106.88	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	265,000	-	57.31	APPLIED MATERIALS INC
1L0170	3/31/1999	1/26/1999	500,000	-	57.38	APPLIED MATERIALS INC
1L0170	3/31/1999	1/26/1999	150,000	-	74.19	MICRON TECHNOLOGY INC
1L0170	3/31/1999	1/26/1999	200,000	-	107.00	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	200,000	-	114.25	AMGEN INC
1L0170	3/31/1999	1/26/1999	250,000	-	106.75	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	70,000	-	74.13	MICRON TECHNOLOGY INC
1L0170	3/31/1999	1/26/1999	400,000	-	106.81	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	225,000	-	106.94	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/26/1999	425,000	-	106.69	SUN MICROSYSTEMS INC
1L0170	3/31/1999	1/29/1999	180,000	-	113.69	AMGEN INC
1L0170	3/31/1999	1/29/1999	220,000	-	113.75	AMGEN INC
1L0170	3/31/1999	1/29/1999	47,200	-	171.50	MICROSOFT CORP
1L0170	3/31/1999	1/29/1999	248,000	-	58.31	APPLIED MATERIALS INC
1L0170	3/31/1999	1/29/1999	400,000	-	58.38	APPLIED MATERIALS INC
1L0170	3/31/1999	1/29/1999	77,000	-	113.63	AMGEN INC
1L0170	1/31/1999	8/7/1999	-	14,832	37.88	ALLSTATE
1L0170	1/31/1999	8/10/1999	-	36,000	57.50	WAL-MART STORES INC
1L0170	1/31/1999	8/10/1999	-	375,000	45.06	SUN MICROSYSTEMS INC
1L0170	1/31/1999	8/10/1999	-	40,000	57.63	WAL-MART STORES INC
1L0170	1/31/1999	8/10/1999	-	525,000	45.13	SUN MICROSYSTEMS INC
1L0170	1/31/1999	8/10/1999	-	20,400	44.38	AMERITECH CORP
1L0170	1/31/1999	8/11/1999	-	475,000	103.63	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	425,000	103.50	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	525,000	103.69	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	500,000	103.56	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	575,000	103.81	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	315,000	103.94	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	375,000	103.75	DELL COMPUTER CORP
1L0170	1/31/1999	8/11/1999	-	600,000	103.88	DELL COMPUTER CORP
1L0170	1/31/1999	8/14/1999	-	29,144	40.88	BELL ATLANTIC CORP
1L0170	1/31/1999	8/14/1999	-	15,200	64.88	BELLSOUTH CORP
1L0170	1/31/1999	8/20/1999	-	2,200	30.50	TRICON GLOBAL RESTAURANTS INC
1L0170	1/31/1999	8/20/1999	-	22,000	28.75	KELLOGG CO
1L0170	1/31/1999	8/20/1999	-	22,000	50.19	BIOGEN INC
1L0170	1/31/1999	8/20/1999	-	50,000	50.25	BIOGEN INC
1L0170	1/31/1999	8/26/1999	-	12,000	48.75	GTE CORP
1L0170	1/31/1999	8/26/1999	-	47,400	60.25	THE CHASE MANHATTAN CORP NEW
1L0170	1/31/1999	8/26/1999	-	19,000	46.63	GEORGIA PACIFIC GROUP
1L0170	1/31/1999	8/31/1999	-	2,100	21.63	ALCAN ALUMINUM LIMITED NEW
1L0170	1/31/1999	8/31/1999	-	425,000	45.63	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/1/1999	-	500,000	43.25	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/2/1999	-	500,000	42.00	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	45,000	28.00	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	100,000	13.13	ADVANCED MICRO DEVICES
1L0170	1/31/1999	9/3/1999	-	250,000	27.75	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	325,000	81.81	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	350,000	99.88	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	456,000	82.19	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	500,000	99.56	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	50,000	13.25	ADVANCED MICRO DEVICES
1L0170	1/31/1999	9/3/1999	-	250,000	39.75	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	425,000	82.06	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	525,000	100.06	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	600,000	99.63	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	650,000	99.81	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	65,000	13.06	ADVANCED MICRO DEVICES
1L0170	1/31/1999	9/3/1999	-	80,000	40.25	GILLETTE CO
1L0170	1/31/1999	9/3/1999	-	350,000	82.00	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	450,000	99.69	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	600,000	99.94	DELL COMPUTER CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0170	1/31/1999	9/3/1999	-	33,276	97.88	BRISTOL MYERS SQUIBB COMPANY
1L0170	1/31/1999	9/3/1999	-	300,000	27.81	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	350,000	39.81	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	48,000	21.25	STORAGE TECHNOLOGY CORP
1L0170	1/31/1999	9/3/1999	-	50,000	21.38	STORAGE TECHNOLOGY CORP
1L0170	1/31/1999	9/3/1999	-	200,000	27.94	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	230,000	81.75	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	400,000	99.50	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	625,000	100.00	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	19,000	20.13	GEORGIA PACIFIC TIMBER GROUP
1L0170	1/31/1999	9/3/1999	-	180,000	60.25	AMGEN INC
1L0170	1/31/1999	9/3/1999	-	400,000	81.94	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	34,288	40.38	GILLETTE CO
1L0170	1/31/1999	9/3/1999	-	150,000	27.88	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	150,500	27.88	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	353,000	82.13	CISCO SYSTEMS INC
1L0170	1/31/1999	9/3/1999	-	550,000	99.75	DELL COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	22,000	28.06	PEPSICO INC
1L0170	1/31/1999	9/3/1999	-	100,000	27.75	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	156,000	27.81	COMPAQ COMPUTER CORP
1L0170	1/31/1999	9/3/1999	-	180,000	60.38	AMGEN INC
1L0170	1/31/1999	9/3/1999	-	350,000	81.88	CISCO SYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	55,125	18.56	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	120,000	58.25	AMGEN INC
1L0170	1/31/1999	9/4/1999	-	200,000	21.19	MICRON TECHNOLOGY INC
1L0170	1/31/1999	9/4/1999	-	220,000	58.13	AMGEN INC
1L0170	1/31/1999	9/4/1999	-	525,000	23.63	APPLIED MATERIALS INC
1L0170	1/31/1999	9/4/1999	-	6,000	64.25	LILLY ELI & CO
1L0170	1/31/1999	9/4/1999	-	23,000	77.00	DOW CHEMICAL CO
1L0170	1/31/1999	9/4/1999	-	47,200	95.00	MICROSOFT CORP
1L0170	1/31/1999	9/4/1999	-	50,000	38.69	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	80,000	38.75	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	130,000	21.00	MICRON TECHNOLOGY INC
1L0170	1/31/1999	9/4/1999	-	200,000	18.50	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	27,600	70.00	INTEL CORP
1L0170	1/31/1999	9/4/1999	-	63,000	18.44	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	200,000	23.63	APPLIED MATERIALS INC
1L0170	1/31/1999	9/4/1999	-	200,000	38.63	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	50,000	112.00	INTERNATIONAL BUSINESS MACHS
1L0170	1/31/1999	9/4/1999	-	70,000	21.25	MICRON TECHNOLOGY INC
1L0170	1/31/1999	9/4/1999	-	150,000	21.13	MICRON TECHNOLOGY INC
1L0170	1/31/1999	9/4/1999	-	250,000	38.75	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	300,000	70.06	INTEL CORP
1L0170	1/31/1999	9/4/1999	-	78,000	38.63	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	80,000	18.50	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	100,000	18.38	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	100,000	18.38	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	500,000	70.13	INTEL CORP
1L0170	1/31/1999	9/4/1999	-	175,000	21.06	MICRON TECHNOLOGY INC
1L0170	1/31/1999	9/4/1999	-	175,000	58.06	AMGEN INC
1L0170	1/31/1999	9/4/1999	-	225,000	38.69	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/4/1999	-	6,625	18.44	ORACLE CORPORATION
1L0170	1/31/1999	9/4/1999	-	39,000	115.25	MERCK & CO
1L0170	1/31/1999	9/4/1999	-	200,000	70.00	INTEL CORP
1L0170	1/31/1999	9/4/1999	-	40,000	112.06	INTERNATIONAL BUSINESS MACHS
1L0170	1/31/1999	9/8/1999	-	400,000	41.25	SUN MICROSYSTEMS INC
1L0170	1/31/1999	9/8/1999	-	155,000	62.13	AMGEN INC
1L0170	1/31/1999	9/8/1999	-	200,000	62.00	AMGEN INC
1L0170	1/31/1999	9/9/1999	-	500,000	23.63	APPLIED MATERIALS INC
1L0170	1/31/1999	9/9/1999	-	350,000	23.75	APPLIED MATERIALS INC
1L0170	1/31/1999	9/10/1999	-	375,000	23.38	APPLIED MATERIALS INC
1L0170	1/31/1999	9/11/1999	-	250,000	25.25	APPLIED MATERIALS INC
1L0170	1/31/1999	9/11/1999	-	425,000	25.38	APPLIED MATERIALS INC
1L0170	1/31/1999	9/15/1999	-	400,000	22.75	APPLIED MATERIALS INC
1L0170	1/31/1999	9/15/1999	-	500,000	22.88	APPLIED MATERIALS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0170	1/31/1999	9/16/1999	-	225,000	24.00	APPLIED MATERIALS INC
1L0170	1/31/1999	9/16/1999	-	250,000	24.06	APPLIED MATERIALS INC
1L0171	3/31/1999	1/7/1999	125,000	-	52.63	GOODYEAR TIRE & RUBR CO
1L0171	3/31/1999	1/7/1999	150,000	-	48.00	PENNEY J C INC
1L0171	3/31/1999	1/7/1999	160,000	-	59.00	PHARMACIA & UPJOHN INC
1L0171	3/31/1999	1/7/1999	31,100	-	58.94	PHARMACIA & UPJOHN INC
1L0171	3/31/1999	1/7/1999	175,000	-	54.63	BELL ATLANTIC CORP
1L0171	3/31/1999	1/7/1999	175,000	-	60.88	HOME DEPOT INC
1L0171	3/31/1999	1/7/1999	250,000	-	60.75	HOME DEPOT INC
1L0171	3/31/1999	1/7/1999	35,250	-	14.50	CATELLUS DEVELOPMENT CORP
1L0171	3/31/1999	1/7/1999	42,505	-	7.63	SANTA FE ENERGY RES
1L0171	3/31/1999	1/7/1999	79,000	-	31.50	BOISE CASCADE CORP
1L0171	3/31/1999	1/7/1999	2,000	-	13.38	HIGHLANDS INSURANCE GROUP
1L0171	3/31/1999	1/7/1999	100,000	-	52.75	GOODYEAR TIRE & RUBR CO
1L0171	3/31/1999	1/7/1999	125,000	-	60.81	HOME DEPOT INC
1L0171	3/31/1999	1/7/1999	170,000	-	88.06	LILLY ELI & CO
1L0171	3/31/1999	1/7/1999	200,000	-	88.75	LILLY ELI & CO
1L0171	3/31/1999	1/7/1999	3,144	-	71.94	ABERCROMBIE & FITCH CO
1L0171	3/31/1999	1/7/1999	150,000	-	54.75	BELL ATLANTIC CORP
1L0171	3/31/1999	1/7/1999	2,008	-	54.50	BELL ATLANTIC CORP
1L0171	3/31/1999	1/7/1999	3,363	-	71.94	ABERCROMBIE & FITCH CO
1L0171	3/31/1999	1/7/1999	125,000	-	47.94	PENNEY J C INC
1L0171	3/31/1999	1/7/1999	150,000	-	60.94	HOME DEPOT INC
1L0171	3/31/1999	1/7/1999	200,000	-	61.00	HOME DEPOT INC
1L0171	3/31/1999	1/7/1999	14,437	-	20.63	ALLEGHENY TELEDYNE INC
1L0171	3/31/1999	1/7/1999	40,000	-	88.63	LILLY ELI & CO
1L0171	3/31/1999	1/7/1999	75,000	-	52.69	GOODYEAR TIRE & RUBR CO
1L0171	3/31/1999	1/7/1999	100,000	-	54.56	BELL ATLANTIC CORP
1L0171	3/31/1999	1/8/1999	75,000	-	14.88	SILICON GRAPHICS INC
1L0171	3/31/1999	1/8/1999	150,000	-	86.75	LILLY ELI & CO
1L0171	3/31/1999	1/8/1999	76,000	-	57.81	HEINZ H J CO
1L0171	3/31/1999	1/8/1999	137,000	-	67.06	GTE CORP
1L0171	3/31/1999	1/8/1999	300,000	-	59.19	HOME DEPOT INC
1L0171	3/31/1999	1/8/1999	75,000	-	14.94	SILICON GRAPHICS INC
1L0171	3/31/1999	1/8/1999	200,000	-	59.25	HOME DEPOT INC
1L0171	3/31/1999	1/8/1999	44,920	-	82.06	AETNA INC
1L0171	3/31/1999	1/8/1999	75,000	-	57.88	HEINZ H J CO
1L0171	3/31/1999	1/8/1999	50,000	-	57.75	HEINZ H J CO
1L0171	3/31/1999	1/8/1999	225,000	-	67.00	GTE CORP
1L0171	3/31/1999	1/8/1999	51,598	-	59.13	HOME DEPOT INC
1L0171	3/31/1999	1/8/1999	61,765	-	82.06	AETNA INC
1L0171	3/31/1999	1/8/1999	129,000	-	46.13	PENNEY J C INC
1L0171	3/31/1999	1/11/1999	75,000	-	59.00	BLACK & DECKER MFG CO
1L0171	3/31/1999	1/11/1999	100,000	-	92.75	PROCTER & GAMBLE CO
1L0171	3/31/1999	1/11/1999	150,000	-	90.38	MOBIL CORP
1L0171	3/31/1999	1/11/1999	70,000	-	90.25	MOBIL CORP
1L0171	3/31/1999	1/11/1999	102,500	-	62.75	GAP INC
1L0171	3/31/1999	1/11/1999	150,000	-	16.38	K MART CORP
1L0171	3/31/1999	1/11/1999	175,000	-	92.69	PROCTER & GAMBLE CO
1L0171	3/31/1999	1/11/1999	150,000	-	59.44	PHARMACIA & UPJOHN INC
1L0171	3/31/1999	1/11/1999	25,000	-	92.63	PROCTER & GAMBLE CO
1L0171	3/31/1999	1/11/1999	19,000	-	32.75	BOISE CASCADE CORP
1L0171	3/31/1999	1/11/1999	100,000	-	16.31	K MART CORP
1L0171	3/31/1999	1/11/1999	120,000	-	59.38	PHARMACIA & UPJOHN INC
1L0171	3/31/1999	1/11/1999	50,000	-	58.94	BLACK & DECKER MFG CO
1L0171	3/31/1999	1/11/1999	100,000	-	84.13	JOHNSON & JOHNSON
1L0171	3/31/1999	1/11/1999	125,000	-	69.38	GTE CORP
1L0171	3/31/1999	1/11/1999	150,000	-	84.25	JOHNSON & JOHNSON
1L0171	3/31/1999	1/11/1999	175,000	-	69.44	GTE CORP
1L0171	3/31/1999	1/11/1999	50,000	-	16.25	K MART CORP
1L0171	3/31/1999	1/11/1999	79,000	-	84.19	JOHNSON & JOHNSON
1L0171	3/31/1999	1/11/1999	100,000	-	62.81	GAP INC
1L0171	3/31/1999	1/11/1999	200,000	-	62.88	GAP INC
1L0171	3/31/1999	1/11/1999	200,000	-	69.50	GTE CORP
1L0171	3/31/1999	1/11/1999	200,000	-	90.31	MOBIL CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0171	3/31/1999	1/12/1999	14,980	-	80.38	AETNA INC
1L0171	3/31/1999	1/12/1999	50,000	-	35.19	HALLIBURTON CO
1L0171	3/31/1999	1/12/1999	75,000	-	35.13	HALLIBURTON CO
1L0171	3/31/1999	1/12/1999	75,000	-	35.25	HALLIBURTON CO
1L0171	3/31/1999	1/12/1999	300,000	-	63.88	GAP INC
1L0171	3/31/1999	1/12/1999	40,000	-	32.38	BOISE CASCADE CORP
1L0171	3/31/1999	1/12/1999	130,000	-	84.69	WAL-MART STORES INC
1L0171	3/31/1999	1/12/1999	150,000	-	84.81	WAL-MART STORES INC
1L0171	3/31/1999	1/12/1999	175,000	-	84.75	WAL-MART STORES INC
1L0171	3/31/1999	1/12/1999	8,000	-	13.38	HIGHLANDS INSURANCE GROUP
1L0171	3/31/1999	1/12/1999	100,000	-	84.94	WAL-MART STORES INC
1L0171	3/31/1999	1/12/1999	125,000	-	84.88	WAL-MART STORES INC
1L0171	3/31/1999	1/12/1999	150,000	-	63.75	GAP INC
1L0171	3/31/1999	1/13/1999	32,408	-	119.44	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	125,000	-	15.69	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/13/1999	125,000	-	119.50	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	150,000	-	28.81	USX-U S STEEL GROUP
1L0171	3/31/1999	1/13/1999	150,000	-	122.94	XEROX CORP
1L0171	3/31/1999	1/13/1999	200,000	-	119.75	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	100,000	-	119.56	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	125,000	-	28.94	USX-U S STEEL GROUP
1L0171	3/31/1999	1/13/1999	150,000	-	119.69	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	5,762	-	119.38	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	100,000	-	70.75	MOTOROLA INC
1L0171	3/31/1999	1/13/1999	87	-	46.63	RAYONIER INC
1L0171	3/31/1999	1/13/1999	10,000	-	28.94	USX-U S STEEL GROUP
1L0171	3/31/1999	1/13/1999	59,000	-	70.75	MOTOROLA INC
1L0171	3/31/1999	1/13/1999	100,000	-	123.00	XEROX CORP
1L0171	3/31/1999	1/13/1999	175,000	-	119.63	LUCENT TECHNOLOGIES INC
1L0171	3/31/1999	1/13/1999	205,000	-	63.38	GAP INC
1L0171	3/31/1999	1/13/1999	52,000	-	35.25	BOISE CASCADE CORP
1L0171	3/31/1999	1/13/1999	150,000	-	15.75	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/13/1999	150,000	-	28.88	USX-U S STEEL GROUP
1L0171	3/31/1999	1/14/1999	18,850	-	52.69	WASTE MANAGEMENT INC
1L0171	3/31/1999	1/14/1999	72,500	-	52.75	WASTE MANAGEMENT INC
1L0171	3/31/1999	1/14/1999	170,000	-	71.13	MOTOROLA INC
1L0171	3/31/1999	1/14/1999	175,000	-	71.25	MOTOROLA INC
1L0171	3/31/1999	1/14/1999	159,400	-	42.19	PEPSICO INC
1L0171	3/31/1999	1/14/1999	60,000	-	34.75	BOISE CASCADE CORP
1L0171	3/31/1999	1/14/1999	102,000	-	122.94	XEROX CORP
1L0171	3/31/1999	1/14/1999	125,000	-	42.31	PEPSICO INC
1L0171	3/31/1999	1/14/1999	125,000	-	71.19	MOTOROLA INC
1L0171	3/31/1999	1/14/1999	125,000	-	71.19	MOTOROLA INC
1L0171	3/31/1999	1/14/1999	125,000	-	123.00	XEROX CORP
1L0171	3/31/1999	1/14/1999	174,000	-	42.25	PEPSICO INC
1L0171	3/31/1999	1/14/1999	36,378	-	21.00	NEWMONT MNG CORP
1L0171	3/31/1999	1/14/1999	58,000	-	15.38	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/14/1999	150,000	-	77.50	THE CHASE MANHATTAN CORP NEW
1L0171	3/31/1999	1/14/1999	32,400	-	50.69	TRICON GLOBAL RESTAURANTS INC
1L0171	3/31/1999	1/14/1999	150,000	-	15.38	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/14/1999	150,000	-	42.38	PEPSICO INC
1L0171	3/31/1999	1/14/1999	28,440	-	50.75	TRICON GLOBAL RESTAURANTS INC
1L0171	3/31/1999	1/14/1999	125,000	-	77.38	THE CHASE MANHATTAN CORP NEW
1L0171	3/31/1999	1/14/1999	150,000	-	71.25	MOTOROLA INC
1L0171	3/31/1999	1/14/1999	68,000	-	77.31	THE CHASE MANHATTAN CORP NEW
1L0171	3/31/1999	1/14/1999	175,000	-	77.44	THE CHASE MANHATTAN CORP NEW
1L0171	3/31/1999	1/15/1999	10,750	-	43.81	WASHINGTON MUTUAL INC
1L0171	3/31/1999	1/15/1999	75,000	-	64.50	ATLANTIC RICHFIELD CO
1L0171	3/31/1999	1/15/1999	160,000	-	15.75	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/15/1999	175,000	-	43.94	WASHINGTON MUTUAL INC
1L0171	3/31/1999	1/15/1999	200,000	-	43.88	WASHINGTON MUTUAL INC
1L0171	3/31/1999	1/15/1999	71,000	-	64.63	ATLANTIC RICHFIELD CO
1L0171	3/31/1999	1/15/1999	82,000	-	15.69	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/15/1999	200,000	-	15.75	NATIONAL SEMICONDUCTOR CORP
1L0171	3/31/1999	1/15/1999	43,875	-	44.00	WASHINGTON MUTUAL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0171	3/31/1999	1/15/1999	150,000	-	44.00	WASHINGTON MUTUAL INC
1L0171	3/31/1999	1/15/1999	200,000	-	43.94	WASHINGTON MUTUAL INC
1L0171	3/31/1999	1/19/1999	90,000	-	47.06	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	150,000	-	47.06	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	150,000	-	47.13	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	200,000	-	47.13	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	150,000	-	46.94	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	175,000	-	47.00	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	200,000	-	47.13	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	147,000	-	47.00	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	150,000	-	47.06	APPLE COMPUTER INC
1L0171	3/31/1999	1/19/1999	185,000	-	47.00	APPLE COMPUTER INC
1L0171	3/31/1999	1/21/1999	4,560	-	39.13	SIEBEL SYSTEMS INC
1L0171	3/31/1999	1/21/1999	34,350	-	40.19	ITT INDUSTRIES INC INDIANA
1L0171	3/31/1999	1/21/1999	50,000	-	40.25	ITT INDUSTRIES INC INDIANA
1L0171	3/31/1999	1/22/1999	130,000	-	13.13	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/22/1999	150,000	-	13.19	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/22/1999	32,000	-	13.06	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/22/1999	120,000	-	13.25	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/25/1999	125,000	-	13.50	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/25/1999	3,125	-	55.38	NCR CORP
1L0171	3/31/1999	1/25/1999	5,242	-	79.50	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	68,415	-	79.44	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	150,000	-	20.38	NOVELL INC
1L0171	3/31/1999	1/25/1999	180,000	-	13.38	VLSI TECHNOLOGY INC
1L0171	3/31/1999	1/25/1999	150,000	-	20.50	NOVELL INC
1L0171	3/31/1999	1/25/1999	40,000	-	55.50	NCR CORP
1L0171	3/31/1999	1/25/1999	56,585	-	79.63	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	150,000	-	79.75	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	175,000	-	79.81	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	32,875	-	55.44	NCR CORP
1L0171	3/31/1999	1/25/1999	75,000	-	20.50	NOVELL INC
1L0171	3/31/1999	1/25/1999	185,000	-	79.69	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	65,000	-	20.38	NOVELL INC
1L0171	3/31/1999	1/25/1999	130,000	-	79.56	MCI WORLDCOM INC
1L0171	3/31/1999	1/25/1999	200,000	-	79.88	MCI WORLDCOM INC
1L0171	3/31/1999	1/26/1999	170,000	-	32.75	THE LIMITED INC
1L0171	3/31/1999	1/26/1999	126,000	-	58.50	SBC COMMUNICATIONS INC
1L0171	3/31/1999	1/26/1999	150,000	-	58.75	SBC COMMUNICATIONS INC
1L0171	3/31/1999	1/26/1999	160,000	-	58.56	SBC COMMUNICATIONS INC
1L0171	3/31/1999	1/26/1999	110	-	117.38	UNITED TECHNOLOGIES CORP
1L0171	3/31/1999	1/26/1999	120,000	-	58.69	SBC COMMUNICATIONS INC
1L0171	3/31/1999	1/26/1999	180,000	-	58.63	SBC COMMUNICATIONS INC
1L0171	3/31/1999	1/28/1999	180,000	-	68.75	GATEWAY 2000 INC
1L0171	3/31/1999	1/28/1999	150,000	-	23.94	LSI LOGIC CORP
1L0171	3/31/1999	1/28/1999	110,000	-	68.63	GATEWAY 2000 INC
1L0171	3/31/1999	1/28/1999	60,000	-	32.25	THE LIMITED INC
1L0171	3/31/1999	1/28/1999	92,000	-	68.69	GATEWAY 2000 INC
1L0171	3/31/1999	1/28/1999	150,000	-	68.88	GATEWAY 2000 INC
1L0171	3/31/1999	1/28/1999	250,000	-	68.81	GATEWAY 2000 INC
1L0171	3/31/1999	1/29/1999	52,800	-	171.38	MICROSOFT CORP
1L0171	3/31/1999	1/29/1999	100,000	-	171.50	MICROSOFT CORP
1L0171	3/31/1999	1/29/1999	120,000	-	33.38	THE LIMITED INC
1L0171	3/31/1999	1/29/1999	126,000	-	33.25	THE LIMITED INC
1L0171	3/31/1999	1/29/1999	170,000	-	24.00	LSI LOGIC CORP
1L0171	3/31/1999	1/29/1999	200,000	-	24.13	LSI LOGIC CORP
1L0171	3/31/1999	1/29/1999	50,000	-	171.44	MICROSOFT CORP
1L0171	1/31/1999	9/4/1999	-	110	72.00	UNITED TECHNOLOGIES CORP
1L0171	1/31/1999	9/4/1999	-	50,000	29.75	ITT INDUSTRIES INC INDIANA
1L0171	1/31/1999	9/4/1999	-	71,000	56.63	ATLANTIC RICHFIELD CO
1L0171	1/31/1999	9/4/1999	-	150,000	70.00	MOBIL CORP
1L0171	1/31/1999	9/4/1999	-	65,000	10.00	NOVELL INC
1L0171	1/31/1999	9/4/1999	-	120,000	40.81	PHARMACIA & UPJOHN INC
1L0171	1/31/1999	9/4/1999	-	150,000	10.06	NOVELL INC
1L0171	1/31/1999	9/4/1999	-	150,000	35.25	SBC COMMUNICATIONS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0171	1/31/1999	9/4/1999	-	75,000	56.50	ATLANTIC RICHFIELD CO
1L0171	1/31/1999	9/4/1999	-	120,000	35.38	SBC COMMUNICATIONS INC
1L0171	1/31/1999	9/4/1999	-	180,000	35.50	SBC COMMUNICATIONS INC
1L0171	1/31/1999	9/4/1999	-	42,505	7.00	SANTA FE ENERGY RES
1L0171	1/31/1999	9/4/1999	-	75,000	9.94	NOVELL INC
1L0171	1/31/1999	9/4/1999	-	200,000	70.13	MOBIL CORP
1L0171	1/31/1999	9/4/1999	-	31,100	41.00	PHARMACIA & UPJOHN INC
1L0171	1/31/1999	9/4/1999	-	126,000	35.38	SBC COMMUNICATIONS INC
1L0171	1/31/1999	9/4/1999	-	150,000	40.75	PHARMACIA & UPJOHN INC
1L0171	1/31/1999	9/4/1999	-	160,000	40.94	PHARMACIA & UPJOHN INC
1L0171	1/31/1999	9/4/1999	-	150,000	9.94	NOVELL INC
1L0171	1/31/1999	9/4/1999	-	160,000	35.38	SBC COMMUNICATIONS INC
1L0171	1/31/1999	9/4/1999	-	34,350	30.00	ITT INDUSTRIES INC INDIANA
1L0171	1/31/1999	9/4/1999	-	70,000	70.25	MOBIL CORP
1L0171	1/31/1999	9/9/1999	-	120,000	7.56	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	125,000	7.50	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	200,000	34.25	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	36,378	14.13	NEWMONT MNG CORP
1L0171	1/31/1999	9/9/1999	-	180,000	7.63	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	32,000	7.81	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	147,000	34.38	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	150,000	34.19	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	87	37.00	RAYONIER INC
1L0171	1/31/1999	9/9/1999	-	100,000	41.06	BELL ATLANTIC CORP
1L0171	1/31/1999	9/9/1999	-	175,000	34.38	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	150,000	7.69	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	150,000	34.25	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	150,000	40.88	BELL ATLANTIC CORP
1L0171	1/31/1999	9/9/1999	-	2,008	41.13	BELL ATLANTIC CORP
1L0171	1/31/1999	9/9/1999	-	130,000	7.75	VLSI TECHNOLOGY INC
1L0171	1/31/1999	9/9/1999	-	150,000	34.19	APPLE COMPUTER INC
1L0171	1/31/1999	9/9/1999	-	175,000	41.00	BELL ATLANTIC CORP
1L0171	1/31/1999	9/10/1999	-	185,000	34.06	APPLE COMPUTER INC
1L0171	1/31/1999	9/10/1999	-	100,000	46.25	GOODYEAR TIRE & RUBR CO
1L0171	1/31/1999	9/10/1999	-	90,000	34.13	APPLE COMPUTER INC
1L0171	1/31/1999	9/10/1999	-	43,875	31.00	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/10/1999	-	200,000	34.00	APPLE COMPUTER INC
1L0171	1/31/1999	9/10/1999	-	125,000	46.38	GOODYEAR TIRE & RUBR CO
1L0171	1/31/1999	9/10/1999	-	150,000	34.25	APPLE COMPUTER INC
1L0171	1/31/1999	9/11/1999	-	10,750	32.19	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/11/1999	-	175,000	47.75	GTE CORP
1L0171	1/31/1999	9/11/1999	-	200,000	32.00	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/11/1999	-	125,000	47.88	GTE CORP
1L0171	1/31/1999	9/11/1999	-	175,000	31.94	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/11/1999	-	150,000	31.88	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/11/1999	-	200,000	32.25	WASHINGTON MUTUAL INC
1L0171	1/31/1999	9/15/1999	-	61,765	62.38	AETNA INC
1L0171	1/31/1999	9/15/1999	-	150,000	28.25	PEPSICO INC
1L0171	1/31/1999	9/15/1999	-	225,000	47.50	GTE CORP
1L0171	1/31/1999	9/15/1999	-	125,000	28.31	PEPSICO INC
1L0171	1/31/1999	9/15/1999	-	174,000	28.38	PEPSICO INC
1L0171	1/31/1999	9/15/1999	-	75,000	46.75	GOODYEAR TIRE & RUBR CO
1L0171	1/31/1999	9/15/1999	-	159,400	28.44	PEPSICO INC
1L0171	1/31/1999	9/15/1999	-	14,437	15.00	ALLEGHENY TELEDYNE INC
1L0171	1/31/1999	9/15/1999	-	137,000	47.63	GTE CORP
1L0171	1/31/1999	9/16/1999	-	200,000	47.00	GTE CORP
1L0171	1/31/1999	9/18/1999	-	150,000	20.88	USX-U S STEEL GROUP
1L0171	1/31/1999	9/21/1999	-	150,000	20.94	USX-U S STEEL GROUP
1L0171	1/31/1999	9/22/1999	-	25,000	65.88	PROCTER & GAMBLE CO
1L0171	1/31/1999	9/22/1999	-	125,000	8.75	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	9/22/1999	-	200,000	8.50	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	9/22/1999	-	150,000	8.63	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	9/22/1999	-	175,000	65.75	PROCTER & GAMBLE CO
1L0171	1/31/1999	9/22/1999	-	32,875	23.94	NCR CORP
1L0171	1/31/1999	9/22/1999	-	3,125	23.94	NCR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0171	1/31/1999	9/22/1999	-	100,000	65.63	PROCTER & GAMBLE CO
1L0171	1/31/1999	9/23/1999	-	40,000	23.88	NCR CORP
1L0171	1/31/1999	9/24/1999	-	32,408	71.25	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	150,000	44.31	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	200,000	44.25	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	68,415	44.75	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	125,000	71.13	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	150,000	70.94	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	175,000	71.00	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	5,242	44.63	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	5,762	71.25	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	130,000	44.56	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	185,000	44.44	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	125,000	20.88	USX-U S STEEL GROUP
1L0171	1/31/1999	9/24/1999	-	175,000	44.38	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	200,000	70.88	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/24/1999	-	10,000	20.88	USX-U S STEEL GROUP
1L0171	1/31/1999	9/24/1999	-	56,585	44.50	MCI WORLDCOM INC
1L0171	1/31/1999	9/24/1999	-	100,000	71.06	LUCENT TECHNOLOGIES INC
1L0171	1/31/1999	9/25/1999	-	125,000	78.38	XEROX CORP
1L0171	1/31/1999	9/25/1999	-	100,000	78.25	XEROX CORP
1L0171	1/31/1999	9/25/1999	-	102,000	78.63	XEROX CORP
1L0171	1/31/1999	9/25/1999	-	150,000	78.50	XEROX CORP
1L0171	1/31/1999	9/28/1999	-	100,000	25.13	BOISE CASCADE CORP
1L0171	1/31/1999	9/30/1999	-	10,000	12.06	HIGHLANDS INSURANCE GROUP
1L0171	1/31/1999	10/2/1999	-	205,000	51.38	GAP INC
1L0171	1/31/1999	10/2/1999	-	200,000	51.25	GAP INC
1L0171	1/31/1999	10/5/1999	-	300,000	51.94	GAP INC
1L0171	1/31/1999	10/5/1999	-	170,000	22.06	THE LIMITED INC
1L0171	1/31/1999	10/6/1999	-	50,000	50.88	HEINZ H J CO
1L0171	1/31/1999	10/6/1999	-	175,000	52.94	WAL-MART STORES INC
1L0171	1/31/1999	10/6/1999	-	120,000	21.63	THE LIMITED INC
1L0171	1/31/1999	10/6/1999	-	125,000	52.81	WAL-MART STORES INC
1L0171	1/31/1999	10/6/1999	-	60,000	21.75	THE LIMITED INC
1L0171	1/31/1999	10/6/1999	-	100,000	52.75	WAL-MART STORES INC
1L0171	1/31/1999	10/6/1999	-	130,000	53.00	WAL-MART STORES INC
1L0171	1/31/1999	10/6/1999	-	150,000	52.88	WAL-MART STORES INC
1L0171	1/31/1999	10/6/1999	-	76,000	50.94	HEINZ H J CO
1L0171	1/31/1999	10/6/1999	-	75,000	50.75	HEINZ H J CO
1L0171	1/31/1999	10/7/1999	-	79,000	73.38	JOHNSON & JOHNSON
1L0171	1/31/1999	10/7/1999	-	100,000	40.00	MOTOROLA INC
1L0171	1/31/1999	10/7/1999	-	150,000	73.31	JOHNSON & JOHNSON
1L0171	1/31/1999	10/7/1999	-	3,144	32.13	ABERCROMBIE & FITCH CO
1L0171	1/31/1999	10/7/1999	-	79,000	23.38	BOISE CASCADE CORP
1L0171	1/31/1999	10/7/1999	-	100,000	73.50	JOHNSON & JOHNSON
1L0171	1/31/1999	10/7/1999	-	50,000	11.13	K MART CORP
1L0171	1/31/1999	10/7/1999	-	125,000	40.50	MOTOROLA INC
1L0171	1/31/1999	10/7/1999	-	3,363	32.25	ABERCROMBIE & FITCH CO
1L0171	1/31/1999	10/7/1999	-	175,000	40.25	MOTOROLA INC
1L0171	1/31/1999	10/7/1999	-	100,000	11.06	K MART CORP
1L0171	1/31/1999	10/7/1999	-	126,000	22.13	THE LIMITED INC
1L0171	1/31/1999	10/7/1999	-	150,000	11.00	K MART CORP
1L0171	1/31/1999	10/8/1999	-	75,000	39.25	BLACK & DECKER MFG CO
1L0171	1/31/1999	10/8/1999	-	150,000	42.94	PENNEY J C INC
1L0171	1/31/1999	10/8/1999	-	59,000	38.94	MOTOROLA INC
1L0171	1/31/1999	10/8/1999	-	150,000	38.75	MOTOROLA INC
1L0171	1/31/1999	10/8/1999	-	19,000	22.75	BOISE CASCADE CORP
1L0171	1/31/1999	10/8/1999	-	125,000	38.81	MOTOROLA INC
1L0171	1/31/1999	10/8/1999	-	50,000	39.38	BLACK & DECKER MFG CO
1L0171	1/31/1999	10/8/1999	-	125,000	43.00	PENNEY J C INC
1L0171	1/31/1999	10/8/1999	-	170,000	38.88	MOTOROLA INC
1L0171	1/31/1999	10/9/1999	-	35,250	11.25	CATELLUS DEVELOPMENT CORP
1L0171	1/31/1999	10/9/1999	-	129,000	44.00	PENNEY J C INC
1L0171	1/31/1999	10/13/1999	-	150,000	11.31	LSI LOGIC CORP
1L0171	1/31/1999	10/14/1999	-	4,560	15.50	SIEBEL SYSTEMS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0171	1/31/1999	10/14/1999	-	200,000	32.25	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	50,000	88.13	MICROSOFT CORP
1L0171	1/31/1999	10/14/1999	-	51,598	32.31	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	52,000	22.75	BOISE CASCADE CORP
1L0171	1/31/1999	10/14/1999	-	52,800	88.25	MICROSOFT CORP
1L0171	1/31/1999	10/14/1999	-	150,000	36.25	GATEWAY 2000 INC
1L0171	1/31/1999	10/14/1999	-	175,000	36.06	THE CHASE MANHATTAN CORP NEW
1L0171	1/31/1999	10/14/1999	-	200,000	10.88	LSI LOGIC CORP
1L0171	1/31/1999	10/14/1999	-	200,000	31.88	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	150,000	7.75	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	10/14/1999	-	180,000	36.38	GATEWAY 2000 INC
1L0171	1/31/1999	10/14/1999	-	250,000	36.19	GATEWAY 2000 INC
1L0171	1/31/1999	10/14/1999	-	72,500	35.50	WASTE MANAGEMENT INC
1L0171	1/31/1999	10/14/1999	-	75,000	25.31	HALLIBURTON CO
1L0171	1/31/1999	10/14/1999	-	92,000	36.31	GATEWAY 2000 INC
1L0171	1/31/1999	10/14/1999	-	110,000	36.50	GATEWAY 2000 INC
1L0171	1/31/1999	10/14/1999	-	175,000	32.00	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	50,000	25.75	HALLIBURTON CO
1L0171	1/31/1999	10/14/1999	-	250,000	32.13	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	28,440	32.00	TRICON GLOBAL RESTAURANTS INC
1L0171	1/31/1999	10/14/1999	-	68,000	36.25	THE CHASE MANHATTAN CORP NEW
1L0171	1/31/1999	10/14/1999	-	75,000	7.75	SILICON GRAPHICS INC
1L0171	1/31/1999	10/14/1999	-	75,000	7.88	SILICON GRAPHICS INC
1L0171	1/31/1999	10/14/1999	-	100,000	88.00	MICROSOFT CORP
1L0171	1/31/1999	10/14/1999	-	125,000	36.13	THE CHASE MANHATTAN CORP NEW
1L0171	1/31/1999	10/14/1999	-	18,850	35.63	WASTE MANAGEMENT INC
1L0171	1/31/1999	10/14/1999	-	40,000	68.56	LILLY ELI & CO
1L0171	1/31/1999	10/14/1999	-	44,920	63.00	AETNA INC
1L0171	1/31/1999	10/14/1999	-	125,000	32.06	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	150,000	31.94	HOME DEPOT INC
1L0171	1/31/1999	10/14/1999	-	150,000	36.00	THE CHASE MANHATTAN CORP NEW
1L0171	1/31/1999	10/14/1999	-	150,000	68.50	LILLY ELI & CO
1L0171	1/31/1999	10/14/1999	-	160,000	7.75	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	10/14/1999	-	32,400	32.06	TRICON GLOBAL RESTAURANTS INC
1L0171	1/31/1999	10/14/1999	-	75,000	25.88	HALLIBURTON CO
1L0171	1/31/1999	10/14/1999	-	140,000	7.88	NATIONAL SEMICONDUCTOR CORP
1L0171	1/31/1999	10/14/1999	-	170,000	68.38	LILLY ELI & CO
1L0171	1/31/1999	10/14/1999	-	200,000	68.25	LILLY ELI & CO
1L0171	1/31/1999	10/14/1999	-	300,000	32.19	HOME DEPOT INC
1L0171	1/31/1999	10/15/1999	-	14,980	67.75	AETNA INC
1L0171	1/31/1999	10/15/1999	-	170,000	10.88	LSI LOGIC CORP
1L0171	1/31/1999	12/31/1999	53,600,000	-	98.82	U S TREASURY BILL
1L0171	1/31/1999	12/31/1999	55,000,000	-	99.07	U S TREASURY BILL
1L0171	1/31/1999	12/31/1999	65,351	-	1.00	FIDELITY SPARTAN
1L0171	1/31/1999	12/31/1999	53,500,000	-	98.99	U S TREASURY BILL
1L0173	3/31/1999	1/7/1999	18,000	-	186.00	INTERNATIONAL BUSINESS MACHS
1L0173	3/31/1999	1/11/1999	70,000	-	104.38	GENERAL ELECTRIC CO
1L0173	3/31/1999	1/11/1999	71,800	-	104.50	GENERAL ELECTRIC CO
1L0173	3/31/1999	1/12/1999	68,750	-	63.94	GAP INC
1L0173	3/31/1999	1/12/1999	19,000	-	84.75	WAL-MART STORES INC
1L0173	3/31/1999	1/12/1999	100,000	-	63.88	GAP INC
1L0173	3/31/1999	1/15/1999	175,000	-	32.75	ADVANCED MICRO DEVICES
1L0173	3/31/1999	1/15/1999	65,000	-	15.75	NATIONAL SEMICONDUCTOR CORP
1L0173	3/31/1999	1/15/1999	24,000	-	142.25	INTEL CORP
1L0173	3/31/1999	1/25/1999	34,020	-	50.00	COMPAQ COMPUTER CORP
1L0173	2/28/1999	10/26/1999	-	19,000	67.00	WAL-MART STORES INC
1L0173	2/28/1999	10/26/1999	-	37,500	56.69	GAP INC
1L0173	2/28/1999	10/26/1999	-	25,000	83.06	GENERAL ELECTRIC CO
1L0173	2/28/1999	10/26/1999	-	34,020	28.06	COMPAQ COMPUTER CORP
1L0173	2/28/1999	10/26/1999	-	41,800	83.13	GENERAL ELECTRIC CO
1L0173	2/28/1999	10/26/1999	-	65,000	9.63	NATIONAL SEMICONDUCTOR CORP
1L0173	2/28/1999	10/26/1999	-	75,000	83.00	GENERAL ELECTRIC CO
1L0173	2/28/1999	10/26/1999	-	75,000	16.94	ADVANCED MICRO DEVICES
1L0173	2/28/1999	10/26/1999	-	75,000	56.50	GAP INC
1L0173	2/28/1999	10/26/1999	-	100,000	17.00	ADVANCED MICRO DEVICES

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0173	2/28/1999	10/26/1999	-	24,000	86.75	INTEL CORP
1L0173	2/28/1999	10/26/1999	-	18,000	143.00	INTERNATIONAL BUSINESS MACHS
1L0173	6/30/2000	4/20/2000	-	22,400	76.00	SIEBEL SYSTEMS INC
1L0173	6/30/2000	4/20/2000	22,400	-	76.00	SIEBEL SYSTEMS INC
1L0177	8/31/2002	7/31/2002	-	1,550,000	99.54	U S TREASURY BILL
1L0177	8/31/2002	7/31/2002	-	1,550,000	99.48	U S TREASURY BILL
1L0177	8/31/2002	7/31/2002	1,550,000	-	99.54	U S TREASURY BILL
1L0177	8/31/2002	7/31/2002	1,550,000	-	99.48	U S TREASURY BILL
1L0300	12/31/2005	11/4/2005	75,000	-	2.79	LUCENT TECHNOLOGIES INC
1L0300	12/31/2005	11/7/2005	237,000	-	10.39	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/7/2005	-	50,000	63.09	HALLIBURTON CO
1L0300	12/31/2005	11/7/2005	-	54,000	58.77	PEPSICO INC
1L0300	12/31/2005	11/7/2005	228,000	-	10.41	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/7/2005	-	80,400	58.81	PEPSICO INC
1L0300	12/31/2005	11/8/2005	-	100,000	63.65	HALLIBURTON CO
1L0300	12/31/2005	11/8/2005	-	83,000	50.19	LILLY ELI & CO
1L0300	12/31/2005	11/8/2005	-	120,000	55.95	PROCTER & GAMBLE CO
1L0300	12/31/2005	11/8/2005	-	90,000	50.50	LILLY ELI & CO
1L0300	12/31/2005	11/8/2005	-	123,000	56.01	PROCTER & GAMBLE CO
1L0300	12/31/2005	11/8/2005	-	95,000	58.92	PEPSICO INC
1L0300	12/31/2005	11/8/2005	-	140,000	55.89	PROCTER & GAMBLE CO
1L0300	12/31/2005	11/8/2005	-	65,000	50.27	LILLY ELI & CO
1L0300	12/31/2005	11/9/2005	-	50,000	63.51	HALLIBURTON CO
1L0300	12/31/2005	11/9/2005	-	35,000	50.68	LILLY ELI & CO
1L0300	12/31/2005	11/9/2005	-	75,000	50.63	LILLY ELI & CO
1L0300	12/31/2005	11/9/2005	-	77,000	50.75	LILLY ELI & CO
1L0300	12/31/2005	11/9/2005	-	85,000	50.71	LILLY ELI & CO
1L0300	12/31/2005	11/9/2005	-	132,000	13.89	XEROX CORP
1L0300	12/31/2005	11/9/2005	-	96,000	58.86	PEPSICO INC
1L0300	12/31/2005	11/9/2005	-	122,000	13.87	XEROX CORP
1L0300	12/31/2005	11/9/2005	-	99,000	58.98	PEPSICO INC
1L0300	12/31/2005	11/9/2005	-	102,000	56.11	PROCTER & GAMBLE CO
1L0300	12/31/2005	11/9/2005	-	115,000	56.07	PROCTER & GAMBLE CO
1L0300	12/31/2005	11/10/2005	-	125,000	13.96	XEROX CORP
1L0300	12/31/2005	11/10/2005	-	87,000	22.18	PFIZER INC
1L0300	12/31/2005	11/10/2005	-	53,000	22.14	PFIZER INC
1L0300	12/31/2005	11/10/2005	-	115,000	13.99	XEROX CORP
1L0300	12/31/2005	11/10/2005	-	200,000	61.25	JOHNSON & JOHNSON
1L0300	12/31/2005	11/10/2005	-	50,000	50.85	LILLY ELI & CO
1L0300	12/31/2005	11/10/2005	-	60,000	22.24	PFIZER INC
1L0300	12/31/2005	11/10/2005	198,000	-	10.42	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/14/2005	-	75,000	61.03	JOHNSON & JOHNSON
1L0300	12/31/2005	11/14/2005	-	89,000	22.13	PFIZER INC
1L0300	12/31/2005	11/14/2005	193,920	-	38.24	YAHOO INC
1L0300	12/31/2005	11/14/2005	-	155,000	14.12	XEROX CORP
1L0300	12/31/2005	11/14/2005	-	130,000	14.04	XEROX CORP
1L0300	12/31/2005	11/14/2005	-	89,884	22.07	PFIZER INC
1L0300	12/31/2005	11/14/2005	-	158,000	61.13	JOHNSON & JOHNSON
1L0300	12/31/2005	11/14/2005	156,000	-	38.19	YAHOO INC
1L0300	12/31/2005	11/14/2005	-	91,000	22.22	PFIZER INC
1L0300	12/31/2005	11/14/2005	-	175,000	14.09	XEROX CORP
1L0300	12/31/2005	11/15/2005	-	56,880	49.62	YUM BRANDS INC
1L0300	12/31/2005	11/15/2005	-	64,800	49.79	YUM BRANDS INC
1L0300	12/31/2005	11/15/2005	-	75,000	60.77	JOHNSON & JOHNSON
1L0300	12/31/2005	11/15/2005	-	111,000	35.09	HEINZ H J CO
1L0300	12/31/2005	11/15/2005	-	150,000	60.99	JOHNSON & JOHNSON
1L0300	12/31/2005	11/15/2005	-	73,000	63.81	MONSANTO CO
1L0300	12/31/2005	11/15/2005	-	200,000	57.09	CHEVRON CORP
1L0300	12/31/2005	11/15/2005	-	35,000	26.89	CARMAX INC
1L0300	12/31/2005	11/15/2005	-	90,000	35.13	HEINZ H J CO
1L0300	12/31/2005	11/16/2005	-	64,000	7.57	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	25,000	38.15	J.P. MORGAN CHASE & CO
1L0300	12/31/2005	11/16/2005	-	110,020	56.12	CHEVRON CORP
1L0300	12/31/2005	11/16/2005	-	37,000	27.22	CARMAX INC
1L0300	12/31/2005	11/16/2005	-	65,152	59.37	STARWOOD HOTEL RESORTS WLDWIDE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0300	12/31/2005	11/16/2005	-	20,605	66.21	MONSANTO CO
1L0300	12/31/2005	11/16/2005	-	155,000	56.80	CHEVRON CORP
1L0300	12/31/2005	11/16/2005	-	72,000	7.65	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	80,000	7.68	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	50,000	28.61	OFFICE MAX
1L0300	12/31/2005	11/16/2005	-	86,000	7.55	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	88,000	7.72	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	132,000	38.19	J.P. MORGAN CHASE & CO
1L0300	12/31/2005	11/16/2005	-	50,000	7.54	NOVELL INC
1L0300	12/31/2005	11/16/2005	-	200,000	38.25	J.P. MORGAN CHASE & CO
1L0300	12/31/2005	11/17/2005	-	53,000	29.01	OFFICE MAX
1L0300	12/31/2005	11/17/2005	-	80,000	18.11	APPLIED MATERIALS INC
1L0300	12/31/2005	11/17/2005	-	26,500	26.13	BARRICK GOLD CORP
1L0300	12/31/2005	11/17/2005	-	88,000	57.20	CHEVRON CORP
1L0300	12/31/2005	11/17/2005	-	155,000	57.14	CHEVRON CORP
1L0300	12/31/2005	11/17/2005	-	65,000	60.50	STARWOOD HOTEL RESORTS WLDWIDE
1L0300	12/31/2005	11/18/2005	-	70,000	66.12	BP PLC
1L0300	12/31/2005	11/18/2005	-	88,000	36.88	NORDSTROM INC
1L0300	12/31/2005	11/18/2005	-	94,000	27.31	MICROSOFT CORP
1L0300	12/31/2005	11/18/2005	-	53,000	25.89	BARRICK GOLD CORP
1L0300	12/31/2005	11/18/2005	-	60,300	27.39	MICROSOFT CORP
1L0300	12/31/2005	11/18/2005	-	73,000	27.40	MICROSOFT CORP
1L0300	12/31/2005	11/18/2005	-	76,000	91.01	AETNA INC
1L0300	12/31/2005	11/18/2005	-	40	66.12	BP PLC
1L0300	12/31/2005	11/18/2005	102,000	-	45.29	BROADCOM CORP CL A
1L0300	12/31/2005	11/18/2005	-	39,750	26.00	BARRICK GOLD CORP
1L0300	12/31/2005	11/18/2005	201,000	-	45.37	BROADCOM CORP CL A
1L0300	12/31/2005	11/18/2005	-	1,083	1.00	FIDELITY SPARTAN
1L0300	12/31/2005	11/18/2005	-	56,000	37.03	NORDSTROM INC
1L0300	12/31/2005	11/18/2005	-	100,000	27.71	TYCO INTERNATIONAL LTD
1L0300	12/31/2005	11/18/2005	-	38,398	90.99	AETNA INC
1L0300	12/31/2005	11/18/2005	-	70,000	17.89	APPLIED MATERIALS INC
1L0300	12/31/2005	11/18/2005	-	180,000	57.25	CHEVRON CORP
1L0300	12/31/2005	11/18/2005	-	200,000	57.31	CHEVRON CORP
1L0300	12/31/2005	11/18/2005	-	54,000	36.97	NORDSTROM INC
1L0300	12/31/2005	11/21/2005	-	57,000	27.53	MICROSOFT CORP
1L0300	12/31/2005	11/21/2005	-	30,200	92.99	AETNA INC
1L0300	12/31/2005	11/21/2005	-	53,000	26.09	BARRICK GOLD CORP
1L0300	12/31/2005	11/21/2005	-	155,000	28.09	TYCO INTERNATIONAL LTD
1L0300	12/31/2005	11/21/2005	-	6,625	26.01	BARRICK GOLD CORP
1L0300	12/31/2005	11/21/2005	-	89,000	27.71	MICROSOFT CORP
1L0300	12/31/2005	11/21/2005	215,000	-	10.46	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/21/2005	235,000	-	10.51	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/21/2005	-	75,400	65.09	BP PLC
1L0300	12/31/2005	11/21/2005	-	164,000	57.19	EXXON MOBIL CORP
1L0300	12/31/2005	11/21/2005	-	229,000	64.77	APPLE COMPUTER INC
1L0300	12/31/2005	11/21/2005	-	36,000	57.11	EXXON MOBIL CORP
1L0300	12/31/2005	11/21/2005	-	135,000	64.90	APPLE COMPUTER INC
1L0300	12/31/2005	11/21/2005	-	184,000	64.83	APPLE COMPUTER INC
1L0300	12/31/2005	11/21/2005	-	99,000	64.69	APPLE COMPUTER INC
1L0300	12/31/2005	11/21/2005	-	131,298	28.52	TYCO INTERNATIONAL LTD
1L0300	12/31/2005	11/21/2005	196,000	-	45.97	BROADCOM CORP CL A
1L0300	12/31/2005	11/21/2005	198,000	-	45.93	BROADCOM CORP CL A
1L0300	12/31/2005	11/21/2005	225,000	-	10.50	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/21/2005	-	61,200	27.61	MICROSOFT CORP
1L0300	12/31/2005	11/21/2005	-	71,000	27.67	MICROSOFT CORP
1L0300	12/31/2005	11/21/2005	-	127,096	92.79	AETNA INC
1L0300	12/31/2005	11/21/2005	199,000	-	45.80	BROADCOM CORP CL A
1L0300	12/31/2005	11/21/2005	200,570	-	10.47	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/21/2005	-	100,000	57.21	EXXON MOBIL CORP
1L0300	12/31/2005	11/21/2005	-	140,000	64.74	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	61,000	65.31	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	96,000	57.77	EXXON MOBIL CORP
1L0300	12/31/2005	11/22/2005	-	200,000	57.79	EXXON MOBIL CORP
1L0300	12/31/2005	11/22/2005	196,380	-	46.70	BROADCOM CORP CL A

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0300	12/31/2005	11/22/2005	-	198,000	45.47	QUALCOMM INC
1L0300	12/31/2005	11/22/2005	-	2,332	26.89	BARRICK GOLD CORP
1L0300	12/31/2005	11/22/2005	-	78,000	0.43	SILICON GRAPHICS INC
1L0300	12/31/2005	11/22/2005	-	182,000	45.27	QUALCOMM INC
1L0300	12/31/2005	11/22/2005	-	74,000	65.66	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	115,000	28.99	TYCO INTERNATIONAL LTD
1L0300	12/31/2005	11/22/2005	-	161,000	65.53	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	6,726	61.32	ABERCROMBIE & FITCH CO
1L0300	12/31/2005	11/22/2005	-	26,000	65.59	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	112,926	57.81	EXXON MOBIL CORP
1L0300	12/31/2005	11/22/2005	-	70,000	34.40	TOLL BROTHERS INC
1L0300	12/31/2005	11/22/2005	-	72,000	65.48	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	-	75,000	29.12	NETWORK APPLIANCE INC
1L0300	12/31/2005	11/22/2005	-	130,000	29.03	TYCO INTERNATIONAL LTD
1L0300	12/31/2005	11/22/2005	-	243,000	65.67	APPLE COMPUTER INC
1L0300	12/31/2005	11/22/2005	189,000	-	46.74	BROADCOM CORP CL A
1L0300	12/31/2005	11/22/2005	-	72,000	0.42	SILICON GRAPHICS INC
1L0300	12/31/2005	11/22/2005	-	94,000	65.84	BP PLC
1L0300	12/31/2005	11/22/2005	-	186,000	45.32	QUALCOMM INC
1L0300	12/31/2005	11/23/2005	-	67,000	65.23	APPLE COMPUTER INC
1L0300	12/31/2005	11/23/2005	-	74,000	30.42	OFFICE MAX
1L0300	12/31/2005	11/23/2005	-	165,000	45.98	QUALCOMM INC
1L0300	12/31/2005	11/23/2005	-	200,000	65.23	APPLE COMPUTER INC
1L0300	12/31/2005	11/23/2005	-	179,000	46.11	QUALCOMM INC
1L0300	12/31/2005	11/23/2005	-	200,000	58.03	EXXON MOBIL CORP
1L0300	12/31/2005	11/23/2005	-	150,000	45.84	QUALCOMM INC
1L0300	12/31/2005	11/23/2005	-	200,000	58.00	EXXON MOBIL CORP
1L0300	12/31/2005	11/23/2005	-	73,000	30.45	OFFICE MAX
1L0300	12/31/2005	11/25/2005	-	148,000	25.78	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/25/2005	-	163,000	25.93	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/25/2005	-	108,000	45.79	QUALCOMM INC
1L0300	12/31/2005	11/25/2005	-	55,000	18.26	ALTERA CORP
1L0300	12/31/2005	11/25/2005	-	55,000	64.99	APPLE COMPUTER INC
1L0300	12/31/2005	11/25/2005	-	45,000	64.87	APPLE COMPUTER INC
1L0300	12/31/2005	11/25/2005	-	149,000	25.87	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/28/2005	-	68,000	66.57	APPLE COMPUTER INC
1L0300	12/31/2005	11/28/2005	100,000	-	42.36	YAHOO INC
1L0300	12/31/2005	11/28/2005	-	199,000	66.55	APPLE COMPUTER INC
1L0300	12/31/2005	11/28/2005	144,000	-	42.42	YAHOO INC
1L0300	12/31/2005	11/28/2005	-	192,000	31.64	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/28/2005	-	188,000	31.72	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/28/2005	-	177,000	66.42	APPLE COMPUTER INC
1L0300	12/31/2005	11/28/2005	-	43,000	66.51	APPLE COMPUTER INC
1L0300	12/31/2005	11/28/2005	-	150,000	25.89	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/28/2005	-	160,000	25.99	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/28/2005	-	161,000	31.68	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/29/2005	-	37,000	67.22	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	50,000	10.13	DEL MONTE FOODS CO
1L0300	12/31/2005	11/29/2005	-	125,000	42.19	UNITED STATES STEEL CORP
1L0300	12/31/2005	11/29/2005	132,000	-	10.51	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/29/2005	-	648	31.88	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/29/2005	-	43,000	67.63	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	100,000	22.49	LIMITED BRANDS
1L0300	12/31/2005	11/29/2005	-	154,000	25.92	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/29/2005	-	65,000	22.61	LIMITED BRANDS
1L0300	12/31/2005	11/29/2005	-	161,000	25.88	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/29/2005	-	59,000	66.99	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	81,000	67.80	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	165,000	26.01	NATIONAL SEMICONDUCTOR CORP
1L0300	12/31/2005	11/29/2005	-	177,000	31.98	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/29/2005	-	15,000	32.15	TOO INC
1L0300	12/31/2005	11/29/2005	250,000	-	10.49	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/29/2005	-	176,000	31.89	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/29/2005	205,000	-	10.50	SIEBEL SYSTEMS INC
1L0300	12/31/2005	11/29/2005	-	60,000	32.62	NCR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1L0300	12/31/2005	11/29/2005	-	100,000	42.25	UNITED STATES STEEL CORP
1L0300	12/31/2005	11/29/2005	-	104,000	67.59	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	135,000	42.03	UNITED STATES STEEL CORP
1L0300	12/31/2005	11/29/2005	-	5,000	32.51	TOO INC
1L0300	12/31/2005	11/29/2005	-	57,000	67.74	APPLE COMPUTER INC
1L0300	12/31/2005	11/29/2005	-	85,750	32.70	NCR CORP
1L0300	12/31/2005	11/29/2005	-	92,000	22.54	LIMITED BRANDS
1L0300	12/31/2005	11/30/2005	-	39,766	10.09	DEL MONTE FOODS CO
1L0300	12/31/2005	11/30/2005	-	198,000	31.87	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/30/2005	-	75,000	68.61	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	117,000	68.62	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	130,000	41.21	UNITED STATES STEEL CORP
1L0300	12/31/2005	11/30/2005	-	143,000	67.93	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	151,000	67.82	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	15,142	31.63	TOO INC
1L0300	12/31/2005	11/30/2005	-	70,000	22.25	LIMITED BRANDS
1L0300	12/31/2005	11/30/2005	-	132,000	68.70	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	25,000	69.07	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	85,000	22.07	LIMITED BRANDS
1L0300	12/31/2005	11/30/2005	-	109,000	68.20	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	48,000	22.19	LIMITED BRANDS
1L0300	12/31/2005	11/30/2005	-	53,000	68.55	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	186,000	31.91	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/30/2005	-	200,000	31.98	VERIZON COMMUNICATIONS
1L0300	12/31/2005	11/30/2005	-	147,000	67.99	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	177,000	67.71	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	-	32,000	22.16	LIMITED BRANDS
1L0300	12/31/2005	11/30/2005	-	100,000	69.04	APPLE COMPUTER INC
1L0300	12/31/2005	11/30/2005	8,121	-	12.88	AGERE SYSTEMS INC
1L0300	3/31/2006	2/1/2006	71,125,000	-	98.01	U S TREASURY BILL
1L0300	4/30/2006	2/1/2006	40,000	-	1.00	FIDELITY SPARTAN
1L0300	3/31/2006	2/1/2006	21,727	-	1.00	FIDELITY SPARTAN
1L0300	1/31/2006	11/30/2006	160,000,000	-	97.98	U S TREASURY BILL
1L0300	1/31/2006	11/30/2006	11,040	-	1.00	FIDELITY SPARTAN
1L0300	1/31/2006	11/30/2006	163,300,000	-	98.07	U S TREASURY BILL
1L0300	1/31/2006	12/1/2006	-	1,750,000	98.07	U S TREASURY BILL
1L0300	1/31/2006	12/30/2006	-	203,225,000	98.41	U S TREASURY BILL
1L0300	1/31/2006	12/30/2006	203	-	1.00	FIDELITY SPARTAN
1L0300	1/31/2006	12/30/2006	-	20,619	1.00	FIDELITY SPARTAN
1L0300	1/31/2006	12/30/2006	-	115,250,000	98.33	U S TREASURY BILL
1L0300	1/31/2006	12/30/2006	625,000	-	98.41	U S TREASURY BILL
1L0300	1/31/2006	12/30/2006	-	2	1.00	FIDELITY SPARTAN
1L0319	5/31/2008	4/1/2008	-	40,000	18.88	BROADCOM CORP CL A
1M0319	5/31/2008	4/1/2008	2,150	-	18.88	BROADCOM CORP CL A
1M0002	12/31/1999	11/15/1999	-	6,500	21.00	ADVANCED MICRO DEVICES
1M0002	2/29/2000	1/6/2000	-	6,000	83.00	AMERICA ONLINE CORP
1M0002	2/29/2000	1/25/2000	-	9,500	44.88	ADVANCED MICRO DEVICES
1M0002	11/30/2001	9/4/2001	2,500	-	51.05	KLA TENCOR CORPORATION
1M0002	11/30/2008	10/3/2008	-	1,200	116.70	SPDR TRUST SER 1 UNITS
1M0002	11/30/2008	10/3/2008	1,200	-	116.70	SPDR TRUST SER 1 UNITS
1M0011	6/30/2002	4/1/2002	-	2,410	7.00	MCI GROUP
1M0011	6/30/2002	4/1/2002	-	60,265	6.20	WORLD COM GROUP
1M0015	1/31/1996	12/5/1996	-	8,300	211.00	UAL CORP NEW
1M0015	8/31/1997	7/7/1997	-	8,800	139.00	INTEL CORP
1M0015	8/31/1999	7/22/1999	-	100,000	100.44	MICROSOFT CORP
1M0015	8/31/1999	7/22/1999	-	150,000	100.50	MICROSOFT CORP
1M0015	8/31/1999	7/22/1999	-	52,000	100.38	MICROSOFT CORP
1M0015	2/29/2000	1/6/2000	-	8,000	118.56	HEWLETT PACKARD CO
1M0015	2/29/2000	1/6/2000	-	175,000	118.38	MICROSOFT CORP
1M0015	2/29/2000	1/6/2000	-	5,600	164.25	AMERICAN EXPRESS COMPANY
1M0015	2/29/2000	1/6/2000	-	2,000	118.25	MICROSOFT CORP
1M0015	2/29/2000	1/6/2000	-	11,194	54.75	MCI WORLD COM INC
1M0015	2/29/2000	1/6/2000	-	125,000	118.31	MICROSOFT CORP
1M0015	2/29/2000	1/6/2000	11,000	-	54.38	SCHLUMBERGER LTD
1M0015	2/29/2000	1/6/2000	-	1,120	84.50	LEHMAN BROS HOLDING INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1M0015	2/29/2000	1/6/2000	-	115,200	118.25	MICROSOFT CORP
1M0015	2/29/2000	1/6/2000	2,000	-	118.25	MICROSOFT CORP
1M0015	2/29/2000	1/10/2000	1,120	-	70.00	LEHMAN BROS HOLDING INC
1M0015	2/29/2000	1/10/2000	25,000	-	43.50	3COM CORP
1M0015	2/29/2000	1/10/2000	32,000	-	47.00	ALTERA CORP
1M0015	2/29/2000	1/11/2000	-	17,600	58.00	LUCENT TECHNOLOGIES INC
1M0015	2/29/2000	1/11/2000	5,600	-	147.00	AMERICAN EXPRESS COMPANY
1M0015	3/31/2000	1/11/2000	2,129	-	32.00	TRANSOCEAN SEDCO FOREX INC
1M0015	2/29/2000	1/11/2000	-	18,000	48.50	DELL COMPUTER CORP
1M0015	2/29/2000	1/12/2000	-	1,100	24.00	BAKER HUGHES INC
1M0015	2/29/2000	1/13/2000	-	74,000	43.00	SEAGATE TECHNOLOGY INC
1M0015	2/29/2000	1/13/2000	-	91,000	12.25	QUANTUM CORP DSSG
1M0015	2/29/2000	1/13/2000	-	15,000	55.06	FORD MOTOR COMPANY
1M0015	2/29/2000	1/18/2000	-	25,000	46.00	3COM CORP
1M0015	2/29/2000	1/19/2000	-	16,140	57.50	CHUBB CORP
1M0015	2/29/2000	1/19/2000	-	5,562	25.25	ALLSTATE
1M0015	2/29/2000	1/20/2000	-	45,200	37.38	PFIZER INC
1M0015	2/29/2000	1/20/2000	-	7,862	27.38	ASSOCIATES FIRST CAPITAL CORP
1M0015	2/29/2000	1/20/2000	-	13,000	78.00	MICRON TECHNOLOGY INC
1M0015	2/29/2000	1/20/2000	-	48,000	37.25	PFIZER INC
1M0015	2/29/2000	1/20/2000	-	52,000	37.31	PFIZER INC
1M0015	2/29/2000	1/21/2000	-	2,340	138.25	MORGAN STANLEY DEAN WITTER CO
1M0015	2/29/2000	1/24/2000	-	45,500	9.38	QUANTUM CORP HDDG
1M0015	2/29/2000	1/26/2000	-	32,000	72.88	ALTERA CORP
1M0015	2/29/2000	1/26/2000	125,000	-	104.31	MICROSOFT CORP
1M0015	2/29/2000	1/26/2000	-	11,000	67.38	SCHLUMBERGER LTD
1M0015	2/29/2000	1/26/2000	2,000	-	104.25	MICROSOFT CORP
1M0015	2/29/2000	1/26/2000	175,000	-	104.25	MICROSOFT CORP
1M0015	2/29/2000	1/27/2000	-	17,000	115.50	CISCO SYSTEMS INC
1M0015	2/29/2000	1/27/2000	-	21,000	22.06	PHILIP MORRIS COMPANIES INC
1M0015	2/29/2000	1/27/2000	-	71,875	50.50	3COM CORP
1M0015	2/29/2000	1/27/2000	71,875	-	50.50	3COM CORP
1M0015	2/29/2000	1/27/2000	-	25,000	50.38	3COM CORP
1M0015	2/29/2000	1/31/2000	13,000	-	64.50	MICRON TECHNOLOGY INC
1M0015	2/29/2000	1/31/2000	-	14,000	87.69	MERRILL LYNCH & CO INC
1M0015	11/30/2001	1/5/2001	-	75,000	28.38	PALM INC
1M0015	11/30/2001	1/5/2001	-	95,000	30.13	YAHOO INC
1M0015	11/30/2001	1/5/2001	50,000	-	28.44	PALM INC
1M0015	11/30/2001	1/5/2001	-	100,000	30.00	YAHOO INC
1M0015	11/30/2001	1/5/2001	-	50,000	28.44	PALM INC
1M0015	11/30/2001	1/10/2001	-	75,000	15.25	LUCENT TECHNOLOGIES INC
1M0015	11/30/2001	1/10/2001	-	75,000	15.20	LUCENT TECHNOLOGIES INC
1M0015	11/30/2001	1/22/2001	-	75,000	34.63	NORTEL NETWORKS CORP HLDS CO
1M0015	11/30/2001	1/22/2001	-	80,000	34.50	NORTEL NETWORKS CORP HLDS CO
1M0015	11/30/2001	1/24/2001	90,000	-	64.81	JDS UNIPHASE CORP
1M0015	11/30/2001	1/24/2001	-	90,000	64.81	JDS UNIPHASE CORP
1M0015	11/30/2001	1/24/2001	-	72,500	64.75	JDS UNIPHASE CORP
1M0015	11/30/2001	8/29/2001	-	75,000	11.00	ADAPTEC INC
1M0015	11/30/2001	8/29/2001	-	125,000	10.85	ADAPTEC INC
1M0015	11/30/2001	8/29/2001	-	66,000	10.79	ADAPTEC INC
1M0015	11/30/2001	9/26/2001	-	50,000	25.69	LOWES COS INC
1M0015	11/30/2001	9/26/2001	-	75,000	25.20	LOWES COS INC
1M0015	11/30/2001	9/26/2001	-	125,000	25.52	LOWES COS INC
1M0015	11/30/2001	9/26/2001	-	50,000	55.31	JOHNSON CTLS INC
1M0015	11/30/2001	9/26/2001	-	70,000	55.06	JOHNSON CTLS INC
1M0015	11/30/2001	10/2/2001	-	50,000	32.26	SYMANTEC CORP
1M0015	11/30/2001	10/2/2001	-	70,000	33.05	SYMANTEC CORP
1M0015	11/30/2001	10/2/2001	-	125,000	32.00	SYMANTEC CORP
1M0015	2/28/2003	1/10/2003	26,000	-	10.82	MICRON TECHNOLOGY INC
1M0016	8/31/1997	7/7/1997	-	10,800	139.00	INTEL CORP
1M0016	8/31/1999	7/2/1999	-	6,965	94.50	MCI WORLDCOM INC
1M0016	8/31/1999	7/9/1999	-	24,997	32.25	R J REYNOLDS TOBACCO HLDS
1M0016	8/31/1999	7/13/1999	-	75,000	20.63	NABISCO GRP HLDS
1M0016	12/31/1999	11/2/1999	-	50,000	70.13	HEWLETT PACKARD CO
1M0016	12/31/1999	11/3/1999	-	25,000	79.75	AMGEN INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1M0016	2/29/2000	1/6/2000	-	57,600	118.31	MICROSOFT CORP
1M0016	2/29/2000	1/6/2000	-	79,600	118.38	MICROSOFT CORP
1M0016	2/29/2000	1/6/2000	-	24,000	33.50	IMATION CORP
1M0016	2/29/2000	1/6/2000	-	50,000	118.50	HEWLETT PACKARD CO
1M0016	2/29/2000	1/6/2000	-	7,600	118.38	HEWLETT PACKARD CO
1M0016	2/29/2000	1/6/2000	-	75,000	10.50	NABISCO GRP HLDS
1M0016	2/29/2000	1/6/2000	24,997	-	18.00	R J REYNOLDS TOBACCO HLDS
1M0016	2/29/2000	1/6/2000	-	60,000	118.13	MICROSOFT CORP
1M0016	2/29/2000	1/6/2000	-	74,000	118.25	MICROSOFT CORP
1M0016	2/29/2000	1/7/2000	-	50,000	68.50	GATEWAY INC
1M0016	2/29/2000	1/10/2000	50,000	-	58.50	AMGEN INC
1M0016	2/29/2000	1/12/2000	35,200	-	78.00	INTEL CORP
1M0016	2/29/2000	1/13/2000	-	18,000	33.00	IMATION CORP
1M0016	2/29/2000	1/14/2000	-	50,000	72.00	AMGEN INC
1M0016	2/29/2000	1/14/2000	-	28,800	68.50	AMR CORP
1M0016	2/29/2000	1/18/2000	50,000	-	108.50	HEWLETT PACKARD CO
1M0016	2/29/2000	1/19/2000	-	7,044	25.25	ALLSTATE
1M0016	2/29/2000	1/20/2000	-	35,200	105.44	INTEL CORP
1M0016	2/29/2000	1/21/2000	-	24,997	20.25	R J REYNOLDS TOBACCO HLDS
1M0016	2/29/2000	1/21/2000	-	2,966	138.25	MORGAN STANLEY DEAN WITTER CO
1M0016	2/29/2000	1/27/2000	-	33,000	33.06	IMATION CORP
1M0016	2/29/2000	1/27/2000	75,000	-	8.75	NABISCO GRP HLDS
1M0016	11/30/2001	1/5/2001	-	90,000	30.13	YAHOO INC
1M0016	11/30/2001	1/5/2001	-	100,000	30.00	YAHOO INC
1M0016	11/30/2001	1/5/2001	-	50,000	28.44	PALM INC
1M0016	11/30/2001	1/5/2001	-	25,000	28.38	PALM INC
1M0016	11/30/2001	1/10/2001	-	70,000	15.25	LUCENT TECHNOLOGIES INC
1M0016	11/30/2001	1/10/2001	-	75,000	15.20	LUCENT TECHNOLOGIES INC
1M0016	11/30/2001	1/22/2001	-	70,000	34.63	NORTEL NETWORKS CORP HLDS CO
1M0016	11/30/2001	1/22/2001	-	80,000	34.50	NORTEL NETWORKS CORP HLDS CO
1M0016	11/30/2001	1/24/2001	-	70,000	64.81	JDS UNIPHASE CORP
1M0016	11/30/2001	8/24/2001	-	75,000	10.97	ADAPTEC INC
1M0016	11/30/2001	8/27/2001	-	66,000	10.81	ADAPTEC INC
1M0016	11/30/2001	8/28/2001	-	100,000	10.87	ADAPTEC INC
1M0016	11/30/2001	9/25/2001	-	40,000	56.75	JOHNSON CTLS INC
1M0016	11/30/2001	9/26/2001	-	100,000	25.53	LOWES COS INC
1M0016	11/30/2001	9/26/2001	-	50,000	25.70	LOWES COS INC
1M0016	11/30/2001	9/26/2001	-	50,000	55.35	JOHNSON CTLS INC
1M0016	11/30/2001	9/26/2001	-	30,000	55.10	JOHNSON CTLS INC
1M0016	11/30/2001	9/26/2001	-	70,000	25.25	LOWES COS INC
1M0016	11/30/2001	10/2/2001	-	100,000	32.05	SYMANTEC CORP
1M0016	11/30/2001	10/2/2001	-	50,000	32.27	SYMANTEC CORP
1M0016	11/30/2001	10/2/2001	-	50,000	33.06	SYMANTEC CORP
1M0016	1/31/2001	12/4/2001	100,000	-	66.69	MICROSOFT CORP
1M0016	1/31/2001	12/4/2001	95,200	-	66.63	MICROSOFT CORP
1M0016	1/31/2001	12/4/2001	76,000	-	66.50	MICROSOFT CORP
1M0016	1/31/2001	12/20/2001	-	50,200	51.38	MICROSOFT CORP
1M0016	1/31/2001	12/20/2001	-	95,000	51.50	MICROSOFT CORP
1M0016	1/31/2001	12/20/2001	-	50,000	51.25	MICROSOFT CORP
1M0016	1/31/2001	12/20/2001	-	76,000	51.75	MICROSOFT CORP
1M0021	6/30/1999	5/26/1999	1,600,000	-	99.66	U S TREASURY BILL
1M0021	6/30/1999	5/26/1999	-	1,600,000	98.66	U S TREASURY BILL
1M0024	6/30/1999	5/26/1999	-	3,000,000	98.66	U S TREASURY BILL
1M0024	6/30/1999	5/26/1999	3,000,000	-	99.66	U S TREASURY BILL
1M0024	8/31/2002	7/31/2002	-	1,775,000	99.48	U S TREASURY BILL
1M0024	8/31/2002	7/31/2002	-	1,775,000	99.54	U S TREASURY BILL
1M0024	8/31/2002	7/31/2002	1,775,000	-	99.48	U S TREASURY BILL
1M0024	8/31/2002	7/31/2002	1,775,000	-	99.54	U S TREASURY BILL
1M0040	6/30/1999	5/26/1999	-	2,075,000	98.66	U S TREASURY BILL
1M0040	6/30/1999	5/26/1999	2,075,000	-	99.66	U S TREASURY BILL
1M0040	8/31/2002	7/31/2002	-	2,050,000	99.54	U S TREASURY BILL
1M0040	8/31/2002	7/31/2002	2,050,000	-	99.48	U S TREASURY BILL
1M0040	8/31/2002	7/31/2002	-	2,050,000	99.48	U S TREASURY BILL
1M0040	8/31/2002	7/31/2002	2,050,000	-	99.54	U S TREASURY BILL
1M0043	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1M0043	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1M0046	8/31/1998	7/31/1998	-	1,480,000	99.34	U S TREASURY BILL
1M0046	8/31/1998	7/31/1998	9,215	-	1.00	FIDELITY SPARTAN
1M0046	6/30/1999	5/26/1999	-	6,025,000	98.66	U S TREASURY BILL
1M0046	6/30/1999	5/26/1999	6,025,000	-	99.66	U S TREASURY BILL
1M0046	6/30/1999	5/28/1999	13,625	-	1.00	FIDELITY SPARTAN
1M0046	6/30/1999	5/28/1999	-	75,000	98.50	U S TREASURY BILL
1M0078	6/30/1999	5/26/1999	-	2,550,000	98.66	U S TREASURY BILL
1M0078	6/30/1999	5/26/1999	2,550,000	-	99.66	U S TREASURY BILL
1M0078	8/31/2002	7/31/2002	-	2,700,000	99.54	U S TREASURY BILL
1M0078	8/31/2002	7/31/2002	-	1,525,000	99.48	U S TREASURY BILL
1M0078	8/31/2002	7/31/2002	1,525,000	-	99.54	U S TREASURY BILL
1M0078	8/31/2002	7/31/2002	2,700,000	-	99.48	U S TREASURY BILL
1M0083	6/30/1999	5/26/1999	-	1,550,000	98.66	U S TREASURY BILL
1M0083	6/30/1999	5/26/1999	1,550,000	-	99.66	U S TREASURY BILL
1M0083	8/31/2002	7/31/2002	3,550,000	-	99.54	U S TREASURY BILL
1M0083	8/31/2002	7/31/2002	-	3,550,000	99.54	U S TREASURY BILL
1M0083	8/31/2002	7/31/2002	3,550,000	-	99.48	U S TREASURY BILL
1M0083	8/31/2002	7/31/2002	-	3,550,000	99.48	U S TREASURY BILL
1M0085	6/30/1999	5/26/1999	2,875,000	-	99.66	U S TREASURY BILL
1M0085	6/30/1999	5/26/1999	-	2,875,000	98.66	U S TREASURY BILL
1M0086	6/30/1999	5/26/1999	-	2,875,000	98.66	U S TREASURY BILL
1M0086	6/30/1999	5/26/1999	2,875,000	-	99.66	U S TREASURY BILL
1M0086	8/31/2002	7/31/2002	-	6,200,000	99.48	U S TREASURY BILL
1M0086	8/31/2002	7/31/2002	6,200,000	-	99.48	U S TREASURY BILL
1M0086	8/31/2002	7/31/2002	-	6,200,000	99.54	U S TREASURY BILL
1M0086	8/31/2002	7/31/2002	6,200,000	-	99.54	U S TREASURY BILL
1M0086	5/31/2005	4/20/2005	1,125,000	-	99.68	U S TREASURY BILL
1M0093	1/31/2006	12/19/2006	500,000	-	98.82	U S TREASURY BILL
1M0093	1/31/2006	12/19/2006	-	500,000	98.92	U S TREASURY BILL
1M0132	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1M0132	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1M0140	7/31/1998	1/7/1998	5,000	-	51.00	DELL COMPUTER CORP
1M0140	3/31/2002	1/4/2002	81,000	-	44.25	MICROSOFT CORP
1M0140	3/31/2002	1/4/2002	64,000	-	44.00	MICROSOFT CORP
1M0140	3/31/2002	1/4/2002	80,000	-	43.75	MICROSOFT CORP
1M0140	3/31/2002	1/14/2002	-	70,000	69.38	MICROSOFT CORP
1M0140	3/31/2002	1/14/2002	-	83,000	69.05	MICROSOFT CORP
1M0140	3/31/2002	1/14/2002	-	72,000	68.63	MICROSOFT CORP
1M0140	4/30/2004	3/14/2004	100,000	-	1.60	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/14/2004	290,000	-	1.59	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/14/2004	320,000	-	1.58	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/17/2004	155,000	-	1.50	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/17/2004	245,000	-	1.51	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/17/2004	310,000	-	1.52	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/17/2004	95,000	-	1.53	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/18/2004	135,000	-	1.55	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/18/2004	110,000	-	1.54	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/18/2004	190,000	-	1.56	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/19/2004	110,000	-	1.54	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/19/2004	140,000	-	1.58	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/19/2004	150,000	-	1.57	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/19/2004	230,000	-	1.55	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/19/2004	380,000	-	1.56	LUCENT TECHNOLOGIES INC
1M0140	4/30/2004	3/31/2004	3,850	-	1.00	FIDELITY SPARTAN
1M0141	7/31/1998	1/7/1998	5,000	-	51.00	DELL COMPUTER CORP
1M0142	7/31/1998	1/7/1998	5,000	-	51.00	DELL COMPUTER CORP
1M0142	3/31/2002	1/4/2002	81,000	-	44.25	MICROSOFT CORP
1M0142	3/31/2002	1/4/2002	64,000	-	44.00	MICROSOFT CORP
1M0142	3/31/2002	1/4/2002	80,000	-	43.75	MICROSOFT CORP
1M0142	3/31/2002	1/14/2002	-	72,000	68.63	MICROSOFT CORP
1M0142	3/31/2002	1/14/2002	-	83,000	69.05	MICROSOFT CORP
1M0142	3/31/2002	1/14/2002	-	70,000	69.38	MICROSOFT CORP
1M0142	4/30/2004	3/14/2004	100,000	-	1.60	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/14/2004	320,000	-	1.58	LUCENT TECHNOLOGIES INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1M0142	4/30/2004	3/14/2004	290,000	-	1.59	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/17/2004	95,000	-	1.53	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/17/2004	310,000	-	1.52	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/17/2004	155,000	-	1.50	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/17/2004	245,000	-	1.51	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/18/2004	110,000	-	1.54	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/18/2004	190,000	-	1.56	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/18/2004	135,000	-	1.55	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/19/2004	230,000	-	1.55	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/19/2004	140,000	-	1.58	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/19/2004	150,000	-	1.57	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/19/2004	110,000	-	1.54	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/19/2004	380,000	-	1.56	LUCENT TECHNOLOGIES INC
1M0142	4/30/2004	3/31/2004	3,850	-	1.00	FIDELITY SPARTAN
1M0143	7/31/1998	1/7/1998	5,000	-	51.00	DELL COMPUTER CORP
1M0146	6/30/1999	5/26/1999	-	2,425,000	98.66	U S TREASURY BILL
1M0146	6/30/1999	5/26/1999	2,425,000	-	99.66	U S TREASURY BILL
1M0146	8/31/2002	7/31/2002	-	3,425,000	99.54	U S TREASURY BILL
1M0146	8/31/2002	7/31/2002	-	3,425,000	99.48	U S TREASURY BILL
1M0146	8/31/2002	7/31/2002	3,425,000	-	99.48	U S TREASURY BILL
1M0146	8/31/2002	7/31/2002	3,425,000	-	99.54	U S TREASURY BILL
1M0147	8/31/2002	7/31/2002	2,625,000	-	99.54	U S TREASURY BILL
1M0147	8/31/2002	7/31/2002	-	2,625,000	99.48	U S TREASURY BILL
1M0147	8/31/2002	7/31/2002	-	2,625,000	99.54	U S TREASURY BILL
1M0147	8/31/2002	7/31/2002	2,625,000	-	99.48	U S TREASURY BILL
1M0150	6/30/1999	5/26/1999	-	1,000,000	98.66	U S TREASURY BILL
1M0150	6/30/1999	5/26/1999	1,000,000	-	99.66	U S TREASURY BILL
1M0174	3/31/2002	1/4/2002	85,000	-	43.88	MICROSOFT CORP
1M0174	3/31/2002	1/4/2002	91,000	-	44.25	MICROSOFT CORP
1M0174	3/31/2002	1/4/2002	84,000	-	44.12	MICROSOFT CORP
1M0174	3/31/2002	1/4/2002	90,000	-	43.75	MICROSOFT CORP
1M0174	3/31/2002	1/14/2002	-	100,000	69.38	MICROSOFT CORP
1M0174	3/31/2002	1/14/2002	-	77,000	69.10	MICROSOFT CORP
1M0174	3/31/2002	1/14/2002	-	78,000	68.90	MICROSOFT CORP
1M0174	3/31/2002	1/14/2002	-	95,000	68.63	MICROSOFT CORP
1M0174	9/30/2005	1/21/2005	75,000	-	22.75	APPLE COMPUTER INC
1M0174	9/30/2005	1/21/2005	50,000	-	22.83	APPLE COMPUTER INC
1M0174	9/30/2005	3/8/2005	-	80,000	43.86	APPLE COMPUTER INC
1M0174	9/30/2005	3/8/2005	-	95,000	44.06	APPLE COMPUTER INC
1M0174	9/30/2005	3/8/2005	-	75,000	43.61	APPLE COMPUTER INC
1M0228	5/31/2007	12/29/2007	68,598	-	1.00	FIDELITY SPARTAN
1N0004	6/30/1999	5/26/1999	-	1,875,000	98.66	U S TREASURY BILL
1N0004	6/30/1999	5/26/1999	1,875,000	-	99.66	U S TREASURY BILL
1N0004	8/31/2002	7/31/2002	2,225,000	-	99.54	U S TREASURY BILL
1N0004	8/31/2002	7/31/2002	-	2,225,000	99.54	U S TREASURY BILL
1N0004	8/31/2002	7/31/2002	2,225,000	-	99.48	U S TREASURY BILL
1N0004	8/31/2002	7/31/2002	-	2,225,000	99.48	U S TREASURY BILL
1N0005	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1N0005	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1N0016	6/30/1999	5/26/1999	-	2,500,000	98.66	U S TREASURY BILL
1N0016	6/30/1999	5/26/1999	2,500,000	-	99.66	U S TREASURY BILL
1N0016	8/31/2002	7/31/2002	-	3,150,000	99.48	U S TREASURY BILL
1N0016	8/31/2002	7/31/2002	-	3,150,000	99.54	U S TREASURY BILL
1N0016	8/31/2002	7/31/2002	3,150,000	-	99.48	U S TREASURY BILL
1N0016	8/31/2002	7/31/2002	3,150,000	-	99.54	U S TREASURY BILL
1O0002	1/31/2007	4/6/2007	-	17	1.00	FIDELITY SPARTAN
1O0003	6/30/1999	5/26/1999	3,650,000	-	99.66	U S TREASURY BILL
1O0003	6/30/1999	5/26/1999	-	3,650,000	98.66	U S TREASURY BILL
1O0003	8/31/2002	7/31/2002	-	4,550,000	99.54	U S TREASURY BILL
1O0003	8/31/2002	7/31/2002	4,550,000	-	99.48	U S TREASURY BILL
1O0003	8/31/2002	7/31/2002	4,550,000	-	99.54	U S TREASURY BILL
1O0003	8/31/2002	7/31/2002	-	4,550,000	99.48	U S TREASURY BILL
1O0004	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1O0004	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1O0004	8/31/2002	7/31/2002	-	1,600,000	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1O0004	8/31/2002	7/31/2002	1,600,000	-	99.54	U S TREASURY BILL
1O0004	8/31/2002	7/31/2002	1,600,000	-	99.48	U S TREASURY BILL
1O0004	8/31/2002	7/31/2002	-	1,600,000	99.54	U S TREASURY BILL
1O0013	1/31/2007	4/6/2007	-	5	1.00	FIDELITY SPARTAN
1O0014	12/31/2005	11/30/2005	-	200,000	98.42	U S TREASURY BILL
1O0014	12/31/2005	11/30/2005	525	-	1.00	FIDELITY SPARTAN
1O0015	8/31/2002	7/31/2002	-	1,875,000	99.48	U S TREASURY BILL
1O0015	8/31/2002	7/31/2002	1,875,000	-	99.48	U S TREASURY BILL
1O0015	8/31/2002	7/31/2002	1,875,000	-	99.54	U S TREASURY BILL
1O0015	8/31/2002	7/31/2002	-	1,875,000	99.54	U S TREASURY BILL
1O0017	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1O0017	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1O0017	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1O0017	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1O0018	1/31/2007	4/6/2007	-	5	1.00	FIDELITY SPARTAN
1P0017	3/31/1997	4/4/1997	17,250	-	31.63	ORACLE CORPORATION
1P0017	3/31/1997	4/4/1997	-	17,250	47.44	ORACLE CORPORATION
1P0017	3/31/1997	4/30/1997	272,765	-	-	FIDELITY CASH RESERVES SBI
1P0017	3/31/1998	8/12/1998	-	19,000	57.28	ORACLE CORPORATION
1P0017	3/31/1998	8/12/1998	19,000	-	38.19	ORACLE CORPORATION
1P0017	3/31/1998	8/20/1998	26,000	-	39.00	ORACLE CORPORATION
1P0017	3/31/1998	8/20/1998	-	26,000	58.50	ORACLE CORPORATION
1P0017	10/31/1998	9/4/1998	-	8,000	46.00	AMERITECH CORP
1P0017	10/31/1998	9/4/1998	16,000	-	48.63	AT & T CORP
1P0017	10/31/1998	9/4/1998	-	8,500	48.63	AT & T CORP
1P0017	10/31/1998	9/30/1998	3,313	-	1.00	FIDELITY SPARTAN
1P0017	3/31/1999	2/8/1999	7,250	-	82.00	ALCOA INC
1P0017	3/31/1999	2/8/1999	-	14,500	41.00	ALCOA INC
1P0017	3/31/1999	2/26/1999	-	9,911	1.00	FIDELITY SPARTAN
1P0017	3/31/1999	2/26/1999	6,993	-	1.00	FIDELITY SPARTAN
1P0017	9/30/1999	8/31/1999	1,402	-	1.00	FIDELITY SPARTAN
1P0017	5/31/2000	4/10/2000	-	12,500	36.88	PEPSICO INC
1P0017	5/31/2000	4/28/2000	371	-	1.00	FIDELITY SPARTAN
1P0017	6/30/2000	5/9/2000	-	1,636	116.13	DOW CHEMICAL CO
1P0017	6/30/2000	5/9/2000	-	2,500	36.88	PEPSICO INC
1P0017	6/30/2000	5/9/2000	7,650	-	36.88	PEPSICO INC
1P0017	6/30/2000	5/31/2000	75	-	1.00	FIDELITY SPARTAN
1P0017	8/31/2000	7/31/2000	2,825	-	1.00	FIDELITY SPARTAN
1P0017	8/31/2000	7/31/2000	3,060,000	-	98.54	U S TREASURY BILL
1P0017	8/31/2000	7/31/2000	-	3,018,149	1.00	FIDELITY SPARTAN
1P0017	6/30/2001	5/31/2001	5,399	-	1.00	FIDELITY SPARTAN
1P0017	6/30/2001	5/31/2001	-	5,495	1.00	FIDELITY SPARTAN
1P0019	8/31/1996	7/1/1996	97,000	-	13.63	ADVANCED MICRO DEVICES
1P0019	8/31/1996	7/1/1996	3,800	-	92.25	AMR CORP
1P0019	8/31/1996	7/2/1996	63,000	-	39.13	ORACLE CORPORATION
1P0019	8/31/1996	7/3/1996	45,600	-	50.75	MCDONNELL DOUGLAS CORP
1P0019	8/31/1996	7/5/1996	3,800	-	75.00	ANHEUSER BUSCH COS INC
1P0019	8/31/1996	7/9/1996	152	-	35.75	EARTHGRAINS COMPANY
1P0020	8/31/1996	7/1/1996	26,000	-	13.63	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/1/1996	20,000	-	92.25	AMR CORP
1P0020	8/31/1996	7/2/1996	65,000	-	13.25	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/2/1996	100,000	-	39.25	ORACLE CORPORATION
1P0020	8/31/1996	7/2/1996	16,900	-	83.13	CITICORP
1P0020	8/31/1996	7/2/1996	50,000	-	13.25	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/2/1996	100,000	-	13.25	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/2/1996	55,000	-	13.25	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/3/1996	31,000	-	44.75	GTE CORP
1P0020	8/31/1996	7/3/1996	2,000	-	45.25	AMERICAN EXPRESS COMPANY
1P0020	8/31/1996	7/3/1996	21,900	-	50.75	MCDONNELL DOUGLAS CORP
1P0020	8/31/1996	7/3/1996	400	-	25.38	LEHMAN BROS HOLDING INC
1P0020	8/31/1996	7/5/1996	63,376	-	71.50	THE CHASE MANHATTAN CORP NEW
1P0020	8/31/1996	7/5/1996	90,000	-	39.63	ORACLE CORPORATION
1P0020	8/31/1996	7/5/1996	62,000	-	39.63	ORACLE CORPORATION
1P0020	8/31/1996	7/8/1996	8,000	-	49.75	JOHNSON & JOHNSON
1P0020	8/31/1996	7/8/1996	35,800	-	75.75	INTEL CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0020	8/31/1996	7/8/1996	69,000	-	50.25	MCDONNELL DOUGLAS CORP
1P0020	8/31/1996	7/9/1996	400	-	70.63	CATERPILLAR INC
1P0020	8/31/1996	7/9/1996	90,900	-	50.13	MCDONNELL DOUGLAS CORP
1P0020	8/31/1996	7/9/1996	8,000	-	49.63	COCA COLA CO
1P0020	8/31/1996	7/9/1996	80,000	-	13.50	ADVANCED MICRO DEVICES
1P0020	8/31/1996	7/9/1996	18,400	-	63.75	BELL ATLANTIC CORP
1P0020	8/31/1996	7/9/1996	24,800	-	48.13	NYNEX CORP
1P0020	8/31/1996	7/9/1996	30,800	-	122.63	MICROSOFT CORP
1P0020	8/31/1996	7/9/1996	39,200	-	43.13	BELLSOUTH CORP
1P0020	8/31/1996	7/16/1996	400	-	73.88	PFIZER INC
1P0020	8/31/1996	7/16/1996	2,000	-	79.75	DOW CHEMICAL CO
1P0020	8/31/1996	7/16/1996	41,800	-	26.13	MCI COMMUNICATIONS CORP
1P0023	8/31/1996	7/2/1996	41,300	-	83.13	CITICORP
1P0023	8/31/1996	7/2/1996	40,000	-	49.25	JOHNSON & JOHNSON
1P0023	8/31/1996	7/3/1996	19,687	-	98.88	AMERICAN INTL GROUP INC
1P0023	8/31/1996	7/3/1996	38,000	-	44.75	GTE CORP
1P0023	8/31/1996	7/3/1996	70,000	-	35.63	PEPSICO INC
1P0023	8/31/1996	7/3/1996	112,000	-	49.50	JOHNSON & JOHNSON
1P0023	8/31/1996	7/3/1996	1,000	-	63.50	THE WALT DISNEY CO
1P0023	8/31/1996	7/5/1996	112,888	-	71.50	THE CHASE MANHATTAN CORP NEW
1P0023	8/31/1996	7/5/1996	71,600	-	42.88	BELLSOUTH CORP
1P0023	8/31/1996	7/8/1996	43,800	-	75.75	INTEL CORP
1P0023	8/31/1996	7/9/1996	30,000	-	49.50	COCA COLA CO
1P0023	8/31/1996	7/9/1996	85,000	-	49.50	COCA COLA CO
1P0023	8/31/1996	7/9/1996	38,000	-	49.50	JOHNSON & JOHNSON
1P0023	8/31/1996	7/9/1996	135,000	-	49.63	COCA COLA CO
1P0023	8/31/1996	7/9/1996	150,000	-	49.63	COCA COLA CO
1P0023	8/31/1996	7/9/1996	37,800	-	122.63	MICROSOFT CORP
1P0023	8/31/1996	7/9/1996	16,200	-	59.38	AMERITECH CORP
1P0023	8/31/1996	7/9/1996	22,500	-	63.75	BELL ATLANTIC CORP
1P0023	8/31/1996	7/9/1996	75,000	-	49.50	JOHNSON & JOHNSON
1P0023	8/31/1996	7/9/1996	135,000	-	49.50	JOHNSON & JOHNSON
1P0023	8/31/1996	7/10/1996	45,400	-	47.75	NYNEX CORP
1P0023	8/31/1996	7/16/1996	22,500	-	79.75	DOW CHEMICAL CO
1P0023	8/31/1996	7/16/1996	51,200	-	26.13	MCI COMMUNICATIONS CORP
1P0023	12/31/2002	1/8/2002	-	49,000	55.58	MORGAN STANLEY
1P0023	12/31/2002	1/8/2002	49,000	-	55.58	MORGAN STANLEY
1P0023	12/31/2002	1/14/2002	-	91,000	5.63	PRICELINE.COM INC
1P0023	12/31/2002	1/14/2002	-	1,000	43.50	DAIMLER CHRYSLER AG
1P0023	12/31/2002	1/14/2002	91,000	-	5.63	PRICELINE.COM INC
1P0023	12/31/2002	1/14/2002	1,000	-	43.50	DAIMLER CHRYSLER AG
1P0023	12/31/2002	1/17/2002	-	68,000	24.00	GEORGIA PACIFIC CORP COMMON
1P0023	12/31/2002	1/17/2002	50,000	-	24.10	GEORGIA PACIFIC CORP COMMON
1P0023	12/31/2002	1/17/2002	-	50,000	24.10	GEORGIA PACIFIC CORP COMMON
1P0023	12/31/2002	1/17/2002	68,000	-	24.00	GEORGIA PACIFIC CORP COMMON
1P0023	12/31/2002	1/22/2002	-	81,000	25.99	TEXAS INSTRUMENTS INC
1P0023	12/31/2002	1/22/2002	81,000	-	25.99	TEXAS INSTRUMENTS INC
1P0024	4/30/1999	3/9/1999	522	-	85.25	AMERICA ONLINE CORP
1P0024	4/30/1999	3/23/1999	-	522	108.63	AMERICA ONLINE CORP
1P0024	4/30/1999	3/31/1999	93,243	-	1.00	FIDELITY SPARTAN
1P0024	4/30/1999	3/31/1999	-	81,041	1.00	FIDELITY SPARTAN
1P0024	12/31/2000	11/6/2000	-	275,000	30.19	DELL COMPUTER CORP
1P0024	12/31/2000	11/6/2000	-	285,000	30.06	DELL COMPUTER CORP
1P0024	12/31/2000	11/6/2000	-	85,000	45.69	INTEL CORP
1P0024	12/31/2000	11/6/2000	-	290,000	30.13	DELL COMPUTER CORP
1P0024	12/31/2000	11/6/2000	-	120,000	45.00	INTEL CORP
1P0024	12/31/2000	11/6/2000	-	165,000	45.38	INTEL CORP
1P0024	12/31/2000	11/7/2000	-	50,000	108.25	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/7/2000	-	90,000	108.00	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/7/2000	-	100,000	70.00	MICROSOFT CORP
1P0024	12/31/2000	11/7/2000	-	210,000	31.50	DELL COMPUTER CORP
1P0024	12/31/2000	11/7/2000	-	215,000	31.63	DELL COMPUTER CORP
1P0024	12/31/2000	11/7/2000	-	49,400	70.25	MICROSOFT CORP
1P0024	12/31/2000	11/7/2000	-	75,000	108.50	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/7/2000	-	100,000	70.50	MICROSOFT CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0024	12/31/2000	11/7/2000	-	205,000	31.75	DELL COMPUTER CORP
1P0024	12/31/2000	11/7/2000	-	100,000	47.13	INTEL CORP
1P0024	12/31/2000	11/7/2000	-	100,000	47.25	INTEL CORP
1P0024	12/31/2000	11/7/2000	-	84,800	47.00	INTEL CORP
1P0024	12/31/2000	11/8/2000	-	90,000	46.13	INTEL CORP
1P0024	12/31/2000	11/8/2000	-	100,000	111.50	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/8/2000	-	120,000	46.63	INTEL CORP
1P0024	12/31/2000	11/8/2000	-	245,000	31.88	DELL COMPUTER CORP
1P0024	12/31/2000	11/8/2000	-	260,000	31.69	DELL COMPUTER CORP
1P0024	12/31/2000	11/8/2000	-	88,800	111.13	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/8/2000	-	100,000	110.75	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/8/2000	-	250,000	31.50	DELL COMPUTER CORP
1P0024	12/31/2000	11/9/2000	-	130,000	112.34	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/9/2000	-	130,000	111.50	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/9/2000	-	190,000	31.75	DELL COMPUTER CORP
1P0024	12/31/2000	11/9/2000	-	230,000	31.94	DELL COMPUTER CORP
1P0024	12/31/2000	11/9/2000	-	115,000	113.13	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/9/2000	-	200,000	32.13	DELL COMPUTER CORP
1P0024	12/31/2000	11/10/2000	-	75,000	111.13	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/10/2000	-	80,000	112.00	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/10/2000	150,000,000	-	98.33	U S TREASURY BILL
1P0024	12/31/2000	11/10/2000	-	131,200	31.75	DELL COMPUTER CORP
1P0024	12/31/2000	11/10/2000	-	185,000	32.00	DELL COMPUTER CORP
1P0024	12/31/2000	11/10/2000	145,120,000	-	98.23	U S TREASURY BILL
1P0024	12/31/2000	11/10/2000	-	115,000	110.25	SUN MICROSYSTEMS INC
1P0024	12/31/2000	11/10/2000	-	180,000	32.25	DELL COMPUTER CORP
1P0024	12/31/2000	11/30/2000	4,324	-	1.00	FIDELITY SPARTAN
1P0024	4/30/2001	3/30/2001	-	72,762	1.00	FIDELITY SPARTAN
1P0024	4/30/2001	3/30/2001	53,212	-	1.00	FIDELITY SPARTAN
1P0024	9/30/2001	6/15/2001	-	65,000	117.12	INTERNATIONAL BUSINESS MACHS
1P0024	9/30/2001	6/15/2001	-	75,000	117.00	INTERNATIONAL BUSINESS MACHS
1P0024	9/30/2001	6/15/2001	-	53,950	41.95	AMERICAN EXPRESS COMPANY
1P0024	9/30/2001	6/15/2001	-	50,000	41.80	AMERICAN EXPRESS COMPANY
1P0024	9/30/2001	6/15/2001	-	68,000	117.25	INTERNATIONAL BUSINESS MACHS
1P0024	9/30/2001	6/26/2001	-	50,098	46.90	JP MORGAN CHASE & CO
1P0024	9/30/2001	6/26/2001	-	126,000	47.00	JP MORGAN CHASE & CO
1P0024	9/30/2001	6/26/2001	-	50,000	46.75	JP MORGAN CHASE & CO
1P0024	9/30/2001	6/26/2001	-	9,988	64.70	MORGAN STANLEY DEAN WITTER CO
1P0024	9/30/2001	6/29/2001	40,410,000	-	98.91	U S TREASURY BILL
1P0024	9/30/2001	6/29/2001	292	-	1.00	FIDELITY SPARTAN
1P0024	9/30/2001	7/3/2001	-	165,000	18.70	ORACLE CORPORATION
1P0024	9/30/2001	7/3/2001	-	321,000	18.87	ORACLE CORPORATION
1P0024	9/30/2001	7/3/2001	-	150,000	18.75	ORACLE CORPORATION
1P0024	9/30/2001	7/3/2001	-	230,000	19.35	ORACLE CORPORATION
1P0024	9/30/2001	7/3/2001	-	125,000	19.00	ORACLE CORPORATION
1P0024	9/30/2001	7/3/2001	-	200,000	19.50	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	204,000	19.40	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	225,000	19.70	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	262,000	19.37	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	195,000	19.49	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	200,000	19.75	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	210,000	19.62	ORACLE CORPORATION
1P0024	9/30/2001	7/5/2001	-	175,000	19.55	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	179,000	19.57	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	185,000	19.51	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	75,000	47.50	ADOBE SYS INC
1P0024	9/30/2001	7/6/2001	-	50,000	47.52	ADOBE SYS INC
1P0024	9/30/2001	7/6/2001	-	220,000	19.75	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	48,400	47.38	ADOBE SYS INC
1P0024	9/30/2001	7/6/2001	-	250,000	19.60	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	199,000	19.47	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	245,000	19.62	ORACLE CORPORATION
1P0024	9/30/2001	7/6/2001	-	207,000	19.39	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	275,000	19.40	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	205,000	19.59	ORACLE CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0024	9/30/2001	7/9/2001	-	175,000	19.25	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	190,000	19.20	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	203,000	19.35	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	220,000	19.42	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	210,000	19.08	ORACLE CORPORATION
1P0024	9/30/2001	7/9/2001	-	200,000	19.15	ORACLE CORPORATION
1P0024	9/30/2001	7/10/2001	-	250,000	19.70	ORACLE CORPORATION
1P0024	9/30/2001	7/10/2001	-	53,974	52.85	CITI GROUP INC
1P0024	9/30/2001	7/10/2001	-	280,000	19.53	ORACLE CORPORATION
1P0024	9/30/2001	7/10/2001	-	290,000	19.58	ORACLE CORPORATION
1P0024	9/30/2001	7/10/2001	-	18,920	19.47	ORACLE CORPORATION
1P0024	9/30/2001	7/10/2001	-	100,000	53.00	CITI GROUP INC
1P0024	9/30/2001	7/10/2001	-	275,000	19.65	ORACLE CORPORATION
1P0024	9/30/2001	7/31/2001	151,985,000	-	99.38	U S TREASURY BILL
1P0024	9/30/2001	7/31/2001	876	-	1.00	FIDELITY SPARTAN
1P0024	9/30/2001	8/31/2001	-	90,000	99.06	U S TREASURY BILL
1P0024	9/30/2001	8/31/2001	56,202	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2002	5/31/2002	8,432	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2002	5/31/2002	-	9,901	1.00	FIDELITY SPARTAN
1P0024	3/31/2005	2/1/2005	12,500	-	14.17	ALCATEL ALSTHOMCGE
1P0024	3/31/2005	2/1/2005	75,000	-	9.10	SIEBEL SYSTEMS INC
1P0024	3/31/2005	2/1/2005	49,565	-	63.49	BIOGEN IDEC INC
1P0024	3/31/2005	2/1/2005	50,000	-	9.06	SIEBEL SYSTEMS INC
1P0024	3/31/2005	2/1/2005	79,000	-	14.06	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/1/2005	-	7,375,000	98.81	U S TREASURY BILL
1P0024	3/31/2005	2/1/2005	71,900	-	9.00	SIEBEL SYSTEMS INC
1P0024	3/31/2005	2/1/2005	75,000	-	14.01	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/2/2005	95,000	-	14.10	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/2/2005	100,000	-	14.06	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/2/2005	100,000	-	9.11	SIEBEL SYSTEMS INC
1P0024	3/31/2005	2/2/2005	120,000	-	9.14	SIEBEL SYSTEMS INC
1P0024	3/31/2005	2/2/2005	82,000	-	14.09	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/3/2005	-	7,600,000	98.82	U S TREASURY BILL
1P0024	3/31/2005	2/3/2005	112,000	-	14.29	CIRCUIT CITY STORES INC
1P0024	3/31/2005	2/28/2005	20,151	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2005	7/14/2005	-	172,350,000	98.53	U S TREASURY BILL
1P0024	12/31/2005	7/14/2005	28,850,000	-	98.53	U S TREASURY BILL
1P0024	12/31/2005	7/19/2005	45,000	-	301.89	GOOGLE
1P0024	12/31/2005	7/19/2005	110,000	-	40.65	APPLE COMPUTER INC
1P0024	12/31/2005	7/19/2005	170,000	-	37.01	AMAZON COM INC
1P0024	12/31/2005	7/19/2005	90,000	-	301.53	GOOGLE
1P0024	12/31/2005	7/19/2005	200,000	-	40.53	APPLE COMPUTER INC
1P0024	12/31/2005	7/19/2005	180,000	-	59.04	BURLINGTON RESOURCES INC
1P0024	12/31/2005	7/19/2005	100,000	-	40.42	APPLE COMPUTER INC
1P0024	12/31/2005	7/19/2005	125,000	-	40.47	APPLE COMPUTER INC
1P0024	12/31/2005	7/19/2005	130,000	-	59.36	BURLINGTON RESOURCES INC
1P0024	12/31/2005	7/19/2005	150,000	-	59.13	BURLINGTON RESOURCES INC
1P0024	12/31/2005	7/19/2005	90,000	-	59.21	BURLINGTON RESOURCES INC
1P0024	12/31/2005	7/19/2005	55,000	-	301.67	GOOGLE
1P0024	12/31/2005	7/19/2005	100,000	-	58.99	BURLINGTON RESOURCES INC
1P0024	12/31/2005	7/19/2005	125,000	-	37.12	AMAZON COM INC
1P0024	12/31/2005	7/20/2005	150,000	-	37.07	AMAZON COM INC
1P0024	12/31/2005	7/20/2005	200,000	-	37.20	AMAZON COM INC
1P0024	12/31/2005	7/29/2005	22,665	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2005	10/31/2005	15,458	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2005	10/31/2005	50,000	-	99.08	U S TREASURY BILL
1P0024	12/31/2005	11/30/2005	-	47,107	1.00	FIDELITY SPARTAN
1P0024	12/31/2005	11/30/2005	-	100,000	98.42	U S TREASURY BILL
1P0024	12/31/2005	11/30/2005	47,363	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2005	11/30/2005	100,000	-	98.42	U S TREASURY BILL
1P0024	12/31/2006	1/12/2006	-	75,000	78.81	CENTEX CORP
1P0024	12/31/2006	1/12/2006	50,000	-	22.29	AMR CORP
1P0024	12/31/2006	1/12/2006	75,000	-	22.53	AMR CORP
1P0024	12/31/2006	1/12/2006	80,000	-	39.97	ALLEGHENY TECHNOLOGIES INC
1P0024	12/31/2006	1/12/2006	92,000	-	22.36	AMR CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0024	12/31/2006	1/12/2006	-	110,000	79.95	KB HOME
1P0024	12/31/2006	1/12/2006	-	150,000	80.06	KB HOME
1P0024	12/31/2006	1/12/2006	85,000	-	38.89	LAM RESEARCH CORP
1P0024	12/31/2006	1/12/2006	90,000	-	40.08	ALLEGHENY TECHNOLOGIES INC
1P0024	12/31/2006	1/12/2006	70,000	-	22.33	AMR CORP
1P0024	12/31/2006	1/12/2006	8,425,000	-	98.02	U S TREASURY BILL
1P0024	12/31/2006	1/12/2006	75,000	-	39.99	ALLEGHENY TECHNOLOGIES INC
1P0024	12/31/2006	1/12/2006	80,000	-	22.35	AMR CORP
1P0024	12/31/2006	1/12/2006	-	100,000	79.90	KB HOME
1P0024	12/31/2006	1/12/2006	25,000	-	39.11	LAM RESEARCH CORP
1P0024	12/31/2006	1/12/2006	28,000	-	22.41	AMR CORP
1P0024	12/31/2006	1/12/2006	90,000	-	39.07	LAM RESEARCH CORP
1P0024	12/31/2006	1/13/2006	-	124,000	81.70	KB HOME
1P0024	12/31/2006	1/13/2006	-	120,000	79.21	CENTEX CORP
1P0024	12/31/2006	1/13/2006	42,800,000	-	98.03	U S TREASURY BILL
1P0024	12/31/2006	1/13/2006	-	110,000	79.00	CENTEX CORP
1P0024	12/31/2006	1/13/2006	-	80,000	81.79	KB HOME
1P0024	12/31/2006	1/13/2006	-	90,000	78.89	CENTEX CORP
1P0024	12/31/2006	1/17/2006	-	100,000	78.90	CENTEX CORP
1P0024	12/31/2006	1/17/2006	-	85,000	79.06	CENTEX CORP
1P0024	12/31/2006	1/17/2006	14,875,000	-	98.24	U S TREASURY BILL
1P0024	12/31/2006	1/31/2006	14,096	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	2/28/2006	1,276	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	2/28/2006	-	225,000	98.12	U S TREASURY BILL
1P0024	12/31/2006	2/28/2006	80,000	-	98.12	U S TREASURY BILL
1P0024	12/31/2006	3/31/2006	1,300	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	4/12/2006	-	80,000	24.63	PALM INC
1P0024	12/31/2006	4/12/2006	4,000,000	-	98.26	U S TREASURY BILL
1P0024	12/31/2006	4/12/2006	-	80,000	24.70	PALM INC
1P0024	12/31/2006	4/13/2006	-	70,000	23.78	PALM INC
1P0024	12/31/2006	4/13/2006	1,675,000	-	98.27	U S TREASURY BILL
1P0024	12/31/2006	4/17/2006	2,125,000	-	98.33	U S TREASURY BILL
1P0024	12/31/2006	4/17/2006	-	90,000	23.29	PALM INC
1P0024	12/31/2006	4/25/2006	-	80,000	73.09	EAGLE MATERIAL INC
1P0024	12/31/2006	4/25/2006	5,925,000	-	98.42	U S TREASURY BILL
1P0024	12/31/2006	4/26/2006	6,475,000	-	98.43	U S TREASURY BILL
1P0024	12/31/2006	4/26/2006	-	70,000	72.39	EAGLE MATERIAL INC
1P0024	12/31/2006	4/26/2006	-	18,204	72.22	EAGLE MATERIAL INC
1P0024	12/31/2006	4/28/2006	375,000	-	98.09	U S TREASURY BILL
1P0024	12/31/2006	4/28/2006	2,502	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	4/28/2006	-	300,000	98.09	U S TREASURY BILL
1P0024	12/31/2006	5/31/2006	21,045	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	5/31/2006	49,013	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	5/31/2006	-	50,000	98.03	U S TREASURY BILL
1P0024	12/31/2006	5/31/2006	-	225,000	98.03	U S TREASURY BILL
1P0024	12/31/2006	5/31/2006	50,000	-	98.03	U S TREASURY BILL
1P0024	12/31/2006	6/1/2006	-	68,187	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	6/15/2006	15,075,000	-	98.61	U S TREASURY BILL
1P0024	12/31/2006	6/29/2006	52,300,000	-	97.93	U S TREASURY BILL
1P0024	12/31/2006	6/30/2006	18,802	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	6/30/2006	20,782	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	6/30/2006	50,000	-	97.86	U S TREASURY BILL
1P0024	12/31/2006	7/27/2006	-	80,000	97.97	U S TREASURY BILL
1P0024	12/31/2006	7/27/2006	-	100,000	97.97	U S TREASURY BILL
1P0024	12/31/2006	7/27/2006	-	225,000	97.97	U S TREASURY BILL
1P0024	12/31/2006	7/27/2006	100,000	-	97.97	U S TREASURY BILL
1P0024	12/31/2006	7/27/2006	80,000	-	97.97	U S TREASURY BILL
1P0024	12/31/2006	7/31/2006	14,713	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	7/31/2006	31,287	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	8/24/2006	20,600,000	-	97.99	U S TREASURY BILL
1P0024	12/31/2006	8/25/2006	-	35,495	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	8/31/2006	33,163	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	8/31/2006	-	150,000	98.00	U S TREASURY BILL
1P0024	12/31/2006	9/13/2006	90,000	-	78.24	RESEARCH IN MOTION LTD
1P0024	12/31/2006	9/13/2006	45,000	-	78.38	RESEARCH IN MOTION LTD

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0024	12/31/2006	9/13/2006	75,000	-	78.33	RESEARCH IN MOTION LTD
1P0024	12/31/2006	9/13/2006	-	16,750,000	98.31	U S TREASURY BILL
1P0024	12/31/2006	9/28/2006	15,375,000	-	98.04	U S TREASURY BILL
1P0024	12/31/2006	9/29/2006	-	275,000	98.90	U S TREASURY BILL
1P0024	12/31/2006	9/29/2006	1,350	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	9/29/2006	22,973	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	9/29/2006	600	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	9/29/2006	375,000	-	98.90	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	-	100,000	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	75,000	-	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	-	275,000	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	-	75,000	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	100,000	-	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/26/2006	150,000	-	97.98	U S TREASURY BILL
1P0024	12/31/2006	10/31/2006	-	105,647	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	10/31/2006	4,437	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	11/24/2006	53,250,000	-	98.19	U S TREASURY BILL
1P0024	12/31/2006	11/30/2006	-	550,000	98.19	U S TREASURY BILL
1P0024	12/31/2006	11/30/2006	16,409	-	1.00	FIDELITY SPARTAN
1P0024	12/31/2006	11/30/2006	75,000	-	98.19	U S TREASURY BILL
1P0024	12/31/2006	11/30/2006	325,000	-	98.19	U S TREASURY BILL
1P0024	5/31/2007	1/25/2007	-	40,000	40.50	AMR CORP
1P0024	5/31/2007	1/25/2007	54,000	-	44.12	HONEYWELL INTERNATIONAL INC
1P0024	5/31/2007	1/25/2007	4,475,000	-	98.35	U S TREASURY BILL
1P0024	5/31/2007	1/25/2007	-	113,000	40.27	AMR CORP
1P0024	5/31/2007	1/25/2007	-	70,000	40.55	AMR CORP
1P0024	5/31/2007	1/25/2007	50,000	-	44.09	HONEYWELL INTERNATIONAL INC
1P0024	5/31/2007	1/26/2007	-	80,000	38.09	AMR CORP
1P0024	5/31/2007	1/26/2007	-	92,000	38.00	AMR CORP
1P0024	5/31/2007	1/26/2007	2,625,000	-	98.37	U S TREASURY BILL
1P0024	5/31/2007	1/26/2007	60,000	-	65.75	EXPRESS SCRIPTS INC CL A
1P0024	5/31/2007	1/31/2007	36,781	-	1.00	FIDELITY SPARTAN
1P0024	5/31/2007	2/14/2007	-	79,000	21.88	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/14/2007	-	110,000	21.79	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/14/2007	35,000	-	40.42	PEABODY ENERGY CORP
1P0024	5/31/2007	2/14/2007	45,000	-	40.34	PEABODY ENERGY CORP
1P0024	5/31/2007	2/14/2007	4,200,000	-	98.34	U S TREASURY BILL
1P0024	5/31/2007	2/14/2007	-	100,000	21.98	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/14/2007	-	85,000	21.75	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/14/2007	20,000	-	40.58	PEABODY ENERGY CORP
1P0024	5/31/2007	2/15/2007	2,125,000	-	98.35	U S TREASURY BILL
1P0024	5/31/2007	2/15/2007	-	84,000	21.37	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/15/2007	20,000	-	76.21	TRANSOCEAN INC
1P0024	5/31/2007	2/15/2007	-	85,000	21.50	CIRCUIT CITY STORES INC
1P0024	5/31/2007	2/28/2007	8,647	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2007	3/9/2007	26,000	-	1.00	FIDELITY SPARTAN
1P0024	5/31/2007	3/12/2007	-	52,000	29.70	TOLL BROTHERS INC
1P0024	5/31/2007	3/12/2007	-	100,000	29.55	TOLL BROTHERS INC
1P0024	5/31/2007	3/12/2007	-	75,000	29.72	TOLL BROTHERS INC
1P0024	5/31/2007	3/12/2007	-	35,600	62.35	AMGEN INC
1P0024	5/31/2007	3/12/2007	-	110,000	29.50	TOLL BROTHERS INC
1P0024	5/31/2007	3/12/2007	13,650,000	-	98.15	U S TREASURY BILL
1P0024	5/31/2007	3/12/2007	-	80,000	29.64	TOLL BROTHERS INC
1P0024	5/31/2007	3/12/2007	50,000	-	23.33	SCHERING PLOUGH CORP
1P0024	5/31/2007	3/13/2007	-	97,000	29.47	TOLL BROTHERS INC
1P0024	5/31/2007	3/13/2007	10,300,000	-	98.17	U S TREASURY BILL
1P0024	5/31/2007	3/13/2007	-	90,000	29.61	TOLL BROTHERS INC
1P0024	5/31/2007	3/13/2007	-	86,000	29.54	TOLL BROTHERS INC
1P0024	5/31/2007	3/13/2007	-	70,000	29.50	TOLL BROTHERS INC
1P0024	5/31/2007	3/30/2007	18,388	-	1.00	FIDELITY SPARTAN
1P0024	5/31/2007	4/3/2007	-	63,816	1.00	FIDELITY SPARTAN
1P0024	6/30/2007	4/30/2007	42,436	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2007	5/29/2007	3,250	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2007	5/31/2007	6,613	-	1.00	FIDELITY SPARTAN
1P0024	6/30/2007	5/31/2007	-	225,000	98.10	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0024	6/30/2007	5/31/2007	175,000	-	98.10	U S TREASURY BILL
1P0025	12/31/1999	11/16/1999	-	15,000	22.25	ADVANCED MICRO DEVICES
1P0025	2/29/2000	1/6/2000	-	19,800	77.25	LUCENT TECHNOLOGIES INC
1P0025	2/29/2000	1/12/2000	-	400	24.00	BAKER HUGHES INC
1P0025	2/29/2000	1/14/2000	-	4,700	58.38	AETNA INC
1P0025	2/29/2000	1/24/2000	-	23,400	47.75	BOEING CO
1P0025	2/29/2000	1/25/2000	-	15,000	44.88	ADVANCED MICRO DEVICES
1P0025	2/29/2000	1/28/2000	-	3,200	70.56	AMGEN INC
1P0025	2/29/2000	1/31/2000	-	3,120	78.75	THE CHASE MANHATTAN CORP NEW
1P0025	2/28/2001	1/16/2001	-	1,200	43.38	GENERAL ELECTRIC CO
1P0025	11/30/2008	10/3/2008	4,000	-	116.70	SPDR TRUST SER 1 UNITS
1P0025	11/30/2008	10/3/2008	-	4,000	116.70	SPDR TRUST SER 1 UNITS
1P0031	9/30/1996	7/2/1996	-	53,417	25.75	IOMEGA CORP
1P0031	9/30/1996	7/2/1996	-	60,000	25.75	IOMEGA CORP
1P0031	11/30/1996	10/25/1996	-	50,000	42.50	STORAGE TECHNOLOGY CORP
1P0031	11/30/1996	10/25/1996	3,500,000	-	120.13	STORAGE TECHNOLOGY CORP
1P0031	11/30/1996	10/25/1996	-	49,290	42.50	STORAGE TECHNOLOGY CORP
1P0031	11/30/1996	10/28/1996	-	3,500,000	120.13	STORAGE TECHNOLOGY CORP
1P0031	11/30/1996	10/28/1996	49,290	-	42.50	STORAGE TECHNOLOGY CORP
1P0031	11/30/1996	10/28/1996	50,000	-	42.50	STORAGE TECHNOLOGY CORP
1P0031	12/31/1997	9/30/1997	18,900,000	-	99.02	U S TREASURY BILL
1P0031	12/31/1997	9/30/1997	-	18,900,000	99.12	U S TREASURY BILL
1P0031	6/30/1998	5/21/1998	2,750,000	-	99.03	U S TREASURY BILL
1P0031	6/30/1998	5/21/1998	-	42,200	63.88	WARNER LAMBERT CO
1P0031	6/30/1998	5/21/1998	19,675	-	1.00	FIDELITY SPARTAN
1P0031	12/31/1999	11/29/1999	-	68,100	78.38	AMERICA ONLINE CORP
1P0031	1/31/2002	12/31/2002	19,495	-	1.00	FIDELITY SPARTAN
1P0031	1/31/2002	12/31/2002	75,725,000	-	99.59	U S TREASURY BILL
1P0031	1/31/2002	12/31/2002	-	75,434,023	1.00	FIDELITY SPARTAN
1P0031	8/31/2008	7/31/2008	-	50,000	99.76	U S TREASURY BILL
1P0031	8/31/2008	7/31/2008	-	2,040	1.00	FIDELITY SPARTAN
1P0043	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL
1P0043	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1P0045	6/30/1999	5/26/1999	-	1,800,000	98.66	U S TREASURY BILL
1P0045	6/30/1999	5/26/1999	1,800,000	-	99.66	U S TREASURY BILL
1P0045	8/31/2002	7/31/2002	-	2,250,000	99.48	U S TREASURY BILL
1P0045	8/31/2002	7/31/2002	-	2,250,000	99.54	U S TREASURY BILL
1P0045	8/31/2002	7/31/2002	2,250,000	-	99.48	U S TREASURY BILL
1P0045	8/31/2002	7/31/2002	2,250,000	-	99.54	U S TREASURY BILL
1P0046	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1P0046	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1P0046	8/31/2002	7/31/2002	-	1,800,000	99.54	U S TREASURY BILL
1P0046	8/31/2002	7/31/2002	1,800,000	-	99.48	U S TREASURY BILL
1P0046	8/31/2002	7/31/2002	1,800,000	-	99.54	U S TREASURY BILL
1P0046	8/31/2002	7/31/2002	-	1,800,000	99.48	U S TREASURY BILL
1P0049	6/30/1999	5/26/1999	-	2,950,000	98.66	U S TREASURY BILL
1P0049	6/30/1999	5/26/1999	2,950,000	-	99.66	U S TREASURY BILL
1P0049	8/31/2002	7/31/2002	-	2,700,000	99.48	U S TREASURY BILL
1P0049	8/31/2002	7/31/2002	2,700,000	-	99.48	U S TREASURY BILL
1P0049	8/31/2002	7/31/2002	-	2,700,000	99.54	U S TREASURY BILL
1P0049	8/31/2002	7/31/2002	2,700,000	-	99.54	U S TREASURY BILL
1P0050	5/31/1999	4/1/1999	-	1,150,000	98.45	U S TREASURY BILL
1P0050	5/31/1999	4/1/1999	-	11,150,000	98.45	U S TREASURY BILL
1P0050	5/31/1999	4/1/1999	1,110,000	-	98.45	U S TREASURY BILL
1P0050	5/31/1999	4/1/1999	1,150,000	-	98.45	U S TREASURY BILL
1P0050	8/31/2000	7/7/2000	-	50,000	11.75	ABERCROMBIE & FITCH CO
1P0050	8/31/2000	7/7/2000	-	40,000	26.25	AMR CORP
1P0050	7/31/2004	6/1/2004	1,125,000	-	99.35	U S TREASURY BILL
1P0050	7/31/2004	6/2/2004	1,050,000	-	99.35	U S TREASURY BILL
1P0050	7/31/2004	6/3/2004	1,100,000	-	99.35	U S TREASURY BILL
1P0050	7/31/2004	6/30/2004	-	3,275,000	99.41	U S TREASURY BILL
1P0050	7/31/2004	6/30/2004	2,050	-	1.00	FIDELITY SPARTAN
1P0050	12/31/2004	11/3/2004	-	15,000	45.01	FOREST LABS INC
1P0050	12/31/2004	11/30/2004	23,141	-	1.00	FIDELITY SPARTAN
1P0050	12/31/2004	11/30/2004	15,000	-	37.79	FOREST LABS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0050	12/31/2005	11/30/2005	2,292	-	1.00	FIDELITY SPARTAN
1P0050	12/31/2005	11/30/2005	-	925,000	98.42	U S TREASURY BILL
1P0053	6/30/1999	5/26/1999	1,625,000	-	99.66	U S TREASURY BILL
1P0053	6/30/1999	5/26/1999	-	1,625,000	98.66	U S TREASURY BILL
1P0053	8/31/2002	7/31/2002	-	2,125,000	99.48	U S TREASURY BILL
1P0053	8/31/2002	7/31/2002	2,125,000	-	99.48	U S TREASURY BILL
1P0053	8/31/2002	7/31/2002	-	2,125,000	99.54	U S TREASURY BILL
1P0053	8/31/2002	7/31/2002	2,125,000	-	99.54	U S TREASURY BILL
1P0055	6/30/1999	5/26/1999	-	1,900,000	98.66	U S TREASURY BILL
1P0055	6/30/1999	5/26/1999	1,900,000	-	99.66	U S TREASURY BILL
1P0055	8/31/2002	7/31/2002	-	2,350,000	99.48	U S TREASURY BILL
1P0055	8/31/2002	7/31/2002	2,350,000	-	99.48	U S TREASURY BILL
1P0055	8/31/2002	7/31/2002	2,350,000	-	99.54	U S TREASURY BILL
1P0055	8/31/2002	7/31/2002	-	2,350,000	99.54	U S TREASURY BILL
1P0060	8/31/2002	7/31/2002	1,525,000	-	99.48	U S TREASURY BILL
1P0060	8/31/2002	7/31/2002	-	1,525,000	99.48	U S TREASURY BILL
1P0060	8/31/2002	7/31/2002	-	1,525,000	99.54	U S TREASURY BILL
1P0060	8/31/2002	7/31/2002	1,525,000	-	99.54	U S TREASURY BILL
1P0065	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1P0065	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1P0066	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1P0066	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1P0066	8/31/2002	7/31/2002	-	1,375,000	99.54	U S TREASURY BILL
1P0066	8/31/2002	7/31/2002	1,375,000	-	99.48	U S TREASURY BILL
1P0066	8/31/2002	7/31/2002	1,375,000	-	99.54	U S TREASURY BILL
1P0066	8/31/2002	7/31/2002	-	1,375,000	99.48	U S TREASURY BILL
1P0067	10/31/2000	9/21/2000	12,000	-	55.75	INTEL CORP
1P0067	10/31/2000	9/21/2000	-	24,000	55.75	INTEL CORP
1P0067	11/30/2001	9/4/2001	5,000	-	51.05	KLA TENCOR CORPORATION
1P0067	9/30/2002	4/4/2002	-	5,000	57.60	ELECTRONIC DATA SYSTEMS CORP
1P0067	9/30/2002	5/22/2002	-	2,150	19.75	AMAZON COM INC
1P0067	10/31/2002	5/22/2002	2,150	-	19.75	AMAZON COM INC
1P0067	9/30/2002	5/23/2002	-	1,500	55.20	ELECTRONIC DATA SYSTEMS CORP
1P0067	10/31/2002	9/16/2002	2,150	-	17.51	AMAZON COM INC
1P0067	5/31/2004	2/6/2004	-	7,500	66.51	AMGEN INC
1P0067	5/31/2004	2/6/2004	30,000	-	10.02	PALMONE INC
1P0067	11/30/2008	10/1/2008	-	53,000	120.98	SPDR TRUST SER 1 UNITS
1P0067	11/30/2008	10/1/2008	53,000	-	120.98	SPDR TRUST SER 1 UNITS
1P0084	7/31/1997	4/4/1997	-	400	36.50	FANNIE MAE
1P0084	7/31/1997	4/8/1997	-	1,500	57.75	AMERICAN EXPRESS COMPANY
1P0084	7/31/1997	4/8/1997	-	2,100	80.50	AMR CORP
1P0084	7/31/1997	4/9/1997	-	100	113.63	PHILIP MORRIS COMPANIES INC
1P0084	7/31/1997	4/9/1997	-	300	28.88	LEHMAN BROS HOLDING INC
1P0084	7/31/1997	4/11/1997	-	1,500	48.13	AMERICAN BRANDS INC
1P0084	7/31/1997	4/16/1997	-	4,000	53.13	ABBOTT LABORATORIES
1P0084	7/31/1997	4/17/1997	-	1,000	83.25	PFIZER INC
1P0084	7/31/1997	4/17/1997	-	3,000	62.13	CHEVRON CORP
1P0084	7/31/1997	5/7/1997	-	200	110.50	GENERAL ELECTRIC CO
1P0084	7/31/1997	5/8/1997	-	4,000	93.13	CATERPILLAR INC
1P0084	7/31/1997	5/13/1997	-	800	77.63	PHELPS DODGE CORP
1P0084	7/31/1997	5/19/1997	-	1,200	92.00	COMPAQ COMPUTER CORP
1P0084	7/31/1997	6/4/1997	-	400	58.75	JOHNSON & JOHNSON
1P0084	2/29/2000	1/6/2000	-	300	84.50	LEHMAN BROS HOLDING INC
1P0084	2/29/2000	1/6/2000	-	1,500	164.00	AMERICAN EXPRESS COMPANY
1P0084	2/29/2000	1/6/2000	-	1,500	33.00	FORTUNE BRANDS INC
1P0084	2/29/2000	1/12/2000	-	400	96.69	JOHNSON & JOHNSON
1P0084	2/29/2000	1/12/2000	-	800	72.75	PHELPS DODGE CORP
1P0084	2/29/2000	1/12/2000	-	8,000	35.94	ABBOTT LABORATORIES
1P0084	2/29/2000	1/12/2000	-	1,500	19.38	GALLAHER GROUP PLC ADR
1P0084	2/29/2000	1/12/2000	-	8,000	54.75	CATERPILLAR INC
1P0084	2/29/2000	1/14/2000	-	4,200	68.50	AMR CORP
1P0084	2/29/2000	1/14/2000	-	300	24.38	PHILIP MORRIS COMPANIES INC
1P0084	2/29/2000	1/19/2000	-	400	154.69	GENERAL ELECTRIC CO
1P0084	2/29/2000	1/20/2000	-	6,000	37.38	PFIZER INC
1P0084	2/29/2000	1/20/2000	-	400	64.63	FANNIE MAE

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1P0084	2/29/2000	1/28/2000	-	3,000	90.13	CHEVRON CORP
1P0084	2/29/2000	1/28/2000	-	6,000	33.75	COMPAQ COMPUTER CORP
1P0084	2/28/2005	1/6/2005	4,000	-	37.14	ANALOG DEVICES
1P0111	1/31/2007	4/6/2007	-	10	1.00	FIDELITY SPARTAN
1R0016	8/31/2002	7/31/2002	-	1,525,000	99.48	U S TREASURY BILL
1R0016	8/31/2002	7/31/2002	-	1,525,000	99.54	U S TREASURY BILL
1R0016	8/31/2002	7/31/2002	1,525,000	-	99.48	U S TREASURY BILL
1R0016	8/31/2002	7/31/2002	1,525,000	-	99.54	U S TREASURY BILL
1R0028	6/30/1999	5/26/1999	-	775,000	98.66	U S TREASURY BILL
1R0028	6/30/1999	5/26/1999	775,000	-	99.66	U S TREASURY BILL
1R0028	8/31/2002	7/31/2002	1,850,000	-	99.54	U S TREASURY BILL
1R0028	8/31/2002	7/31/2002	-	1,850,000	99.54	U S TREASURY BILL
1R0028	8/31/2002	7/31/2002	1,850,000	-	99.48	U S TREASURY BILL
1R0028	8/31/2002	7/31/2002	-	1,850,000	99.48	U S TREASURY BILL
1R0029	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1R0029	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1R0029	8/31/2002	7/31/2002	-	1,875,000	99.48	U S TREASURY BILL
1R0029	8/31/2002	7/31/2002	-	1,875,000	99.54	U S TREASURY BILL
1R0029	8/31/2002	7/31/2002	1,875,000	-	99.48	U S TREASURY BILL
1R0029	8/31/2002	7/31/2002	1,875,000	-	99.54	U S TREASURY BILL
1R0032	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1R0032	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1R0041	2/29/2000	1/6/2000	-	800	84.50	LEHMAN BROS HOLDING INC
1R0041	2/29/2000	1/6/2000	-	1,860	84.50	LEHMAN BROS HOLDING INC
1R0041	2/29/2000	1/13/2000	-	4,000	8.25	REEBOK INTL LTD
1R0041	2/29/2000	1/27/2000	-	20,400	45.19	MILLIPORE CORP
1R0041	2/29/2000	1/31/2000	-	16,320	78.75	THE CHASE MANHATTAN CORP NEW
1R0041	7/31/2001	6/5/2001	70,000	-	14.75	ORACLE CORPORATION
1R0041	7/31/2001	6/5/2001	-	70,000	14.75	ORACLE CORPORATION
1R0041	11/30/2001	9/4/2001	18,000	-	35.22	PEOPLESFT INC
1R0041	11/30/2001	9/4/2001	55,000	-	51.05	KLA TENCOR CORPORATION
1R0041	11/30/2008	10/3/2008	12,500	-	116.70	SPDR TRUST SER 1 UNITS
1R0041	11/30/2008	10/3/2008	-	12,500	116.70	SPDR TRUST SER 1 UNITS
1R0046	6/30/1999	5/26/1999	2,150,000	-	99.66	U S TREASURY BILL
1R0046	6/30/1999	5/26/1999	-	2,150,000	98.66	U S TREASURY BILL
1R0046	8/31/2002	7/31/2002	-	2,150,000	99.54	U S TREASURY BILL
1R0046	8/31/2002	7/31/2002	-	2,150,000	99.48	U S TREASURY BILL
1R0046	8/31/2002	7/31/2002	2,150,000	-	99.48	U S TREASURY BILL
1R0046	8/31/2002	7/31/2002	2,150,000	-	99.54	U S TREASURY BILL
1R0050	2/29/2000	1/6/2000	-	1,000	84.50	LEHMAN BROS HOLDING INC
1R0050	2/29/2000	1/13/2000	-	5,000	8.25	REEBOK INTL LTD
1R0050	2/29/2000	1/27/2000	-	27,700	45.00	MILLIPORE CORP
1R0050	2/29/2000	1/27/2000	-	22,800	45.06	MILLIPORE CORP
1R0050	2/29/2000	1/27/2000	-	16,500	45.19	MILLIPORE CORP
1R0050	2/29/2000	1/27/2000	-	17,000	45.13	MILLIPORE CORP
1R0050	2/29/2000	1/31/2000	-	20,400	78.75	THE CHASE MANHATTAN CORP NEW
1R0050	11/30/2008	10/3/2008	-	12,600	116.70	SPDR TRUST SER 1 UNITS
1R0050	11/30/2008	10/3/2008	12,600	-	116.70	SPDR TRUST SER 1 UNITS
1R0055	2/29/2000	1/6/2000	-	100	84.50	LEHMAN BROS HOLDING INC
1R0055	2/29/2000	1/27/2000	-	27,600	45.19	MILLIPORE CORP
1R0055	2/29/2000	1/31/2000	-	2,040	78.75	THE CHASE MANHATTAN CORP NEW
1R0055	10/31/2007	9/24/2007	-	25,000	34.33	LIZ CLAIBORNE INC
1R0055	10/31/2007	9/24/2007	-	90,000	34.30	LIZ CLAIBORNE INC
1R0063	2/29/2000	1/6/2000	-	600	84.50	LEHMAN BROS HOLDING INC
1R0063	2/29/2000	1/27/2000	-	39,600	45.19	MILLIPORE CORP
1R0063	2/29/2000	1/31/2000	-	12,240	78.75	THE CHASE MANHATTAN CORP NEW
1R0063	10/31/2007	9/6/2007	-	75,000	38.41	HOME DEPOT INC
1R0063	10/31/2007	9/6/2007	46,000	-	38.41	HOME DEPOT INC
1R0063	11/30/2008	10/3/2008	-	25,000	116.70	SPDR TRUST SER 1 UNITS
1R0063	11/30/2008	10/3/2008	25,000	-	116.70	SPDR TRUST SER 1 UNITS
1R0089	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1R0089	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1R0091	11/30/2001	9/4/2001	20,000	-	51.05	KLA TENCOR CORPORATION
1R0091	11/30/2001	9/4/2001	33,000	-	35.22	PEOPLESFT INC
1R0099	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1R0099	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1R0108	6/30/1999	5/26/1999	-	1,650,000	98.66	U S TREASURY BILL
1R0108	6/30/1999	5/26/1999	1,650,000	-	99.66	U S TREASURY BILL
1R0108	8/31/2002	7/31/2002	2,675,000	-	99.54	U S TREASURY BILL
1R0108	8/31/2002	7/31/2002	-	2,675,000	99.48	U S TREASURY BILL
1R0108	8/31/2002	7/31/2002	-	2,675,000	99.54	U S TREASURY BILL
1R0108	8/31/2002	7/31/2002	2,675,000	-	99.48	U S TREASURY BILL
1R0111	6/30/1999	5/26/1999	-	675,000	98.66	U S TREASURY BILL
1R0111	6/30/1999	5/26/1999	675,000	-	99.66	U S TREASURY BILL
1R0172	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1R0172	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1R0172	8/31/2002	7/31/2002	-	2,075,000	99.48	U S TREASURY BILL
1R0172	8/31/2002	7/31/2002	2,075,000	-	99.54	U S TREASURY BILL
1R0172	8/31/2002	7/31/2002	2,075,000	-	99.48	U S TREASURY BILL
1R0172	8/31/2002	7/31/2002	-	2,075,000	99.54	U S TREASURY BILL
1R0177	3/31/1999	1/6/1999	2,500,000	-	98.63	U S TREASURY BILL
1R0177	3/31/1999	1/13/1999	35,000	-	98.73	U S TREASURY BILL
1R0177	3/31/1999	1/22/1999	-	2,535,000	98.83	U S TREASURY BILL
1R0177	3/31/1999	1/25/1999	1,218	-	64.00	BANKAMERICA CORP NEW
1R0177	3/31/1999	1/25/1999	2,268	-	100.00	GENERAL ELECTRIC CO
1R0177	3/31/1999	1/25/1999	672	-	33.63	BOEING CO
1R0177	3/31/1999	1/25/1999	924	-	84.75	PROCTER & GAMBLE CO
1R0177	3/31/1999	1/25/1999	462	-	89.00	GENERAL MOTORS CORP
1R0177	3/31/1999	1/25/1999	798	-	54.50	DU PONT E I DE NEMOURS & CO
1R0177	3/31/1999	1/25/1999	1,554	-	52.88	CITI GROUP INC
1R0177	3/31/1999	1/25/1999	1,680	-	162.88	MICROSOFT CORP
1R0177	3/31/1999	1/25/1999	294	-	101.50	AMERICAN EXPRESS COMPANY
1R0177	3/31/1999	1/25/1999	630	-	193.50	INTERNATIONAL BUSINESS MACHS
1R0177	3/31/1999	1/25/1999	672	-	122.13	BRISTOL MYERS SQUIBB COMPANY
1R0177	3/31/1999	1/25/1999	714	-	71.00	EXXON CORP
1R0177	3/31/1999	1/25/1999	714	-	71.63	HEWLETT PACKARD CO
1R0177	3/31/1999	1/25/1999	1,008	-	38.44	PEPSICO INC
1R0177	3/31/1999	1/25/1999	252	-	98.88	TEXAS INSTRUMENTS INC
1R0177	3/31/1999	1/25/1999	924	-	80.25	JOHNSON & JOHNSON
1R0177	3/31/1999	1/25/1999	462	-	77.75	MCDONALDS CORP
1R0177	3/31/1999	1/25/1999	672	-	51.00	ORACLE CORPORATION
1R0177	3/31/1999	1/25/1999	840	-	147.75	MERCK & CO
1R0177	3/31/1999	1/25/1999	1,050	-	105.38	CISCO SYSTEMS INC
1R0177	3/31/1999	1/25/1999	336	-	56.38	PHARMACIA & UPJOHN INC
1R0177	3/31/1999	1/25/1999	756	-	68.75	AMERITECH CORP
1R0177	3/31/1999	1/25/1999	798	-	64.69	FORD MOTOR COMPANY
1R0177	3/31/1999	1/25/1999	840	-	100.75	AMERICAN INTL GROUP INC
1R0177	3/31/1999	1/25/1999	1,092	-	57.00	BELL ATLANTIC CORP
1R0177	3/31/1999	1/25/1999	1,218	-	91.75	AT & T CORP
1R0177	3/31/1999	1/25/1999	1,554	-	79.75	WAL-MART STORES INC
1R0177	3/31/1999	1/25/1999	798	-	53.25	BANC ONE CORP
1R0177	3/31/1999	1/25/1999	1,092	-	36.88	WELLS FARGO & CO NEW
1R0177	3/31/1999	1/25/1999	1,134	-	138.00	INTEL CORP
1R0177	3/31/1999	1/25/1999	1,386	-	36.13	THE WALT DISNEY CO
1R0177	3/31/1999	1/25/1999	1,680	-	63.44	COCA COLA CO
1R0177	3/31/1999	2/17/1999	15,000	-	99.21	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	-	462	85.25	MCDONALDS CORP
1R0177	3/31/1999	2/24/1999	-	462	85.75	GENERAL MOTORS CORP
1R0177	3/31/1999	2/24/1999	-	798	52.69	DU PONT E I DE NEMOURS & CO
1R0177	3/31/1999	2/24/1999	-	924	86.31	JOHNSON & JOHNSON
1R0177	3/31/1999	2/24/1999	-	1,554	54.69	CITI GROUP INC
1R0177	3/31/1999	2/24/1999	-	1,680	79.13	MERCK & CO
1R0177	3/31/1999	2/24/1999	375,000	-	98.84	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	-	1,008	39.25	PEPSICO INC
1R0177	3/31/1999	2/24/1999	-	1,050	97.25	CISCO SYSTEMS INC
1R0177	3/31/1999	2/24/1999	375,000	-	98.67	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	375,000	-	98.75	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	375,000	-	98.93	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	-	252	94.75	TEXAS INSTRUMENTS INC
1R0177	3/31/1999	2/24/1999	-	630	174.38	INTERNATIONAL BUSINESS MACHS

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1R0177	3/31/1999	2/24/1999	-	672	125.81	BRISTOL MYERS SQUIBB COMPANY
1R0177	3/31/1999	2/24/1999	-	1,092	58.50	BELL ATLANTIC CORP
1R0177	3/31/1999	2/24/1999	-	1,134	130.25	INTEL CORP
1R0177	3/31/1999	2/24/1999	-	1,218	65.88	BANKAMERICA CORP NEW
1R0177	3/31/1999	2/24/1999	-	1,680	65.31	COCA COLA CO
1R0177	3/31/1999	2/24/1999	-	840	117.50	AMERICAN INTL GROUP INC
1R0177	3/31/1999	2/24/1999	-	1,680	148.75	MICROSOFT CORP
1R0177	3/31/1999	2/24/1999	-	714	68.56	EXXON CORP
1R0177	3/31/1999	2/24/1999	-	798	51.81	BANC ONE CORP
1R0177	3/31/1999	2/24/1999	-	798	59.50	FORD MOTOR COMPANY
1R0177	3/31/1999	2/24/1999	-	1,092	36.81	WELLS FARGO & CO NEW
1R0177	3/31/1999	2/24/1999	325,000	-	98.84	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	-	336	54.00	PHARMACIA & UPJOHN INC
1R0177	3/31/1999	2/24/1999	-	672	35.75	BOEING CO
1R0177	3/31/1999	2/24/1999	-	672	53.50	ORACLE CORPORATION
1R0177	3/31/1999	2/24/1999	-	924	91.50	PROCTER & GAMBLE CO
1R0177	3/31/1999	2/24/1999	375,000	-	99.02	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	375,000	-	99.11	U S TREASURY BILL
1R0177	3/31/1999	2/24/1999	-	294	104.25	AMERICAN EXPRESS COMPANY
1R0177	3/31/1999	2/24/1999	-	714	69.00	HEWLETT PACKARD CO
1R0177	3/31/1999	2/24/1999	-	756	64.25	AMERITECH CORP
1R0177	3/31/1999	2/24/1999	-	1,218	86.75	AT & T CORP
1R0177	3/31/1999	2/24/1999	-	1,386	34.13	THE WALT DISNEY CO
1R0177	3/31/1999	2/24/1999	-	1,554	85.13	WAL-MART STORES INC
1R0177	3/31/1999	2/24/1999	-	2,268	101.13	GENERAL ELECTRIC CO
1R0177	3/31/1999	2/24/1999	-	15,000	99.27	U S TREASURY BILL
1R0178	3/31/1999	1/6/1999	-	10,000,000	98.63	U S TREASURY BILL
1R0178	3/31/1999	1/6/1999	5,000,000	-	98.63	U S TREASURY BILL
1R0178	3/31/1999	1/13/1999	-	135,000	98.73	U S TREASURY BILL
1R0178	3/31/1999	1/13/1999	65,000	-	98.73	U S TREASURY BILL
1R0178	3/31/1999	1/22/1999	-	5,065,000	98.83	U S TREASURY BILL
1R0178	3/31/1999	1/22/1999	10,135,000	-	98.83	U S TREASURY BILL
1R0178	3/31/1999	1/25/1999	924	-	77.75	MCDONALDS CORP
1R0178	3/31/1999	1/25/1999	1,344	-	33.63	BOEING CO
1R0178	3/31/1999	1/25/1999	1,344	-	51.00	ORACLE CORPORATION
1R0178	3/31/1999	1/25/1999	1,428	-	71.63	HEWLETT PACKARD CO
1R0178	3/31/1999	1/25/1999	1,680	-	100.75	AMERICAN INTL GROUP INC
1R0178	3/31/1999	1/25/1999	1,680	-	147.75	MERCK & CO
1R0178	3/31/1999	1/25/1999	1,848	-	84.75	PROCTER & GAMBLE CO
1R0178	3/31/1999	1/25/1999	2,100	-	105.38	CISCO SYSTEMS INC
1R0178	3/31/1999	1/25/1999	2,184	-	36.88	WELLS FARGO & CO NEW
1R0178	3/31/1999	1/25/1999	-	2,520	193.50	INTERNATIONAL BUSINESS MACHS
1R0178	3/31/1999	1/25/1999	-	4,032	38.44	PEPSICO INC
1R0178	3/31/1999	1/25/1999	-	4,200	105.38	CISCO SYSTEMS INC
1R0178	3/31/1999	1/25/1999	1,260	-	193.50	INTERNATIONAL BUSINESS MACHS
1R0178	3/31/1999	1/25/1999	1,512	-	68.75	AMERITECH CORP
1R0178	3/31/1999	1/25/1999	1,596	-	53.25	BANC ONE CORP
1R0178	3/31/1999	1/25/1999	1,848	-	80.25	JOHNSON & JOHNSON
1R0178	3/31/1999	1/25/1999	-	2,688	51.00	ORACLE CORPORATION
1R0178	3/31/1999	1/25/1999	-	2,688	122.13	BRISTOL MYERS SQUIBB COMPANY
1R0178	3/31/1999	1/25/1999	-	2,856	71.00	EXXON CORP
1R0178	3/31/1999	1/25/1999	-	3,192	53.25	BANC ONE CORP
1R0178	3/31/1999	1/25/1999	-	3,360	100.75	AMERICAN INTL GROUP INC
1R0178	3/31/1999	1/25/1999	-	4,368	57.00	BELL ATLANTIC CORP
1R0178	3/31/1999	1/25/1999	-	4,536	138.00	INTEL CORP
1R0178	3/31/1999	1/25/1999	-	6,216	79.75	WAL-MART STORES INC
1R0178	3/31/1999	1/25/1999	-	6,720	162.88	MICROSOFT CORP
1R0178	3/31/1999	1/25/1999	-	9,072	100.00	GENERAL ELECTRIC CO
1R0178	3/31/1999	1/25/1999	924	-	89.00	GENERAL MOTORS CORP
1R0178	3/31/1999	1/25/1999	3,108	-	52.88	CITI GROUP INC
1R0178	3/31/1999	1/25/1999	-	3,192	54.50	DU PONT E I DE NEMOURS & CO
1R0178	3/31/1999	1/25/1999	-	4,368	36.88	WELLS FARGO & CO NEW
1R0178	3/31/1999	1/25/1999	-	4,872	64.00	BANKAMERICA CORP NEW
1R0178	3/31/1999	1/25/1999	2,016	-	38.44	PEPSICO INC
1R0178	3/31/1999	1/25/1999	3,360	-	63.44	COCA COLA CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1R0178	3/31/1999	1/25/1999	1,428	-	71.00	EXXON CORP
1R0178	3/31/1999	1/25/1999	2,436	-	64.00	BANKAMERICA CORP NEW
1R0178	3/31/1999	1/25/1999	2,436	-	91.75	AT & T CORP
1R0178	3/31/1999	1/25/1999	-	1,008	98.88	TEXAS INSTRUMENTS INC
1R0178	3/31/1999	1/25/1999	-	1,344	56.38	PHARMACIA & UPJOHN INC
1R0178	3/31/1999	1/25/1999	-	1,848	77.75	MCDONALDS CORP
1R0178	3/31/1999	1/25/1999	-	1,848	89.00	GENERAL MOTORS CORP
1R0178	3/31/1999	1/25/1999	-	3,696	84.75	PROCTER & GAMBLE CO
1R0178	3/31/1999	1/25/1999	-	4,872	91.75	AT & T CORP
1R0178	3/31/1999	1/25/1999	-	6,216	52.88	CITI GROUP INC
1R0178	3/31/1999	1/25/1999	672	-	56.38	PHARMACIA & UPJOHN INC
1R0178	3/31/1999	1/25/1999	1,596	-	54.50	DU PONT E I DE NEMOURS & CO
1R0178	3/31/1999	1/25/1999	2,184	-	57.00	BELL ATLANTIC CORP
1R0178	3/31/1999	1/25/1999	2,772	-	36.13	THE WALT DISNEY CO
1R0178	3/31/1999	1/25/1999	-	1,176	101.50	AMERICAN EXPRESS COMPANY
1R0178	3/31/1999	1/25/1999	-	2,688	33.63	BOEING CO
1R0178	3/31/1999	1/25/1999	-	3,024	68.75	AMERITECH CORP
1R0178	3/31/1999	1/25/1999	-	6,720	63.44	COCA COLA CO
1R0178	3/31/1999	1/25/1999	1,344	-	122.13	BRISTOL MYERS SQUIBB COMPANY
1R0178	3/31/1999	1/25/1999	2,268	-	138.00	INTEL CORP
1R0178	3/31/1999	1/25/1999	3,360	-	162.88	MICROSOFT CORP
1R0178	3/31/1999	1/25/1999	-	2,856	71.63	HEWLETT PACKARD CO
1R0178	3/31/1999	1/25/1999	-	3,192	64.69	FORD MOTOR COMPANY
1R0178	3/31/1999	1/25/1999	-	3,360	147.75	MERCK & CO
1R0178	3/31/1999	1/25/1999	-	3,696	80.25	JOHNSON & JOHNSON
1R0178	3/31/1999	1/25/1999	-	5,544	36.13	THE WALT DISNEY CO
1R0178	3/31/1999	1/25/1999	504	-	98.88	TEXAS INSTRUMENTS INC
1R0178	3/31/1999	1/25/1999	588	-	101.50	AMERICAN EXPRESS COMPANY
1R0178	3/31/1999	1/25/1999	1,596	-	64.69	FORD MOTOR COMPANY
1R0178	3/31/1999	1/25/1999	3,108	-	79.75	WAL-MART STORES INC
1R0178	3/31/1999	1/25/1999	4,536	-	100.00	GENERAL ELECTRIC CO
1R0178	3/31/1999	1/29/1999	-	11,471	1.00	FIDELITY SPARTAN
1R0178	3/31/1999	1/29/1999	11,471	-	1.00	FIDELITY SPARTAN
1R0178	3/31/1999	2/17/1999	-	60,000	99.21	U S TREASURY BILL
1R0178	3/31/1999	2/17/1999	30,000	-	99.21	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	924	85.25	MCDONALDS CORP
1R0178	3/31/1999	2/24/1999	-	3,360	79.13	MERCK & CO
1R0178	3/31/1999	2/24/1999	-	1,500,000	98.75	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	2,688	-	53.50	ORACLE CORPORATION
1R0178	3/31/1999	2/24/1999	4,032	-	39.25	PEPSICO INC
1R0178	3/31/1999	2/24/1999	4,872	-	86.75	AT & T CORP
1R0178	3/31/1999	2/24/1999	6,216	-	85.13	WAL-MART STORES INC
1R0178	3/31/1999	2/24/1999	6,720	-	148.75	MICROSOFT CORP
1R0178	3/31/1999	2/24/1999	60,000	-	99.27	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	650,000	-	98.84	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	924	85.75	GENERAL MOTORS CORP
1R0178	3/31/1999	2/24/1999	-	1,428	68.56	EXXON CORP
1R0178	3/31/1999	2/24/1999	-	1,596	59.50	FORD MOTOR COMPANY
1R0178	3/31/1999	2/24/1999	-	1,848	86.31	JOHNSON & JOHNSON
1R0178	3/31/1999	2/24/1999	-	2,016	39.25	PEPSICO INC
1R0178	3/31/1999	2/24/1999	-	2,268	130.25	INTEL CORP
1R0178	3/31/1999	2/24/1999	-	2,772	34.13	THE WALT DISNEY CO
1R0178	3/31/1999	2/24/1999	-	3,360	65.31	COCA COLA CO
1R0178	3/31/1999	2/24/1999	1,008	-	94.75	TEXAS INSTRUMENTS INC
1R0178	3/31/1999	2/24/1999	2,856	-	69.00	HEWLETT PACKARD CO
1R0178	3/31/1999	2/24/1999	3,696	-	86.31	JOHNSON & JOHNSON
1R0178	3/31/1999	2/24/1999	3,696	-	91.50	PROCTER & GAMBLE CO
1R0178	3/31/1999	2/24/1999	4,200	-	97.25	CISCO SYSTEMS INC
1R0178	3/31/1999	2/24/1999	-	1,428	69.00	HEWLETT PACKARD CO
1R0178	3/31/1999	2/24/1999	-	1,512	64.25	AMERITECH CORP
1R0178	3/31/1999	2/24/1999	-	2,436	65.88	BANKAMERICA CORP NEW
1R0178	3/31/1999	2/24/1999	-	3,108	85.13	WAL-MART STORES INC
1R0178	3/31/1999	2/24/1999	-	4,536	101.13	GENERAL ELECTRIC CO
1R0178	3/31/1999	2/24/1999	-	30,000	99.27	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	1,500,000	98.84	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1R0178	3/31/1999	2/24/1999	-	1,500,000	98.93	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	1,500,000	99.02	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	4,872	-	65.88	BANKAMERICA CORP NEW
1R0178	3/31/1999	2/24/1999	6,216	-	54.69	CITI GROUP INC
1R0178	3/31/1999	2/24/1999	6,720	-	65.31	COCA COLA CO
1R0178	3/31/1999	2/24/1999	6,720	-	79.13	MERCK & CO
1R0178	3/31/1999	2/24/1999	-	672	54.00	PHARMACIA & UPJOHN INC
1R0178	3/31/1999	2/24/1999	-	1,596	52.69	DU PONT E I DE NEMOURS & CO
1R0178	3/31/1999	2/24/1999	-	1,848	91.50	PROCTER & GAMBLE CO
1R0178	3/31/1999	2/24/1999	-	2,184	36.81	WELLS FARGO & CO NEW
1R0178	3/31/1999	2/24/1999	-	1,300,000	98.84	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	1,500,000	98.67	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	1,500,000	99.11	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	1,848	-	85.75	GENERAL MOTORS CORP
1R0178	3/31/1999	2/24/1999	4,368	-	36.81	WELLS FARGO & CO NEW
1R0178	3/31/1999	2/24/1999	9,072	-	101.13	GENERAL ELECTRIC CO
1R0178	3/31/1999	2/24/1999	-	588	104.25	AMERICAN EXPRESS COMPANY
1R0178	3/31/1999	2/24/1999	-	1,260	174.38	INTERNATIONAL BUSINESS MACHS
1R0178	3/31/1999	2/24/1999	-	1,344	35.75	BOEING CO
1R0178	3/31/1999	2/24/1999	-	2,100	97.25	CISCO SYSTEMS INC
1R0178	3/31/1999	2/24/1999	3,192	-	59.50	FORD MOTOR COMPANY
1R0178	3/31/1999	2/24/1999	4,368	-	58.50	BELL ATLANTIC CORP
1R0178	3/31/1999	2/24/1999	4,536	-	130.25	INTEL CORP
1R0178	3/31/1999	2/24/1999	5,544	-	34.13	THE WALT DISNEY CO
1R0178	3/31/1999	2/24/1999	750,000	-	98.67	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	504	94.75	TEXAS INSTRUMENTS INC
1R0178	3/31/1999	2/24/1999	-	1,344	53.50	ORACLE CORPORATION
1R0178	3/31/1999	2/24/1999	-	1,344	125.81	BRISTOL MYERS SQUIBB COMPANY
1R0178	3/31/1999	2/24/1999	-	1,680	117.25	AMERICAN INTL GROUP INC
1R0178	3/31/1999	2/24/1999	-	2,436	86.75	AT & T CORP
1R0178	3/31/1999	2/24/1999	-	3,108	54.69	CITI GROUP INC
1R0178	3/31/1999	2/24/1999	-	3,360	148.75	MICROSOFT CORP
1R0178	3/31/1999	2/24/1999	2,856	-	68.56	EXXON CORP
1R0178	3/31/1999	2/24/1999	3,024	-	64.25	AMERITECH CORP
1R0178	3/31/1999	2/24/1999	3,192	-	51.81	BANC ONE CORP
1R0178	3/31/1999	2/24/1999	750,000	-	98.75	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	750,000	-	98.93	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	750,000	-	99.02	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	750,000	-	99.11	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	1,596	51.81	BANC ONE CORP
1R0178	3/31/1999	2/24/1999	1,344	-	54.00	PHARMACIA & UPJOHN INC
1R0178	3/31/1999	2/24/1999	1,848	-	85.25	MCDONALDS CORP
1R0178	3/31/1999	2/24/1999	2,520	-	174.38	INTERNATIONAL BUSINESS MACHS
1R0178	3/31/1999	2/24/1999	2,688	-	125.81	BRISTOL MYERS SQUIBB COMPANY
1R0178	3/31/1999	2/24/1999	3,360	-	117.25	AMERICAN INTL GROUP INC
1R0178	3/31/1999	2/24/1999	750,000	-	98.84	U S TREASURY BILL
1R0178	3/31/1999	2/24/1999	-	2,184	58.50	BELL ATLANTIC CORP
1R0178	3/31/1999	2/24/1999	1,176	-	104.25	AMERICAN EXPRESS COMPANY
1R0178	3/31/1999	2/24/1999	2,688	-	35.75	BOEING CO
1R0178	3/31/1999	2/24/1999	3,192	-	52.69	DU PONT E I DE NEMOURS & CO
1R0178	8/31/2002	7/31/2002	1,300,000	-	99.48	U S TREASURY BILL
1R0178	8/31/2002	7/31/2002	1,300,000	-	99.54	U S TREASURY BILL
1R0178	8/31/2002	7/31/2002	-	1,300,000	99.54	U S TREASURY BILL
1R0178	8/31/2002	7/31/2002	-	1,300,000	99.48	U S TREASURY BILL
1R0183	8/31/2002	7/31/2002	1,700,000	-	99.54	U S TREASURY BILL
1R0183	8/31/2002	7/31/2002	-	1,700,000	99.48	U S TREASURY BILL
1R0183	8/31/2002	7/31/2002	-	1,700,000	99.54	U S TREASURY BILL
1R0183	8/31/2002	7/31/2002	1,700,000	-	99.48	U S TREASURY BILL
1R0187	8/31/2002	7/31/2002	-	1,600,000	99.48	U S TREASURY BILL
1R0187	8/31/2002	7/31/2002	-	1,600,000	99.54	U S TREASURY BILL
1R0187	8/31/2002	7/31/2002	1,600,000	-	99.54	U S TREASURY BILL
1R0187	8/31/2002	7/31/2002	1,600,000	-	99.48	U S TREASURY BILL
1R0204	11/30/2005	10/21/2005	-	13,426	1.00	FIDELITY SPARTAN
1R0204	11/30/2005	10/26/2005	-	20,000	45.13	AUTODESK INC
1R0204	11/30/2005	10/31/2005	7,350	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1R0204	7/31/2006	5/25/2006	-	400	75.06	EXPRESS SCRIPTS INC CL A
1R0204	7/31/2006	5/25/2006	24	-	1.00	FIDELITY SPARTAN
1R0204	7/31/2006	6/5/2006	-	14,600	74.89	EXPRESS SCRIPTS INC CL A
1R0204	7/31/2006	6/5/2006	15,000	-	74.89	EXPRESS SCRIPTS INC CL A
1R0204	7/31/2006	6/13/2006	-	34,600	32.66	AMAZON COM INC
1R0204	7/31/2006	6/13/2006	32,560	-	32.66	AMAZON COM INC
1R0204	8/31/2006	6/30/2006	37,496	-	1.00	FIDELITY SPARTAN
1R0204	8/31/2006	7/31/2006	-	46,004	1.00	FIDELITY SPARTAN
1R0204	8/31/2006	7/31/2006	8,613	-	1.00	FIDELITY SPARTAN
1R0204	11/30/2006	10/19/2006	16,745	-	1.00	FIDELITY SPARTAN
1R0204	11/30/2006	10/19/2006	-	46,133	1.00	FIDELITY SPARTAN
1R0204	11/30/2008	8/28/2008	-	1,675,000	99.13	U S TREASURY BILL
1R0204	11/30/2008	8/28/2008	1,625,000	-	99.13	U S TREASURY BILL
1R0204	11/30/2008	8/29/2008	15,369	-	1.00	FIDELITY SPARTAN
1R0204	11/30/2008	9/11/2008	-	50,000	99.27	U S TREASURY BILL
1R0204	11/30/2008	9/11/2008	-	15,369	1.00	FIDELITY SPARTAN
1R0204	11/30/2008	9/30/2008	15,003	-	1.00	FIDELITY SPARTAN
1R0222	2/28/2005	1/28/2005	-	5,658	24.39	SBC COMMUNICATIONS INC
1R0226	10/31/2007	9/14/2007	19,717	-	1.00	FIDELITY SPARTAN
1R0226	10/31/2007	9/14/2007	4,525,000	-	98.62	U S TREASURY BILL
1R0226	10/31/2007	9/26/2007	35,292	-	1.00	FIDELITY SPARTAN
1R0226	10/31/2007	9/26/2007	-	35,291	1.00	FIDELITY SPARTAN
1S0004	2/29/2000	1/28/2000	-	54,000	37.75	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	54,200	37.63	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	58,000	37.50	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	56,000	37.69	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	60,000	37.56	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	51,000	37.38	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	49,000	37.31	THE WALT DISNEY CO
1S0004	2/29/2000	1/28/2000	-	42,000	37.44	THE WALT DISNEY CO
1S0004	11/30/2001	9/4/2001	50,000	-	45.25	APPLIED MATERIALS INC
1S0004	11/30/2001	9/4/2001	62,000	-	30.12	ALTERA CORP
1S0004	11/30/2001	9/4/2001	50,000	-	35.22	PEOPLESOFT INC
1S0004	3/31/2005	1/19/2005	-	76,000	103.51	EBAY INC
1S0004	3/31/2005	1/19/2005	-	51,000	103.40	EBAY INC
1S0004	3/31/2005	1/19/2005	-	49,000	103.30	EBAY INC
1S0004	3/31/2005	1/19/2005	-	54,000	103.49	EBAY INC
1S0004	3/31/2005	1/28/2005	49,000	-	80.36	EBAY INC
1S0004	3/31/2005	1/28/2005	51,000	-	80.12	EBAY INC
1S0004	3/31/2005	1/28/2005	54,000	-	79.62	EBAY INC
1S0004	3/31/2005	1/28/2005	76,000	-	79.98	EBAY INC
1S0004	3/31/2005	1/31/2005	80,000	-	80.54	EBAY INC
1S0004	3/31/2005	1/31/2005	20,000	-	80.64	EBAY INC
1S0004	2/28/2006	1/10/2006	30,000	-	59.75	CONOCOPHILIPS
1S0004	2/28/2006	1/10/2006	90,000	-	59.92	CONOCOPHILIPS
1S0005	2/29/2000	1/6/2000	-	640	84.50	LEHMAN BROS HOLDING INC
1S0005	2/29/2000	1/6/2000	-	6,816	16.25	EARTHGRAINS COMPANY
1S0005	2/29/2000	1/6/2000	-	3,200	164.00	AMERICAN EXPRESS COMPANY
1S0005	2/29/2000	1/12/2000	-	35,200	77.75	ANHEUSER BUSCH COS INC
1S0005	2/29/2000	1/12/2000	-	50,000	77.75	ANHEUSER BUSCH COS INC
1S0005	2/29/2000	1/18/2000	-	16,666	43.69	BOISE CASCADE CORP
1S0005	2/29/2000	1/20/2000	-	5,400	47.69	FEDEX CORPORATION
1S0005	2/29/2000	1/28/2000	-	50,000	37.50	THE WALT DISNEY CO
1S0005	2/29/2000	1/28/2000	-	43,000	37.63	THE WALT DISNEY CO
1S0005	2/29/2000	1/28/2000	-	50,000	37.56	THE WALT DISNEY CO
1S0005	2/29/2000	1/28/2000	-	43,000	37.69	THE WALT DISNEY CO
1S0005	2/29/2000	1/28/2000	-	34,200	37.75	THE WALT DISNEY CO
1S0005	2/29/2000	1/31/2000	-	15,600	87.69	MERRILL LYNCH & CO INC
1S0005	10/31/2002	9/9/2002	15,000	-	28.10	SYMANTEC CORP
1S0005	10/31/2002	9/9/2002	-	15,000	18.10	SYMANTEC CORP
1S0014	2/28/2003	1/29/2003	-	125,000	35.42	WYETH
1S0014	2/28/2003	1/29/2003	-	75,000	35.50	WYETH
1S0018	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1S0018	2/28/1998	1/23/1998	-	10,000	13.25	IOMEGA CORP
1S0018	2/29/2000	1/6/2000	-	20,000	67.25	ADOBE SYS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1S0019	2/28/1998	1/16/1998	24,000	-	47.75	PHILIP MORRIS COMPANIES INC
1S0019	2/28/1998	1/23/1998	-	10,000	13.25	IOMEGA CORP
1S0019	2/29/2000	1/6/2000	-	10,000	67.25	ADOBE SYS INC
1S0045	8/31/1999	7/27/1999	-	10,860	69.63	LUCENT TECHNOLOGIES INC
1S0045	12/31/1999	11/3/1999	-	3,000	64.00	LUCENT TECHNOLOGIES INC
1S0045	2/29/2000	1/6/2000	-	13,860	77.25	LUCENT TECHNOLOGIES INC
1S0045	2/29/2000	1/6/2000	-	1,200	30.25	SEARS ROEBUCK & CO
1S0045	2/29/2000	1/10/2000	4,500	-	50.13	CITI GROUP INC
1S0045	2/29/2000	1/21/2000	13,860	-	51.00	LUCENT TECHNOLOGIES INC
1S0045	2/29/2000	1/24/2000	-	4,500	59.75	CITI GROUP INC
1S0045	2/29/2000	1/28/2000	-	800	68.75	LEHMAN BROS HOLDING INC
1S0045	11/30/2001	9/4/2001	250	-	51.05	KLA TENCOR CORPORATION
1S0102	6/30/1999	5/26/1999	1,425,000	-	99.66	U S TREASURY BILL
1S0102	6/30/1999	5/26/1999	-	1,425,000	98.66	U S TREASURY BILL
1S0102	8/31/2002	7/31/2002	-	1,825,000	99.48	U S TREASURY BILL
1S0102	8/31/2002	7/31/2002	-	1,825,000	99.54	U S TREASURY BILL
1S0102	8/31/2002	7/31/2002	1,825,000	-	99.54	U S TREASURY BILL
1S0102	8/31/2002	7/31/2002	1,825,000	-	99.48	U S TREASURY BILL
1S0110	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0110	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0110	8/31/2002	7/31/2002	1,350,000	-	99.48	U S TREASURY BILL
1S0110	8/31/2002	7/31/2002	1,350,000	-	99.54	U S TREASURY BILL
1S0110	8/31/2002	7/31/2002	-	1,350,000	99.48	U S TREASURY BILL
1S0110	8/31/2002	7/31/2002	-	1,350,000	99.54	U S TREASURY BILL
1S0135	7/31/1999	6/7/1999	-	66,000	57.50	LUCENT TECHNOLOGIES INC
1S0135	12/31/1999	11/12/1999	-	21,000	70.00	GATEWAY INC
1S0135	11/30/2001	9/4/2001	4,000	-	51.05	KLA TENCOR CORPORATION
1S0142	8/31/1999	7/21/1999	-	15,600	38.00	PFIZER INC
1S0142	8/31/1999	7/22/1999	-	4,200	67.25	ALLIED SIGNAL INC
1S0142	12/31/1999	11/4/1999	-	4,850	20.00	ADVANCED MICRO DEVICES
1S0142	12/31/1999	11/4/1999	-	1,400	74.50	HEWLETT PACKARD CO
1S0142	2/29/2000	1/6/2000	273	-	136.00	MORGAN STANLEY DEAN WITTER CO
1S0142	2/29/2000	1/6/2000	-	350	84.50	LEHMAN BROS HOLDING INC
1S0142	2/29/2000	1/6/2000	-	1,400	118.50	HEWLETT PACKARD CO
1S0142	2/29/2000	1/6/2000	-	375	8.00	CONSOLIDATED FREIGHTWAYS CORP
1S0142	2/29/2000	1/7/2000	15,600	-	31.00	PFIZER INC
1S0142	2/29/2000	1/10/2000	-	5,000	58.50	AMGEN INC
1S0142	2/29/2000	1/10/2000	4,850	-	28.50	ADVANCED MICRO DEVICES
1S0142	2/29/2000	1/10/2000	350	-	70.13	LEHMAN BROS HOLDING INC
1S0142	2/29/2000	1/10/2000	-	367	27.38	COMPAQ COMPUTER CORP
1S0142	2/29/2000	1/11/2000	1,400	-	102.06	HEWLETT PACKARD CO
1S0142	2/29/2000	1/12/2000	-	1,050	48.25	FLUOR CORP
1S0142	2/29/2000	1/13/2000	-	105	10.38	HIGHLANDS INSURANCE GROUP
1S0142	2/29/2000	1/20/2000	-	15,600	37.38	PFIZER INC
1S0142	2/29/2000	1/21/2000	-	273	138.50	MORGAN STANLEY DEAN WITTER CO
1S0142	2/29/2000	1/25/2000	-	4,850	44.88	ADVANCED MICRO DEVICES
1S0142	2/29/2000	1/27/2000	-	2,100	43.25	HALLIBURTON CO
1S0142	2/29/2000	1/28/2000	-	1,500	55.75	PPG INDS INC
1S0142	2/29/2000	1/31/2000	-	3,704	54.00	BP AMOCO PLC
1S0142	7/31/2002	4/4/2002	-	2,800	17.99	HEWLETT PACKARD CO
1S0142	7/31/2002	4/4/2002	-	2,500	38.04	QUALCOMM INC
1S0142	7/31/2002	4/4/2002	-	546	57.20	MORGAN STANLEY
1S0142	7/31/2002	4/4/2002	-	2,100	17.10	HALLIBURTON CO
1S0142	7/31/2002	4/4/2002	-	3,000	60.35	MICROSOFT CORP
1S0142	7/31/2002	4/4/2002	-	700	63.70	LEHMAN BROS HOLDING INC
1S0142	7/31/2002	4/4/2002	-	1,050	40.75	FLUOR CORP NEW
1S0142	7/31/2002	4/4/2002	-	1,050	17.42	MASSEY ENERGY CO
1S0144	8/31/1999	7/21/1999	-	15,600	38.00	PFIZER INC
1S0144	8/31/1999	7/22/1999	-	4,200	67.25	ALLIED SIGNAL INC
1S0144	12/31/1999	11/4/1999	-	1,400	74.50	HEWLETT PACKARD CO
1S0144	12/31/1999	11/4/1999	-	4,850	20.00	ADVANCED MICRO DEVICES
1S0144	2/29/2000	1/6/2000	-	1,400	118.50	HEWLETT PACKARD CO
1S0144	2/29/2000	1/6/2000	273	-	136.00	MORGAN STANLEY DEAN WITTER CO
1S0144	2/29/2000	1/6/2000	-	375	8.00	CONSOLIDATED FREIGHTWAYS CORP
1S0144	2/29/2000	1/6/2000	-	350	84.50	LEHMAN BROS HOLDING INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1S0144	2/29/2000	1/7/2000	15,600	-	31.00	PFIZER INC
1S0144	2/29/2000	1/10/2000	350	-	70.13	LEHMAN BROS HOLDING INC
1S0144	2/29/2000	1/10/2000	4,850	-	28.50	ADVANCED MICRO DEVICES
1S0144	2/29/2000	1/10/2000	-	367	27.38	COMPAQ COMPUTER CORP
1S0144	2/29/2000	1/10/2000	-	5,000	52.00	GATEWAY INC
1S0144	2/29/2000	1/11/2000	1,400	-	102.06	HEWLETT PACKARD CO
1S0144	2/29/2000	1/12/2000	-	1,050	48.25	FLUOR CORP
1S0144	2/29/2000	1/13/2000	-	105	10.38	HIGHLANDS INSURANCE GROUP
1S0144	2/29/2000	1/20/2000	-	15,600	37.38	PFIZER INC
1S0144	2/29/2000	1/21/2000	-	273	138.50	MORGAN STANLEY DEAN WITTER CO
1S0144	2/29/2000	1/25/2000	-	4,850	44.88	ADVANCED MICRO DEVICES
1S0144	2/29/2000	1/27/2000	-	2,100	43.25	HALLIBURTON CO
1S0144	2/29/2000	1/28/2000	-	1,500	55.75	PPG INDS INC
1S0144	2/29/2000	1/31/2000	-	3,704	54.00	BP AMOCO PLC
1S0144	7/31/2002	4/4/2002	-	546	57.20	MORGAN STANLEY
1S0144	7/31/2002	4/4/2002	-	700	63.70	LEHMAN BROS HOLDING INC
1S0144	7/31/2002	4/4/2002	-	2,800	17.99	HEWLETT PACKARD CO
1S0144	7/31/2002	4/4/2002	-	3,000	60.35	MICROSOFT CORP
1S0144	7/31/2002	4/4/2002	-	7,400	42.90	INTERNATIONAL PAPER CO
1S0144	7/31/2002	4/4/2002	-	9,000	18.24	CIRCUIT CITY STORES INC
1S0144	7/31/2002	4/4/2002	-	15,600	39.99	PFIZER INC
1S0144	7/31/2002	4/4/2002	-	1,050	17.22	MASSEY ENERGY CO
1S0144	7/31/2002	4/4/2002	-	2,100	17.10	HALLIBURTON CO
1S0144	7/31/2002	4/4/2002	-	1,050	40.75	FLUOR CORP NEW
1S0146	6/30/1999	5/26/1999	1,500,000	-	99.66	U S TREASURY BILL
1S0146	6/30/1999	5/26/1999	-	1,500,000	98.66	U S TREASURY BILL
1S0146	8/31/2002	7/31/2002	-	1,425,000	99.48	U S TREASURY BILL
1S0146	8/31/2002	7/31/2002	-	1,425,000	99.54	U S TREASURY BILL
1S0146	8/31/2002	7/31/2002	1,425,000	-	99.48	U S TREASURY BILL
1S0146	8/31/2002	7/31/2002	1,425,000	-	99.54	U S TREASURY BILL
1S0147	2/29/2000	1/6/2000	-	27,683	78.06	DAIMLER CHRYSLER AG
1S0147	2/29/2000	1/6/2000	-	5,960	84.50	LEHMAN BROS HOLDING INC
1S0147	2/29/2000	1/6/2000	-	8,000	24.56	GEORGIA PACIFIC TIMBER GROUP
1S0147	2/29/2000	1/7/2000	-	4,600	7.25	CONSOLIDATED FREIGHTWAYS CORP
1S0147	3/31/2000	1/11/2000	3,562	-	32.00	TRANSOCEAN SEDCO FOREX INC
1S0147	2/29/2000	1/12/2000	-	16,000	51.56	GEORGIA PACIFIC GROUP
1S0147	2/29/2000	1/12/2000	-	50,000	96.63	JOHNSON & JOHNSON
1S0147	2/29/2000	1/12/2000	-	97,000	72.75	PHELPS DODGE CORP
1S0147	2/29/2000	1/12/2000	-	62,000	96.69	JOHNSON & JOHNSON
1S0147	2/29/2000	1/19/2000	-	12,792	25.25	ALLSTATE
1S0147	2/29/2000	1/19/2000	-	18,400	64.88	PPG INDS INC
1S0147	2/29/2000	1/19/2000	-	70,000	57.50	CHUBB CORP
1S0147	2/29/2000	1/20/2000	-	40,000	37.19	PFIZER INC
1S0147	2/29/2000	1/20/2000	-	57,400	57.50	CHUBB CORP
1S0147	2/29/2000	1/20/2000	-	62,000	37.38	PFIZER INC
1S0147	2/29/2000	1/20/2000	-	45,000	37.25	PFIZER INC
1S0147	2/29/2000	1/20/2000	-	20,800	37.13	PFIZER INC
1S0147	2/29/2000	1/20/2000	-	58,440	57.50	CHUBB CORP
1S0147	2/29/2000	1/20/2000	-	53,000	37.31	PFIZER INC
1S0147	2/29/2000	1/21/2000	-	9,200	34.06	CNF TRANSPORTATION INC
1S0147	2/29/2000	1/24/2000	-	67,600	47.75	BOEING CO
1S0147	2/29/2000	1/24/2000	-	33,800	47.75	BOEING CO
1S0147	2/29/2000	1/25/2000	-	6,900	34.19	SEARS ROEBUCK & CO
1S0147	2/29/2000	1/26/2000	-	18,400	67.38	SCHLUMBERGER LTD
1S0147	2/29/2000	1/27/2000	-	16,000	71.56	GTE CORP
1S0147	2/29/2000	1/31/2000	-	42,300	54.00	NATIONAL SEMICONDUCTOR CORP
1S0147	2/29/2000	1/31/2000	-	31,200	78.75	THE CHASE MANHATTAN CORP NEW
1S0147	2/29/2000	1/31/2000	-	30,000	78.75	THE CHASE MANHATTAN CORP NEW
1S0165	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0165	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0165	8/31/2002	7/31/2002	1,700,000	-	99.54	U S TREASURY BILL
1S0165	8/31/2002	7/31/2002	-	1,700,000	99.48	U S TREASURY BILL
1S0165	8/31/2002	7/31/2002	1,700,000	-	99.48	U S TREASURY BILL
1S0165	8/31/2002	7/31/2002	-	1,700,000	99.54	U S TREASURY BILL
1S0166	6/30/1999	5/26/1999	-	150,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1S0166	6/30/1999	5/26/1999	150,000	-	99.66	U S TREASURY BILL
1S0166	8/31/2002	7/31/2002	1,575,000	-	99.54	U S TREASURY BILL
1S0166	8/31/2002	7/31/2002	1,575,000	-	99.48	U S TREASURY BILL
1S0166	8/31/2002	7/31/2002	-	1,575,000	99.54	U S TREASURY BILL
1S0166	8/31/2002	7/31/2002	-	1,575,000	99.48	U S TREASURY BILL
1S0176	6/30/1999	5/26/1999	-	1,400,000	98.66	U S TREASURY BILL
1S0176	6/30/1999	5/26/1999	1,400,000	-	99.66	U S TREASURY BILL
1S0183	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0183	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0188	2/28/2002	1/8/2002	-	100,000	14.70	WORLDCOM GROUP
1S0188	2/28/2002	1/8/2002	-	100,000	18.75	TIME WARNER TELECOM CL A
1S0197	6/30/1997	5/2/1997	200	-	81.00	COMPAQ COMPUTER CORP
1S0197	9/30/2002	6/3/2002	456	-	22.03	TYCO INTERNATIONAL LTD
1S0197	9/30/2002	6/3/2002	-	456	22.03	TYCO INTERNATIONAL LTD
1S0197	11/30/2006	10/2/2006	536	-	1.00	FIDELITY SPARTAN
1S0197	11/30/2006	10/2/2006	-	554	53.62	BANK OF AMERICA
1S0201	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1S0201	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1S0201	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1S0201	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1S0211	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0211	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0215	8/31/2002	7/31/2002	1,600,000	-	99.48	U S TREASURY BILL
1S0215	8/31/2002	7/31/2002	1,600,000	-	99.54	U S TREASURY BILL
1S0215	8/31/2002	7/31/2002	-	1,600,000	99.48	U S TREASURY BILL
1S0215	8/31/2002	7/31/2002	-	1,600,000	99.54	U S TREASURY BILL
1S0216	6/30/1999	5/26/1999	-	225,000	98.66	U S TREASURY BILL
1S0216	6/30/1999	5/26/1999	225,000	-	99.66	U S TREASURY BILL
1S0217	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0217	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0222	8/31/2002	7/31/2002	1,625,000	-	99.48	U S TREASURY BILL
1S0222	8/31/2002	7/31/2002	-	1,625,000	99.48	U S TREASURY BILL
1S0222	8/31/2002	7/31/2002	-	1,625,000	99.54	U S TREASURY BILL
1S0222	8/31/2002	7/31/2002	1,625,000	-	99.54	U S TREASURY BILL
1S0225	8/31/2002	7/31/2002	1,350,000	-	99.48	U S TREASURY BILL
1S0225	8/31/2002	7/31/2002	-	1,350,000	99.48	U S TREASURY BILL
1S0225	8/31/2002	7/31/2002	-	1,350,000	99.54	U S TREASURY BILL
1S0225	8/31/2002	7/31/2002	1,350,000	-	99.54	U S TREASURY BILL
1S0231	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0231	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0255	6/30/1999	5/26/1999	2,025,000	-	99.66	U S TREASURY BILL
1S0255	6/30/1999	5/26/1999	-	2,025,000	98.66	U S TREASURY BILL
1S0255	8/31/2002	7/31/2002	-	2,150,000	99.48	U S TREASURY BILL
1S0255	8/31/2002	7/31/2002	-	2,150,000	99.54	U S TREASURY BILL
1S0255	8/31/2002	7/31/2002	2,150,000	-	99.48	U S TREASURY BILL
1S0255	8/31/2002	7/31/2002	2,150,000	-	99.54	U S TREASURY BILL
1S0272	6/30/1999	5/26/1999	-	2,575,000	98.66	U S TREASURY BILL
1S0272	6/30/1999	5/26/1999	2,575,000	-	99.66	U S TREASURY BILL
1S0272	8/31/2002	7/31/2002	-	3,550,000	99.48	U S TREASURY BILL
1S0272	8/31/2002	7/31/2002	-	3,550,000	99.54	U S TREASURY BILL
1S0272	8/31/2002	7/31/2002	3,550,000	-	99.48	U S TREASURY BILL
1S0272	8/31/2002	7/31/2002	3,550,000	-	99.54	U S TREASURY BILL
1S0363	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0363	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0374	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0374	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0374	8/31/2002	7/31/2002	-	1,650,000	99.54	U S TREASURY BILL
1S0374	8/31/2002	7/31/2002	1,650,000	-	99.48	U S TREASURY BILL
1S0374	8/31/2002	7/31/2002	1,650,000	-	99.54	U S TREASURY BILL
1S0374	8/31/2002	7/31/2002	-	1,650,000	99.48	U S TREASURY BILL
1S0376	8/31/2002	7/31/2002	2,150,000	-	99.48	U S TREASURY BILL
1S0376	8/31/2002	7/31/2002	-	2,150,000	99.54	U S TREASURY BILL
1S0376	8/31/2002	7/31/2002	2,150,000	-	99.54	U S TREASURY BILL
1S0376	8/31/2002	7/31/2002	-	2,150,000	99.48	U S TREASURY BILL
1S0377	6/30/1999	5/26/1999	-	1,475,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1S0377	6/30/1999	5/26/1999	1,475,000	-	99.66	U S TREASURY BILL
1S0382	6/30/1999	5/26/1999	1,750,000	-	99.66	U S TREASURY BILL
1S0382	6/30/1999	5/26/1999	-	1,750,000	98.66	U S TREASURY BILL
1S0382	8/31/2002	7/31/2002	2,200,000	-	99.48	U S TREASURY BILL
1S0382	8/31/2002	7/31/2002	-	2,200,000	99.54	U S TREASURY BILL
1S0382	8/31/2002	7/31/2002	-	2,200,000	99.48	U S TREASURY BILL
1S0382	8/31/2002	7/31/2002	2,200,000	-	99.54	U S TREASURY BILL
1S0389	3/31/1999	1/6/1999	2,500,000	-	98.63	U S TREASURY BILL
1S0389	3/31/1999	1/13/1999	35,000	-	98.73	U S TREASURY BILL
1S0389	3/31/1999	1/22/1999	-	2,535,000	98.83	U S TREASURY BILL
1S0389	3/31/1999	1/25/1999	252	-	98.88	TEXAS INSTRUMENTS INC
1S0389	3/31/1999	1/25/1999	336	-	56.38	PHARMACIA & UPJOHN INC
1S0389	3/31/1999	1/25/1999	630	-	193.50	INTERNATIONAL BUSINESS MACHS
1S0389	3/31/1999	1/25/1999	840	-	100.75	AMERICAN INTL GROUP INC
1S0389	3/31/1999	1/25/1999	1,050	-	105.38	CISCO SYSTEMS INC
1S0389	3/31/1999	1/25/1999	1,386	-	36.13	THE WALT DISNEY CO
1S0389	3/31/1999	1/25/1999	1,680	-	63.44	COCA COLA CO
1S0389	3/31/1999	1/25/1999	462	-	77.75	MCDONALDS CORP
1S0389	3/31/1999	1/25/1999	672	-	51.00	ORACLE CORPORATION
1S0389	3/31/1999	1/25/1999	756	-	68.75	AMERITECH CORP
1S0389	3/31/1999	1/25/1999	840	-	147.75	MERCK & CO
1S0389	3/31/1999	1/25/1999	1,092	-	57.00	BELL ATLANTIC CORP
1S0389	3/31/1999	1/25/1999	2,268	-	100.00	GENERAL ELECTRIC CO
1S0389	3/31/1999	1/25/1999	294	-	101.50	AMERICAN EXPRESS COMPANY
1S0389	3/31/1999	1/25/1999	672	-	122.13	BRISTOL MYERS SQUIBB COMPANY
1S0389	3/31/1999	1/25/1999	714	-	71.63	HEWLETT PACKARD CO
1S0389	3/31/1999	1/25/1999	798	-	64.69	FORD MOTOR COMPANY
1S0389	3/31/1999	1/25/1999	1,218	-	64.00	BANKAMERICA CORP NEW
1S0389	3/31/1999	1/25/1999	1,218	-	91.75	AT & T CORP
1S0389	3/31/1999	1/25/1999	1,554	-	79.75	WAL-MART STORES INC
1S0389	3/31/1999	1/25/1999	462	-	89.00	GENERAL MOTORS CORP
1S0389	3/31/1999	1/25/1999	798	-	53.25	BANC ONE CORP
1S0389	3/31/1999	1/25/1999	924	-	84.75	PROCTER & GAMBLE CO
1S0389	3/31/1999	1/25/1999	1,008	-	38.44	PEPSICO INC
1S0389	3/31/1999	1/25/1999	1,092	-	36.88	WELLS FARGO & CO NEW
1S0389	3/31/1999	1/25/1999	1,134	-	138.00	INTEL CORP
1S0389	3/31/1999	1/25/1999	672	-	33.63	BOEING CO
1S0389	3/31/1999	1/25/1999	714	-	71.00	EXXON CORP
1S0389	3/31/1999	1/25/1999	1,680	-	162.88	MICROSOFT CORP
1S0389	3/31/1999	1/25/1999	798	-	54.50	DU PONT E I DE NEMOURS & CO
1S0389	3/31/1999	1/25/1999	924	-	80.25	JOHNSON & JOHNSON
1S0389	3/31/1999	1/25/1999	1,554	-	52.88	CITI GROUP INC
1S0389	3/31/1999	2/17/1999	15,000	-	99.21	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	-	672	35.75	BOEING CO
1S0389	3/31/1999	2/24/1999	-	672	53.50	ORACLE CORPORATION
1S0389	3/31/1999	2/24/1999	-	798	51.81	BANC ONE CORP
1S0389	3/31/1999	2/24/1999	-	294	104.25	AMERICAN EXPRESS COMPANY
1S0389	3/31/1999	2/24/1999	-	798	59.50	FORD MOTOR COMPANY
1S0389	3/31/1999	2/24/1999	-	1,092	36.81	WELLS FARGO & CO NEW
1S0389	3/31/1999	2/24/1999	-	1,218	86.75	AT & T CORP
1S0389	3/31/1999	2/24/1999	-	1,554	85.13	WAL-MART STORES INC
1S0389	3/31/1999	2/24/1999	-	1,680	148.75	MICROSOFT CORP
1S0389	3/31/1999	2/24/1999	-	15,000	99.27	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	375,000	-	98.84	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	375,000	-	99.02	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	-	672	125.81	BRISTOL MYERS SQUIBB COMPANY
1S0389	3/31/1999	2/24/1999	-	840	117.50	AMERICAN INTL GROUP INC
1S0389	3/31/1999	2/24/1999	-	1,680	65.31	COCA COLA CO
1S0389	3/31/1999	2/24/1999	-	756	64.25	AMERITECH CORP
1S0389	3/31/1999	2/24/1999	-	252	94.75	TEXAS INSTRUMENTS INC
1S0389	3/31/1999	2/24/1999	-	462	85.25	MCDONALDS CORP
1S0389	3/31/1999	2/24/1999	-	714	69.00	HEWLETT PACKARD CO
1S0389	3/31/1999	2/24/1999	-	924	86.31	JOHNSON & JOHNSON
1S0389	3/31/1999	2/24/1999	-	1,386	34.13	THE WALT DISNEY CO
1S0389	3/31/1999	2/24/1999	-	336	54.00	PHARMACIA & UPJOHN INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1S0389	3/31/1999	2/24/1999	-	924	91.50	PROCTER & GAMBLE CO
1S0389	3/31/1999	2/24/1999	-	2,268	101.13	GENERAL ELECTRIC CO
1S0389	3/31/1999	2/24/1999	325,000	-	98.84	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	375,000	-	98.93	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	375,000	-	99.11	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	-	462	85.75	GENERAL MOTORS CORP
1S0389	3/31/1999	2/24/1999	-	630	174.38	INTERNATIONAL BUSINESS MACHS
1S0389	3/31/1999	2/24/1999	-	798	52.69	DU PONT E I DE NEMOURS & CO
1S0389	3/31/1999	2/24/1999	-	1,008	39.25	PEPSICO INC
1S0389	3/31/1999	2/24/1999	-	1,050	97.25	CISCO SYSTEMS INC
1S0389	3/31/1999	2/24/1999	-	1,134	130.25	INTEL CORP
1S0389	3/31/1999	2/24/1999	-	1,218	65.88	BANKAMERICA CORP NEW
1S0389	3/31/1999	2/24/1999	-	1,554	54.69	CITI GROUP INC
1S0389	3/31/1999	2/24/1999	-	1,680	79.13	MERCK & CO
1S0389	3/31/1999	2/24/1999	375,000	-	98.75	U S TREASURY BILL
1S0389	3/31/1999	2/24/1999	-	714	68.56	EXXON CORP
1S0389	3/31/1999	2/24/1999	-	1,092	58.50	BELL ATLANTIC CORP
1S0389	3/31/1999	2/24/1999	375,000	-	98.67	U S TREASURY BILL
1S0391	11/30/1999	9/16/1999	2,440	-	1.00	FIDELITY SPARTAN
1S0391	11/30/1999	9/16/1999	100,000	-	97.56	U S TREASURY BILL
1S0392	8/31/2002	7/31/2002	-	1,900,000	99.48	U S TREASURY BILL
1S0392	8/31/2002	7/31/2002	1,900,000	-	99.54	U S TREASURY BILL
1S0392	8/31/2002	7/31/2002	-	1,900,000	99.54	U S TREASURY BILL
1S0392	8/31/2002	7/31/2002	1,900,000	-	99.48	U S TREASURY BILL
1S0394	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1S0394	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1S0396	6/30/1999	5/26/1999	1,475,000	-	99.66	U S TREASURY BILL
1S0396	6/30/1999	5/26/1999	-	1,475,000	98.66	U S TREASURY BILL
1S0413	8/31/2002	7/31/2002	1,625,000	-	99.54	U S TREASURY BILL
1S0413	8/31/2002	7/31/2002	-	1,625,000	99.54	U S TREASURY BILL
1S0413	8/31/2002	7/31/2002	1,625,000	-	99.48	U S TREASURY BILL
1S0413	8/31/2002	7/31/2002	-	1,625,000	99.48	U S TREASURY BILL
1S0434	8/31/2002	7/31/2002	2,850,000	-	99.54	U S TREASURY BILL
1S0434	8/31/2002	7/31/2002	-	2,850,000	99.54	U S TREASURY BILL
1S0434	8/31/2002	7/31/2002	-	2,850,000	99.48	U S TREASURY BILL
1S0434	8/31/2002	7/31/2002	2,850,000	-	99.48	U S TREASURY BILL
1S0443	8/31/2002	7/31/2002	1,550,000	-	99.54	U S TREASURY BILL
1S0443	8/31/2002	7/31/2002	1,550,000	-	99.48	U S TREASURY BILL
1S0443	8/31/2002	7/31/2002	-	1,550,000	99.54	U S TREASURY BILL
1S0443	8/31/2002	7/31/2002	-	1,550,000	99.48	U S TREASURY BILL
1S0447	8/31/2002	7/31/2002	-	3,500,000	99.54	U S TREASURY BILL
1S0447	8/31/2002	7/31/2002	-	3,500,000	99.48	U S TREASURY BILL
1S0447	8/31/2002	7/31/2002	3,500,000	-	99.48	U S TREASURY BILL
1S0447	8/31/2002	7/31/2002	3,500,000	-	99.54	U S TREASURY BILL
1S0449	8/31/2002	7/31/2002	1,350,000	-	99.48	U S TREASURY BILL
1S0449	8/31/2002	7/31/2002	-	1,350,000	99.54	U S TREASURY BILL
1S0472	12/31/2002	10/17/2002	225,000	-	0.60	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/17/2002	265,000	-	0.60	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/17/2002	275,000	-	0.63	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/17/2002	250,000	-	0.64	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/17/2002	260,000	-	0.66	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/17/2002	225,000	-	0.68	LUCENT TECHNOLOGIES INC
1S0472	12/31/2002	10/24/2002	200,000	-	0.70	NORTEL NETWORKS CORP HLDS CO
1S0472	12/31/2002	10/24/2002	200,000	-	0.78	NORTEL NETWORKS CORP HLDS CO
1S0472	12/31/2002	10/24/2002	300,000	-	0.74	NORTEL NETWORKS CORP HLDS CO
1S0472	12/31/2002	10/25/2002	110,000	-	0.79	NORTEL NETWORKS CORP HLDS CO
1S0472	12/31/2002	10/25/2002	270,000	-	0.78	NORTEL NETWORKS CORP HLDS CO
1S0472	12/31/2002	10/25/2002	270,000	-	0.80	NORTEL NETWORKS CORP HLDS CO
1SH001	4/30/1999	3/29/1999	-	150	164.13	MICROSOFT CORP
1SH001	4/30/1999	3/29/1999	300	-	82.06	MICROSOFT CORP
1SH001	4/30/2007	3/6/2007	125,000	-	20.80	MARVELL TECHNOLOGY GRP LTD
1SH001	4/30/2007	3/6/2007	75,000	-	20.77	MARVELL TECHNOLOGY GRP LTD
1SH001	9/30/2008	8/6/2008	50,000	-	116.75	PRICELINE COM INC
1SH001	9/30/2008	8/28/2008	-	100,000	57.50	PATRIOT COAL CORP
1SH001	10/31/2008	9/9/2008	-	50,000	93.50	PRICELINE COM INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH001	10/31/2008	9/9/2008	50,000	-	93.50	PRICELINE COM INC
1SH001	11/30/2008	10/3/2008	25,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH001	11/30/2008	10/3/2008	-	25,000	116.70	SPDR TRUST SER 1 UNITS
1SH005	1/31/1997	3/28/1997	-	78,000	37.00	COMPAQ COMPUTER CORP
1SH005	2/28/1998	1/15/1998	50,000	-	56.75	CINEPLEX O'DEON CORP
1SH005	2/28/1998	1/15/1998	50,000	-	56.75	COMPAQ COMPUTER CORP
1SH005	2/28/1998	1/15/1998	21,500	-	56.63	COMPAQ COMPUTER CORP
1SH005	2/28/1998	1/15/1998	35,750	-	56.75	CINEPLEX O'DEON CORP
1SH005	2/28/1998	1/15/1998	-	35,750	56.75	COMPAQ COMPUTER CORP
1SH005	2/28/1998	1/15/1998	-	35,750	56.75	CINEPLEX O'DEON CORP
1SH005	2/28/1998	1/15/1998	-	50,000	56.75	CINEPLEX O'DEON CORP
1SH005	9/30/1999	8/10/1999	32,000	-	68.00	SUN MICROSYSTEMS INC
1SH005	9/30/1999	8/24/1999	-	32,000	72.75	SUN MICROSYSTEMS INC
1SH005	10/31/2002	9/3/2002	46,000	-	58.21	PRAXAIR INC
1SH005	10/31/2002	9/3/2002	19,000	-	50.30	MICROSOFT CORP
1SH005	10/31/2002	9/3/2002	50,000	-	58.21	PRAXAIR INC
1SH005	10/31/2002	9/3/2002	54,000	-	58.21	PRAXAIR INC
1SH005	5/31/2003	4/23/2003	11,975,000	-	100.00	FED HM LN BK BD
1SH005	5/31/2003	4/23/2003	-	12,475,000	100.00	FED HM LN BK BD
1SH005	5/31/2003	4/30/2003	38,799	-	1.00	FIDELITY SPARTAN
1SH006	9/30/1999	8/10/1999	16,000	-	68.00	SUN MICROSYSTEMS INC
1SH006	9/30/1999	8/24/1999	-	16,000	72.75	SUN MICROSYSTEMS INC
1SH006	3/31/2002	2/1/2002	28,000	-	10.90	SUN MICROSYSTEMS INC
1SH006	10/31/2002	9/3/2002	9,700	-	50.30	MICROSOFT CORP
1SH007	4/30/2000	3/7/2000	55,000	-	139.00	PALM INC
1SH007	9/30/2000	8/1/2000	-	11,500	72.25	SCHLUMBERGER LTD
1SH007	9/30/2000	8/2/2000	-	6,000	28.13	COMPAQ COMPUTER CORP
1SH007	9/30/2000	8/2/2000	35,500	-	28.13	COMPAQ COMPUTER CORP
1SH007	10/31/2002	9/3/2002	-	9,700	50.30	MICROSOFT CORP
1SH009	9/30/1999	8/10/1999	20,000	-	68.00	SUN MICROSYSTEMS INC
1SH009	9/30/1999	8/24/1999	-	20,000	72.75	SUN MICROSYSTEMS INC
1SH009	3/31/2002	2/1/2002	40,000	-	10.90	SUN MICROSYSTEMS INC
1SH010	9/30/1999	8/10/1999	56,000	-	68.00	SUN MICROSYSTEMS INC
1SH010	9/30/1999	8/24/1999	-	56,000	72.75	SUN MICROSYSTEMS INC
1SH010	4/30/2000	3/7/2000	51,000	-	138.13	PALM INC
1SH010	10/31/2002	9/3/2002	9,700	-	50.30	MICROSOFT CORP
1SH011	7/31/1998	6/12/1998	-	57,000	86.00	MICROSOFT CORP
1SH011	2/28/1999	1/7/1999	31,000	-	140.00	MICROSOFT CORP
1SH011	3/31/2000	1/6/2000	39,960	-	54.63	MCI WORLDCOM INC
1SH011	7/31/2001	6/5/2001	-	29,248	14.75	ORACLE CORPORATION
1SH011	10/31/2008	9/4/2008	-	100,000	42.00	AETNA INC
1SH011	10/31/2008	9/4/2008	100,000	-	42.00	AETNA INC
1SH011	10/31/2008	9/5/2008	-	28,000	43.12	AETNA INC
1SH011	10/31/2008	9/5/2008	28,000	-	43.12	AETNA INC
1SH011	10/31/2008	9/5/2008	-	100,000	43.25	AETNA INC
1SH011	10/31/2008	9/5/2008	100,000	-	43.25	AETNA INC
1SH011	10/31/2008	9/8/2008	-	90,000	43.00	AETNA INC
1SH011	10/31/2008	9/8/2008	-	50	43.00	AETNA INC
1SH011	10/31/2008	9/8/2008	90,000	-	43.00	AETNA INC
1SH011	11/30/2008	10/2/2008	-	75,000	39.99	POWERSHARES QQQ TRUST
1SH011	11/30/2008	10/2/2008	75,000	-	39.99	POWERSHARES QQQ TRUST
1SH011	11/30/2008	10/24/2008	-	75,000	31.59	POWERSHARES QQQ TRUST
1SH011	11/30/2008	10/24/2008	75,000	-	31.59	POWERSHARES QQQ TRUST
1SH012	8/31/2003	7/10/2003	27,000	-	28.42	BROADCOM CORP CL A
1SH012	8/31/2003	7/10/2003	75,000	-	28.30	BROADCOM CORP CL A
1SH013	8/31/2003	7/10/2003	35,000	-	28.42	BROADCOM CORP CL A
1SH013	8/31/2003	7/10/2003	89,000	-	28.30	BROADCOM CORP CL A
1SH014	12/31/2002	1/14/2002	-	2,720	69.52	LEHMAN BROS HOLDING INC
1SH014	12/31/2002	1/14/2002	-	23,400	32.38	AOL TIME WARNER INC
1SH014	12/31/2002	1/14/2002	-	80,000	25.06	NETWORK APPLIANCE INC
1SH014	12/31/2002	1/14/2002	-	125,000	25.10	NETWORK APPLIANCE INC
1SH014	12/31/2002	1/14/2002	-	57,000	49.07	QUALCOMM INC
1SH014	12/31/2002	1/14/2002	-	90,000	25.19	NETWORK APPLIANCE INC
1SH014	12/31/2002	1/15/2002	-	85,000	51.12	BROADCOM CORP CL A
1SH014	12/31/2002	1/15/2002	-	30,000	51.03	BROADCOM CORP CL A

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH014	12/31/2002	1/15/2002	-	95,000	29.70	CIRCUIT CITY STORES INC
1SH014	12/31/2002	1/15/2002	-	75,000	29.62	CIRCUIT CITY STORES INC
1SH014	12/31/2002	1/16/2002	-	28,000	58.19	MERRILL LYNCH & CO INC
1SH014	12/31/2002	1/16/2002	-	80,000	28.75	CIRCUIT CITY STORES INC
1SH014	12/31/2002	1/31/2002	95,000	-	37.44	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	95,000	37.44	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	80,000	37.19	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	80,000	37.30	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	90,000	37.06	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	80,000	37.19	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	90,000	37.06	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	80,000	37.30	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	80,000	-	37.19	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	80,000	-	37.30	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	90,000	-	37.06	SIEBEL SYSTEMS INC
1SH014	12/31/2002	1/31/2002	-	95,000	37.44	SIEBEL SYSTEMS INC
1SH014	12/31/2002	2/5/2002	-	80,000	25.55	ALTERA CORP
1SH014	12/31/2002	3/7/2002	-	6,850	30.99	PLUM CREEK TIMBER CO
1SH014	12/31/2002	3/11/2002	-	75,000	62.33	CENTEX CORP
1SH014	12/31/2002	3/11/2002	-	32,000	61.07	SCHLUMBERGER LTD
1SH014	12/31/2002	3/11/2002	-	50,000	61.22	SCHLUMBERGER LTD
1SH014	12/31/2002	3/13/2002	-	30,000	7.28	UNOVA INC
1SH014	12/31/2002	3/15/2002	84,800	-	41.31	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/15/2002	-	70,000	41.16	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/15/2002	-	84,800	41.31	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/15/2002	-	70,000	41.16	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/15/2002	70,000	-	41.16	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/15/2002	-	18,000	63.21	MERCK & CO
1SH014	12/31/2002	3/15/2002	-	84,800	41.31	GENERAL ELECTRIC CO
1SH014	12/31/2002	3/22/2002	-	12,000	65.55	JOHNSON & JOHNSON
1SH014	12/31/2002	3/22/2002	-	81,000	39.39	BAKER HUGHES INC
1SH014	12/31/2002	4/3/2002	-	13,232	53.35	BP PLC
1SH014	12/31/2002	4/18/2002	-	45,000	40.63	HONEYWELL INTERNATIONAL INC
1SH014	12/31/2002	4/24/2002	-	26,000	33.15	ABERCROMBIE & FITCH CO
1SH014	12/31/2002	4/25/2002	-	10,000	31.24	GEORGIA PACIFIC CORP COMMON
1SH014	12/31/2002	5/7/2002	-	12,000	42.98	HEINZ H J CO
1SH014	12/31/2002	5/17/2002	-	20,400	44.35	AMERICAN EXPRESS COMPANY
1SH014	12/31/2002	5/22/2002	-	11,994	76.81	BANK OF AMERICA
1SH014	12/31/2002	5/22/2002	-	82,000	41.99	ADOBE SYS INC
1SH014	12/31/2002	5/30/2002	-	15,875	38.72	TRANSOCEAN INC
1SH014	12/31/2002	6/10/2002	-	90,000	19.00	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	-	80,000	19.06	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	-	80,000	19.15	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	95,000	-	19.22	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	-	95,000	19.22	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	80,000	-	19.06	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	80,000	-	19.15	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/10/2002	90,000	-	19.00	SIEBEL SYSTEMS INC
1SH014	12/31/2002	6/26/2002	-	27,858	129.59	NORTHROP GRUMMAN CORP
1SH014	12/31/2002	7/2/2002	-	75,000	37.12	CNF INC
1SH014	12/31/2002	9/5/2002	-	70,000	30.29	GENERAL ELECTRIC CO
1SH014	12/31/2002	9/5/2002	-	84,800	30.31	GENERAL ELECTRIC CO
1SH014	12/31/2002	9/5/2002	70,000	-	30.29	GENERAL ELECTRIC CO
1SH014	12/31/2002	9/5/2002	84,800	-	30.31	GENERAL ELECTRIC CO
1SH014	2/28/2003	1/31/2003	1,340	-	1.00	FIDELITY SPARTAN
1SH014	2/28/2003	1/31/2003	-	4,665	1.00	FIDELITY SPARTAN
1SH014	11/30/2003	7/24/2003	-	120,000	7.12	ADVANCED MICRO DEVICES
1SH014	11/30/2003	7/24/2003	-	150,000	7.15	ADVANCED MICRO DEVICES
1SH014	7/31/2007	5/1/2007	-	150,000	46.75	AETNA INC
1SH014	7/31/2007	5/1/2007	-	135,000	46.79	AETNA INC
1SH014	7/31/2007	5/2/2007	-	110,000	46.82	AETNA INC
1SH014	7/31/2007	5/2/2007	-	120,000	46.88	AETNA INC
1SH014	7/31/2007	5/4/2007	-	160,000	46.99	AETNA INC
1SH014	7/31/2007	5/4/2007	-	141,000	47.07	AETNA INC
1SH014	10/31/2008	9/23/2008	5,000	-	38.12	AETNA INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH014	10/31/2008	9/23/2008	-	5,000	38.12	AETNA INC
1SH014	11/30/2008	10/1/2008	-	65,000	120.98	SPDR TRUST SER 1 UNITS
1SH014	11/30/2008	10/1/2008	65,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH016	4/30/2000	3/7/2000	60,000	-	139.00	PALM INC
1SH018	10/31/2002	9/3/2002	4,000	-	50.30	MICROSOFT CORP
1SH019	9/30/1999	8/10/1999	56,000	-	68.00	SUN MICROSYSTEMS INC
1SH019	9/30/1999	8/24/1999	-	56,000	72.75	SUN MICROSYSTEMS INC
1SH019	4/30/2000	3/7/2000	59,000	-	138.25	PALM INC
1SH019	4/30/2000	3/7/2000	60,000	-	139.00	PALM INC
1SH020	5/31/2002	4/2/2002	-	60,000	37.62	GENERAL ELECTRIC CO
1SH020	10/31/2002	9/3/2002	-	46,000	58.21	PRAXAIR INC
1SH020	10/31/2002	9/3/2002	-	50,000	58.21	PRAXAIR INC
1SH020	10/31/2002	9/3/2002	-	54,000	58.21	PRAXAIR INC
1SH020	5/31/2003	4/23/2003	11,975,000	-	100.00	FED HM LN BK BD
1SH020	5/31/2003	4/23/2003	-	12,475,000	100.00	FED HM LN BK BD
1SH020	5/31/2003	4/30/2003	38,799	-	1.00	FIDELITY SPARTAN
1SH020	8/31/2007	7/19/2007	13,350,000	-	97.83	U S TREASURY BILL
1SH020	8/31/2007	7/31/2007	-	13,063,238	1.00	FIDELITY SPARTAN
1SH020	8/31/2007	7/31/2007	3,333	-	1.00	FIDELITY SPARTAN
1SH021	4/30/1996	1/16/1996	-	-	-	DIV ADJUSTMENT
1SH021	7/31/1999	6/4/1999	-	30,000	27.25	BANK OF AMERICA
1SH021	7/31/1999	6/4/1999	30,000	-	27.25	LAM RESEARCH CORP
1SH021	7/31/1999	6/29/1999	30,000	-	41.00	BANK OF AMERICA
1SH021	7/31/1999	6/29/1999	-	30,000	41.00	LAM RESEARCH CORP
1SH021	2/29/2000	1/6/2000	-	36,800	118.38	MICROSOFT CORP
1SH021	2/29/2000	1/6/2000	-	72,000	118.25	MICROSOFT CORP
1SH021	2/29/2000	1/6/2000	-	36,000	118.31	MICROSOFT CORP
1SH021	6/30/2000	5/4/2000	-	50,000	46.94	NIKE INC
1SH021	6/30/2000	5/4/2000	60,000	-	46.94	NIKE INC
1SH021	12/31/2002	11/27/2002	-	80,000	38.60	R J REYNOLDS TOBACCO HLDS
1SH021	12/31/2002	11/27/2002	16,000	-	38.60	R J REYNOLDS TOBACCO HLDS
1SH021	12/31/2003	11/5/2003	40,000	-	29.57	PRICELINE COM INC
1SH021	12/31/2003	11/5/2003	40,000	-	29.57	PRICELINE COM INC
1SH021	8/31/2007	7/18/2007	30,800	-	73.54	MORGAN STANLEY
1SH021	9/30/2008	8/29/2008	95,000	-	28.73	WELLS FARGO & CO NEW
1SH021	9/30/2008	8/29/2008	105,000	-	29.00	WELLS FARGO & CO NEW
1SH021	11/30/2008	10/1/2008	-	95,000	120.98	SPDR TRUST SER 1 UNITS
1SH021	11/30/2008	10/1/2008	95,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH021	11/30/2008	10/3/2008	-	95,000	116.70	SPDR TRUST SER 1 UNITS
1SH021	11/30/2008	10/3/2008	95,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH021	11/30/2008	10/6/2008	-	90,000	38.29	POWERSHARES QQQ TRUST
1SH021	11/30/2008	10/6/2008	90,000	-	38.29	POWERSHARES QQQ TRUST
1SH021	11/30/2008	10/7/2008	-	75,000	38.12	POWERSHARES QQQ TRUST
1SH021	11/30/2008	10/7/2008	75,000	-	38.12	POWERSHARES QQQ TRUST
1SH022	7/31/1998	6/4/1998	-	49,600	83.50	MICROSOFT CORP
1SH022	9/30/1999	8/10/1999	30,000	-	68.06	SUN MICROSYSTEMS INC
1SH022	9/30/1999	8/10/1999	42,000	-	68.00	SUN MICROSYSTEMS INC
1SH022	9/30/1999	8/24/1999	-	30,000	72.81	SUN MICROSYSTEMS INC
1SH022	9/30/1999	8/24/1999	-	42,000	72.75	SUN MICROSYSTEMS INC
1SH022	4/30/2000	3/7/2000	53,000	-	138.00	PALM INC
1SH022	4/30/2000	3/7/2000	71,000	-	140.00	PALM INC
1SH022	4/30/2000	3/7/2000	60,000	-	140.06	PALM INC
1SH024	12/31/2002	1/8/2002	-	1,750	21.00	MASSEY ENERGY CO
1SH024	12/31/2002	1/14/2002	-	85,000	36.50	INTEL CORP
1SH024	12/31/2002	1/14/2002	-	45,000	49.11	QUALCOMM INC
1SH024	12/31/2002	1/14/2002	-	95,000	17.76	EMC CORPORATION MASS
1SH024	12/31/2002	1/15/2002	-	70,000	29.70	CIRCUIT CITY STORES INC
1SH024	12/31/2002	1/15/2002	-	75,000	51.12	BROADCOM CORP CL A
1SH024	12/31/2002	1/16/2002	-	40,000	28.89	CIRCUIT CITY STORES INC
1SH024	12/31/2002	1/24/2002	-	2,132	49.59	VERIZON COMMUNICATIONS
1SH024	12/31/2002	1/31/2002	-	30,375	38.33	COMPUTER ASSOC INTL INC
1SH024	12/31/2002	1/31/2002	16,500	-	37.44	SIEBEL SYSTEMS INC
1SH024	12/31/2002	1/31/2002	-	16,500	37.44	SIEBEL SYSTEMS INC
1SH024	12/31/2002	2/11/2002	-	4,548	11.99	COMPAQ COMPUTER CORP
1SH024	12/31/2002	3/7/2002	-	980	30.99	PLUM CREEK TIMBER CO

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH024	12/31/2002	3/8/2002	-	6,000	39.27	MICRON TECHNOLOGY INC
1SH024	12/31/2002	3/12/2002	-	70,000	18.06	LSI LOGIC CORP
1SH024	12/31/2002	3/15/2002	40,800	-	41.31	GENERAL ELECTRIC CO
1SH024	12/31/2002	3/15/2002	-	40,800	41.31	GENERAL ELECTRIC CO
1SH024	12/31/2002	3/19/2002	-	3,750	49.06	BOEING CO
1SH024	12/31/2002	3/26/2002	-	1,540	90.63	CHEVRONTEXACO CORP
1SH024	12/31/2002	3/26/2002	-	5,432	48.06	CHIRON CORP
1SH024	12/31/2002	4/3/2002	-	8,866	53.35	BP PLC
1SH024	12/31/2002	4/15/2002	-	337	48.98	EASTMAN CHEMICAL CO
1SH024	12/31/2002	4/15/2002	-	13,200	83.07	FANNIE MAE
1SH024	12/31/2002	4/18/2002	-	13,400	40.63	HONEYWELL INTERNATIONAL INC
1SH024	12/31/2002	4/22/2002	-	1,750	44.24	FLUOR CORP NEW
1SH024	12/31/2002	4/25/2002	-	1,432	31.24	GEORGIA PACIFIC CORP COMMON
1SH024	12/31/2002	5/7/2002	-	2,599	42.98	HEINZ H J CO
1SH024	12/31/2002	5/22/2002	-	3,695	76.81	BANK OF AMERICA
1SH024	12/31/2002	6/10/2002	-	16,500	19.22	SIEBEL SYSTEMS INC
1SH024	12/31/2002	6/28/2002	-	5,000	93.33	PROCTER & GAMBLE CO
1SH024	12/31/2002	7/16/2002	-	2,000	60.50	PPG INDS INC
1SH024	12/31/2002	7/31/2002	-	6,466	78.77	HERSHEY FOODS CORP
1SH024	12/31/2002	9/5/2002	-	40,800	30.29	GENERAL ELECTRIC CO
1SH024	10/31/2003	4/4/2003	20,000	-	25.80	BEST BUY CO INC
1SH024	10/31/2003	4/4/2003	40,000	-	25.95	BEST BUY CO INC
1SH024	10/31/2003	4/15/2003	50,000	-	19.99	MARVELL TECHNOLOGY GRP LTD
1SH024	10/31/2003	4/15/2003	75,000	-	20.08	MARVELL TECHNOLOGY GRP LTD
1SH024	10/31/2003	7/1/2003	50,000	-	27.70	EASTMAN KODAK CO
1SH024	10/31/2003	7/8/2003	45,000	-	61.94	MERCK & CO
1SH024	10/31/2003	7/8/2003	35,000	-	61.91	MERCK & CO
1SH024	11/30/2003	7/25/2003	-	75,000	7.22	ADVANCED MICRO DEVICES
1SH024	11/30/2003	7/25/2003	-	100,000	7.25	ADVANCED MICRO DEVICES
1SH024	10/31/2003	9/5/2003	95,000	-	39.20	PRICELINE COM INC
1SH024	11/30/2008	10/1/2008	-	65,000	120.98	SPDR TRUST SER 1 UNITS
1SH024	11/30/2008	10/1/2008	65,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH024	11/30/2008	10/3/2008	20,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH024	11/30/2008	10/3/2008	-	20,000	116.70	SPDR TRUST SER 1 UNITS
1SH024	11/30/2008	10/6/2008	-	45,000	38.29	POWERSHARES QQQ TRUST
1SH024	11/30/2008	10/6/2008	45,000	-	38.29	POWERSHARES QQQ TRUST
1SH025	5/31/1997	4/17/1997	870,000	-	97.70	U S TREASURY BILL
1SH025	8/31/2000	7/31/2000	4,435	-	1.00	FIDELITY SPARTAN
1SH025	8/31/2000	7/31/2000	2,990,000	-	98.54	U S TREASURY BILL
1SH025	2/28/2002	1/31/2002	98,800,000	-	99.74	U S TREASURY BILL
1SH025	2/28/2002	1/31/2002	-	99,810,000	99.74	U S TREASURY BILL
1SH025	2/28/2002	1/31/2002	15,136	-	1.00	FIDELITY SPARTAN
1SH025	3/31/2004	1/8/2004	-	94,855,000	99.90	U S TREASURY BILL
1SH025	3/31/2004	1/8/2004	75,000	-	39.09	NCR CORP
1SH025	3/31/2004	1/8/2004	75,000	-	65.90	AETNA INC
1SH025	3/31/2004	1/8/2004	75,000	-	69.22	ZIMMER HOLDINGS INC
1SH025	3/31/2004	1/8/2004	83,000	-	26.02	HALLIBURTON CO
1SH025	3/31/2004	1/8/2004	90,000	-	23.40	TRANSOCEAN INC
1SH025	3/31/2004	1/8/2004	50,000	-	11.95	DELTA AIRLINES INC
1SH025	3/31/2004	1/8/2004	60,000	-	51.99	QLOGIC CORP
1SH025	3/31/2004	1/8/2004	65,000	-	24.50	CAREMARK RX INC
1SH025	3/31/2004	1/8/2004	65,000	-	53.79	QUALCOMM INC
1SH025	3/31/2004	1/8/2004	75,000	-	23.31	TRANSOCEAN INC
1SH025	3/31/2004	1/8/2004	90,000	-	23.27	ABERCROMBIE & FITCH CO
1SH025	3/31/2004	1/8/2004	92,000	-	35.95	BOSTON SCIENTIFIC
1SH025	3/31/2004	1/8/2004	40,000	-	11.91	DELTA AIRLINES INC
1SH025	3/31/2004	1/8/2004	60,000	-	13.70	XEROX CORP
1SH025	3/31/2004	1/8/2004	70,000	-	45.56	YAHOO INC
1SH025	3/31/2004	1/8/2004	72,000	-	25.99	HALLIBURTON CO
1SH025	3/31/2004	1/8/2004	90,000	-	13.05	AMR CORP
1SH025	3/31/2004	1/8/2004	52,000	-	53.84	QUALCOMM INC
1SH025	3/31/2004	1/8/2004	60,000	-	65.83	AETNA INC
1SH025	3/31/2004	1/8/2004	70,000	-	18.99	PRICELINE COM INC
1SH025	3/31/2004	1/8/2004	95,000	-	21.77	APPLE COMPUTER INC
1SH025	3/31/2004	1/8/2004	19,500	-	68.02	FEDEX CORPORATION

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH025	3/31/2004	1/8/2004	50,000	-	13.77	XEROX CORP
1SH025	3/31/2004	1/8/2004	50,000	-	24.42	CAREMARK RX INC
1SH025	3/31/2004	1/8/2004	50,000	-	67.51	NIKE INC
1SH025	3/31/2004	1/8/2004	70,000	-	23.12	ALTERA CORP
1SH025	3/31/2004	1/8/2004	80,000	-	58.25	SPX CORP
1SH025	3/31/2004	1/8/2004	95,000	-	28.00	EMULEX CORP
1SH025	3/31/2004	1/8/2004	60,000	-	24.77	ELECTRONIC DATA SYSTEMS CORP
1SH025	3/31/2004	1/8/2004	85,000	-	36.55	JP MORGAN CHASE & CO
1SH025	3/31/2004	1/8/2004	85,000	-	56.52	CHIRON CORP
1SH025	3/31/2004	1/8/2004	35,000	-	43.09	MILLIPORE CORP
1SH025	3/31/2004	1/8/2004	50,000	-	24.81	ELECTRONIC DATA SYSTEMS CORP
1SH025	3/31/2004	1/8/2004	55,000	-	24.60	CAREMARK RX INC
1SH025	3/31/2004	1/8/2004	75,000	-	36.08	BOSTON SCIENTIFIC
1SH025	3/31/2004	1/8/2004	80,000	-	23.31	ABERCROMBIE & FITCH CO
1SH025	3/31/2004	1/8/2004	90,000	-	23.08	ALTERA CORP
1SH025	3/31/2004	1/9/2004	45,000	-	42.78	MILLIPORE CORP
1SH025	3/31/2004	1/9/2004	55,000	-	68.52	ZIMMER HOLDINGS INC
1SH025	3/31/2004	1/9/2004	75,000	-	19.02	PRICELINE COM INC
1SH025	3/31/2004	1/9/2004	75,000	-	13.00	AMR CORP
1SH025	3/31/2004	1/30/2004	4,729	-	1.00	FIDELITY SPARTAN
1SH025	3/31/2004	2/5/2004	-	80,000	52.19	MILLIPORE CORP
1SH025	3/31/2004	2/6/2004	4,250,000	-	99.77	U S TREASURY BILL
1SH025	3/31/2004	2/12/2004	-	80,000	16.05	AMR CORP
1SH025	3/31/2004	2/12/2004	-	85,000	15.99	AMR CORP
1SH025	3/31/2004	2/13/2004	6,900,000	-	99.79	U S TREASURY BILL
1SH025	3/31/2004	2/13/2004	-	6,900,000	99.79	U S TREASURY BILL
1SH025	3/31/2004	2/13/2004	2,650,000	-	99.79	U S TREASURY BILL
1SH025	3/31/2004	2/17/2004	-	50,000	78.64	ZIMMER HOLDINGS INC
1SH025	3/31/2004	2/17/2004	-	45,000	24.80	PRICELINE COM INC
1SH025	3/31/2004	2/17/2004	-	80,000	78.70	ZIMMER HOLDINGS INC
1SH025	3/31/2004	2/17/2004	-	100,000	24.39	PRICELINE COM INC
1SH025	3/31/2004	2/18/2004	-	75,000	15.26	XEROX CORP
1SH025	3/31/2004	2/19/2004	-	70,000	47.66	YAHOO INC
1SH025	3/31/2004	2/19/2004	-	35,000	15.30	XEROX CORP
1SH025	3/31/2004	2/19/2004	-	95,025,000	99.81	U S TREASURY BILL
1SH025	3/31/2004	2/20/2004	18,800,000	-	99.81	U S TREASURY BILL
1SH025	3/31/2004	2/20/2004	-	25,725,000	99.81	U S TREASURY BILL
1SH025	3/31/2004	2/20/2004	25,725,000	-	99.81	U S TREASURY BILL
1SH025	3/31/2004	2/23/2004	-	85,000	51.10	CHIRON CORP
1SH025	3/31/2004	2/26/2004	-	60,000	42.77	QLOGIC CORP
1SH025	3/31/2004	2/27/2004	-	83,000	30.99	HALLIBURTON CO
1SH025	3/31/2004	2/27/2004	44,650,000	-	99.82	U S TREASURY BILL
1SH025	3/31/2004	2/27/2004	-	65,000	61.89	QUALCOMM INC
1SH025	3/31/2004	2/27/2004	-	72,000	30.84	HALLIBURTON CO
1SH025	3/31/2004	2/27/2004	16,404	-	1.00	FIDELITY SPARTAN
1SH025	3/31/2004	2/27/2004	-	52,000	62.02	QUALCOMM INC
1SH025	3/31/2004	2/27/2004	-	44,650,000	99.82	U S TREASURY BILL
1SH025	3/31/2004	2/27/2004	18,925,000	-	99.82	U S TREASURY BILL
1SH025	5/31/2005	4/4/2005	35,000	-	180.37	GOOGLE
1SH025	5/31/2005	4/4/2005	-	80,000	180.37	GOOGLE
1SH025	5/31/2005	4/29/2005	21,822	-	1.00	FIDELITY SPARTAN
1SH026	1/31/1997	3/28/1997	-	90,000	37.00	COMPAQ COMPUTER CORP
1SH026	9/30/1999	8/10/1999	50,000	-	68.00	SUN MICROSYSTEMS INC
1SH026	9/30/1999	8/24/1999	-	50,000	72.75	SUN MICROSYSTEMS INC
1SH026	2/28/2007	1/30/2007	-	1	42.88	RAYONIER INC
1SH028	12/31/2002	1/14/2002	-	50,000	49.11	QUALCOMM INC
1SH028	12/31/2002	1/14/2002	-	95,000	17.76	EMC CORPORATION MASS
1SH028	12/31/2002	1/14/2002	-	11,000	25.19	NETWORK APPLIANCE INC
1SH028	12/31/2002	1/14/2002	-	85,000	36.50	INTEL CORP
1SH028	12/31/2002	1/15/2002	-	75,000	51.12	BROADCOM CORP CL A
1SH028	12/31/2002	1/15/2002	-	70,000	29.70	CIRCUIT CITY STORES INC
1SH028	12/31/2002	1/16/2002	-	40,000	28.89	CIRCUIT CITY STORES INC
1SH028	12/31/2002	1/24/2002	-	2,132	49.59	VERIZON COMMUNICATIONS
1SH028	12/31/2002	1/31/2002	-	13,250	37.44	SIEBEL SYSTEMS INC
1SH028	12/31/2002	1/31/2002	-	30,375	38.33	COMPUTER ASSOC INTL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH028	12/31/2002	1/31/2002	13,250	-	37.44	SIEBEL SYSTEMS INC
1SH028	12/31/2002	2/11/2002	-	4,548	11.99	COMPAQ COMPUTER CORP
1SH028	12/31/2002	3/7/2002	-	980	30.99	PLUM CREEK TIMBER CO
1SH028	12/31/2002	3/8/2002	-	4,600	39.27	MICRON TECHNOLOGY INC
1SH028	12/31/2002	3/12/2002	-	70,000	18.06	LSI LOGIC CORP
1SH028	12/31/2002	3/15/2002	40,800	-	41.31	GENERAL ELECTRIC CO
1SH028	12/31/2002	3/15/2002	-	40,800	41.31	GENERAL ELECTRIC CO
1SH028	12/31/2002	3/19/2002	-	3,750	49.06	BOEING CO
1SH028	12/31/2002	3/26/2002	-	5,432	48.06	CHIRON CORP
1SH028	12/31/2002	3/26/2002	-	1,540	90.63	CHEVRONTXACO CORP
1SH028	12/31/2002	4/3/2002	-	10,394	53.35	BP PLC
1SH028	12/31/2002	4/15/2002	-	13,200	83.07	FANNIE MAE
1SH028	12/31/2002	4/15/2002	-	337	48.98	EASTMAN CHEMICAL CO
1SH028	12/31/2002	4/18/2002	-	13,400	40.63	HONEYWELL INTERNATIONAL INC
1SH028	12/31/2002	4/25/2002	-	1,432	31.24	GEORGIA PACIFIC CORP COMMON
1SH028	12/31/2002	5/7/2002	-	2,599	42.98	HEINZ H J CO
1SH028	12/31/2002	5/22/2002	-	3,695	76.81	BANK OF AMERICA
1SH028	12/31/2002	6/10/2002	-	13,250	19.22	SIEBEL SYSTEMS INC
1SH028	12/31/2002	6/28/2002	-	4,300	93.33	PROCTER & GAMBLE CO
1SH028	12/31/2002	7/16/2002	-	2,000	60.50	PPG INDS INC
1SH028	12/31/2002	7/31/2002	-	6,466	78.77	HERSHEY FOODS CORP
1SH028	12/31/2002	9/5/2002	-	40,800	30.29	GENERAL ELECTRIC CO
1SH028	10/31/2003	4/4/2003	45,000	-	25.95	BEST BUY CO INC
1SH028	10/31/2003	4/4/2003	40,000	-	25.80	BEST BUY CO INC
1SH028	10/31/2003	4/15/2003	70,000	-	20.08	MARVELL TECHNOLOGY GRP LTD
1SH028	10/31/2003	4/15/2003	40,000	-	19.99	MARVELL TECHNOLOGY GRP LTD
1SH028	10/31/2003	7/1/2003	95,000	-	27.70	EASTMAN KODAK CO
1SH028	10/31/2003	7/8/2003	50,000	-	61.94	MERCK & CO
1SH028	10/31/2003	7/8/2003	35,000	-	61.91	MERCK & CO
1SH028	11/30/2003	7/25/2003	-	125,000	7.25	ADVANCED MICRO DEVICES
1SH028	11/30/2003	7/25/2003	-	75,000	7.22	ADVANCED MICRO DEVICES
1SH028	10/31/2003	9/4/2003	2,000	-	39.30	PRICELINE COM INC
1SH028	10/31/2003	9/8/2003	78,000	-	39.21	PRICELINE COM INC
1SH028	11/30/2008	10/1/2008	-	65,000	120.98	SPDR TRUST SER 1 UNITS
1SH028	11/30/2008	10/1/2008	65,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH028	11/30/2008	10/3/2008	-	20,000	116.70	SPDR TRUST SER 1 UNITS
1SH028	11/30/2008	10/3/2008	20,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH028	11/30/2008	10/6/2008	92,000	-	38.29	POWERSHARES QQQ TRUST
1SH028	11/30/2008	10/6/2008	-	92,000	38.29	POWERSHARES QQQ TRUST
1SH028	11/30/2008	10/7/2008	-	65,000	38.12	POWERSHARES QQQ TRUST
1SH028	11/30/2008	10/7/2008	65,000	-	38.12	POWERSHARES QQQ TRUST
1SH030	12/31/2002	1/8/2002	-	1,750	21.00	MASSEY ENERGY CO
1SH030	12/31/2002	1/14/2002	-	95,000	17.76	EMC CORPORATION MASS
1SH030	12/31/2002	1/14/2002	-	85,000	36.50	INTEL CORP
1SH030	12/31/2002	1/14/2002	-	42,000	49.11	QUALCOMM INC
1SH030	12/31/2002	1/14/2002	-	31,000	25.19	NETWORK APPLIANCE INC
1SH030	12/31/2002	1/15/2002	-	70,000	29.70	CIRCUIT CITY STORES INC
1SH030	12/31/2002	1/15/2002	-	75,000	51.12	BROADCOM CORP CL A
1SH030	12/31/2002	1/16/2002	-	40,000	28.89	CIRCUIT CITY STORES INC
1SH030	12/31/2002	1/24/2002	-	2,132	49.59	VERIZON COMMUNICATIONS
1SH030	12/31/2002	1/31/2002	-	12,900	37.44	SIEBEL SYSTEMS INC
1SH030	12/31/2002	1/31/2002	-	30,375	38.33	COMPUTER ASSOC INTL INC
1SH030	12/31/2002	1/31/2002	12,900	-	37.44	SIEBEL SYSTEMS INC
1SH030	12/31/2002	2/11/2002	-	4,548	11.99	COMPAQ COMPUTER CORP
1SH030	12/31/2002	3/7/2002	-	980	30.99	PLUM CREEK TIMBER CO
1SH030	12/31/2002	3/12/2002	-	70,000	18.06	LSI LOGIC CORP
1SH030	12/31/2002	3/15/2002	40,800	-	41.31	GENERAL ELECTRIC CO
1SH030	12/31/2002	3/15/2002	-	40,800	41.31	GENERAL ELECTRIC CO
1SH030	12/31/2002	3/19/2002	-	3,750	49.06	BOEING CO
1SH030	12/31/2002	3/26/2002	-	5,432	48.06	CHIRON CORP
1SH030	12/31/2002	3/26/2002	-	1,540	90.63	CHEVRONTXACO CORP
1SH030	12/31/2002	4/3/2002	-	10,394	53.35	BP PLC
1SH030	12/31/2002	4/15/2002	-	13,200	83.07	FANNIE MAE
1SH030	12/31/2002	4/15/2002	-	337	48.98	EASTMAN CHEMICAL CO
1SH030	12/31/2002	4/18/2002	-	13,400	40.63	HONEYWELL INTERNATIONAL INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH030	12/31/2002	4/22/2002	-	1,750	44.24	FLUOR CORP NEW
1SH030	12/31/2002	4/25/2002	-	1,432	31.24	GEORGIA PACIFIC CORP COMMON
1SH030	12/31/2002	5/7/2002	-	2,599	42.98	HEINZ H J CO
1SH030	12/31/2002	5/22/2002	-	3,695	76.81	BANK OF AMERICA
1SH030	12/31/2002	6/10/2002	-	12,900	19.22	SIEBEL SYSTEMS INC
1SH030	12/31/2002	6/28/2002	-	8,000	93.33	PROCTER & GAMBLE CO
1SH030	12/31/2002	7/16/2002	-	2,000	60.50	PPG INDS INC
1SH030	12/31/2002	7/31/2002	-	6,466	78.77	HERSHEY FOODS CORP
1SH030	12/31/2002	9/5/2002	-	40,800	30.29	GENERAL ELECTRIC CO
1SH030	10/31/2003	4/4/2003	40,000	-	25.95	BEST BUY CO INC
1SH030	10/31/2003	4/4/2003	50,000	-	25.80	BEST BUY CO INC
1SH030	10/31/2003	4/15/2003	80,000	-	19.99	MARVELL TECHNOLOGY GRP LTD
1SH030	10/31/2003	4/15/2003	40,000	-	20.08	MARVELL TECHNOLOGY GRP LTD
1SH030	10/31/2003	7/1/2003	45,000	-	27.82	EASTMAN KODAK CO
1SH030	10/31/2003	7/1/2003	90,000	-	27.70	EASTMAN KODAK CO
1SH030	10/31/2003	7/8/2003	40,000	-	61.91	MERCK & CO
1SH030	10/31/2003	7/8/2003	55,000	-	61.94	MERCK & CO
1SH030	11/30/2003	7/25/2003	-	110,000	7.25	ADVANCED MICRO DEVICES
1SH030	11/30/2003	7/25/2003	-	65,000	7.22	ADVANCED MICRO DEVICES
1SH030	10/31/2003	9/3/2003	21,000	-	38.80	PRICELINE COM INC
1SH030	10/31/2003	9/4/2003	74,000	-	39.30	PRICELINE COM INC
1SH030	7/31/2007	5/1/2007	135,000	-	46.79	AETNA INC
1SH030	7/31/2007	5/1/2007	150,000	-	46.75	AETNA INC
1SH030	7/31/2007	5/2/2007	110,000	-	46.82	AETNA INC
1SH030	7/31/2007	5/2/2007	120,000	-	46.88	AETNA INC
1SH030	7/31/2007	5/4/2007	141,000	-	47.07	AETNA INC
1SH030	7/31/2007	5/4/2007	160,000	-	46.99	AETNA INC
1SH030	11/30/2008	10/1/2008	-	65,000	120.98	SPDR TRUST SER 1 UNITS
1SH030	11/30/2008	10/1/2008	65,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH030	11/30/2008	10/3/2008	-	20,000	116.70	SPDR TRUST SER 1 UNITS
1SH030	11/30/2008	10/3/2008	20,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH030	11/30/2008	10/6/2008	-	92,000	38.29	POWERSHARES QQQ TRUST
1SH030	11/30/2008	10/6/2008	92,000	-	38.29	POWERSHARES QQQ TRUST
1SH030	11/30/2008	10/7/2008	41,000	-	38.12	POWERSHARES QQQ TRUST
1SH030	11/30/2008	10/7/2008	-	41,000	38.12	POWERSHARES QQQ TRUST
1SH031	9/30/1999	8/10/1999	47,000	-	68.00	SUN MICROSYSTEMS INC
1SH031	9/30/1999	8/10/1999	33,000	-	68.06	SUN MICROSYSTEMS INC
1SH031	9/30/1999	8/24/1999	-	47,000	72.75	SUN MICROSYSTEMS INC
1SH031	9/30/1999	8/24/1999	-	33,000	72.81	SUN MICROSYSTEMS INC
1SH031	4/30/2000	3/7/2000	60,000	-	140.06	PALM INC
1SH031	4/30/2000	3/7/2000	55,000	-	138.00	PALM INC
1SH031	4/30/2000	3/7/2000	60,000	-	140.00	PALM INC
1SH032	1/31/1997	3/28/1997	-	30,000	37.00	COMPAQ COMPUTER CORP
1SH032	6/30/1997	5/30/1997	-	150,000	167.75	INTEL CORP
1SH032	6/30/1997	5/30/1997	-	150,000	168.00	INTEL CORP
1SH032	6/30/1997	5/30/1997	-	81,000	167.63	INTEL CORP
1SH032	6/30/1997	5/30/1997	33,000	-	167.63	INTEL CORP
1SH032	5/31/2002	4/2/2002	60,000	-	37.62	GENERAL ELECTRIC CO
1SH034	9/30/1999	8/10/1999	16,000	-	68.00	SUN MICROSYSTEMS INC
1SH034	9/30/1999	8/24/1999	-	16,000	72.75	SUN MICROSYSTEMS INC
1SH034	7/31/2002	8/27/2002	8,000	-	-	GAP INC
1SH035	9/30/1999	8/10/1999	16,000	-	68.00	SUN MICROSYSTEMS INC
1SH035	9/30/1999	8/24/1999	-	16,000	72.75	SUN MICROSYSTEMS INC
1SH036	1/31/1997	9/17/1997	-	44,000	128.13	MICROSOFT CORP
1SH036	1/31/1997	9/17/1997	-	100,000	128.00	MICROSOFT CORP
1SH036	2/28/1999	1/19/1999	700	-	103.00	AMGEN INC
1SH036	10/31/2002	9/3/2002	13,000	-	40.21	BANC ONE CORP
1SH036	5/31/2003	4/23/2003	11,975,000	-	100.00	FED HM LN BK BD
1SH036	5/31/2003	4/23/2003	-	12,475,000	100.00	FED HM LN BK BD
1SH036	5/31/2003	4/30/2003	38,799	-	1.00	FIDELITY SPARTAN
1SH036	2/28/2005	1/20/2005	-	50,000	66.46	BIOGEN IDEC INC
1SH037	11/30/1996	10/31/1996	725	-	42.00	MERCANTILE BANCORPORATION
1SH037	11/30/1996	10/31/1996	-	725	42.00	MONSANTO CO
1SH039	9/30/1999	8/10/1999	32,000	-	68.00	SUN MICROSYSTEMS INC
1SH039	9/30/1999	8/24/1999	-	32,000	72.75	SUN MICROSYSTEMS INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH039	3/31/2005	2/9/2005	-	16,000	56.02	COACH INC
1SH040	9/30/1999	8/10/1999	32,000	-	68.00	SUN MICROSYSTEMS INC
1SH040	9/30/1999	8/24/1999	-	32,000	72.75	SUN MICROSYSTEMS INC
1SH040	7/31/2002	8/27/2002	8,000	-	-	GAP INC
1SH041	9/30/1996	8/16/1996	82,729	-	-	FIDELITY CASH RESERVES SBI
1SH041	9/30/1996	8/30/1996	66,185	-	-	FIDELITY CASH RESERVES SBI
1SH041	9/30/1996	8/30/1996	-	149,094	-	FIDELITY CASH RESERVES SBI
1SH042	6/30/1997	5/30/1997	5,000	-	167.75	INTEL CORP
1SH042	6/30/1997	5/30/1997	-	10,000	167.75	INTEL CORP
1SH042	8/31/2003	7/10/2003	23,000	-	28.30	BROADCOM CORP CL A
1SH042	9/30/2008	8/29/2008	105,000	-	29.00	WELLS FARGO & CO NEW
1SH042	9/30/2008	8/29/2008	95,000	-	28.73	WELLS FARGO & CO NEW
1SH042	11/30/2008	10/3/2008	95,000	-	116.70	SPDR TRUST SER 1 UNITS
1SH042	11/30/2008	10/3/2008	-	95,000	116.70	SPDR TRUST SER 1 UNITS
1SH059	9/30/1999	8/10/1999	10,000	-	68.00	SUN MICROSYSTEMS INC
1SH059	9/30/1999	8/24/1999	-	10,000	72.75	SUN MICROSYSTEMS INC
1SH059	3/31/2002	2/1/2002	18,400	-	10.90	SUN MICROSYSTEMS INC
1SH079	2/29/2000	1/20/2000	-	14,000	78.13	MICRON TECHNOLOGY INC
1SH079	11/30/2003	3/3/2003	75,000	-	35.12	GENENTECH INC
1SH079	11/30/2003	3/4/2003	80,000	-	35.20	GENENTECH INC
1SH079	11/30/2003	3/5/2003	65,000	-	35.00	GENENTECH INC
1SH079	11/30/2003	3/11/2003	-	85,000	19.15	SEARS ROEBUCK & CO
1SH079	11/30/2003	3/12/2003	-	120,000	19.07	SEARS ROEBUCK & CO
1SH079	11/30/2003	3/13/2003	-	120,000	19.21	SEARS ROEBUCK & CO
1SH092	2/28/1999	1/19/1999	192	-	103.00	AMGEN INC
1SH093	2/28/1999	1/19/1999	192	-	103.00	AMGEN INC
1SH094	2/28/1999	1/19/1999	216	-	103.00	AMGEN INC
1SH139	9/30/1999	8/10/1999	13,700	-	68.00	SUN MICROSYSTEMS INC
1SH139	9/30/1999	8/24/1999	-	13,700	72.75	SUN MICROSYSTEMS INC
1SH140	9/30/1999	8/10/1999	1,000	-	68.00	SUN MICROSYSTEMS INC
1SH140	9/30/1999	8/24/1999	-	1,000	72.75	SUN MICROSYSTEMS INC
1SH141	9/30/1999	8/10/1999	880	-	68.00	SUN MICROSYSTEMS INC
1SH141	9/30/1999	8/24/1999	-	880	72.75	SUN MICROSYSTEMS INC
1SH142	9/30/1999	8/10/1999	880	-	68.00	SUN MICROSYSTEMS INC
1SH142	9/30/1999	8/24/1999	-	880	72.75	SUN MICROSYSTEMS INC
1SH143	6/30/1997	5/30/1997	-	100,000	167.63	INTEL CORP
1SH143	6/30/1997	5/30/1997	-	100,000	167.88	INTEL CORP
1SH143	6/30/1997	5/30/1997	-	54,000	167.50	INTEL CORP
1SH143	9/30/1999	8/10/1999	49,000	-	68.06	SUN MICROSYSTEMS INC
1SH143	9/30/1999	8/10/1999	41,000	-	68.13	SUN MICROSYSTEMS INC
1SH143	9/30/1999	8/10/1999	58,000	-	68.00	SUN MICROSYSTEMS INC
1SH143	9/30/1999	8/24/1999	-	41,000	72.88	SUN MICROSYSTEMS INC
1SH143	9/30/1999	8/24/1999	-	49,000	72.81	SUN MICROSYSTEMS INC
1SH143	9/30/1999	8/24/1999	-	58,000	72.75	SUN MICROSYSTEMS INC
1SH143	4/30/2000	3/7/2000	-	55,000	138.00	PALM INC
1SH143	4/30/2000	3/7/2000	-	60,000	140.06	PALM INC
1SH143	4/30/2000	3/7/2000	-	60,000	140.00	PALM INC
1SH143	4/30/2000	3/31/2000	16,960,000	-	98.36	U S TREASURY BILL
1SH143	2/28/2007	1/31/2007	-	1,325,000	98.44	U S TREASURY BILL
1SH143	2/28/2007	1/31/2007	46,630	-	1.00	FIDELITY SPARTAN
1SH144	6/30/1997	5/30/1997	-	52,000	167.63	INTEL CORP
1SH144	6/30/1997	5/30/1997	-	100,000	167.75	INTEL CORP
1SH144	6/30/1997	5/30/1997	-	100,000	168.00	INTEL CORP
1SH144	9/30/1999	8/10/1999	49,000	-	68.06	SUN MICROSYSTEMS INC
1SH144	9/30/1999	8/10/1999	41,000	-	68.13	SUN MICROSYSTEMS INC
1SH144	9/30/1999	8/10/1999	54,000	-	68.00	SUN MICROSYSTEMS INC
1SH144	9/30/1999	8/24/1999	-	54,000	72.75	SUN MICROSYSTEMS INC
1SH144	9/30/1999	8/24/1999	-	49,000	72.81	SUN MICROSYSTEMS INC
1SH144	9/30/1999	8/24/1999	-	41,000	72.88	SUN MICROSYSTEMS INC
1SH144	4/30/2000	3/7/2000	-	71,000	140.00	PALM INC
1SH144	4/30/2000	3/7/2000	-	53,000	138.00	PALM INC
1SH144	4/30/2000	3/7/2000	-	60,000	140.06	PALM INC
1SH144	4/30/2000	3/31/2000	18,470,000	-	98.36	U S TREASURY BILL
1SH144	2/28/2007	1/31/2007	-	16,520	1.00	FIDELITY SPARTAN
1SH144	2/28/2007	1/31/2007	-	1,450,000	98.44	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH144	2/28/2007	1/31/2007	15,530	-	1.00	FIDELITY SPARTAN
1SH145	6/30/1997	5/30/1997	84,000	-	167.88	INTEL CORP
1SH145	6/30/1997	5/30/1997	-	150,000	167.88	INTEL CORP
1SH145	4/30/2000	3/7/2000	-	51,000	138.13	PALM INC
1SH145	4/30/2000	3/7/2000	-	55,000	139.00	PALM INC
1SH145	4/30/2000	3/31/2000	14,860,000	-	98.36	U S TREASURY BILL
1SH146	4/30/2000	3/7/2000	-	59,000	138.25	PALM INC
1SH146	4/30/2000	3/7/2000	-	60,000	139.00	PALM INC
1SH146	4/30/2000	3/31/2000	16,710,000	-	98.36	U S TREASURY BILL
1SH147	6/30/1997	5/30/1997	-	100,000	167.75	INTEL CORP
1SH147	6/30/1997	5/30/1997	-	150,000	167.50	INTEL CORP
1SH147	4/30/2000	3/7/2000	-	60,000	139.00	PALM INC
1SH147	4/30/2000	3/31/2000	8,420,000	-	98.36	U S TREASURY BILL
1SH147	10/31/2002	9/3/2002	-	13,000	40.21	BANC ONE CORP
1SH147	2/28/2005	1/20/2005	50,000	-	66.46	BIOGEN IDEC INC
1SH160	9/30/1999	8/10/1999	23,600	-	68.00	SUN MICROSYSTEMS INC
1SH160	9/30/1999	8/24/1999	-	23,600	72.75	SUN MICROSYSTEMS INC
1SH161	10/31/2001	9/28/2001	243	-	1.00	FIDELITY SPARTAN
1SH161	10/31/2001	9/28/2001	-	2,770,000	99.47	U S TREASURY BILL
1SH161	10/31/2001	9/28/2001	1,665,000	-	99.47	U S TREASURY BILL
1SH163	9/30/1999	8/10/1999	1,600	-	68.00	SUN MICROSYSTEMS INC
1SH163	9/30/1999	8/24/1999	-	1,600	72.75	SUN MICROSYSTEMS INC
1SH164	9/30/1999	8/10/1999	40,000	-	68.00	SUN MICROSYSTEMS INC
1SH164	9/30/1999	8/24/1999	-	40,000	72.75	SUN MICROSYSTEMS INC
1SH164	3/31/2003	2/20/2003	-	1,550,000	99.75	U S TREASURY BILL
1SH164	3/31/2003	2/27/2003	775,000	-	99.77	U S TREASURY BILL
1SH164	3/31/2003	2/27/2003	-	2,075,000	99.77	U S TREASURY BILL
1SH164	3/31/2003	2/28/2003	43,205	-	1.00	FIDELITY SPARTAN
1SH165	9/30/1999	8/10/1999	18,800	-	68.00	SUN MICROSYSTEMS INC
1SH165	9/30/1999	8/24/1999	-	18,800	72.75	SUN MICROSYSTEMS INC
1SH165	3/31/2003	2/20/2003	400,000	-	99.75	U S TREASURY BILL
1SH165	3/31/2003	2/27/2003	650,000	-	99.77	U S TREASURY BILL
1SH165	3/31/2003	2/28/2003	12,082	-	1.00	FIDELITY SPARTAN
1SH168	6/30/1999	5/26/1999	200,000	-	99.66	U S TREASURY BILL
1SH168	6/30/1999	5/26/1999	-	200,000	98.66	U S TREASURY BILL
1SH174	2/28/2006	1/10/2006	-	63,000	58.09	EXXON MOBIL CORP
1SH174	2/28/2006	1/13/2006	3,725,000	-	98.19	U S TREASURY BILL
1SH174	2/28/2006	1/17/2006	-	67,000	54.65	FANNIE MAE
1SH174	2/28/2006	1/20/2006	11,250,000	-	98.27	U S TREASURY BILL
1SH174	2/28/2006	1/20/2006	-	85,000	43.97	INCO LTD
1SH174	2/28/2006	1/20/2006	-	45,000	81.37	FLUOR CORP NEW
1SH174	2/28/2006	1/23/2006	-	41,500	88.07	PEABODY ENERGY CORP
1SH174	2/28/2006	1/23/2006	-	41,500	88.07	PEABODY ENERGY CORP
1SH174	2/28/2006	1/27/2006	7,425,000	-	98.31	U S TREASURY BILL
1SH174	2/28/2006	1/31/2006	17,513	-	1.00	FIDELITY SPARTAN
1SH175	2/28/2006	1/10/2006	-	34,000	58.09	EXXON MOBIL CORP
1SH175	2/28/2006	1/12/2006	-	33,000	56.90	NEWMONT MNG CORP
1SH175	2/28/2006	1/13/2006	3,900,000	-	98.19	U S TREASURY BILL
1SH175	2/28/2006	1/17/2006	-	36,000	54.65	FANNIE MAE
1SH175	2/28/2006	1/20/2006	-	24,000	81.37	FLUOR CORP NEW
1SH175	2/28/2006	1/20/2006	-	45,500	43.97	INCO LTD
1SH175	2/28/2006	1/20/2006	6,025,000	-	98.27	U S TREASURY BILL
1SH175	2/28/2006	1/23/2006	-	24,000	88.07	PEABODY ENERGY CORP
1SH175	2/28/2006	1/27/2006	2,125,000	-	98.31	U S TREASURY BILL
1SH175	2/28/2006	1/31/2006	47,991	-	1.00	FIDELITY SPARTAN
1SH176	2/28/2006	1/10/2006	-	20,000	58.09	EXXON MOBIL CORP
1SH176	2/28/2006	1/12/2006	-	21,000	56.90	NEWMONT MNG CORP
1SH176	2/28/2006	1/13/2006	2,400,000	-	98.19	U S TREASURY BILL
1SH176	2/28/2006	1/17/2006	-	22,000	54.65	FANNIE MAE
1SH176	2/28/2006	1/20/2006	-	28,000	43.97	INCO LTD
1SH176	2/28/2006	1/20/2006	2,475,000	-	98.27	U S TREASURY BILL
1SH176	2/28/2006	1/23/2006	-	3,400	88.07	PEABODY ENERGY CORP
1SH176	2/28/2006	1/23/2006	-	28,500	81.38	FLUOR CORP NEW
1SH176	2/28/2006	1/27/2006	2,650,000	-	98.31	U S TREASURY BILL
1SH176	2/28/2006	1/31/2006	25,525	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH177	2/28/2006	1/10/2006	-	11,000	58.09	EXXON MOBIL CORP
1SH177	2/28/2006	1/12/2006	-	11,000	56.90	NEWMONT MNG CORP
1SH177	2/28/2006	1/13/2006	1,275,000	-	98.19	U S TREASURY BILL
1SH177	2/28/2006	1/20/2006	670,000	-	98.27	U S TREASURY BILL
1SH177	2/28/2006	1/20/2006	-	15,000	43.97	INCO LTD
1SH177	2/28/2006	1/23/2006	-	14,000	88.07	PEABODY ENERGY CORP
1SH177	2/28/2006	1/23/2006	-	8,000	81.38	FLUOR CORP NEW
1SH177	2/28/2006	1/27/2006	1,900,000	-	98.31	U S TREASURY BILL
1SH177	2/28/2006	1/31/2006	30,144	-	1.00	FIDELITY SPARTAN
1SH178	2/28/2006	1/10/2006	-	11,000	58.09	EXXON MOBIL CORP
1SH178	2/28/2006	1/12/2006	-	11,000	56.90	NEWMONT MNG CORP
1SH178	2/28/2006	1/13/2006	1,275,000	-	98.19	U S TREASURY BILL
1SH178	2/28/2006	1/17/2006	11,000	-	54.65	FANNIE MAE
1SH178	2/28/2006	1/17/2006	-	11,000	54.65	FANNIE MAE
1SH178	2/28/2006	1/20/2006	-	15,000	43.97	INCO LTD
1SH178	2/28/2006	1/20/2006	650,000	-	98.27	U S TREASURY BILL
1SH178	2/28/2006	1/23/2006	-	13,000	88.07	PEABODY ENERGY CORP
1SH178	2/28/2006	1/23/2006	-	8,000	81.38	FLUOR CORP NEW
1SH178	2/28/2006	1/27/2006	1,825,000	-	98.31	U S TREASURY BILL
1SH178	2/28/2006	1/31/2006	35,462	-	1.00	FIDELITY SPARTAN
1SH179	2/28/2006	1/10/2006	-	33,000	58.09	EXXON MOBIL CORP
1SH179	2/28/2006	1/12/2006	-	33,000	56.90	NEWMONT MNG CORP
1SH179	2/28/2006	1/13/2006	3,850,000	-	98.19	U S TREASURY BILL
1SH179	2/28/2006	1/17/2006	-	35,000	54.65	FANNIE MAE
1SH179	2/28/2006	1/20/2006	3,725,000	-	98.27	U S TREASURY BILL
1SH179	2/28/2006	1/20/2006	-	40,000	43.97	INCO LTD
1SH179	2/28/2006	1/23/2006	-	25,000	81.38	FLUOR CORP NEW
1SH179	2/28/2006	1/24/2006	-	23,500	87.79	PEABODY ENERGY CORP
1SH179	2/28/2006	1/27/2006	4,150,000	-	98.31	U S TREASURY BILL
1SH179	2/28/2006	1/31/2006	59,128	-	1.00	FIDELITY SPARTAN
1SH182	2/28/2006	1/10/2006	-	33,000	58.09	EXXON MOBIL CORP
1SH182	2/28/2006	1/12/2006	-	33,000	56.90	NEWMONT MNG CORP
1SH182	2/28/2006	1/13/2006	3,850,000	-	98.19	U S TREASURY BILL
1SH182	2/28/2006	1/17/2006	-	35,000	54.65	FANNIE MAE
1SH182	2/28/2006	1/20/2006	3,725,000	-	98.27	U S TREASURY BILL
1SH182	2/28/2006	1/20/2006	-	40,000	43.97	INCO LTD
1SH182	2/28/2006	1/23/2006	-	25,000	81.38	FLUOR CORP NEW
1SH182	2/28/2006	1/24/2006	-	23,800	87.79	PEABODY ENERGY CORP
1SH182	2/28/2006	1/27/2006	4,175,000	-	98.31	U S TREASURY BILL
1SH182	2/28/2006	1/31/2006	44,602	-	1.00	FIDELITY SPARTAN
1SH183	2/28/2006	1/9/2006	-	80,000	58.12	EXXON MOBIL CORP
1SH183	2/28/2006	1/11/2006	-	80,000	57.97	NEWMONT MNG CORP
1SH183	2/28/2006	1/13/2006	9,450,000	-	98.19	U S TREASURY BILL
1SH183	2/28/2006	1/18/2006	-	78,000	54.79	FANNIE MAE
1SH183	2/28/2006	1/19/2006	-	60,000	81.35	FLUOR CORP NEW
1SH183	2/28/2006	1/20/2006	9,300,000	-	98.27	U S TREASURY BILL
1SH183	2/28/2006	1/24/2006	-	71,500	87.79	PEABODY ENERGY CORP
1SH183	2/28/2006	1/24/2006	-	95,000	43.39	INCO LTD
1SH183	2/28/2006	1/27/2006	10,575,000	-	98.31	U S TREASURY BILL
1SH183	2/28/2006	1/31/2006	25,999	-	1.00	FIDELITY SPARTAN
1SH185	2/28/2006	1/9/2006	-	3,400	58.12	EXXON MOBIL CORP
1SH185	2/28/2006	1/11/2006	-	3,000	57.97	NEWMONT MNG CORP
1SH185	2/28/2006	1/13/2006	375,000	-	98.19	U S TREASURY BILL
1SH185	2/28/2006	1/18/2006	-	4,000	54.79	FANNIE MAE
1SH185	2/28/2006	1/19/2006	-	2,000	81.35	FLUOR CORP NEW
1SH185	2/28/2006	1/20/2006	375,000	-	98.27	U S TREASURY BILL
1SH185	2/28/2006	1/24/2006	-	5,000	43.39	INCO LTD
1SH185	2/28/2006	1/24/2006	-	6,000	87.79	PEABODY ENERGY CORP
1SH185	2/28/2006	1/27/2006	750,000	-	98.31	U S TREASURY BILL
1SH185	2/28/2006	1/31/2006	22,988	-	1.00	FIDELITY SPARTAN
1SH186	2/28/2007	1/31/2007	-	1,375,000	98.44	U S TREASURY BILL
1SH186	2/28/2007	1/31/2007	-	8,358	1.00	FIDELITY SPARTAN
1SH186	2/28/2007	1/31/2007	-	23,065	1.00	FIDELITY SPARTAN
1SH186	2/28/2007	1/31/2007	8,358	-	1.00	FIDELITY SPARTAN
1SH190	2/28/2007	1/4/2007	37,299	-	1.00	FIDELITY SPARTAN

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1SH190	2/28/2007	1/4/2007	100,000	-	98.54	U S TREASURY BILL
1SH191	2/28/2007	1/31/2007	-	23,648	1.00	FIDELITY SPARTAN
1SH191	2/28/2007	1/31/2007	2,700,000	-	98.44	U S TREASURY BILL
1SH191	2/28/2007	1/31/2007	51,838	-	1.00	FIDELITY SPARTAN
1SH191	3/31/2007	2/28/2007	2,760	-	1.00	FIDELITY SPARTAN
1SH191	4/30/2007	3/5/2007	5,563	-	1.00	FIDELITY SPARTAN
1SH191	4/30/2007	3/5/2007	-	425,000	98.90	U S TREASURY BILL
1SH194	11/30/2008	10/1/2008	-	55,000	120.98	SPDR TRUST SER 1 UNITS
1SH194	11/30/2008	10/1/2008	55,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH195	11/30/2008	10/1/2008	-	50,000	120.98	SPDR TRUST SER 1 UNITS
1SH195	11/30/2008	10/1/2008	50,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH196	11/30/2008	10/1/2008	40,000	-	120.98	SPDR TRUST SER 1 UNITS
1SH196	11/30/2008	10/1/2008	-	40,000	120.98	SPDR TRUST SER 1 UNITS
1T0003	11/30/2001	10/5/2001	-	10,000	19.15	BROADCOM CORP CL A
1T0004	4/30/1998	3/19/1998	-	400	45.63	TANDY CORP
1T0004	4/30/1998	3/25/1998	-	9,000	47.94	DIGITAL EQUIPMENT CORP
1T0004	4/30/1998	3/26/1998	-	4,000	103.06	THE WALT DISNEY CO
1T0004	4/30/1998	3/31/1998	-	5,400	16.75	DSC COMMUNICATIONS CORP
1T0004	2/29/2000	1/14/2000	-	40,000	72.00	AMGEN INC
1T0004	2/29/2000	1/18/2000	-	15,000	118.13	PROCTER & GAMBLE CO
1T0004	2/29/2000	1/28/2000	-	8,505	33.56	COMPAQ COMPUTER CORP
1T0004	3/31/2001	2/12/2001	-	15,000	64.75	MICROSOFT CORP
1T0004	3/31/2001	2/13/2001	-	5,700	89.00	QUALCOMM INC
1T0004	11/30/2001	9/4/2001	8,000	-	35.22	PEOPLESOFT INC
1T0004	11/30/2001	9/4/2001	15,000	-	51.05	KLA TENCOR CORPORATION
1T0004	11/30/2001	10/5/2001	10,000	-	19.15	BROADCOM CORP CL A
1T0004	8/31/2003	7/14/2003	-	34,000	23.32	NATIONAL SEMICONDUCTOR CORP
1T0004	8/31/2003	7/14/2003	-	75,000	22.35	NATIONAL SEMICONDUCTOR CORP
1T0004	11/30/2003	7/24/2003	-	90,000	7.15	ADVANCED MICRO DEVICES
1T0004	9/30/2003	8/12/2003	-	80,000	33.03	MARVELL TECHNOLOGY GRP LTD
1T0004	11/30/2003	9/29/2003	-	48,000	40.00	INTERNATIONAL PAPER CO
1T0004	11/30/2003	10/7/2003	90,000	-	60.80	AETNA INC
1T0004	11/30/2003	10/7/2003	10,000	-	60.68	AETNA INC
1T0004	3/31/2005	2/1/2005	-	35,000	42.04	AMAZON COM INC
1T0004	3/31/2005	2/7/2005	50,000	-	29.43	NEXTEL COMMUNICATIONS
1T0004	11/30/2008	10/3/2008	-	5,000	116.70	SPDR TRUST SER 1 UNITS
1T0004	11/30/2008	10/3/2008	5,000	-	116.70	SPDR TRUST SER 1 UNITS
1T0007	3/31/1997	2/28/1997	-	3,393	1.00	FIDELITY SPARTAN
1T0007	4/30/1998	3/20/1998	-	11,000	40.50	STARBUCKS CORPORATION
1T0007	8/31/1999	7/13/1999	-	600	58.75	AT & T CORP
1T0007	8/31/1999	7/14/1999	-	320	129.00	AMERICA ONLINE CORP
1T0007	12/31/1999	11/4/1999	-	11,340	48.25	ORACLE CORPORATION
1T0007	2/29/2000	1/6/2000	-	1,440	83.00	AMERICA ONLINE CORP
1T0007	2/29/2000	1/6/2000	-	11,340	124.63	ORACLE CORPORATION
1T0007	3/31/2000	2/29/2000	81,791	-	1.00	FIDELITY SPARTAN
1T0007	3/31/2001	2/8/2001	-	12,000	68.00	SIEBEL SYSTEMS INC
1T0007	3/31/2001	2/13/2001	-	3,520	51.00	STARBUCKS CORPORATION
1T0007	3/31/2001	2/13/2001	-	27,000	89.00	QUALCOMM INC
1T0007	11/30/2001	9/4/2001	10,000	-	51.05	KLA TENCOR CORPORATION
1T0007	5/31/2003	4/4/2003	20,000	-	40.35	HCA INC
1T0020	8/31/2002	7/31/2002	1,325,000	-	99.54	U S TREASURY BILL
1T0020	8/31/2002	7/31/2002	-	1,325,000	99.54	U S TREASURY BILL
1T0020	8/31/2002	7/31/2002	1,325,000	-	99.48	U S TREASURY BILL
1T0020	8/31/2002	7/31/2002	-	1,325,000	99.48	U S TREASURY BILL
1T0024	6/30/2002	5/31/2002	-	530	1.00	FIDELITY SPARTAN
1T0026	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1T0026	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1T0027	6/30/1999	5/26/1999	-	36,175,000	98.66	U S TREASURY BILL
1T0027	6/30/1999	5/26/1999	36,175,000	-	99.66	U S TREASURY BILL
1T0027	8/31/2002	7/31/2002	47,275,000	-	99.48	U S TREASURY BILL
1T0027	8/31/2002	7/31/2002	-	47,275,000	99.54	U S TREASURY BILL
1T0027	8/31/2002	7/31/2002	-	47,275,000	99.48	U S TREASURY BILL
1T0027	8/31/2002	7/31/2002	47,275,000	-	99.54	U S TREASURY BILL
1T0031	4/30/1998	3/19/1998	-	934	99.50	INTERNATIONAL BUSINESS MACHS
1T0031	4/30/1998	3/25/1998	-	5,600	47.94	DIGITAL EQUIPMENT CORP

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1T0031	4/30/1998	3/26/1998	-	2,600	136.00	AMR CORP
1T0031	8/31/1999	7/13/1999	-	67,500	58.75	AT & T CORP
1T0031	2/29/2000	1/11/2000	67,500	-	48.00	AT & T CORP
1T0031	2/29/2000	1/19/2000	-	37,500	55.75	AT & T CORP
1T0031	2/29/2000	1/19/2000	-	30,000	55.63	AT & T CORP
1T0031	2/29/2000	1/28/2000	-	5,292	33.63	COMPAQ COMPUTER CORP
1T0031	8/31/2003	7/31/2003	-	50,000	47.20	AMERADA HESS CORP
1T0031	11/30/2003	9/29/2003	48,000	-	40.00	INTERNATIONAL PAPER CO
1T0031	11/30/2003	10/7/2003	-	10,000	60.68	AETNA INC
1T0031	11/30/2003	10/7/2003	-	90,000	60.80	AETNA INC
1T0031	1/31/2004	12/1/2004	11,000	-	42.44	GENERAL MOTORS CORP
1T0035	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1T0035	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1T0036	4/30/1998	3/19/1998	-	200	45.63	TANDY CORP
1T0036	4/30/1998	3/19/1998	-	233	99.50	INTERNATIONAL BUSINESS MACHS
1T0036	4/30/1998	3/20/1998	-	5,000	47.63	PHILLIPS PETROLEUM CO
1T0036	8/31/1999	7/13/1999	-	3,150	58.75	AT & T CORP
1T0036	8/31/1999	7/14/1999	-	1,780	129.00	AMERICA ONLINE CORP
1T0036	12/31/1999	11/4/1999	-	59,535	48.25	ORACLE CORPORATION
1T0036	2/29/2000	1/6/2000	-	5,880	67.25	ADOBE SYS INC
1T0036	2/29/2000	1/6/2000	-	59,535	124.63	ORACLE CORPORATION
1T0036	3/31/2001	2/13/2001	-	18,480	51.00	STARBUCKS CORPORATION
1T0036	11/30/2001	9/4/2001	5,000	-	51.05	KLA TENCOR CORPORATION
1T0036	11/30/2003	7/24/2003	-	10,000	7.15	ADVANCED MICRO DEVICES
1T0036	7/31/2004	6/3/2004	30,000	-	44.21	WASHINGTON MUTUAL INC
1T0036	7/31/2004	6/3/2004	-	30,000	44.21	WASHINGTON MUTUAL INC
1T0036	10/31/2004	9/1/2004	-	5,000	72.99	CONOCOPHILIPS
1T0036	10/31/2004	9/2/2004	-	6,400	72.74	CATERPILLAR INC
1T0036	11/30/2008	10/3/2008	10,000	-	116.70	SPDR TRUST SER 1 UNITS
1T0036	11/30/2008	10/3/2008	-	10,000	116.70	SPDR TRUST SER 1 UNITS
1T0047	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1T0047	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1U0013	6/30/1999	5/26/1999	-	1,650,000	98.66	U S TREASURY BILL
1U0013	6/30/1999	5/26/1999	1,650,000	-	99.66	U S TREASURY BILL
1U0013	8/31/2002	7/31/2002	2,200,000	-	99.48	U S TREASURY BILL
1U0013	8/31/2002	7/31/2002	-	2,200,000	99.48	U S TREASURY BILL
1U0013	8/31/2002	7/31/2002	-	2,200,000	99.54	U S TREASURY BILL
1U0013	8/31/2002	7/31/2002	2,200,000	-	99.54	U S TREASURY BILL
1U0021	6/30/1998	5/21/1998	-	7,900	63.88	WARNER LAMBERT CO
1U0021	6/30/1998	5/21/1998	18,350	-	1.00	FIDELITY SPARTAN
1U0021	6/30/1998	5/21/1998	500,000	-	99.03	U S TREASURY BILL
1U0021	1/31/2002	12/31/2002	9,450,000	-	99.59	U S TREASURY BILL
1U0021	1/31/2002	12/31/2002	-	13,672	1.00	FIDELITY SPARTAN
1U0021	1/31/2002	12/31/2002	13,672	-	1.00	FIDELITY SPARTAN
1U0021	1/31/2002	12/31/2002	13,572	-	1.00	FIDELITY SPARTAN
1U0021	1/31/2002	12/31/2002	-	9,424,827	1.00	FIDELITY SPARTAN
1U0021	8/31/2008	7/31/2008	-	10,030	1.00	FIDELITY SPARTAN
1U0022	6/30/2002	5/21/2002	650	-	26.99	APPLIED MATERIALS INC
1U0022	6/30/2002	5/31/2002	-	75,000	99.44	U S TREASURY BILL
1U0022	6/30/2002	5/31/2002	2,344	-	1.00	FIDELITY SPARTAN
1U0022	6/30/2002	5/31/2002	55,000	-	99.44	U S TREASURY BILL
1U0023	8/31/2002	7/31/2002	-	3,800,000	99.48	U S TREASURY BILL
1U0023	8/31/2002	7/31/2002	-	3,800,000	99.54	U S TREASURY BILL
1U0023	8/31/2002	7/31/2002	3,800,000	-	99.54	U S TREASURY BILL
1U0023	8/31/2002	7/31/2002	3,800,000	-	99.48	U S TREASURY BILL
1W0006	6/30/1999	5/26/1999	6,000,000	-	99.66	U S TREASURY BILL
1W0006	6/30/1999	5/26/1999	-	6,000,000	98.66	U S TREASURY BILL
1W0016	3/31/2007	1/9/2007	8,500	-	480.00	GOOGLE
1W0016	3/31/2007	4/3/2007	-	15,000	30.25	OFFICE MAX
1W0016	3/31/2007	4/3/2007	5,000	-	30.25	OFFICE MAX
1W0016	3/31/2007	6/2/2007	-	15,000	372.50	GOOGLE
1W0016	3/31/2007	10/6/2007	-	55,000	50.27	APOLLO GROUP INC CLASS A
1W0016	3/31/2007	10/31/2007	55,000	-	36.02	APOLLO GROUP INC CLASS A
1W0016	3/31/2007	11/3/2007	-	10,000	78.56	ABERCROMBIE & FITCH CO
1W0016	3/31/2007	11/7/2007	13,200	-	78.75	APPLE INC

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1W0016	3/31/2007	12/11/2007	10,000	-	66.65	ABERCROMBIE & FITCH CO
1W0016	11/30/2008	10/3/2008	20,000	-	116.70	SPDR TRUST SER 1 UNITS
1W0016	11/30/2008	10/3/2008	-	20,000	116.70	SPDR TRUST SER 1 UNITS
1W0043	8/31/2002	7/31/2002	-	2,150,000	99.48	U S TREASURY BILL
1W0043	8/31/2002	7/31/2002	2,150,000	-	99.54	U S TREASURY BILL
1W0043	8/31/2002	7/31/2002	-	2,150,000	99.54	U S TREASURY BILL
1W0043	8/31/2002	7/31/2002	2,150,000	-	99.48	U S TREASURY BILL
1W0047	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1W0047	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1W0047	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1W0047	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1W0066	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1W0066	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1W0097	6/30/1999	5/26/1999	1,875,000	-	99.66	U S TREASURY BILL
1W0097	6/30/1999	5/26/1999	-	1,875,000	98.66	U S TREASURY BILL
1W0100	6/30/1999	5/26/1999	-	550,000	98.66	U S TREASURY BILL
1W0100	6/30/1999	5/26/1999	550,000	-	99.66	U S TREASURY BILL
1W0100	8/31/2002	7/31/2002	1,900,000	-	99.54	U S TREASURY BILL
1W0100	8/31/2002	7/31/2002	1,900,000	-	99.48	U S TREASURY BILL
1W0100	8/31/2002	7/31/2002	-	1,900,000	99.48	U S TREASURY BILL
1W0100	8/31/2002	7/31/2002	-	1,900,000	99.54	U S TREASURY BILL
1W0104	6/30/1999	5/26/1999	300,000	-	99.66	U S TREASURY BILL
1W0104	6/30/1999	5/26/1999	-	300,000	98.66	U S TREASURY BILL
1W0106	8/31/2002	7/31/2002	6,175,000	-	99.48	U S TREASURY BILL
1W0106	8/31/2002	7/31/2002	6,175,000	-	99.54	U S TREASURY BILL
1W0106	8/31/2002	7/31/2002	-	6,175,000	99.48	U S TREASURY BILL
1W0106	8/31/2002	7/31/2002	-	6,175,000	99.54	U S TREASURY BILL
1W0108	8/31/2002	7/31/2002	-	2,000,000	99.54	U S TREASURY BILL
1W0108	8/31/2002	7/31/2002	2,000,000	-	99.48	U S TREASURY BILL
1Y0006	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1Y0006	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1Y0006	8/31/2002	7/31/2002	1,800,000	-	99.48	U S TREASURY BILL
1Y0006	8/31/2002	7/31/2002	-	1,800,000	99.48	U S TREASURY BILL
1Y0006	8/31/2002	7/31/2002	-	1,800,000	99.54	U S TREASURY BILL
1Y0006	8/31/2002	7/31/2002	1,800,000	-	99.54	U S TREASURY BILL
1Y0013	8/31/2002	7/31/2002	1,400,000	-	99.48	U S TREASURY BILL
1Y0013	8/31/2002	7/31/2002	-	1,400,000	99.48	U S TREASURY BILL
1Y0013	8/31/2002	7/31/2002	1,400,000	-	99.54	U S TREASURY BILL
1Y0013	8/31/2002	7/31/2002	-	1,400,000	99.54	U S TREASURY BILL
1Z0012	4/30/2004	3/22/2004	25,000	-	99.82	U S TREASURY BILL
1Z0023	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1Z0023	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZA003	6/30/1999	5/26/1999	-	2,725,000	98.66	U S TREASURY BILL
1ZA003	6/30/1999	5/26/1999	2,725,000	-	99.66	U S TREASURY BILL
1ZA003	8/31/2002	7/31/2002	2,100,000	-	99.48	U S TREASURY BILL
1ZA003	8/31/2002	7/31/2002	2,100,000	-	99.54	U S TREASURY BILL
1ZA003	8/31/2002	7/31/2002	-	2,100,000	99.54	U S TREASURY BILL
1ZA003	8/31/2002	7/31/2002	-	2,100,000	99.48	U S TREASURY BILL
1ZA101	6/30/1999	5/26/1999	1,700,000	-	99.66	U S TREASURY BILL
1ZA101	6/30/1999	5/26/1999	-	1,700,000	98.66	U S TREASURY BILL
1ZA101	8/31/2002	7/31/2002	1,825,000	-	99.54	U S TREASURY BILL
1ZA101	8/31/2002	7/31/2002	-	1,825,000	99.54	U S TREASURY BILL
1ZA101	8/31/2002	7/31/2002	1,825,000	-	99.48	U S TREASURY BILL
1ZA101	8/31/2002	7/31/2002	-	1,825,000	99.48	U S TREASURY BILL
1ZA106	6/30/1999	5/26/1999	7,475,000	-	99.66	U S TREASURY BILL
1ZA106	6/30/1999	5/26/1999	-	7,475,000	98.66	U S TREASURY BILL
1ZA106	8/31/2002	7/31/2002	-	7,975,000	99.54	U S TREASURY BILL
1ZA106	8/31/2002	7/31/2002	7,975,000	-	99.48	U S TREASURY BILL
1ZA106	8/31/2002	7/31/2002	-	7,975,000	99.48	U S TREASURY BILL
1ZA106	8/31/2002	7/31/2002	7,975,000	-	99.54	U S TREASURY BILL
1ZA134	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZA134	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZA217	8/31/2002	7/31/2002	-	225,000	99.54	U S TREASURY BILL
1ZA217	8/31/2002	7/31/2002	225,000	-	99.48	U S TREASURY BILL
1ZA246	6/30/1999	5/26/1999	-	100,000	98.66	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1ZA246	6/30/1999	5/26/1999	100,000	-	99.66	U S TREASURY BILL
1ZA323	1/31/2006	12/19/2006	2,775,000	-	98.82	U S TREASURY BILL
1ZA323	1/31/2006	12/19/2006	-	2,775,000	98.92	U S TREASURY BILL
1ZA395	8/31/2002	7/31/2002	-	3,250,000	99.54	U S TREASURY BILL
1ZA395	8/31/2002	7/31/2002	3,250,000	-	99.48	U S TREASURY BILL
1ZA395	8/31/2002	7/31/2002	3,250,000	-	99.54	U S TREASURY BILL
1ZA395	8/31/2002	7/31/2002	-	3,250,000	99.48	U S TREASURY BILL
1ZA467	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZA467	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZA534	6/30/1999	5/26/1999	-	2,850,000	98.66	U S TREASURY BILL
1ZA534	6/30/1999	5/26/1999	2,850,000	-	99.66	U S TREASURY BILL
1ZA534	8/31/2002	7/31/2002	-	3,825,000	99.48	U S TREASURY BILL
1ZA534	8/31/2002	7/31/2002	3,825,000	-	99.54	U S TREASURY BILL
1ZA534	8/31/2002	7/31/2002	3,825,000	-	99.48	U S TREASURY BILL
1ZA534	8/31/2002	7/31/2002	-	3,825,000	99.54	U S TREASURY BILL
1ZA781	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZA781	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZA789	6/30/1999	5/26/1999	1,725,000	-	99.66	U S TREASURY BILL
1ZA789	6/30/1999	5/26/1999	-	1,725,000	98.66	U S TREASURY BILL
1ZA836	6/30/1999	5/26/1999	50,000	-	99.66	U S TREASURY BILL
1ZA836	6/30/1999	5/26/1999	-	50,000	98.66	U S TREASURY BILL
1ZA850	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZA850	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZA873	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZA873	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZA873	1/31/2006	12/19/2006	-	2,700,000	98.92	U S TREASURY BILL
1ZA873	1/31/2006	12/19/2006	2,700,000	-	98.82	U S TREASURY BILL
1ZA874	6/30/1999	5/26/1999	1,525,000	-	99.66	U S TREASURY BILL
1ZA874	6/30/1999	5/26/1999	-	1,525,000	98.66	U S TREASURY BILL
1ZA874	8/31/2002	7/31/2002	-	3,600,000	99.54	U S TREASURY BILL
1ZA874	8/31/2002	7/31/2002	3,600,000	-	99.54	U S TREASURY BILL
1ZA874	8/31/2002	7/31/2002	3,600,000	-	99.48	U S TREASURY BILL
1ZA874	8/31/2002	7/31/2002	-	3,600,000	99.48	U S TREASURY BILL
1ZA902	6/30/1999	5/26/1999	-	1,725,000	98.66	U S TREASURY BILL
1ZA902	6/30/1999	5/26/1999	1,725,000	-	99.66	U S TREASURY BILL
1ZA903	8/31/2002	7/31/2002	-	50,000	99.54	U S TREASURY BILL
1ZA903	8/31/2002	7/31/2002	50,000	-	99.48	U S TREASURY BILL
1ZA979	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZA979	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZA991	6/30/1999	5/26/1999	-	1,050,000	98.66	U S TREASURY BILL
1ZA991	6/30/1999	5/26/1999	1,050,000	-	99.66	U S TREASURY BILL
1ZB015	6/30/1999	5/26/1999	1,425,000	-	99.66	U S TREASURY BILL
1ZB015	6/30/1999	5/26/1999	-	1,425,000	98.66	U S TREASURY BILL
1ZB015	8/31/2002	7/31/2002	-	1,875,000	99.54	U S TREASURY BILL
1ZB015	8/31/2002	7/31/2002	-	1,875,000	99.48	U S TREASURY BILL
1ZB015	8/31/2002	7/31/2002	1,875,000	-	99.54	U S TREASURY BILL
1ZB015	8/31/2002	7/31/2002	1,875,000	-	99.48	U S TREASURY BILL
1ZB016	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZB016	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZB021	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZB021	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZB032	8/31/2002	7/31/2002	2,475,000	-	99.48	U S TREASURY BILL
1ZB032	8/31/2002	7/31/2002	-	2,475,000	99.48	U S TREASURY BILL
1ZB032	8/31/2002	7/31/2002	-	2,475,000	99.54	U S TREASURY BILL
1ZB032	8/31/2002	7/31/2002	2,475,000	-	99.54	U S TREASURY BILL
1ZB046	6/30/1999	5/26/1999	7,700,000	-	99.66	U S TREASURY BILL
1ZB046	6/30/1999	5/26/1999	-	7,700,000	98.66	U S TREASURY BILL
1ZB046	8/31/2002	7/31/2002	-	7,850,000	99.48	U S TREASURY BILL
1ZB046	8/31/2002	7/31/2002	7,850,000	-	99.48	U S TREASURY BILL
1ZB046	8/31/2002	7/31/2002	-	7,850,000	99.54	U S TREASURY BILL
1ZB046	8/31/2002	7/31/2002	7,850,000	-	99.54	U S TREASURY BILL
1ZB085	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZB085	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZB085	8/31/2002	7/31/2002	-	1,275,000	99.54	U S TREASURY BILL
1ZB085	8/31/2002	7/31/2002	1,275,000	-	99.48	U S TREASURY BILL

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

Master Account #	Customer Statement Date	Trade Date	Long Position	Short Position	Price	Description
1ZB085	8/31/2002	7/31/2002	-	1,275,000	99.48	U S TREASURY BILL
1ZB085	8/31/2002	7/31/2002	1,275,000	-	99.54	U S TREASURY BILL
1ZB107	6/30/1999	5/26/1999	50,000	-	99.66	U S TREASURY BILL
1ZB107	6/30/1999	5/26/1999	-	50,000	98.66	U S TREASURY BILL
1ZB125	8/31/2002	7/31/2002	-	1,250,000	99.48	U S TREASURY BILL
1ZB125	8/31/2002	7/31/2002	-	1,250,000	99.54	U S TREASURY BILL
1ZB125	8/31/2002	7/31/2002	1,250,000	-	99.54	U S TREASURY BILL
1ZB125	8/31/2002	7/31/2002	1,250,000	-	99.48	U S TREASURY BILL
1ZB132	7/31/1997	6/30/1997	200,000	-	99.17	U S TREASURY BILL
1ZB143	6/30/1999	5/26/1999	1,450,000	-	99.66	U S TREASURY BILL
1ZB143	6/30/1999	5/26/1999	-	1,450,000	98.66	U S TREASURY BILL
1ZB249	6/30/1999	5/26/1999	-	1,700,000	98.66	U S TREASURY BILL
1ZB249	6/30/1999	5/26/1999	1,700,000	-	99.66	U S TREASURY BILL
1ZB249	8/31/2002	7/31/2002	-	2,625,000	99.54	U S TREASURY BILL
1ZB249	8/31/2002	7/31/2002	2,625,000	-	99.54	U S TREASURY BILL
1ZB249	8/31/2002	7/31/2002	2,625,000	-	99.48	U S TREASURY BILL
1ZB249	8/31/2002	7/31/2002	-	2,625,000	99.48	U S TREASURY BILL
1ZB251	8/31/2002	7/31/2002	200,000	-	99.48	U S TREASURY BILL
1ZB251	8/31/2002	7/31/2002	-	200,000	99.54	U S TREASURY BILL
1ZB255	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZB255	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZB258	3/31/2002	1/28/2002	25,000	-	99.62	U S TREASURY BILL
1ZB258	3/31/2002	2/21/2002	25,000	-	99.74	U S TREASURY BILL
1ZB262	1/31/2006	12/19/2006	30,300,000	-	98.82	U S TREASURY BILL
1ZB262	1/31/2006	12/19/2006	-	30,300,000	98.92	U S TREASURY BILL
1ZB295	6/30/1999	5/26/1999	-	175,000	98.66	U S TREASURY BILL
1ZB295	6/30/1999	5/26/1999	175,000	-	99.66	U S TREASURY BILL
1ZB295	8/31/2002	7/31/2002	-	2,000,000	99.54	U S TREASURY BILL
1ZB295	8/31/2002	7/31/2002	2,000,000	-	99.48	U S TREASURY BILL
1ZB295	8/31/2002	7/31/2002	2,000,000	-	99.54	U S TREASURY BILL
1ZB295	8/31/2002	7/31/2002	-	2,000,000	99.48	U S TREASURY BILL
1ZB309	9/30/2006	8/30/2006	-	315	1.00	FIDELITY SPARTAN
1ZB309	9/30/2006	8/30/2006	-	50,000	98.83	U S TREASURY BILL
1ZB324	8/31/2002	7/31/2002	1,500,000	-	99.54	U S TREASURY BILL
1ZB324	8/31/2002	7/31/2002	-	1,500,000	99.48	U S TREASURY BILL
1ZB324	8/31/2002	7/31/2002	1,500,000	-	99.48	U S TREASURY BILL
1ZB324	8/31/2002	7/31/2002	-	1,500,000	99.54	U S TREASURY BILL
1ZB359	8/31/2002	7/31/2002	-	1,425,000	99.54	U S TREASURY BILL
1ZB359	8/31/2002	7/31/2002	1,425,000	-	99.54	U S TREASURY BILL
1ZB359	8/31/2002	7/31/2002	-	1,425,000	99.48	U S TREASURY BILL
1ZB359	8/31/2002	7/31/2002	1,425,000	-	99.48	U S TREASURY BILL
1ZB428	8/31/2002	7/31/2002	-	1,725,000	99.48	U S TREASURY BILL
1ZB428	8/31/2002	7/31/2002	-	1,725,000	99.54	U S TREASURY BILL
1ZB428	8/31/2002	7/31/2002	1,725,000	-	99.54	U S TREASURY BILL
1ZB428	8/31/2002	7/31/2002	1,725,000	-	99.48	U S TREASURY BILL
1ZB434	8/31/2002	7/31/2002	-	3,575,000	99.54	U S TREASURY BILL
1ZB434	8/31/2002	7/31/2002	3,575,000	-	99.48	U S TREASURY BILL
1ZB434	8/31/2002	7/31/2002	-	3,575,000	99.48	U S TREASURY BILL
1ZB434	8/31/2002	7/31/2002	3,575,000	-	99.54	U S TREASURY BILL
1ZB543	1/31/2006	12/19/2006	150,000	-	98.82	U S TREASURY BILL
1ZB543	1/31/2006	12/19/2006	-	150,000	98.92	U S TREASURY BILL
1ZR098	6/30/1999	5/26/1999	-	25,000	98.66	U S TREASURY BILL
1ZR098	6/30/1999	5/26/1999	25,000	-	99.66	U S TREASURY BILL
1ZR110	6/30/1999	5/26/1999	-	75,000	98.66	U S TREASURY BILL
1ZR110	6/30/1999	5/26/1999	75,000	-	99.66	U S TREASURY BILL
1ZR284	6/30/1999	5/26/1999	-	675,000	98.66	U S TREASURY BILL
1ZR284	6/30/1999	5/26/1999	675,000	-	99.66	U S TREASURY BILL
1ZR288	6/30/1999	5/26/1999	-	175,000	98.66	U S TREASURY BILL
1ZR288	6/30/1999	5/26/1999	175,000	-	99.66	U S TREASURY BILL

1. Trade detail based on Settled Cash (SETCSH17).